

Winnetka Village Council
REGULAR MEETING
Village Hall
510 Green Bay Road
Tuesday, March 5, 2013
7:00 p.m.

Emails regarding any agenda item are welcomed. Please email contactcouncil@winnetka.org, and your email will be relayed to the Council members. Emails for the Tuesday Council meeting must be received by Monday at 4 p.m. Any email may be subject to disclosure under the Freedom of Information Act.

AGENDA

- 1) Call to Order
- 2) Pledge of Allegiance
- 3) Quorum
 - a) March 12, 2013 Study Session
 - b) March 19, 2013 Regular Meeting
 - c) April 4, 2013 Rescheduled Regular Meeting
- 4) Approval of Agenda
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- 12) Reports
- 13) Executive Session
- 14) Adjournment

NOTICE

All agenda materials are available at villageofwinnetka.org (*Council > Current Agenda*); the Reference Desk at the Winnetka Library; or in the Manager’s Office at Village Hall (2nd floor).

Broadcasts of the Village Council meetings are televised on Channel 10 and AT&T Uverse Channel 99 every night at 7 PM. Webcasts of the meeting may also be viewed on the Internet via a link on the Village’s web site: villageofwinnetka.org

The Village of Winnetka, in compliance with the Americans with Disabilities Act, requests that all persons with disabilities who require certain accommodations to allow them to observe and/or participate in this meeting or have questions about the accessibility of the meeting or facilities, contact the Village ADA Coordinator – Megan Pierce, at 510 Green Bay Road, Winnetka, Illinois 60093, 847.716.3543; T.D.D. 847.501.6041.

**MINUTES
WINNETKA VILLAGE COUNCIL
REGULAR MEETING
February 5, 2013**

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Council Chambers on Tuesday, February 5, 2013, at 7:00 p.m.

- 1) Call to Order. President Tucker called the meeting to order at 7:00 p.m. Present: Trustees Arthur Braun, Jack Buck, Patrick Corrigan, Richard Kates, Stuart McCrary and Jennifer Spinney. Absent: None. Also present: Village Manager Robert Bahan, Village Attorney Katherine Janega, Assistant to the Village Manager Megan Pierce, Director of Community Development Mike D’Onofrio, Public Works Director Steve Saunders, and approximately 5 persons in the audience.
- 2) Pledge of Allegiance. President Tucker led the group in the Pledge of Allegiance.
- 3) Quorum.
 - a) February 6, 2013 Budget Meeting. All Council members expect to attend.
 - b) February 12, 2013 Study Session. All Council members expect to attend.
 - c) February 13, 2013 Budget Meeting. All Council members expect to attend.
 - d) February 19, 2013 Regular Meeting. All Council members expect to attend.
- 4) Approval of the Agenda. Trustee Spinney, seconded by Trustee Braun, moved to approve the Agenda. By roll call vote, the motion carried. Ayes: Trustees Braun, Buck, Corrigan, Kates, McCrary and Spinney. Nays: None. Absent: None.
- 5) Consent Agenda
 - a) Village Council Minutes.
 - i) January 8, 2013 Rescheduled Regular Meeting.
 - ii) January 8, 2013 Study Session.
 - iii) January 15, 2013 Regular Meeting.
 - b) Warrant Lists Nos. 1783 and 1784. Approving Warrant List No. 1783 in the amount of \$1,728,988.38 and Warrant List No. 1784 in the amount of \$437,580.50.
 - c) Cherry Street Bridge Deck Repairs: Change Order #1. Approving Change Order #1 in the amount of \$17,560 for the Cherry Street Bridge Repair project.
 - d) Resolution R-1-2013: Authorizing Release of Executive Session Minutes and the Destruction of Certain Audio Recordings of Village Council Executive Sessions – Adoption. A Resolution approving minutes of executive session meetings, determining which minutes still require confidential treatment, and authorizing the destruction of audio recordings of executive sessions held on or before May 24, 2011.
 - e) Hurricane Ike Disaster Recovery Planning Program Policy Declarations: A series of Resolutions implementing policies consistent with certain federal anti-discrimination,

employment and procurement regulations, in order to meet federal eligibility requirements for the Village of Winnetka's share of grant funds for stormwater drainage projects.

- i) Resolution R-2-2013: Prohibiting Discrimination Based on Disability and Establishing a Grievance Procedure Pursuant to Section 504 of the Rehabilitation Act of 1973 – Adoption.
- ii) Resolution R-3-2013: Establishing a Procurement Policy Pertaining to the Use of Federal Community Development Block Grant Funds – Adoption.
- iii) Resolution R-4-2013: Adopting a Policy Protecting Individuals Engaged in Nonviolent Civil Rights Demonstrations – Adoption.
- iv) Resolution R-5-2013: Establishing a Policy for Citizen Participation Pertaining to the Illinois “Ike” Disaster Recovery Program – Adoption.
- f) Resolution R-7-2013: Gannett’s Subdivision (596 Arbor Vitae / 595 Lincoln) – Adoption. A Resolution approving the proposed Gannett’s Subdivision, restoring the prior two-lot configuration of the Subject Property.

Trustee Braun, seconded by Trustee Spinney, moved to approve the foregoing items on the Consent Agenda by omnibus vote. By roll call vote, the motion carried. Ayes: Trustees Braun, Buck, Corrigan, Kates, McCrary and Spinney. Nays: None. Absent: None.

6) Stormwater Update. No report.

7) Ordinances and Resolutions.

- a) R-6-2013: Cook County Data Sharing Agreement – Adoption. Mr. Saunders explained that the Cook County Assessor’s office shares its database containing information that is useful for populating and maintaining the Village’s GIS information, and that the Village is required to enter into an agreement each year with the County.

There being no questions or comments, Trustee Spinney, seconded by Trustee Braun, moved to adopt Resolution R-6-2013 authorizing Staff to sign an intergovernmental agreement allowing the Village access to Cook County’s digital GIS information. By roll call vote, the motion carried. Ayes: Trustees Braun, Buck, Corrigan, Kates, McCrary and Spinney. Nays: None. Absent: None.

- b) Ordinance M-1-2013: The Exercise Coach North Shore (854 Green Bay) Special Use Permit – Introduction. Mr. D’Onofrio reviewed this request for a special use permit to allow a health club facility in vacant retail space in the McDonald’s building at 854 Green Bay Road. He explained that the building is occupied by three other businesses and that adequate parking is provided in the building’s parking lot and the adjacent public parking lot. One of the businesses is a physical therapy office, which is permitted as a medical office, while the proposed use provides physical fitness training, which is considered a health club facility and therefore requires a special use permit.

After a brief discussion, Trustee Buck, seconded by Trustee Spinney, moved to introduce Ordinance M-1-2013 granting a special use permit to allow a health club facility at 854 Green Bay Road for the Exercise Coach North Shore. By voice vote, the motion carried.

- c) Ordinance M-2-2013: Hurricane Ike Disaster Recovery Planning Program Policy Declarations – Waiver of Introduction and Adoption. Attorney Janega explained that the subject Ordinance, which establishes an equal employment policy and policies to benefit persons with disabilities, is part of the documentation to meet requirements for the Ike disaster recovery grant funds and formalizes policies that the Village already follows. She said Staff is asking the Council to consider waiving introduction of the Ordinance so the required materials can be sent to the Illinois Department of Commerce and Economic Opportunity without delay.

Trustee Kates, seconded by Trustee Braun, moved to waive introduction of Ordinance M-2-2013. By voice vote, the motion carried.

Trustee Braun, seconded by Trustee Spinney, moved to adopt Ordinance M-2-2013, An Ordinance Establishing an Equal Employment Policy, Including Provisions to Benefit Individuals with Disabilities. By roll call vote, the motion carried. Ayes: Trustees Braun, Buck, Corrigan, Kates, McCrary and Spinney. Nays: None. Absent: None.

8) Public Comment and Questions. None.

9) Old Business. None.

10) New Business.

- a) Bid #012-022: Traffic Signal Modernization at Green Bay Road and Winnetka Avenue. Mr. Saunders reported that the traffic signal project, first proposed in 2004, was delayed because the Illinois Department of Transportation (IDOT), which controls Green Bay Road, also required intersection safety improvements. He reviewed the enhancements, and noted that the construction schedule has been coordinated with New Trier High School to ensure the least possible amount of disruption. He reviewed the bid summary and explained that the lowest bidder, Chicagoland Paving did not meet IDOT requirements and therefore is not a qualified bidder. He said Staff therefore recommends rejecting the lowest bid and awarding the contract to the second lowest bidder, Hometowne Electric, as they are known to be a qualified contractor.

Mr. Saunders, responding to questions from the Trustees, said: (i) because bid IDOT and MFT project specifications are more stringent, it would not have been possible to join with other bidders to save money in this case; (ii) the State controls parts of Tower and Willow Roads, all of Sheridan and Green Bay Roads, and Forestway Drive, that the Village would like to take control over the portion of Willow Road east of Hibbard Road, but is not interested in taking over Green Bay Road, as it would be too expensive to maintain; and (iii) the Village is expecting to receive \$100,000 in State funding to complete the project.

Trustee Corrigan, seconded by Trustee Spinney, moved to award a contract in the amount of \$649,861.00 to Hometowne Electric, Inc., for the Green Bay Road and Winnetka Avenue traffic signal modernization project. By roll call vote, the motion carried. Ayes: Trustees Braun, Buck, Corrigan, Kates, McCrary and Spinney. Nays: None. Absent: None.

11) Appointments.

- a) President Tucker announced the re-appointment of John O'Malley to the Police Pension Board for a full term, effective immediately. Trustee Braun, seconded by Trustee Buck, moved to approve the appointment. By voice vote, the motion carried.

12) Reports.

- a) Village President. President Tucker invited the public to attend the upcoming Budget Meetings as well as Stormwater Workshop #2, to be held at the next Study Session. She said she attended the January Northwest Municipal Conference (NWMC) meeting and the January 26 NWMC Legislative Brunch; and she urged any interested Trustees to sign up for the NWMC Legislative Days in March. Lastly, she reported that on Monday night, she attended a community forum on pensions in Wilmette, where State Senator Biss, State Rep. Gabel and Cook County Commissioner Larry Suffredin presided over an audience that included teachers and retirees who are concerned about their pensions. She noted that Winnetka is conscientious in funding its pension obligations, and that fixing the problem of unfunded pension liabilities will require the involvement of all stakeholders.
- b) Trustees.
 - i) Trustee Spinney reported that the Winnetka-Northfield Chamber of Commerce is currently accepting nominations for their annual Recognition Luncheon, scheduled for April 10 at the Winnetka Community House.
 - ii) Trustee Kates reported that the BCDC discussed the business district flower baskets at its last meeting. He said he also attended the LPC meeting, where several projects were required to conduct a Historic and Architectural Impact Study, which delayed two of the cases for 60 days. He added that after a review of the HAIS for the Winnetka Woman's Club, a demolition permit was approved for the building. President Tucker commented that it might be useful to have a Council liaison to the LPC.
- c) Attorney. Attorney Janega reported that she has been working with the Village's lower boards and commissions to ensure compliance with the new Open Meeting Act requirements.
- d) Manager. Manager Bahan said a press release was issued regarding the Tower Road closure to relocate the underground duct banks; that ULI on Monday launched their online shopper survey, and that the first ULI TAP will convene February 27-28.

13) Executive Session. None.

- 14) Adjournment. Trustee Braun, seconded by Trustee Spinney, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 7:56 p.m.

Recording Secretary

MINUTES
WINNETKA VILLAGE COUNCIL BUDGET REVIEW MEETING

February 6, 2013

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Tuesday, February 6, 2013, at 7:00 p.m.

- 1) Call to Order. President Tucker called the meeting to order at 7:00 p.m. Present: Trustees Arthur Braun, Jack Buck, Patrick Corrigan, Richard Kates, Stuart McCrary and Jennifer Spinney. Absent: None. Also in attendance: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Finance Director Ed McKee, Village Attorney Katherine Janega, Chief of Police Patrick Kreis, Deputy Chief of Police Joe Pellus, Fire Chief Alan Berkowsky, Deputy Fire Chief John Ripka, and approximately 2 persons in the audience.
- 2) Budget Overview. Mr. McKee explained that this budget cycle would only be nine months long, so that the fiscal year can be aligned with the calendar year on January 1, 2014. He reviewed the core principles the Village uses to set its budgets: (i) operating with balanced budgets; (ii) maintaining appropriate reserves; (iii) limiting the number of employees; (iv) using debt sparingly to keep costs down; and (v) properly funding the pensions.

After reviewing the operating funds, Mr. McKee explained that the proposed budget's impact on residents would amount to a property tax increase of approximately \$60 (based on a \$26,345 property tax bill). He noted that the Village's share of the total tax bill is only 13.3%, which is down from 17.23% in 1997. He added that the Village stays below property tax caps, even though it is not required to as a Home Rule municipality.

Mr. McKee reviewed other major strategic initiatives for the coming year which include:

- evaluate bids for the Northfield electric substation;
- complete the sanitary sewer evaluation to identify system defects and begin repairs;
- evaluate a scheduled replacement program for older infrastructure;
- replace financial software;
- complete the website upgrade;
- upgrade hardware for shared police CAD system (with Wilmette);
- downtown revitalization (BCDC improvements to business districts, ULI assessment);
- and
- convert the fiscal year to align with the calendar year.

Mr. McKee explained that while the Village is a service organization, and as such 79% of costs are personnel-related, cost increases are below the rate of inflation, due to 0.8% wage increases for the last three years. Reviewing the annual pension costs per employee, along with a pension liability chart, he noted that Winnetka is in much better shape than many communities in Illinois because it contributes to pensions based on actuarial recommendations.

Mr. McKee said capital expenses are higher this year due to the stormwater improvements that are already planned, but that the underlying trend is downward as far as budget spending is concerned. Mr. McKee also noted that the General Fund relies heavily on property taxes for revenue, as fees for permits have been kept extremely low. He then reviewed the

Village's operating expenses and sales forecasts for water and electric sales, and opened up the floor for questions.

President Tucker remarked that it was an outstanding budget and thanked Mr. McKee for his stewardship.

Mr. McKee answered questions from the Trustees, explained the process for the financial software upgrade, and noted that every process will have to be re-engineered while simultaneously running the old and new systems until the new system is 100% functioning. He said the change in the fiscal year will further complicate things, and that the schedule is very aggressive.

The Council discussed how to set policy within the process of setting the budget. Manager Bahan commented that it would be a good topic of discussion at the next strategic planning session, and explained that Staff drafts a budget for the Council's consideration, and the Council as a whole decides whether or not to approve it.

Trustee Braun commented that 75-80% of the expenditures seem out of the control of the Council, but that it is important for the Council to examine the portion that it does have discretion over.

President Tucker said the draft Budget is well done, so it is rare that the Council adds or subtracts from it, but added that it is beneficial to discuss items as they come up so as not to make rash decisions.

- 3) Police Department. Chief Kreis reviewed the Department's Mission Statement and highlighted accomplishments over the last year, which included significant preparation to safeguard the Village during the NATO Summit and solving numerous serious criminal cases. Noting that the Department does much more than crime work, he said it regularly engages with the community through school visits, business district visits, traffic enforcement and other activities, and added that a goal of the community engagement effort is to get the public to report crimes, as most crimes go unreported.

Chief Kreis noted that, while burglaries have increased in the past two years, the incidence is still about half of what it was ten years ago. He added that the NORTAF Burglary Task Force has been very successful. He reviewed the typical daily staffing levels, noted that Special Watches and Traffic Stops were down in 2012, due to a staffing shortage for most of the year, which directly affects these areas.

Chief Kreis reviewed the Department's key goals and objectives for the coming year, which include: (i) continue enhancing community policing initiatives; (ii) implement an administrative adjudication process; (iii) improve crime prevention measures and continue aggressive investigative measures; and (iv) complete implementation of a new policy manual system with daily training bulletins.

In the area of capital outlay, the Chief said the police building needs a new roof, a new IP based video security system, and servers for the Computer Aided Dispatch (CAD) system.

President Tucker thanked Chief Kreis for his leadership and hard work.

Answering a question about the IP video security system, the Chief explained that the basic system would replace everything at the Public Safety Building, including the holding facility

and every cell. He said once this basic backbone of the system is in place, it allows additional video to be fed into the system, for example from Village Hall or a train station.

There was a brief discussion about the condition of the roof, and Trustee Braun commented that it needs replacing.

- 4) Fire Department. Chief Berkowsky began his presentation with an explanation of the organizational chart of the Department, followed by a review of daily responsibilities covered by personnel. He noted that administration personnel cover shifts when the need arises due to injuries, illness or retirement, and that this reallocation of personnel reduced overtime costs by 1,783 hours, for a savings of \$89,150.

Chief Berkowsky reviewed some major accomplishments of 2012, which included: (i) online training; (ii) developing an in-house leadership program, which is now being used by other area fire departments; (iii) entering into a new collective bargaining agreement; and (iv) a successful open house held in conjunction with the first “Taste of Winnetka.” He added that the Department’s Insurance Service Office (ISO) rating was upgraded from a “4” to a “3” and added that ISO Public Protection Classifications are used by insurance companies to determine annual premiums.

Saying that the Department does much more than put out fires, the Chief reviewed the 2012 statistics which show that the Department responds to any hazard it is called upon to deal with. Addressing the department’s vehicles, he said he is not recommending a vehicle purchase this budget cycle, but stressed the importance of a vehicle replacement policy. He explained his suggested vehicle policy, saying the plan calls for staggering the replacement of vehicles, to keep reliable equipment on hand without overburdening the capital budget.

Chief Berkowsky reviewed his 2013 goals, which include: (i) complete a portion of the Blue Card Incident Command Training; (ii) conduct at least 3 Officer Development Training Sessions; (iii) co-host a school emergency preparedness tabletop training drill for New Trier High School; (iv) certify all Firemedics in Pediatric Advanced Life Support; (v) complete implementation of Remote Access Mapping Software; and (vi) replace extrication tools on Engine 28 with power plant and attachments (funded by Foreign Fire Insurance Board funds).

President Tucker thanked the Fire Department for the upgrade in the ISO rating, and she added that the collaboration between the Fire and Police Departments is outstanding.

There were a few questions from the Council, and the Chief explained what a tabletop drill entails, how the Direct Connect system works, and how ambulance rates are set.

- 5) Public Comment. None.
- 6) Executive Session. None.
- 7) Adjournment. Trustee Braun, seconded by Trustee Spinney, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 9:25 p.m.

Recording Secretary



Agenda Item Executive Summary

Title: Warrant Lists Nos. 1787 and 1788

Presenter: Robert M. Bahan, Village Manager

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input checked="" type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

None.

Executive Summary:

Warrant Lists Nos. 1787 and 1788 were emailed to each Village Council member.

Recommendation / Suggested Action: *(briefly explain)*

Consider approving Warrant Lists Nos. 1787 and 1788

Attachments: *(please list individually)*

None.



Agenda Item Executive Summary

Title: Resolution R-16-2013: Green Bay Road & Winnetka Avenue Traffic Signal

Presenter: Steven M. Saunders, Director of Public Works/Village Engineer

Agenda Date: 03/05/2013

Consent: YES NO

- | | |
|-------------------------------------|-------------------------|
| <input type="checkbox"/> | Ordinance |
| <input checked="" type="checkbox"/> | Resolution |
| <input type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input type="checkbox"/> | Informational Only |

Item History: *(reference past Council reviews, approvals, or authorizations)*

Project Bids Awarded at February 5, 2013 Council Meeting

Executive Summary:

On February 5, 2013, the Village Council awarded a contract to Hometowne Electric, Inc., for traffic signal modernization and intersection improvements at the Winnetka Avenue & Green Bay Road intersection, for \$649,861. This project entails replacement of the existing traffic signal, adding a northbound left turn lane and an improved (widened) northbound right turning radius, modification of the intersection geometrics to simplify and improve pedestrian crossing movements, the provision of upgraded street lighting at the intersection, and pavement resurfacing.

The project is to be funded using \$500,000 of Motor Fuel Tax funds and \$100,000 from State of Illinois legislative funds. The remaining \$49,861 will be funded from budgeted General Fund Street Rehabilitation funds (FY 2013-14 Budget \$1,200,000).

The Village's Motor Fuel Tax funds are subject to supervision by the Illinois Department of Transportation, and use of funds for eligible construction projects requires an authorizing resolution. Resolution R-16-2013 (Attachment #1) authorizes use of \$500,000 in Motor Fuel Tax funding for the Traffic Signal Modernization Project for Green Bay Road and Winnetka Avenue.

Recommendation / Suggested Action: *(briefly explain)*

Consider adoption of Resolution R-16-2013 authorizing expenditure of \$500,000 in Motor Fuel Tax funds for the Traffic Signal Modernization Project for Green Bay Road and Winnetka Avenue.

Attachments: *(please list individually)*

Resolution R-16-2013



Illinois Department of Transportation

Resolution for Improvement by Municipality Under the Illinois Highway Code

BE IT RESOLVED, by the President and Board of Trustees of the Council or President and Board of Trustees of the Village of Winnetka of Illinois that the following described street(s) be improved under the Illinois Highway Code:

Table with 4 columns: Name of Thoroughfare, Route, From, To. Row 1: Green Bay Road, Intersection, Winnetka Avenue.

BE IT FURTHER RESOLVED,

1. That the proposed improvement shall consist of Traffic Signal Modernization with intersection improvements and shall be constructed wide and be designated as Section 04-00102-00-TL

2. That there is hereby appropriated the (additional Yes No) sum of five-hundred thousand Dollars (\$500,000.00) for the improvement of said section from the municipality's allotment of Motor Fuel Tax funds.

3. That work shall be done by Hometowne Electric, Inc ; and, Specify Contract or Day Labor

BE IT FURTHER RESOLVED, that the Clerk is hereby directed to transmit two certified copies of this resolution to the district office of the Department of Transportation.

Approved
Date
Department of Transportation
Regional Engineer

I, Robert Bahan Clerk in and for the Village of Winnetka of Cook County, hereby certify the foregoing to be a true, perfect and complete copy of a resolution adopted by the President and Board of Trustees at a meeting on March 5, 2013 IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this day of (SEAL) City, Town, or Village Clerk



Agenda Item Executive Summary

Title: Water Plant, Low Lift Pump Repairs

Presenter: Brian Keys, Director of Water & Electric

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input checked="" type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

Budget Presentation, February 15, 2012

Executive Summary:

The Water & Electric Department requested bids (Bid #013-002) for the overhaul of low lift pump #1. Under the Village Manager's purchasing authority, a purchase order was awarded to Illinois Pump. The contractor has completed disassembly and inspection of the pump and identified additional components that require replacement beyond those covered in the base bid. In order to insure the timely return of this critical piece of water plant equipment before increased water demands, staff requested and received emergency authorization from the Village Manager to proceed with a change order in the amount of \$12,300 (total project \$31,886) and directed the contractor to order the long lead time bowl assembly.

Recommendation / Suggested Action: *(briefly explain)*

Consider ratifying the Village Manager's approval of a change order to Illinois Pump for repairs to low lift pump #1 in the amount of \$12,300 for a total contract award of \$31,886.

Attachments: *(please list individually)*

Agenda Report
Exhibit B: Photos of deteriorated low lift pump impeller

AGENDA REPORT

SUBJECT: **Water Plant, Low Lift Pump Repairs**

PREPARED BY: Brian Keys, Director of Water & Electric

REF.: Budget Presentation, February 15, 2012

DATE: February 28, 2013

The Water Plant utilizes three pumps to move raw water from the wet well building to the water plant for treatment. Each pump is rated at 8 million gallons per day. On peak summer days, the plant utilizes two of the three pumps to meet demand for potable water. Operating hours for the pumps are rotated between the three pumps to insure even wear across the units and preventative maintenance is performed which includes periodic vibration monitoring. In the past, the pumps have been overhauled on an emergent basis when a particular pump has experienced a severe decline in vibrational performance or failure. Based on a review of historical operating hours and the pump's current performance, it was projected that low lift pump #1 would require an overhaul in the near term. Funding was budgeted in the FYE 2013 budget to proactively overhaul the pump during a non-summer period.

The Water & Electric Department requested bids (Bid #013-002) for the overhaul of low lift pump #1. The bid notice was published in the Winnetka Talk and bid notices were sent to four local pump repair facilities. Vendors were asked to provide a base bid with unit costs for several anticipated repair items based on prior pump repairs. A summary of these items includes:

- Mobilization and removal of the pump from the wet well building.
- Sandblasting, disassemble, and inspection of all components
- Replacement of the bronze wear rings and bearings on the impellor assembly
- Replacement of the bronze shaft bearings
- Replacement of the motor radial and thrust bearings
- Clean the motor windings and replace all lubricants
- Replacement of the mechanical seal
- Apply protective coating to the pump assembly.
- Balance the pump and motor
- Mobilization and re-installation of the pump in the wet well building

The following companies submitted bids for the pump overhaul.

Company	Base Bid
Illinois Pump Inc.	\$19,586.00
Layne	\$27,672.00

Under the Village Manager’s purchasing authority, a purchase order was awarded to Illinois Pump. The contractor has completed disassembly and inspection of the pump and identified additional components that require replacement beyond those covered in the base bid. Wear on the impeller is significant and beyond repair and/or reconditioning and four “drive” shafts and couplings need to be replaced. Photos of the impeller have been attached (Exhibit B) for reference. Replacement of these items is outside the original scope of work.

On February 27th, the contractor provided costs for each of the required repairs. Replacement of the four shafts and couplings is \$4,000. The impeller can be purchased separately for \$10,100 or as a bowl assembly that includes a new impeller for \$10,450. By purchasing an assembly, some of the bearings and wear rings covered in the base scope are not required. Consistent with the itemized breakdown in the contractor’s bid, a credit of \$2,150 would be warranted. Both the impeller and the bowl assembly (with impeller) have a manufacturing lead time of 4-6 weeks. The contractor has recommended purchase of the assembly due to its lower overall cost after applying the credits from the base scope of work. Staff concurs with the recommendation.

A summary of the repair costs is as follows:

Original scope of supply =	\$19,586
Credit from original scope if assembly is used =	-\$2,150
New shafts and couplings =	\$4,000
New bowl assembly with impeller =	\$10,450
Revised Project Total =	<u>\$31,886</u>

In order to insure the timely return of this critical piece of water plant equipment before increased water demands, staff requested and received emergency authorization from the Village Manager to proceed with a change order in the amount of \$12,300 (total project \$31,886) and directed the contractor to order the long lead time bowl assembly.

The Water & Electric budget, account #52-61-540-202, *Pumping, Maintenance-Commodities*, contains \$27,000 for the planned overhaul of low lift pump #1. In FYE 2013, staff also incurred un-budgeted expenses of \$12,420 to replace the motor on low lift pump #3. Repair costs for low lift pump #3 were also charged to this account, which have exceeded the account’s budgeted amount. Based on lower than planned expenditures in other expense categories, the water fund is not expected to exceed the department’s expense budget. As presented in FYE 2014 budget presentation, the department expense budget was estimated to conclude the current fiscal year, \$96,850 under budget.

Recommendation:

Consider ratifying the Village Manager’s approval of a change order to Illinois Pump for repairs to low lift pump #1 in the amount of \$12,300 for a total contract award of \$31,886.

EXHIBIT B

Photos of deteriorated low lift pump impeller





Agenda Item Executive Summary

Title: State of Illinois Cooperative Salt Purchasing Program 2012-2013

Presenter: Steven M. Saunders. Director of Public Works/Village Engineer

Agenda Date: 03/05/2013

Consent: YES NO

- | | |
|-------------------------------------|-------------------------|
| <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | Resolution |
| <input checked="" type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input type="checkbox"/> | Informational Only |

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village of Winnetka participates in the State of Illinois joint purchasing program to use joint purchasing power to obtain lower pricing. Bulk road salt has been purchased through the joint program with the State of Illinois and other municipal partners annually for many years.

Executive Summary:

The Village of Winnetka participates in the purchase of rock salt through a State of Illinois bid. This program provides municipalities the opportunity to use joint purchasing power with the State of Illinois to obtain lower prices for rock salt. The low bid for this program of \$52.74 per ton was submitted by Morton Salt Company of Chicago, Illinois. This year's price represents a 27.6% decrease from last year's price of \$67.39 per ton.

Because of last year's exceptionally mild winter, the Village began this year with a significant salt inventory, allowing a one-time reduction from our average purchase of approximately 1,440 tons. Staff estimates that a purchase of 805 tons will sufficiently supply the 2012-2013 season. 805 tons of rock salt would cost \$42,458 at this year's unit price. The FY 2012-13 budget (prepared in December 2011) contains a total of \$100,397 in account 10-30-540-141 for the purchase of rock salt. According to the terms of the joint purchasing contract, the Village is obligated to purchase at minimum 80% (\$33,965) of its estimated amount, or may at its discretion procure at maximum 120% of the estimated amount (\$50,947) at the bid price.

Recommendation / Suggested Action: *(briefly explain)*

Consider the purchase of rock salt at \$52.74 per ton as submitted by Morton Salt the State of Illinois low bidder, through the State of Illinois Cooperative purchasing program contract #401-7275.

Attachments: *(please list individually)*

Agenda Report- State Bid Salt

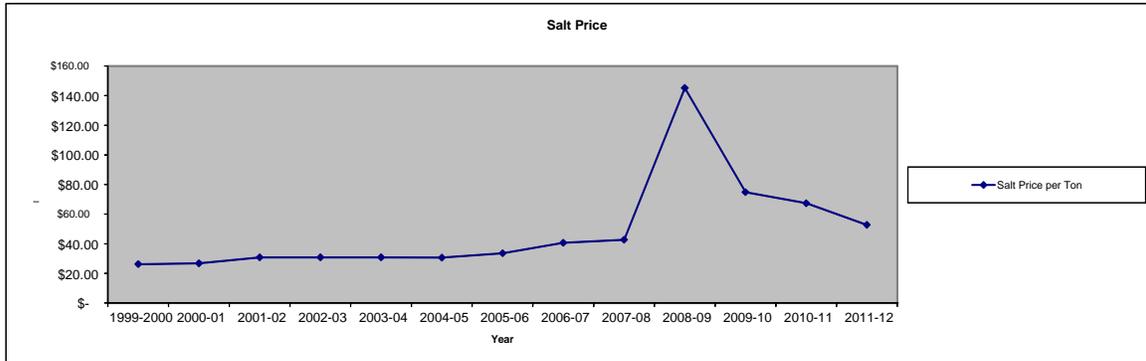
AGENDA REPORT

SUBJECT: State Bid - Salt Purchase

PREPARED BY: Steven M. Saunders, Director of Public Works/Village Engineer

DATE: February 26, 2013

The Village of Winnetka participates in the purchase of rock salt through the State of Illinois bid. This joint purchasing program provides municipalities the opportunity to use joint purchasing power with the State of Illinois to obtain lower prices for rock salt. The low bid for this program of \$52.74 per ton was submitted by Morton Salt Company of Chicago, Illinois. This year's price represents a 27.6% decrease from last year's price of \$67.39 per ton. Historical prices (below) varied between \$30 and \$40 per ton prior to the price spike of 2008-2009. Winter years since have seen adequate supplies and steadily decreasing pricing.



Because of last year's exceptionally mild winter, the Village began this year with a significant salt inventory, allowing a one-time reduction from our average purchase of approximately 1,440 tons. Staff estimates that a purchase of 805 tons will sufficiently supply the 2012-2013 season. 805 tons of rock salt would cost \$42,458 at this year's unit price. The FY 2012-13 budget (prepared in December 2011) contains a total of \$100,397 in account 10-30-540-141 for the purchase of rock salt. According to the terms of the joint purchasing contract, the Village is obligated to purchase at minimum 80% (\$33,965) of its estimated amount, or may at its discretion procure at maximum 120% of the estimated amount (\$50,947) at the bid price.

Recommendation:

Consider the purchase of rock salt at \$52.74 per ton as submitted by Morton Salt the State of Illinois low bidder, through the State of Illinois Cooperative purchasing program contract #401-7275.



Agenda Item Executive Summary

Title: Sanitary Sewer Anti-Backflow Program – Additional Program Data

Presenter: Steven M. Saunders, Director of Public Works/Village Engineer

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input checked="" type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

This item was discussed at the February 20, 2013 Budget Study Session

Executive Summary:

At the February 20, 2013, Budget Study Session, the Village Council reviewed the proposed budget for the Sanitary Sewer Fund. During this review, the Council discussed the proposed increase in funding for the Sewer Anti-Backflow Device cost sharing program. In particular, the Council discussed the public benefit of the program, primarily related to the opportunity to identify and correct otherwise concealed illegal stormwater connections to the sanitary sewer system. The Council requested additional information on the number and type of illegal connections identified during the sewer inspections that are required for homeowners to participate in the program. Staff has reviewed the reimbursement and inspection files for each program participant, and the data is attached.

The home inspections generated as a condition of participation in the anti-backflow device reimbursement program have identified and corrected 13 significant sources of illegal stormwater inflow into the sanitary sewer system. The FY 2013-14 Budget proposes increasing the funding level for the anti-backflow device reimbursement program from \$30,000 in last year's budget to \$50,000.

Recommendation / Suggested Action: *(briefly explain)*

Provide policy direction on the level of funding to be provided in the FY 2013-14 Sanitary Sewer Fund budget for the anti-backflow device reimbursement program.

Attachments: *(please list individually)*

1. Reimbursement and Inspection Data

Attachment #1

**SANITARY SEWER ANTI-BACKFLOW DEVICE
REIMBURSEMENT AND INSPECTION DATA**

Year	Reimbursements	Failed Inspections	Comments
2006	0	0	
2007	3 \$6,500	0	
2008	0	0	
2009	4 \$8,767	0	
2010	4 \$10,000	2	2 homes with downspouts connected to sanitary sewer
2011	13 \$51,499	9	5 homes with downspouts connected to sanitary sewer; 2 homes with sump pumps connected to sanitary sewer; 2 homes with area drains connected to sanitary sewer
2012	15 \$55,659	2	1 home with downspouts connected to sanitary sewer; 1 home with sump pump and storm sewer line connected to sanitary sewer
TOTAL	39 \$132,425	13	



Agenda Item Executive Summary

Title: Public Hearing: 2013-14 Village Budget

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input checked="" type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget. A Public Hearing on the budget was advertised in the February 20, 2013 edition of the Winnetka Talk for March 5, 2013 at 7:00 p.m.

The budget will result in an approximately 1.8% increase in costs for municipal services for a typical homeowner consisting of a:

- 1.7% increase in property taxes (\$60 on Village portion (\$3,520) of a \$26,345 total property tax bill)
- 6.0% increase in water rates (\$47 annual cost on a \$780 annual bill)
- 10.0% increase in sanitary sewer rates (\$24 annual cost on a \$238 annual bill)

Electric costs, vehicle license fees, and most other fees and taxes remain unchanged.

Recommendation / Suggested Action: *(briefly explain)*

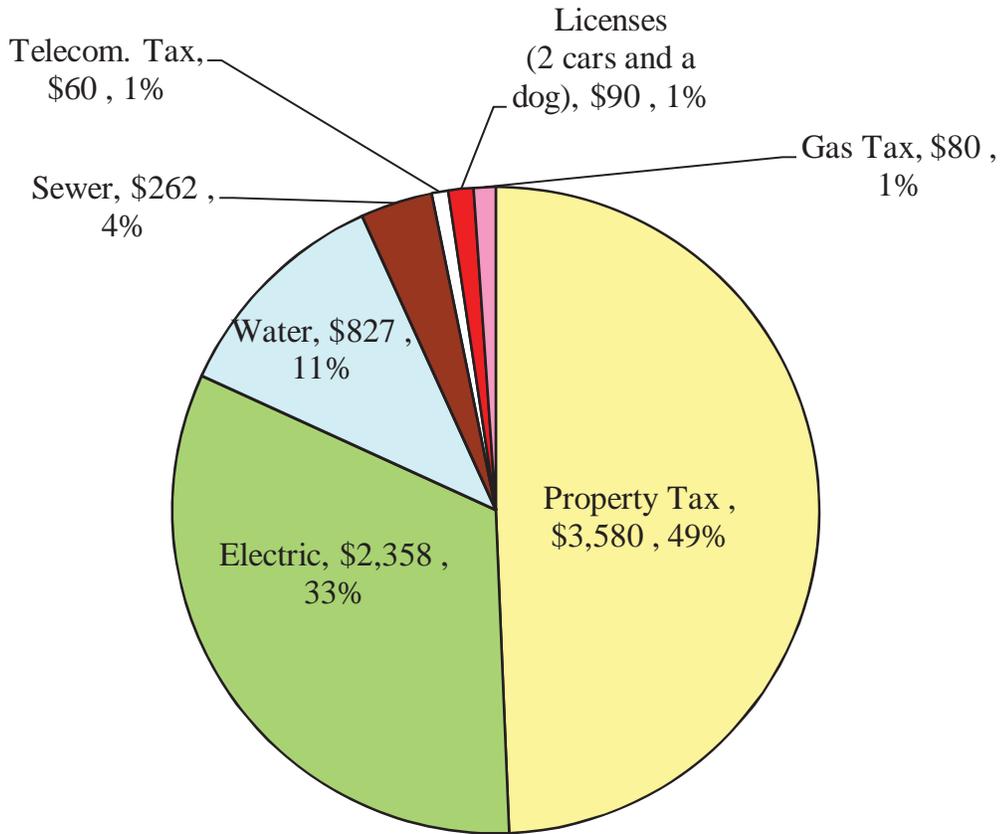
Conduct Public Hearing on the Budget.

Attachments: *(please list individually)*

Summary of Village Budget.

Village of Winnetka Budget 4/1/2013 - 3/31/2014

Total Annual Homeowner Expenses (\$7,257)
Assumes a \$26,345 Total Property Tax Bill and Typical Utility Use





VILLAGE · OF · WINNETKA

Incorporated in 1869

January 24, 2013

Village President
Members of the Board of Trustees, and
Village Manager

The Village's operating and capital budget for April 1, 2013 to March 31, 2014 is hereby submitted. The Village plans on converting to a calendar fiscal year effective January 1, 2014. The conversion will bring the Village's fiscal year into alignment with the property tax cycle in Cook County, allowing the Village to make property tax levy and budget decisions simultaneously.

For the transition year starting April 1, 2013, it was felt that budgeting a full year of revenues and expenses provided the best information on revenue and expenditure trends. The Village will prepare a CAFR as of December 31, 2013 which will reflect the nine month fiscal year from March 31, 2013 to December 31, 2013. The Village will then start on a cycle of annual budgets that follow the calendar year effective January 1, 2014.

The budget document is divided into five sections: 1) Current Environment, 2) Budget Impact on a Resident, 3) Operating Revenue and Expense Summary, 4) Explanation of Individual Fund Budgets, and 5) Closing Comments.

Current Environment

The proposed budget reflects the Village's efforts to make significant stormwater improvements while controlling operating costs. As the local and national economy starts to improve, many revenues have stabilized and the Village has maintained reduced staffing levels. Staffing has been reduced from 178 in 1989 to 154 full time employees in the proposed budget, unchanged from last year.

For 2013 / 2014, total expenditures excluding stormwater projects, decreased 2.1% from \$58.77 million to \$57.52 million. Reduced expenditures were largely attributable to lower spending in the electric fund and lower expenses for facilities.

The General Fund is the Village's main operating fund and includes many traditional municipal services like Police, Fire, and Public Works activities. For the last two years, the Village budget contained a 0% wage adjustment while it negotiated with the two represented employee groups in the Police and Fire Departments. As a result of the agreements reached during the current budget year, retroactive pay adjustments totaling 3.75% for the two preceding years were approved for the represented and non-represented employees. The current budget includes a 1.0% wage increase effective 4/1/2013 and a 1.5% increase effective 10/1/2013. Over the last three years, the total cost of compensation increased 0.8% per year, less than half the 2.1% rate of inflation.

In June 2011 the Village Council and Staff held their first strategic planning session. Over the past seven months, much progress has been made pursuing strategic initiatives. The following chart identifies key strategic initiatives

510 Green Bay Road, Winnetka, Illinois 60093
Administration and Finance (847) 501-6000 or www.villageofwinnetka.org

and explains the status and budget impact for 2013 / 2014.

Issue	Status	Budget Impact
*Storm Water Management – reduce the severity of flooding within the Village	Progress continues on the Willow Road Tunnel project. Construction on several stormwater improvements in the north and northwest portions of Winnetka are scheduled to commence in 2013.	A separate storm sewer fund has been created with \$7.32 million of capital improvements in the proposed budget. The budget anticipates completing a stormwater master plan and utility feasibility study.
* Financial Planning – ensure strong financial position of the Village over the long term	The budgets are balanced and provide significant cash flow for projects in the capital plan.	The budget sets parameters that limits operating costs. The Village is switching to a calendar fiscal year to improve efficiency and transparency.
* Regionalization – work jointly with other communities to provide better services at a lower cost	The Village works jointly with other communities, such as joint purchasing initiatives and studies.	The proposed budget includes projected savings from shared initiatives, including RED Center and shared IT services with the Village of Glenview.
* Communications / Technology – improve our technology platforms to improve our ability to operate and communicate with the community	The Village has created an e-newsletter which is distributed weekly. The Village's web site is being redeveloped and new financial software was approved in December 2012.	Funds are included to upgrade the Village's web presence and complete the new software package purchase.
* Downtown and Economic Development – facilitate an enhanced business environment and increase revenues	The Village has approved a study with the ULI for commercial assessment and long-term recommendations. The BCDC continues to engage and study the business environment.	The Downtown Revitalization Fund has resources to make some infrastructure repairs and undertake further studies.
* Stewardship of Infrastructure – identify needs beyond the current 5 year capital plan time frame to lengthen our planning time horizon	The Village continues to monitor capital and recommend replacements, repairs, and improvements.	Major capital improvements are planned, including upgrades to the Northfield electric sub station.
* Green / Sustainability Initiatives – incorporate green philosophy into our decision making, as opposed to a separate issue for discussion	The Village is incorporating green philosophy and sustainability initiatives into our operations.	A demolition and construction recycling program is in place. Stormwater efforts, LEED certification of Village Hall, and electronics recycling are additional green initiatives.
* Human Capital Development – improve the skills of our workforce so we can maximize value and plan for future staffing changes	The Village organization continues to evolve to meet customer needs addressing succession planning and training.	Dollars are provided in the budget for organizational changes and staff development.

In terms of the 2013 / 2014 budget, the following policy decisions are anticipated to be evaluated by the Council, Community, and Staff as we implement strategic policy initiatives:

Stormwater

- 1) Determine how to prioritize and finance stormwater operating expenses and capital improvements with the benefit of community input and a stormwater utility feasibility study.
- 2) Review project engineering, obtain bids, and consider approval of budgeted projects totaling \$7.32 million in the 2013 / 2014 budget.
- 3) Develop stormwater and sanitary system master plans with significant resident input.

Fiscal Year Change

The Council approved changing to a calendar fiscal year effective January 1, 2014. While the Village has budgeted a full year of revenues and expenses in this document for comparability purposes, many operating expenses will be close to 75% spent by December 31, 2013 when operations for the proposed budget cease. Revenues, due to how they are received by the Village, are likely to vary significantly from 75% of the budgeted amount. For example, the Village will receive only one installment of property taxes, or about 50% of the annual revenue.

Village Communications and Technology

The Village selected New World to provide replacement financial and Community Development software over the next 24 months. This software replaces programs that are up to 26 years old. The improved software will provide the Village with more flexibility to provide additional services to residents (such as on line bill payment) and improve our operating efficiency.

Downtown Redevelopment

The Village will continue to encourage private sector investment in the business districts to improve Village revenues and aesthetics. Occupancy rates within all three business districts have improved and remain very high. The Village budget provides for replacement of 10 crosswalks and miscellaneous improvements to the commercial districts as well as business retention efforts.

Stewardship of Infrastructure

Review and consider approval of capital projects included in the budget. The decision to add a second transformer to the Northfield electric substation to increase redundancy and improve system capacity will be reviewed in the 2013 / 2014 budget year. Adding this transformer to the electric system is a multi-year process with an approximately \$2.8 million cost.

Review the water and sanitary sewer systems, much of which was installed prior to the 1930's, to develop and approach to ensuring reliable service.

Budget Impact on a Resident

Budget changes are detailed in the Explanation of Individual Fund Budgets section of this memo. The Village uses two main metrics to evaluate finances as they relate to our residential customers. The first metric is estimating how the budget will change a customer's costs. For 2013 / 2014, we estimate a typical residential customer will pay 1.8% or \$131 more for municipal services. This increase is comprised of higher property taxes (\$60), water charges (\$47), and sanitary sewer charges (\$24).

The following is the calculation of the budget impact on a typical resident based on a \$26,345 total property tax bill and typical utility use:

**Homeowner Impact Analysis
Select Taxes and Fees**

	2012 / 13	2013 / 14	Change	
			\$'s	%
Village Property Taxes *	\$ 3,520	\$ 3,580	\$ 60	1.7%
Electric	\$ 2,358	\$ 2,358	\$ -	0.0%
Water	\$ 780	\$ 827	\$ 47	6.0%
Sewer	\$ 238	\$ 262	\$ 24	10.1%
Telecommunications Tax	\$ 60	\$ 60	\$ -	0.0%
Natural Gas Tax **	\$ 80	\$ 80	\$ -	0.0%
Licenses (2 cars & 1 Dog)	\$ 90	\$ 90	\$ -	0.0%
Total Taxes and Fees	\$ 7,126	\$ 7,257	\$ 131	1.8%

* Assumes \$26,345 tax bill, 13.36% Village portion, 1.7% projected increase.

** Assumes no reduction in natural gas heating bills.

The second metric used by the Village is trends in property tax increases over longer periods of time. The Village has one of the lowest percentage increases in property taxes compared to other taxing districts. While challenging, the Village has been able to keep typical homeowner property tax increases at or below the inflation rate.

Based on staff estimates, it would not be unusual for a homeowner to see a 77% increase in property taxes paid to all taxing districts over the fourteen property tax years ending in 2011. For example, if a resident had a property tax bill of \$14,877 in 1997, they would likely pay \$26,345 in annual property taxes in 2011. The local schools property taxes grew 106% over this time frame and account for 64% of all property taxes paid. The Village property taxes increased 37.3% over this time frame versus a 38.2% increase for the CPI. The Village has the second lowest percentage property tax increase as shown in the following chart.

**Comparison of Property Taxes Paid - Typical Winnetka Taxing Districts
2011 Versus 1997**

2012.09.17

	1997			2011			Increase in Taxes Paid	% Change
	Tax Rate	Taxes Paid	%	Tax Rate	Taxes Paid	%		
Winnetka Public Schools	2.723	\$4,712	31.67%	2.782	\$10,460	39.70%	\$5,748	122.0%
New Trier High School	1.967	\$3,404	22.88%	1.674	\$6,294	23.89%	\$2,890	84.9%
Village of Winnetka	1.481	\$2,563	17.23%	0.936	\$3,519	13.36%	\$956	37.3%
Cook County	1.028	\$1,779	11.96%	0.520	\$1,955	7.42%	\$176	9.9%
Winnetka Park District	0.445	\$770	5.18%	0.310	\$1,166	4.43%	\$396	51.4%
Water Reclamation District	0.451	\$780	5.24%	0.320	\$1,203	4.57%	\$423	54.2%
All Others	0.502	\$869	5.84%	0.465	\$1,748	6.63%	\$879	101.2%
Total	8.597	\$14,877	100.00%	7.007	\$26,345	100.00%	\$11,468	77.1%
Consumer Price Index - U	158.600			219.179	14 Year Increase in CPI >>			38.2%
CPI Index (December, 14 years)	1996			2010	Annual Geometric Mean >			2.3%

In reviewing local tax bills, it is important remember that the Village is responsible for 13.36 cents of every property tax dollar paid by residents, as shown in the following chart.



Operating Funds Revenue and Expense Summary (in Millions)

Nest is a summary of the Village's financial inflows and outflows, exclusive of stormwater related items. Stormwater items were removed and are reported separately, as these large and non-routine expenditures and transfers obscure the underlying trends in the budget.

Revenues, Expenses and Transfers (1)	2012/13 Budget	2012/13 Estimated	2013/14 Budget	% Change	\$ Change
	B	C	D	D vs. B	D vs. B
Revenues and Transfers	\$ 56.14	\$ 58.39	\$ 57.38	2.2	\$ 1.24
Expenses and Transfers	\$ (58.77)	\$ (54.73)	\$ (57.52)	(2.1)	\$ (1.25)
Difference	\$ (2.63)	\$ 3.66	\$ (0.14)		\$ 2.49

(1) Amounts are in millions of dollars. Amounts exclude stormwater capital improvements and transfers.

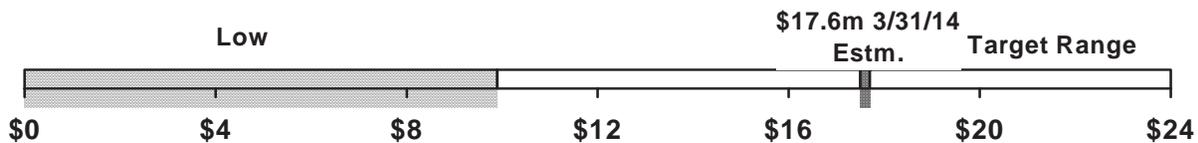
Fiscal 2013 / 2014 revenues and transfers, exclusive of stormwater items, are projected to increase \$1.24 million or 2.2%. Increased revenues are largely attributable to higher property taxes (+\$403,672), sales taxes (+\$250,000), higher water rates (+\$211,000), higher sanitary sewer rates (+\$87,000), and other increases (+\$288,328).

Expenses and transfers, exclusive of stormwater items, are projected to increase \$1.25 million or 2.1%. Increased expenditures are largely attributable to higher purchased power costs (+\$410,000), higher wage and benefit costs (+\$960,038). In addition to these expense increases, there were other expenses that went down \$120,038, including costs related to negotiating the union contracts and other reductions in operating costs.

Explanation of Individual Fund Budgets

General Fund (Fund # 10):

Fund Balance (in millions)



The General Fund is used to account for most traditional municipal services, including police, fire, public works, and administrative functions. The March 31, 2014 projected fund balance of \$17.6 million is within the target range. In the 2013 / 2014 budget there are two large transfers that reduce the cash reserves: \$6.0 million to the storm water fund for capital improvements and \$0.5 million to complete the Village Hall project. Sufficient cash balances are needed to serve as a buffer for unexpected items (such as late property tax receipts), fund significant non-routine capital expenses (fire truck, stormwater, facilities, etc.), allow for inter-fund borrowing, and serve as an asset that could be used to satisfy unfunded pension liabilities.

Given the current economic environment, the Council has indicated a preference for the General Fund balance to remain above \$11 million.

Summary of Revenue and Expenditure Changes (in thousands of dollars):

General Fund revenues and transfers are projected at \$23.04 million, up 1.8% or \$0.42 million from the 2012 / 2013 budget. Fiscal 2013 / 2014 operating expenses are projected at \$19.77 million, up 2.8% or \$.55 million. Noteworthy changes are outlined in the following chart:

Revenues & Transfers in thousands of dollars	Increase
---	----------

Property Taxes	\$ 403
Sales Tax	\$ 250
Natural gas Tax	\$ (250)
Interest Income	
All Others, net	\$ 15
Total + 1.8%	\$ 418

Operating Expenses in thousands of dollars	Increase
---	----------

<u>Wages and Benefits:</u>	
Fire	\$ 250
Police	\$ 180
Public Works	\$ 66
Administration	\$ 81
All Others, net	\$ (35)
Total + 2.8%	\$ 542

The following summarizes operating expenses by department.

General Fund Operating Expenses (in Thousands \$'s)	Actual FY 2012 A	Budget FY 2013 B	Estimated FY 2013 C	Budget FY 2014 D	Dollar Change D - B	% Change D v B
Administration	\$ 2,738	\$ 2,748	\$ 2,685	\$ 2,815	\$ 67	2.4%
Police	6,055	6,348	6,445	6,525	177	2.8%
Fire	4,328	4,717	4,786	4,877	160	3.4%
Comm. Development	1,509	1,540	1,486	1,596	56	3.6%
Public Works	3,637	3,870	3,416	3,952	82	2.1%
Operating Expenses	\$ 18,267	\$ 19,223	\$ 18,818	\$ 19,765	\$ 542	2.8%

Most of the increases in the departments are a result of increases in wages and benefit costs, including pensions. The higher Fire Department pension contribution accounts for about half of the increase in that department budget.

Many of the services provided by the Community Development Department are fee based. The Department budget reflects direct operating costs, but does not capture indirect costs (like the Village Manager's time) or opportunity costs. The Village conservatively budgets permit revenues. Therefore, 2013 / 2014 actual revenues could exceed the budgeted amount. The 2013 / 2014 budget projects that Community Development Department expenses will exceed revenues by \$306,000.

Community Develop. (in thousands \$'s)	Actual 2009 / 10	Actual 2010 / 11	Actual 2011 / 12	Estimated 2012 / 13	Budget 2013 / 14
Revenues	\$ 1,501	\$ 2,009	\$ 1,680	\$ 1,750	\$ 1,290
Expenses	\$ (1,548)	\$ (1,585)	\$ (1,509)	\$ (1,486)	\$ (1,596)
Income (subsidy)	\$ (47)	\$ 424	\$ 171	\$ 264	\$ (306)

Transfers out

The General Fund makes transfers to the Refuse Fund to financially support this activity. In addition, the General Fund will transfer dollars to pay for capital projects that do not have a dedicated revenue stream. The detail below explains recent transfers out of the General Fund:

Transfers (in thousands of dollars):	Actual	Estimated	Budget
	2011 / 12	2011 / 12	2012 / 13
Refuse Fund (subsidize operating costs)	\$ 550	\$ 550	\$ 550
Facilities Fund (Village Hall project)	\$ 500	\$ 700	\$ 500
Trapp Lane SSA Transfer	\$ 255		
Storm Sewer Fund		\$ 2,200	\$ 6,000
	\$ 1,305	\$ 3,450	\$ 7,050

Capital Outlay

In a normal year, the Village will budget \$2.5 million to \$3.0 million for regular capital investment in items like roadways, flood control, and equipment / vehicle replacements. Due to scheduling and a \$250,000 contingency, the Village usually spends about 75% of the amount budgeted.

For 2013 / 2014, General Fund capital outlay is budgeted at \$2.86 million and consists of the following projects of \$250,000 or more: contingency (\$250,000), Finance and Community Development software (\$209,200), Police projects (\$285,000), Public Safety Building Bonds (\$345,385), and street reconstruction (\$1,200,000). These six items account for \$2.29 million or 80% of the dollars budgeted for capital projects in the General Fund.

Motor Fuel Tax Fund (Fund # 20):

The projected 3/31/2014 fund balance of \$1.56 million plus future income will allow the Village to continue its practice of financing bridge repairs and major road projects from this fund. Planned 2013 / 2014 expenditures consist of:

	<u>Budget</u>
Winnetka Ave. & G.B. Traffic Signal and Intersection	\$ 500,000
Bridge Deck Repair and Painting	\$ 210,000
Willow Rd. Phase 2 Engineering	\$ 125,000
Total Expenditures	<u>\$ 835,000</u>

Debt Service Funds (Fund # 30):

The Village occasionally issues bonds to finance long-term assets. Below is a table indicating select information about the Village's outstanding debt. For 2013 / 2014, sales tax revenues of \$345,385 will be transferred from the General Fund to the Debt Service Fund to abate the 2013 Public Safety Building bond payments. The annual Street Resurfacing bond payment is about \$140,000 annually. In calendar year 2015, a total of \$485,385 (\$345,385 PSB + \$140,000 Streets) of annual tax levy allocated for debt service will be evaluated by the Council.

Issuance Year, Purpose	Repaid by	Par Amount	Balance 3/31/13	Final Maturity
2003, Refinance Public Safety Building	Sales Tax Revenue	\$3,190,000	\$655,000	10/1/2014
1999, Street Resurfacing	Property Tax Levy	\$1,380,000	\$245,000	12/1/2014

Capital Projects Funds (Public Facilities #41, Bus. Distr. Revitalization # 42, Special Service Areas):

The Village establishes separate capital project funds for specific projects not financed through regular operations. The 2013 / 2014 Public Facilities Fund budget will complete the Village Hall project by installing storm windows (\$150,000), a back-up generator (\$125,000), and furnishing the fitness room (\$15,000).

The Business District Revitalization Fund has \$150,000 available for maintenance repairs and study costs in 2013 / 2014.

The Village has three active special service areas (#3, #4, and #5) covering local improvements to roads and storm sewers. Property taxes from these special assessments are not included in the overall property tax analysis, as they are only paid by a small portion of the community for a specific improvement.

Utility Funds (Electric Fund # 50, Water Fund #52, Sanitary Sewer Fund #54, Stormwater Sewer Fund #58):

The Village operates three utility funds that generate revenues to pay for operating and capital needs. The Village also established a Storm Sewer Fund in the 2012 / 2013 budget, though it has only been used for capital expenditures.

Utility funds use accounting similar to that used in the private sector. User rates are charged based on a “cost-of-service” model and a review of the marketplace. The following revenue and expense summary indicates that operating revenues are approximately equal to operating expenses. The amounts shown in the following chart are in millions of dollars unless otherwise noted:

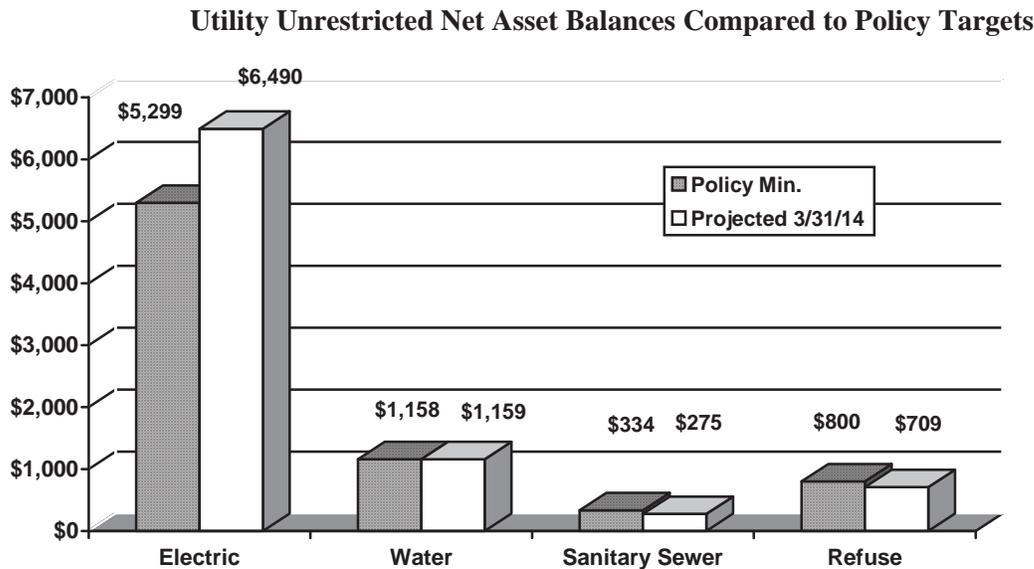
	Electric Fund				Water Fund				Sanitary Sewer Fund			
	FY 11/12 Actual	FY 12/13 Estm.	FY 13/14 Budget	% E to B	FY 11/12 Actual	FY 12/13 Estm.	FY 13/14 Budget	% E to B	FY 11/12 Actual	FY 12/13 Estm.	FY 13/14 Budget	% E to B
Operating Revenues	\$ 15.42	\$ 15.89	\$ 15.90	0.1%	\$ 3.22	\$ 4.26	\$ 3.82	-10.3%	\$ 0.73	\$ 1.01	\$ 0.94	-6.9%
Operating Expenses	\$ (14.80)	\$ (15.68)	\$ (15.96)	1.8%	\$ (3.22)	\$ (3.35)	\$ (3.48)	3.9%	\$ (0.84)	\$ (0.98)	\$ (1.00)	2.0%
Op. Income (Loss)	\$ 0.62	\$ 0.21	\$ (0.06)		\$ -	\$ 0.91	\$ 0.34		\$ (0.11)	\$ 0.03	\$ (0.06)	
Unit Sales	124.9	128.7	127.2	-1.2%	1.00	1.2	1.06	-11.7%				
	Million kWhRs				Billion Gallons							

Overall, there is a need to carefully monitor the Electric Fund cash balance to maintain the Village’s rather strong capital investment program. Net electric rates effective 4/1/2013 are proposed to be unchanged. A 2.0% electric rate decrease will be offset by the expiring 2.0% purchased power credit that will be expiring at about the same time.

In the Water Fund, a 6% water rate increase is proposed effective 4/1/2013 for incorporated customers. While an 8% water rate increase was planned, given the strong water sales in the summer of 2012, the Water Fund has adequate cash to allow for a slightly lower rate increase this year. The water rate increases are being used mainly for capital repairs and improvements at the water plant and replacement of water mains.

The Sanitary Sewer Fund cash balance is acceptable now, but future capital expenditures may require financing and additional rate increases. A 10% sanitary sewer rate increase is proposed effective 4/1/2013 to pay for sanitary sewer capital items: evaluation studies (\$50,000), trenchless lining (\$150,000), and infiltration and inflow repairs (\$300,000).

The following chart summarizes the equity position of these three funds relative to the policies established by the Council.



Electric Fund (Fund # 50):

For fiscal year 2013 / 2014, staff projects that a typical residential customer will pay about the same for electric service as in the current year. The Village is proposing decreasing electric rates 2.0% effective 4/1/2013. Offsetting the 2.0% electric rate decrease is an estimated 2.0% increase in electric costs due the power cost adjustment process.

The power cost adjustment process is a true up calculation made once each year. If the Village’s unit cost of buying wholesale power is lower than that in the budget, the Village refunds these savings to our customers over the next 12 months. For the 2012 / 2013 fiscal year, staff estimates that the Village paid about the amount it had budgeted on a per unit basis for electricity. This means that the Village will not be able to refund savings to our customers in 2013 / 2014. The final purchased power adjustment will be calculated in April 2013.

If the Village had paid a higher unit cost for wholesale power than that in the budget, the extra expense paid by the Village would be recovered from our customers over the following 12 months using the same methodology.

The Village continues to balance the need to recover its costs for wholesale power and other operating needs with the desire to keep the electric rates low. The Village’s capital investments and an adequately staffed department has allowed the Village to provide noticeably more reliable electric service to our customers than many of our neighbors, especially during adverse weather events. It will be a continuing challenge to keep electric rates competitive and yet allocate sufficient resources to continue providing more responsive and reliable service than what our neighbors frequently experience with ComEd.

Water Fund (Fund # 52):

Because of the on-going improvements at the water plant and improvements to the water distribution system, water rate increases are necessary. The planned 6% water rate increase for incorporated customers is anticipated to cost \$5.17 per month more for a typical water customer.. The Village was able to reduce the rate increase due to strong water sales in the summer of 2012 which improved cash balances from projections. An 8% water rate increase is proposed for unincorporated customers. The Village of Northfield water rate is set by contract which includes an annual CPI adjustment factor.

Sanitary Sewer Fund (Fund # 54):

The charge for sewer services is proposed to increase 10% from \$10.38 to \$11.42 per 1,000 cubic feet. During the summer of 2011, there was significant flooding in the community and the staff has proposed several steps to reduce sanitary sewer back-up related problems. In response, the Village increased the budget to study and fund initial sanitary sewer repairs.

In order to maintain a positive cash balance, additional rate increases are likely. Once the Village receives more information on the sanitary sewer system, we will be in a better position to assess the needed repairs and review sanitary sewer rates with more context.

Refuse Fund (Fund # 56):

The 2013 / 2014 operating subsidy from the General Fund is \$550,000. Staff is updating the commercial refuse rates to reflect market rates and is not proposing a change to the optional second weekly residential collection charge of \$25 per month.

Storm Sewer Fund (Fund # 58):

In the 2012 / 2013 budget, a Storm Sewer Fund was created. This fund is used to account for capital expenses only. Depending on Council input, the Storm Sewer Fund could be established as a utility fund. Such a fund would have a revenue stream and include the approximately \$410,000 of maintenance expenses currently included in the General Fund Public Works budget. Additional revenue, expenditure, and fund equity analysis will be provided, as the decision-making process on the feasibility study progresses.

Insurance Funds (Worker’s Compensation Fund # 60, Liability Fund # 61, and Health Insurance Fund # 62):

The following is a summary of the Worker’s Compensation, Liability, and Health Insurance Funds. Amounts are in thousands of dollars.

	Worker's Compensation				Liability Fund				Health Insurance			
	FY 11/12 Actual	FY 12/13 Estm.	FY 13/14 Budget	% E to B	FY 11/12 Actual	FY 12/13 Estm.	FY 13/14 Budget	% E to B	FY 11/12 Actual	FY 12/13 Estm.	FY 13/14 Budget	% E to B
Inflows *	\$ 549	\$ 543	\$ 536	-1.3%	\$ 213	\$ 10	\$ 10	0.0%	\$ 2,835	\$ 2,825	\$ 3,058	8.2%
Outflows	\$ (680)	\$ (345)	\$ (797)	131.0%	\$ (52)	\$ (95)	\$ (300)	215.8%	\$ (3,427)	\$ (3,555)	\$ (3,460)	-2.7%
Cash -Flow	\$ (131)	\$ 198	\$ (261)		\$ 161	\$ (85)	\$ (290)		\$ (592)	\$ (730)	\$ (402)	

* Liability fund contributions were skipped for 12/13 and 13/14 based on good loss experience.

The Insurance Funds' revenues consist largely of user department charges and interest income.

In terms of cash balances, all funds can meet operating needs. Because of the uncertainty in self-funding these risks, these insurance funds have appropriate expense contingencies. If the Village has lower losses than provided for in the budget, expenditures can come in significantly less than budget.

The Village annually reviews reserve targets for these funds, taking into account recent loss history, the commercial insurance market, outstanding claims, reserves, and the Village's home-rule status. The following graph compares projected March 31, 2015 fund balances to the policy targets. It is important to remember that the actual reserve balances will likely be higher than projected due to the expense contingencies.

Insurance Fund Balances Compared to Policy Ranges



Projected expenses are based on an analysis of claims, administrative costs, and stop loss insurance costs. The Village's self-insured retentions per claim as of 1/1/2013 are: \$70,000 for health, \$250,000 for property, \$600,000 for worker's compensation, and \$2,000,000 for general liability risks. We continue to monitor the commercial insurance market and purchase insurance for select exposures when it is cost effective.

Data Processing (Fund # 63) and Fleet Services (Fund #64):

The Data Processing Fund finances the Village's computer network. Historically, DP expenditures have been under budget as there are some contingency funds available for software upgrades. The Fleet Services Fund accounts for maintaining the Village's rolling stock and some equipment. The actual cost of buying equipment is borne by the user departments. User fees for equipment are assessed based on a 4-year rolling average of historical costs, with some limitations imposed for stability purposes.

	Data Processing				Fleet Services			
	FY 11/12	FY 12/13	FY 13/14	%	FY 11/12	FY 12/13	FY 13/14	%
	Actual	Estm.	Budget	E to B	Actual	Estm.	Budget	E to B
All Inflows	\$ 332	\$ 328	\$ 328	0.0%	\$ 864	\$ 884	\$ 906	2.5%
Outflows *	\$ (359)	\$ (375)	\$ (470)	25.3%	\$ (876)	\$ (864)	\$ (866)	0.2%
	\$ (27)	\$ (47)	\$ (142)		\$ (12)	\$ 20	\$ 40	

* Includes depreciation but excludes capital

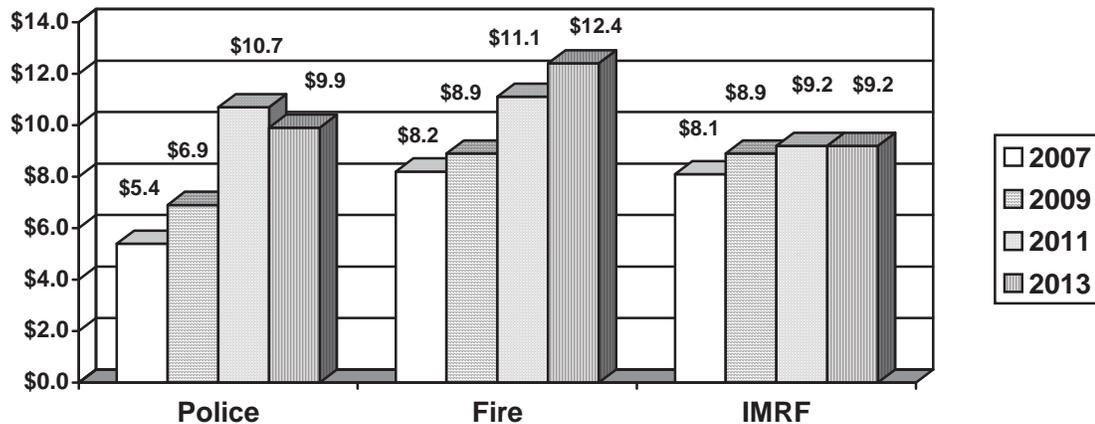
Police Pension (Fund # 80), Fire Pension (Fund # 81), and IL Municipal Retirement Funds:

The pension funds accumulate large investment portfolios to fund the Village’s three defined benefit pension plans. The police and fire pension investments and benefits are administered by our locally established Boards, as required by State law. IMRF is a State-wide pension system for all full time non-public safety employees. IMRF centrally manages investments and benefit administration and charges each participating entity an annual contribution rate based largely on their demographics and IMRF’s investment results. IMRF issues its own financial statements and that data is included here. Because IMRF uses different assumptions and methods than the police and fire pension plans, the data cannot be compared with 100% accuracy.

Public safety pension plans have seen a decline in funding status since 2002 due to investment returns not meeting the target rate of return and benefit enhancements authorized by the State. In 2002, the unfunded balances for Winnetka public safety pensions totaled \$5.2 million. Today, the Village’s public safety pension unfunded liabilities total \$22.3 million, roughly four times the liability that existed 11 years ago.

While the State has implemented a lower level of benefits for all classes of employees that enter municipal employment after 12/31/2010, it will take time for these lower benefits to have a material impact on the Village’s annual pension costs and long term pension obligations. The Police and Fire Pension Fund data is from actuarial reports prepared on October 23, 2012 and the IMRF data is from the annual Village Comprehensive Annual Financial Report (CAFR).

Unfunded Pension Liability by Year (in millions)

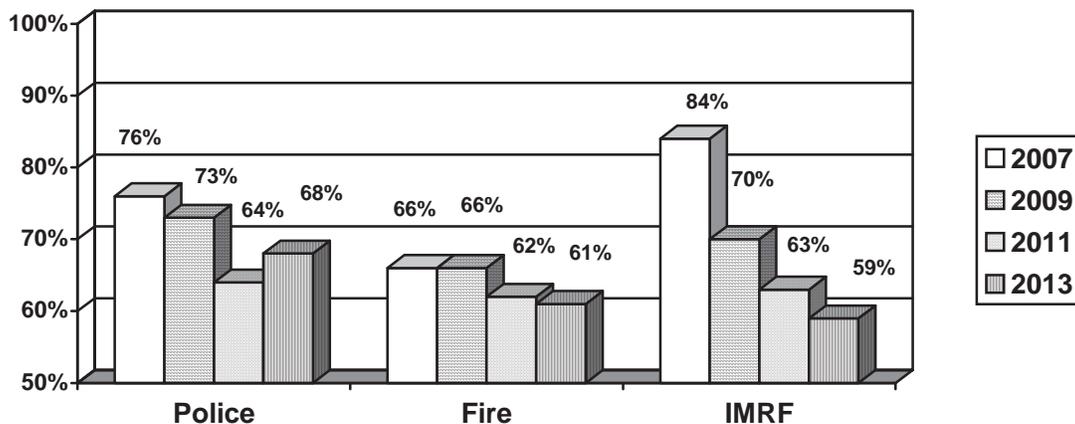


Another way to evaluate pension funding status is to look at the percentage of assets accumulated to pay for the projected liabilities. This calculation divides the assets available by the projected liability to obtain a percentage funded amount. By this measure too, public safety pension funding has declined.

The IMRF pension plan has not had any significant benefit enhancements and has broader investment authority. IMRF also uses investment smoothing meaning that all of the investment losses experienced as of 12/31/2009 are not fully reflected in the funded amount. Another difference is that IMRF annuitizes pensions when there is a retirement. This means that when a person retires, 100% of the assets needed to fund that liability are transferred to an annuitant account. If the annuitized assets and liabilities were added into the IMRF amounts for the Village, the Village's IMRF account would be about 80% funded.

It is important to note that the Village has reduced the Police and Fire Pension investment return assumption twice in the recent past, from 7.00% to 6.50% in 2007, and to 6.25% in 2010. This increases the stated pension liability and increases the annual tax levy expense for pensions. The Village's actual cost for these pensions will ultimately be a function of the actual investment returns, along with other factors, such as the demographics of the retired participants.

Pension Funded Percentage by Year



The 2013 / 2014 Village expense for Police and Fire Pensions for the 51 employees covered by these plans totals \$2,101,328 (or \$43,141 per employee). The 2013 / 2014 Village expense for IMRF and Social Security pensions for the Village's remaining 103 employees totals \$1,959,407 (or \$19,023 per employee).

Closing Comments

The proposed budget continues the Village's long standing practice of controlling operating costs while investing in capital to repair and enhance the Village's aging infrastructure. For 2013 / 2014, a typical resident will pay \$7,257 for Village services, a \$131 or 1.8% increase.

The strategic initiatives included in the budget will allow the Village to make progress on many fronts: including stormwater management to reduce flooding, intergovernmental co-operation to control costs, improved communications with our customers, downtown redevelopment, stewardship of infrastructure, increased green initiatives, and continued improvement of the Village's human capital.

The preliminary determination for the 2013 property tax levy that will be filed in December 2013 is that the

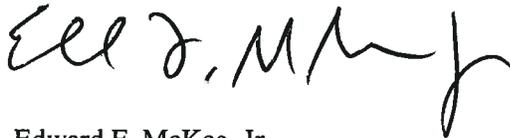
The preliminary determination for the 2013 property tax levy that will be filed in December 2013 is that the Village will need to increase property taxes by about 1.8% for existing taxpayers (3.3% with new development). This increase is needed to sustain anticipated increases in compensation and pension costs facing the Village. In terms of dollars, staff would project a 2013 property tax levy requirement of \$14,333,481. With the simultaneous property tax levy and budget process, further review of this recommendation will occur in the fall of 2013.

The Village is fortunate to have made good long range financial decisions in the past. We have made difficult staffing reductions over the past twenty years, not just recently. We have constrained property tax and other revenue growth to keep the cost of services roughly in line with inflation, unlike many taxing districts.

At this point in time, the Village has the opportunity to make major investments in our infrastructure, such as stormwater improvements, which may significantly improve our resident's quality of life and property values. Additionally, the Village should continue making investments in our other infrastructure, such as our sanitary sewer and water systems, much of which was installed prior to the 1930's, and is reaching the end of their useful lives.

Winnetka is a very special community in many respects. The Village continues to engage in strategic planning in concert with the calendar fiscal year change to set the course for Winnetka's future. With sound strategic management, community engagement, solid long range planning, adequate resources, and a strong personal commitment from all involved, we are excited about making an already outstanding community even better.

Respectfully Submitted

A handwritten signature in black ink, appearing to read "Ed F. McKee, Jr.", written in a cursive style.

Edward F. McKee, Jr.
Finance Director

2013.01.10

FY 2012 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg	B to D \$ change
A	B	C	D	D v. B	

Operating Funds Revenues, Expenses, Transfers and Capital Outlay

Revenues and Transfers:

General Fund	\$ 23,474,876	\$ 22,624,749	\$ 23,980,229	\$ 23,042,779	1.8%	\$418,030
Electric	\$ 15,481,560	\$ 15,858,700	\$ 15,941,899	\$ 15,916,388	0.4%	\$57,688
Water	\$ 3,213,651	\$ 3,601,500	\$ 4,257,000	\$ 3,821,500	6.1%	\$220,000
Sanitary Sewer	\$ 744,982	\$ 863,500	\$ 1,016,300	\$ 944,000	9.3%	\$80,500
Refuse	\$ 2,254,536	\$ 2,198,000	\$ 2,201,700	\$ 2,191,500	-0.3%	(\$6,500)
Storm Sewer	\$ -	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
Workers' Comp. Insurance	\$ 548,664	\$ 536,492	\$ 543,492	\$ 536,492	0.0%	\$0
Liability Insurance	\$ 212,508	\$ 10,000	\$ 10,000	\$ 10,000	0.0%	\$0
Health Insurance	\$ 2,834,559	\$ 2,822,700	\$ 2,825,000	\$ 3,057,720	8.3%	\$235,020
Data Processing	\$ 341,682	\$ 335,000	\$ 331,200	\$ 331,300	-1.1%	(\$3,700)
Fleet Services	\$ 864,378	\$ 884,340	\$ 884,340	\$ 905,576	2.4%	\$21,236
Total Revenues & Transf. - A	\$ 49,971,395	\$ 51,934,981	\$ 54,191,160	\$ 56,757,255	9.3%	\$4,822,274

Expenses, Transfers and Capital

General Fund	\$ 19,571,818	\$ 22,673,312	\$ 22,268,884	\$ 26,815,617	18.3%	\$4,142,305
Electric	\$ 14,795,974	\$ 18,493,920	\$ 17,487,966	\$ 17,736,835	-4.1%	(\$757,085)
Water	\$ 3,215,950	\$ 3,445,400	\$ 3,348,550	\$ 3,475,553	0.9%	\$30,153
Sanitary Sewer	\$ 843,368	\$ 1,328,013	\$ 1,251,903	\$ 1,502,864	13.2%	\$174,851
Refuse	\$ 2,281,101	\$ 2,368,220	\$ 2,364,522	\$ 2,465,115	4.1%	\$96,895
Storm Sewer	\$ -	\$ 2,080,000	\$ 628,000	\$ 7,324,000	252.1%	\$5,244,000
Workers' Comp. Insurance	\$ 680,129	\$ 795,500	\$ 345,000	\$ 797,000	0.2%	\$1,500
Liability Insurance	\$ 52,038	\$ 300,000	\$ 95,000	\$ 300,000	0.0%	\$0
Health Insurance	\$ 3,426,870	\$ 3,572,900	\$ 3,555,300	\$ 3,460,100	-3.2%	(\$112,800)
Data Processing	\$ 359,145	\$ 455,200	\$ 375,000	\$ 469,650	3.2%	\$14,450
Fleet Services	\$ 876,299	\$ 850,536	\$ 863,903	\$ 866,374	1.9%	\$15,838
Total	\$ 46,102,692	\$ 56,363,001	\$ 52,584,028	\$ 65,213,108	15.7%	\$8,850,107

Margin	\$ 3,868,703	\$ (4,428,020)	\$ 1,607,132	\$ (8,455,853)	91.0%	(\$4,027,833)
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2011 / 12 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
A	B	C	D		

Capital Funds Revenues, Expenditures, and Transfers:

Capital Fund Revenues:

Motor Fuel Tax Fund	\$ 358,621	\$ 312,000	\$ 310,500	\$ 310,500	-0.5%	(\$1,500)
Debt Service Funds	\$ 478,318	\$ 480,305	\$ 479,805	\$ 484,299	0.8%	\$3,994
SSA 3 Trapp Lane	\$ 251,650	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
SSA 4 Oak, Elm, Locust, Roswd	\$ 1,548	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
SSA 5 Oak, Elm, Rose, Glendale	\$ 1,598	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Facilities Fund	\$ 522,690	\$ 705,000	\$ 701,000	\$ 501,000	-28.9%	(\$204,000)
Business Dist. Revitalization	\$ 5,516	\$ 1,500	\$ 1,000	\$ 500	-66.7%	(\$1,000)
	<u>\$ 1,619,942</u>	<u>\$ 1,543,736</u>	<u>\$ 1,537,236</u>	<u>\$ 1,339,901</u>	<u>-13.2%</u>	<u>(\$203,835)</u>

Capital Expenditures:

Motor Fuel Tax Fund	\$ 62,451	\$ 625,000	\$ -	\$ 835,000	33.6%	\$210,000
Debt Service Funds	\$ 467,380	\$ 505,734	\$ 505,734	\$ 514,944	1.8%	\$9,211
SSA 3 Trapp Lane	\$ 480,458	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
SSA 4 Oak, Elm, Locust, Roswd	\$ -	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
SSA 5 Oak, Elm, Rose, Glendale	\$ -	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Facilities Fund	\$ 2,421,208	\$ 1,600,000	\$ 1,000,000	\$ 330,000	-79.4%	(\$1,270,000)
Business Dist. Revitalization	\$ 33,045	\$ 250,000	\$ 155,000	\$ 150,000	-40.0%	(\$100,000)
	<u>\$ 3,464,541</u>	<u>\$ 3,025,665</u>	<u>\$ 1,705,665</u>	<u>\$ 1,873,546</u>	<u>-38.1%</u>	<u>(\$1,152,119)</u>

Capital Accumulation (Use)	\$ (1,844,599)	\$ (1,481,929)	\$ (168,429)	\$ (533,645)	-64.0%	\$948,284
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Assets Held in Trust:

Pension Revenues:

Police	\$ 2,477,065	\$ 2,442,534	\$ 2,442,500	\$ 2,632,152	7.8%	\$189,618
Fire	\$ 2,342,239	\$ 2,415,794	\$ 2,415,000	\$ 2,650,031	9.7%	\$234,237
	<u>\$ 4,819,305</u>	<u>\$ 4,858,328</u>	<u>\$ 4,857,500</u>	<u>\$ 5,282,183</u>	<u>8.7%</u>	<u>\$423,855</u>

Pension Expenses:

Police	\$ 1,532,877	\$ 1,731,850	\$ 1,535,000	\$ 1,797,550	3.8%	\$65,700
Fire	\$ 1,716,982	\$ 1,926,500	\$ 1,730,000	\$ 1,962,000	1.8%	\$35,500
	<u>\$ 3,249,859</u>	<u>\$ 3,658,350</u>	<u>\$ 3,265,000</u>	<u>\$ 3,759,550</u>	<u>2.8%</u>	<u>\$101,200</u>

Cash Flow	\$ 1,569,446	\$ 1,199,978	\$ 1,592,500	\$ 1,522,633	26.9%	\$322,655
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Total All Accounts

Inflows	\$ 56,410,642	\$ 58,337,045	\$ 60,585,896	\$ 63,379,339	8.6%	\$5,042,294
Outflows	\$ 52,817,092	\$ 63,047,016	\$ 57,554,693	\$ 70,846,204	12.4%	\$7,799,189
Difference	<u>\$ 3,593,549</u>	<u>\$ (4,709,971)</u>	<u>\$ 3,031,203</u>	<u>\$ (7,466,865)</u>	<u>58.5%</u>	<u>(\$2,756,895)</u>

Memo only						
Outflows (from above)	\$ 52,817,092	\$ 63,047,016	\$ 57,554,693	\$ 70,846,204	12.4%	\$7,799,189
Less: GF Transfer to Stormwater Fund		\$ (2,200,000)	\$ (2,200,000)	\$ (6,000,000)	172.7%	(\$3,800,000)
Less: Stormwater Fund expenses		\$ (2,080,000)	\$ (628,000)	\$ (7,324,000)	252.1%	(\$5,244,000)
Outflows, net of Stormwater items		<u>\$ 58,767,016</u>	<u>\$ 54,726,693</u>	<u>\$ 57,522,204</u>	<u>-2.1%</u>	<u>(\$1,244,812)</u>
Inflows (from above)	\$ 56,410,642	\$ 58,337,045	\$ 60,585,896	\$ 63,379,339	8.6%	\$5,042,294
Less: Stormwater Revenue		\$ (2,200,000)	\$ (2,200,000)	\$ (6,000,000)	172.7%	(\$3,800,000)
Inflows, net of Stormwater items		<u>\$ 56,137,045</u>	<u>\$ 58,385,896</u>	<u>\$ 57,379,339</u>		<u>\$ 1,242,294</u>

2011 / 12 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budget D v. B	B to D \$ change
A	B	C	D		

General Fund

Operating Revenues:

Property Taxes	\$ 12,298,297	\$ 12,233,501	\$ 12,233,501	\$ 12,637,173	3.3%	\$403,672
Sales and Use Tax	\$ 1,321,062	\$ 1,100,000	\$ 1,350,000	\$ 1,350,000	22.7%	\$250,000
Income and Corp. Repl. Tax	\$ 1,104,175	\$ 1,031,000	\$ 1,140,000	\$ 1,050,000	1.8%	\$19,000
Telecommunications Tax	\$ 638,596	\$ 650,000	\$ 1,430,000	\$ 630,000	-3.1%	(\$20,000)
Natural Gas Tax	\$ 458,790	\$ 450,000	\$ 250,000	\$ 250,000	-44.4%	(\$200,000)
Licenses and Permits	\$ 2,003,173	\$ 1,589,000	\$ 2,069,000	\$ 1,609,000	1.3%	\$20,000
Charges for Services	\$ 977,728	\$ 1,105,070	\$ 1,095,000	\$ 1,130,704	2.3%	\$25,634
Franchise Fees & Rent	\$ 652,143	\$ 546,600	\$ 550,000	\$ 582,046	6.5%	\$35,446
Interest	\$ 235,331	\$ 130,000	\$ 130,000	\$ 80,000	-38.5%	(\$50,000)
Fines	\$ 214,809	\$ 215,000	\$ 200,000	\$ 200,000	-7.0%	(\$15,000)
All Others	\$ 424,775	\$ 389,850	\$ 398,000	\$ 392,900	0.8%	\$3,050
Total Operating Revenue	\$ 20,328,880	\$ 19,440,021	\$ 20,845,501	\$ 19,911,823	2.4%	\$471,802

Operating Expenses:

Administration	\$ 2,738,068	\$ 2,748,131	\$ 2,685,328	\$ 2,815,139	2.4%	\$67,008
Police	\$ 6,054,747	\$ 6,347,610	\$ 6,444,949	\$ 6,525,452	2.8%	\$177,842
Fire	\$ 4,327,778	\$ 4,716,849	\$ 4,786,213	\$ 4,877,494	3.4%	\$160,645
Com. Development	\$ 1,509,050	\$ 1,540,250	\$ 1,486,350	\$ 1,595,740	3.6%	\$55,490
Public Works	\$ 3,637,175	\$ 3,870,472	\$ 3,416,044	\$ 3,951,792	2.1%	\$81,320
Total Operating Exp.	\$ 18,266,818	\$ 19,223,312	\$ 18,818,884	\$ 19,765,617	2.8%	\$542,305

Operating Margin	\$ 2,062,062	\$ 216,709	\$ 2,026,617	\$ 146,206	-32.5%	(\$70,503)
Plus: Transfers In	\$ 3,145,996	\$ 3,184,728	\$ 3,134,728	\$ 3,130,956	-1.7%	(\$53,772)
Op. Margin + Transfers in	\$ 5,208,058	\$ 3,401,437	\$ 5,161,345	\$ 3,277,162	-3.7%	(\$124,275)

Less: Transfers (Out) *	\$ (1,305,000)	\$ (3,450,000)	\$ (3,450,000)	\$ (7,050,000)	104.3%	(\$3,600,000)
\$'s Available for Capital	\$ 3,903,058	\$ (48,563)	\$ 1,711,345	\$ (3,772,838)		(\$3,724,275)

Less: Capital Outlay	\$ (1,865,599)	\$ (3,455,305)	\$ (2,014,105)	\$ (2,864,585)	-17.1%	\$590,720
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Source (Use) of Cash	\$ 2,037,459	\$ (3,503,868)	\$ (302,760)	\$ (6,637,423)	89.4%	(\$3,133,555)
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Special Revenue Funds

Motor Fuel Tax Fund

Revenues	\$ 358,621	\$ 312,000	\$ 310,500	\$ 310,500	-0.5%	(\$1,500)
Expenditures and Transfers	\$ 62,451	\$ 625,000	\$ -	\$ 835,000	33.6%	\$210,000
Cash - Flow	\$ 296,170	\$ (313,000)	\$ 310,500	\$ (524,500)	67.6%	(\$211,500)

* Memo only

Transfers out Detail

To Subsidize Refuse Operations	\$ 550,000	\$ 550,000	\$ 550,000	0.0%	\$0
To Fund Facility Improvements	\$ 700,000	\$ 700,000	\$ 500,000	-28.6%	(\$200,000)
To Fund Stormwater Projects	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
	\$ 3,450,000	\$ 3,450,000	\$ 7,050,000	104.3%	\$3,600,000

2010 / 11 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
A	B	C	D		

Debt Service Funds

Revenues and Transfers	\$ 478,318	\$ 480,305	\$ 479,805	\$ 484,299	0.8%	\$3,994
Expenditures	\$ 467,380	\$ 505,734	\$ 505,734	\$ 514,944	1.8%	\$9,211
Cash - Flow	\$ 10,938	\$ (25,429)	\$ (25,929)	\$ (30,645)	20.5%	(\$5,217)

Capital Projects Funds

Facilities

Revenues and Transfers	\$ 522,690	\$ 705,000	\$ 701,000	\$ 501,000	-28.9%	(\$204,000)
Expenditures	\$ 2,421,208	\$ 1,600,000	\$ 1,000,000	\$ 330,000	-79.4%	(\$1,270,000)
Cash - Flow	\$ (1,898,518)	\$ (895,000)	\$ (299,000)	\$ 171,000	-119.1%	\$1,066,000

SSA 3 Trapp Lane

Revenues and Transfers	\$ 251,650	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
Expenditures & Transfers	\$ 480,458	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
Cash - Flow	\$ (228,807)	\$ -	\$ -	\$ -		

SSA 4 Oak, Elm, Locust, Roswd

Revenues and Transfers	\$ 1,548	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
Expenditures & Transfers	\$ -	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
Cash - Flow	\$ 1,548	\$ -	\$ -	\$ -		\$0

SSA 5 Oak, Elm, Rose, Glendale

Revenues and Transfers	\$ 1,598	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Expenditures & Transfers	\$ -	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Cash - Flow	\$ 1,598	\$ -	\$ -	\$ -		\$0

Business Distr. Revitalization

Revenues and Transfers	\$ 5,516	\$ 1,500	\$ 1,000	\$ 500	-66.7%	(\$1,000)
Expenditures	\$ 33,045	\$ 250,000	\$ 155,000	\$ 150,000	-40.0%	(\$100,000)
Cash - Flow	\$ (27,529)	\$ (248,500)	\$ (154,000)	\$ (149,500)	-39.8%	\$99,000

Enterprise Funds

Electric

Operating Revenue	\$ 15,421,455	\$ 15,818,700	\$ 15,891,899	\$ 15,896,388	0.5%	\$ 77,688
Operating Expenses	\$ 14,795,974	\$ 16,166,920	\$ 15,681,966	\$ 15,964,835	-1.2%	(\$202,085)
Electric Operating Income	\$ 625,481	\$ (348,220)	\$ 209,933	\$ (68,447)	-80.3%	\$279,773

Non-op. income - interest	\$ 60,104	\$ 40,000	\$ 50,000	\$ 20,000	-50.0%	(\$20,000)
Capital Outlay	\$ -	\$ (2,327,000)	\$ (1,806,000)	\$ (1,772,000)	-23.9%	\$555,000
Depreciation	\$ 1,547,968	\$ 1,600,000	\$ 1,600,000	\$ 1,600,000	0.0%	\$0
Cash - Flow	\$ 2,233,553	\$ (1,035,220)	\$ 53,933	\$ (220,447)		\$814,773

Water

Operating Revenue	\$ 3,215,197	\$ 3,601,500	\$ 4,255,500	\$ 3,820,000	6.1%	\$218,500
Operating Expenses	\$ 3,216,142	\$ 3,445,592	\$ 3,348,742	\$ 3,475,745	0.9%	\$30,153
Water Operating Income	\$ (945)	\$ 155,908	\$ 906,758	\$ 344,255	120.8%	\$188,347

Non-op. income - interest	\$ (1,546)	\$ -	\$ 1,500	\$ 1,500		\$1,500
Capital Outlay	\$ -	\$ (445,000)	\$ (244,060)	\$ (624,000)	40.2%	(\$179,000)
Depreciation	\$ 460,846	\$ 440,000	\$ 440,000	\$ 440,000	0.0%	\$0
Cash - Flow	\$ 458,355	\$ 150,908	\$ 1,104,198	\$ 161,755	7.2%	\$10,847

2010 / 11 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budget D v. B	B to D \$ change
A	B	C	D		

Sanitary Sewer

Operating Revenue	\$ 731,083	\$ 853,500	\$ 1,009,300	\$ 940,500	10.2%	\$87,000
Operating Expenses	\$ 843,368	\$ 978,013	\$ 977,903	\$ 1,002,864	2.5%	\$24,851
Sewer Operating Income	\$ (112,285)	\$ (124,513)	\$ 31,397	\$ (62,364)	-49.9%	\$62,149
Non-op. income - interest	\$ 13,899	\$ 10,000	\$ 7,000	\$ 3,500	-65.0%	(\$6,500)
Capital Outlay	\$ -	\$ (350,000)	\$ (274,000)	\$ (500,000)	42.9%	(\$150,000)
Depreciation	\$ 85,633	\$ 70,000	\$ 70,000	\$ 80,000	14.3%	\$10,000
Cash - Flow	\$ (12,753)	\$ (394,513)	\$ (165,603)	\$ (478,864)	21.4%	(\$84,351)

Refuse

Operating Revenue	\$ 2,242,722	\$ 2,185,000	\$ 2,188,700	\$ 2,185,000	0.0%	\$0
Operating Expenses	\$ 2,281,101	\$ 2,368,220	\$ 2,364,522	\$ 2,400,115	1.3%	\$31,895
Refuse Operating Income	\$ (38,380)	\$ (183,220)	\$ (175,822)	\$ (215,115)	17.4%	(\$31,895)
Non-op. income - interest	\$ 11,814	\$ 13,000	\$ 13,000	\$ 6,500	-50.0%	(\$6,500)
Capital Outlay	\$ -	\$ -	\$ -	\$ (65,000)		(\$65,000)
Depreciation	\$ 125,143	\$ 135,000	\$ 135,000	\$ 135,000	0.0%	\$0
Cash - Flow	\$ 98,577	\$ (35,220)	\$ (27,822)	\$ (138,615)	293.6%	(\$103,395)

Storm Sewer

Operating Revenue	\$ -	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
Operating Expenses	\$ -	\$ -	\$ -	\$ -		\$0
Storm Operating Income	\$ -	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
Non-op. income - interest	\$ -	\$ -	\$ 5,000	\$ 10,000		\$10,000
Capital Outlay	\$ -	\$ (2,080,000)	\$ (628,000)	\$ (7,324,000)	252.1%	(\$5,244,000)
Depreciation	\$ -	\$ -	\$ -	\$ -		\$0
Cash - Flow	\$ -	\$ 120,000	\$ 1,577,000	\$ (1,314,000)		(\$1,434,000)

Internal Service Funds

Workers' Comp. Insurance

Revenues	\$ 548,664	\$ 536,492	\$ 543,492	\$ 536,492	0.0%	\$0
Expenses	\$ 680,129	\$ 795,500	\$ 345,000	\$ 797,000	0.2%	\$1,500
Cash-Flow	\$ (131,465)	\$ (259,008)	\$ 198,492	\$ (260,508)	0.6%	(\$1,500)

Liability Insurance

Revenues	\$ 212,508	\$ 10,000	\$ 10,000	\$ 10,000	0.0%	\$0
Expenses	\$ 52,038	\$ 300,000	\$ 95,000	\$ 300,000	0.0%	\$0
Cash-Flow	\$ 160,470	\$ (290,000)	\$ (85,000)	\$ (290,000)	0.0%	\$0

Health Insurance

Revenues & Transfers	\$ 2,834,559	\$ 2,822,700	\$ 2,825,000	\$ 3,057,720	8.3%	\$235,020
Expenses	\$ 3,426,870	\$ 3,572,900	\$ 3,555,300	\$ 3,460,100	-3.2%	(\$112,800)
Cash-Flow	\$ (592,311)	\$ (750,200)	\$ (730,300)	\$ (402,380)	-46.4%	\$347,820

Data Processing

Operating Revenue	\$ 331,832	\$ 328,000	\$ 328,100	\$ 328,200	0.1%	\$200
Operating Expenses	\$ 359,145	\$ 455,200	\$ 375,000	\$ 469,650	3.2%	\$14,450
DP Operating Income	\$ (27,313)	\$ (127,200)	\$ (46,900)	\$ (141,450)	11.2%	(\$14,250)
Non-op. income - interest	\$ 9,850	\$ 7,000	\$ 3,100	\$ 3,100	-55.7%	(\$3,900)
Capital Outlay	\$ -	\$ -	\$ -	\$ -		\$0
Depreciation	\$ -	\$ 35,000	\$ 35,000	\$ 35,000	0.0%	\$0
Cash - Flow	\$ (17,464)	\$ (85,200)	\$ (8,800)	\$ (103,350)	21.3%	(\$18,150)

2011 / 12 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
A	B	C	D		

Fleet Services

Operating Revenue	\$ 862,473	\$ 882,840	\$ 882,840	\$ 904,576	2.5%	\$21,736
Operating Expenses	\$ 876,299	\$ 850,536	\$ 863,903	\$ 866,374	1.9%	\$15,838
Fleet Operating Income	\$ (13,825)	\$ 32,304	\$ 18,937	\$ 38,202	18.3%	\$5,898
Non-op. income - interest	\$ 1,905	\$ 1,500	\$ 1,500	\$ 1,000	-33.3%	(\$500)
Capital Outlay	\$ -	\$ -	\$ -	\$ -		\$0
Transfer In - Recapitalize	\$ -	\$ -	\$ -	\$ -		\$0
Depreciation	\$ 1,359	\$ -	\$ -	\$ -		\$0
Cash - Flow	\$ (10,561)	\$ 33,804	\$ 20,437	\$ 39,202	16.0%	\$5,398

Assets Held in Trust:

Police Pension

Revenues	\$ 2,477,065	\$ 2,442,534	\$ 2,442,500	\$ 2,632,152	7.8%	\$189,618
Expenses	\$ 1,532,877	\$ 1,731,850	\$ 1,535,000	\$ 1,797,550	3.8%	\$65,700
Cash-Flow	\$ 944,188	\$ 710,684	\$ 907,500	\$ 834,602	17.4%	\$123,918

Fire Pension

Revenues	\$ 2,342,239	\$ 2,415,794	\$ 2,415,000	\$ 2,650,031	9.7%	\$234,237
Expenses	\$ 1,716,982	\$ 1,926,500	\$ 1,730,000	\$ 1,962,000	1.8%	\$35,500
Cash-Flow	\$ 625,258	\$ 489,294	\$ 685,000	\$ 688,031	40.6%	\$198,737

General Fund Summary	Actual FY 2012	Budget FY 2013	Estimated FY 2013	Budget FY 2014	% Change (D v C)	% Change (D v B)
Revenues:	A	B	C	D		
Property Tax	12,298,297	12,233,501	12,233,501	12,637,173	3.3	3.3
Sales Tax	1,321,062	1,100,000	1,350,000	1,350,000	-	22.7
State Income Tax	979,922	931,000	1,040,000	950,000	(8.7)	2.0
Telecom.	638,596	650,000	1,430,000	630,000	(55.9)	(3.1)
Natural Gas Tax	458,790	450,000	250,000	250,000	-	(44.4)
Replacement Tax	124,253	100,000	100,000	100,000	-	-
Licenses	323,614	299,000	319,000	319,000	-	6.7
Permits	1,679,559	1,290,000	1,750,000	1,290,000	(26.3)	-
Fines	214,809	215,000	200,000	200,000	-	(7.0)
Service Charges	977,728	1,105,070	1,095,000	1,130,704	3.3	2.3
Parking Passes	168,833	155,850	160,000	154,900	(3.2)	(0.6)
Franchise Fees	250,753	220,000	220,000	220,000	-	-
Interest Income	235,331	130,000	130,000	80,000	(38.5)	(38.5)
Misc. Income	657,332	560,600	568,000	600,046	5.6	7.0
Total G.F. Revenues	20,328,880	19,440,021	20,845,501	19,911,823	(4.5)	2.4

Operating Expenses:						
Administration	2,738,068	2,748,131	2,685,328	2,815,139	4.8	2.4
Police Department	6,054,747	6,347,610	6,444,949	6,525,452	1.2	2.8
Fire Department	4,327,778	4,716,849	4,786,213	4,877,494	1.9	3.4
Comm. Development	1,509,050	1,540,250	1,486,350	1,595,740	7.4	3.6
Public Works	3,637,175	3,870,472	3,416,044	3,951,792	15.7	2.1
Total	18,266,818	19,223,312	18,818,884	19,765,617	5.0	2.8

Revenues - Expenses	2,062,062	216,709	2,026,617	146,206	(92.8)	(32.5)
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Transfers In (out)						
Payments in Lieu of Taxes	1,290,600	1,412,328	1,412,328	1,358,556	(3.8)	(3.8)
Administrative Transfers	1,807,400	1,772,400	1,722,400	1,772,400	2.9	-
Transfers Out	-1,305,000	-3,450,000	-3,450,000	-7,050,000	104.3	104.3
Net Transfers	1,793,000	-265,272	-315,272	-3,919,044		

\$'s Available for Capital	3,855,062	-48,563	1,711,345	-3,772,838		
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Less: Capital Outlay						
Admin./Debt	334,760	1,015,305	605,105	804,585	33.0	(20.8)
Police Department	0	575,000	0	285,000		
Fire Department	50,587	350,000	285,000	0		
Comm. Development	0	0	0	0		
Public Works	1,480,253	1,515,000	1,124,000	1,775,000	57.9	17.2
Total Capital	1,865,599	3,455,305	2,014,105	2,864,585	42.2	(17.1)

Cash-Flow	1,989,463	-3,503,868	-302,760	-6,637,423		
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Agenda Item Executive Summary

Title: Resolution R-8-2013: Village Budget- Introduction

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The resolution adopting the budget is distributed one meeting prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget. A Public Hearing on the budget was advertised in the February 20, 2013 edition of the Winnetka Talk for March 5, 2013 at 7:00 p.m.

The budget will result in an approximately 1.8% increase in costs for municipal services for a typical homeowner consisting of a:

- 1.7% increase in property taxes (\$60 on Village portion (\$3,520) of a \$26,345 total property tax bill)
- 6.0% increase in water rates (\$47 annual cost on a \$780 annual bill)
- 10.0% increase in sanitary sewer rates (\$24 annual cost on a \$238 annual bill)

Electric costs, vehicle license fees, and most other fees and taxes remain unchanged.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting.

Recommendation / Suggested Action: *(briefly explain)*

Provide the public with notice of the proposed budget resolution.

Attachments: *(please list individually)*

R-8-2013 A resolution adopting the annual budget for the fiscal year beginning April 1, 2013 and ending March 31, 2014.

RESOLUTION NO. R-8-2013

**A RESOLUTION
ADOPTING THE ANNUAL BUDGET FOR THE FISCAL YEAR
BEGINNING APRIL 1, 2013
AND ENDING MARCH 31, 2014**

WHEREAS, the Council of the Village of Winnetka (“Village Council”) has previously adopted Sections 8-2-9.1 through 8-2-9.10 of the Illinois Municipal Code, establishing the office of budget officer and authorizing the adoption of the annual budget in lieu of an annual appropriation ordinance; and

WHEREAS, on January 28, 2013, the corporate authorities of the Village of Winnetka placed the proposed, tentative annual budget for the fiscal year beginning April 1, 2013, and ending March 31, 2014, on file at the office of the Village Manager and at the Winnetka Public Library and has made said tentative annual budget available for public inspection since that date; and

WHEREAS, on March 5, 2013, pursuant to notice published on Thursday, February 21, 2013, in the Winnetka Talk, a newspaper published and in general circulation in the Village of Winnetka, the Council of the Village of Winnetka held a public hearing on the proposed tentative annual budget; and

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village Council finds that establishing an annual budget for the Village, including estimating revenues and recommending expenditures, is a matter pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: The Annual Budget for the Village of Winnetka, which is attached hereto as Exhibit A and is incorporated by reference as if fully set forth herein, is hereby adopted as the Annual Budget for the Village of Winnetka for the Fiscal Year beginning April 1, 2013 and ending March 31, 2014.

SECTION 2: The adoption of the foregoing annual budget shall be in lieu of the appropriation ordinance required in Section 8-2-9 of the Illinois Municipal Code.

SECTION 3: **Home Rule.** This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

[Remainder of this page intentionally left blank.]

SECTION 4: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this _____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: March 5, 2013

Adopted:

NOTE:

EXHIBIT A IS THE COMPLETE BUDGET DOCUMENT, WHICH, DUE TO ITS SIZE, CANNOT BE REPRODUCED IN FULL IN THESE AGENDA MATERIALS.

THE FOLLOWING 6 PAGES PROVIDE A SUMMARY OF THE BUDGETED REVENUES AND EXPENDITURES BY FUND, AND ARE PROVIDED HERE FOR EASE OF REFERENCE.

THE COMPLETE BUDGET REMAINS AVAILABLE FOR INSPECTION IN THE VILLAGE MANAGER'S OFFICE AND AT THE WINNETKA PUBLIC LIBRARY, AND THE COMPLETE, FINAL BUDGET WILL BE APPROPRIATELY LABELED AND WILL BE ATTACHED TO AND MAINTAINED WITH THE ORIGINAL BUDGET RESOLUTION FOLLOWING ITS ADOPTION.

Exhibit A

Village of Winnetka Budget Summary

2013.01.10

FY 2012 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg	B to D \$ change
A	B	C	D	D v. B	

Operating Funds Revenues, Expenses, Transfers and Capital Outlay

Revenues and Transfers:

General Fund	\$ 23,474,876	\$ 22,624,749	\$ 23,980,229	\$ 23,042,779	1.8%	\$418,030
Electric	\$ 15,481,560	\$ 15,858,700	\$ 15,941,899	\$ 15,916,388	0.4%	\$57,688
Water	\$ 3,213,651	\$ 3,601,500	\$ 4,257,000	\$ 3,821,500	6.1%	\$220,000
Sanitary Sewer	\$ 744,982	\$ 863,500	\$ 1,016,300	\$ 944,000	9.3%	\$80,500
Refuse	\$ 2,254,536	\$ 2,198,000	\$ 2,201,700	\$ 2,191,500	-0.3%	(\$6,500)
Storm Sewer	\$ -	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
Workers' Comp. Insurance	\$ 548,664	\$ 536,492	\$ 543,492	\$ 536,492	0.0%	\$0
Liability Insurance	\$ 212,508	\$ 10,000	\$ 10,000	\$ 10,000	0.0%	\$0
Health Insurance	\$ 2,834,559	\$ 2,822,700	\$ 2,825,000	\$ 3,057,720	8.3%	\$235,020
Data Processing	\$ 341,682	\$ 335,000	\$ 331,200	\$ 331,300	-1.1%	(\$3,700)
Fleet Services	\$ 864,378	\$ 884,340	\$ 884,340	\$ 905,576	2.4%	\$21,236
Total Revenues & Transf. - A	\$ 49,971,395	\$ 51,934,981	\$ 54,191,160	\$ 56,757,255	9.3%	\$4,822,274

Expenses, Transfers and Capital

General Fund	\$ 19,571,818	\$ 22,673,312	\$ 22,268,884	\$ 26,815,617	18.3%	\$4,142,305
Electric	\$ 14,795,974	\$ 18,493,920	\$ 17,487,966	\$ 17,736,835	-4.1%	(\$757,085)
Water	\$ 3,215,950	\$ 3,445,400	\$ 3,348,550	\$ 3,475,553	0.9%	\$30,153
Sanitary Sewer	\$ 843,368	\$ 1,328,013	\$ 1,251,903	\$ 1,502,864	13.2%	\$174,851
Refuse	\$ 2,281,101	\$ 2,368,220	\$ 2,364,522	\$ 2,465,115	4.1%	\$96,895
Storm Sewer	\$ -	\$ 2,080,000	\$ 628,000	\$ 7,324,000	252.1%	\$5,244,000
Workers' Comp. Insurance	\$ 680,129	\$ 795,500	\$ 345,000	\$ 797,000	0.2%	\$1,500
Liability Insurance	\$ 52,038	\$ 300,000	\$ 95,000	\$ 300,000	0.0%	\$0
Health Insurance	\$ 3,426,870	\$ 3,572,900	\$ 3,555,300	\$ 3,460,100	-3.2%	(\$112,800)
Data Processing	\$ 359,145	\$ 455,200	\$ 375,000	\$ 469,650	3.2%	\$14,450
Fleet Services	\$ 876,299	\$ 850,536	\$ 863,903	\$ 866,374	1.9%	\$15,838
Total	\$ 46,102,692	\$ 56,363,001	\$ 52,584,028	\$ 65,213,108	15.7%	\$8,850,107

Margin	\$ 3,868,703	\$ (4,428,020)	\$ 1,607,132	\$ (8,455,853)	91.0%	(\$4,027,833)
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2011 / 12 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
A	B	C	D		

Capital Funds Revenues, Expenditures, and Transfers:

Capital Fund Revenues:

Motor Fuel Tax Fund	\$ 358,621	\$ 312,000	\$ 310,500	\$ 310,500	-0.5%	(\$1,500)
Debt Service Funds	\$ 478,318	\$ 480,305	\$ 479,805	\$ 484,299	0.8%	\$3,994
SSA 3 Trapp Lane	\$ 251,650	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
SSA 4 Oak, Elm, Locust, Roswd	\$ 1,548	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
SSA 5 Oak, Elm, Rose, Glendale	\$ 1,598	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Facilities Fund	\$ 522,690	\$ 705,000	\$ 701,000	\$ 501,000	-28.9%	(\$204,000)
Business Dist. Revitalization	\$ 5,516	\$ 1,500	\$ 1,000	\$ 500	-66.7%	(\$1,000)
	<u>\$ 1,619,942</u>	<u>\$ 1,543,736</u>	<u>\$ 1,537,236</u>	<u>\$ 1,339,901</u>	<u>-13.2%</u>	<u>(\$203,835)</u>

Capital Expenditures:

Motor Fuel Tax Fund	\$ 62,451	\$ 625,000	\$ -	\$ 835,000	33.6%	\$210,000
Debt Service Funds	\$ 467,380	\$ 505,734	\$ 505,734	\$ 514,944	1.8%	\$9,211
SSA 3 Trapp Lane	\$ 480,458	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
SSA 4 Oak, Elm, Locust, Roswd	\$ -	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
SSA 5 Oak, Elm, Rose, Glendale	\$ -	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Facilities Fund	\$ 2,421,208	\$ 1,600,000	\$ 1,000,000	\$ 330,000	-79.4%	(\$1,270,000)
Business Dist. Revitalization	\$ 33,045	\$ 250,000	\$ 155,000	\$ 150,000	-40.0%	(\$100,000)
	<u>\$ 3,464,541</u>	<u>\$ 3,025,665</u>	<u>\$ 1,705,665</u>	<u>\$ 1,873,546</u>	<u>-38.1%</u>	<u>(\$1,152,119)</u>

Capital Accumulation (Use)	\$ (1,844,599)	\$ (1,481,929)	\$ (168,429)	\$ (533,645)	-64.0%	\$948,284
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Assets Held in Trust:

Pension Revenues:

Police	\$ 2,477,065	\$ 2,442,534	\$ 2,442,500	\$ 2,632,152	7.8%	\$189,618
Fire	\$ 2,342,239	\$ 2,415,794	\$ 2,415,000	\$ 2,650,031	9.7%	\$234,237
	<u>\$ 4,819,305</u>	<u>\$ 4,858,328</u>	<u>\$ 4,857,500</u>	<u>\$ 5,282,183</u>	<u>8.7%</u>	<u>\$423,855</u>

Pension Expenses:

Police	\$ 1,532,877	\$ 1,731,850	\$ 1,535,000	\$ 1,797,550	3.8%	\$65,700
Fire	\$ 1,716,982	\$ 1,926,500	\$ 1,730,000	\$ 1,962,000	1.8%	\$35,500
	<u>\$ 3,249,859</u>	<u>\$ 3,658,350</u>	<u>\$ 3,265,000</u>	<u>\$ 3,759,550</u>	<u>2.8%</u>	<u>\$101,200</u>

Cash Flow	\$ 1,569,446	\$ 1,199,978	\$ 1,592,500	\$ 1,522,633	26.9%	\$322,655
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Total All Accounts

Inflows	\$ 56,410,642	\$ 58,337,045	\$ 60,585,896	\$ 63,379,339	8.6%	\$5,042,294
Outflows	\$ 52,817,092	\$ 63,047,016	\$ 57,554,693	\$ 70,846,204	12.4%	\$7,799,189
Difference	<u>\$ 3,593,549</u>	<u>\$ (4,709,971)</u>	<u>\$ 3,031,203</u>	<u>\$ (7,466,865)</u>	<u>58.5%</u>	<u>(\$2,756,895)</u>

<i>Memo only</i>						
Outflows (from above)	\$ 52,817,092	\$ 63,047,016	\$ 57,554,693	\$ 70,846,204	12.4%	\$7,799,189
Less: GF Transfer to Stormwater Fund		\$ (2,200,000)	\$ (2,200,000)	\$ (6,000,000)	172.7%	(\$3,800,000)
Less: Stormwater Fund expenses		\$ (2,080,000)	\$ (628,000)	\$ (7,324,000)	252.1%	(\$5,244,000)
Outflows, net of Stormwater items		<u>\$ 58,767,016</u>	<u>\$ 54,726,693</u>	<u>\$ 57,522,204</u>	<u>-2.1%</u>	<u>(\$1,244,812)</u>
Inflows (from above)	\$ 56,410,642	\$ 58,337,045	\$ 60,585,896	\$ 63,379,339	8.6%	\$5,042,294
Less: Stormwater Revenue		\$ (2,200,000)	\$ (2,200,000)	\$ (6,000,000)	172.7%	(\$3,800,000)
Inflows, net of Stormwater items		<u>\$ 56,137,045</u>	<u>\$ 58,385,896</u>	<u>\$ 57,379,339</u>		<u>\$ 1,242,294</u>

2011 / 12 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
A	B	C	D		

General Fund

Operating Revenues:

Property Taxes	\$ 12,298,297	\$ 12,233,501	\$ 12,233,501	\$ 12,637,173	3.3%	\$403,672
Sales and Use Tax	\$ 1,321,062	\$ 1,100,000	\$ 1,350,000	\$ 1,350,000	22.7%	\$250,000
Income and Corp. Repl. Tax	\$ 1,104,175	\$ 1,031,000	\$ 1,140,000	\$ 1,050,000	1.8%	\$19,000
Telecommunications Tax	\$ 638,596	\$ 650,000	\$ 1,430,000	\$ 630,000	-3.1%	(\$20,000)
Natural Gas Tax	\$ 458,790	\$ 450,000	\$ 250,000	\$ 250,000	-44.4%	(\$200,000)
Licenses and Permits	\$ 2,003,173	\$ 1,589,000	\$ 2,069,000	\$ 1,609,000	1.3%	\$20,000
Charges for Services	\$ 977,728	\$ 1,105,070	\$ 1,095,000	\$ 1,130,704	2.3%	\$25,634
Franchise Fees & Rent	\$ 652,143	\$ 546,600	\$ 550,000	\$ 582,046	6.5%	\$35,446
Interest	\$ 235,331	\$ 130,000	\$ 130,000	\$ 80,000	-38.5%	(\$50,000)
Fines	\$ 214,809	\$ 215,000	\$ 200,000	\$ 200,000	-7.0%	(\$15,000)
All Others	\$ 424,775	\$ 389,850	\$ 398,000	\$ 392,900	0.8%	\$3,050
Total Operating Revenue	\$ 20,328,880	\$ 19,440,021	\$ 20,845,501	\$ 19,911,823	2.4%	\$471,802

Operating Expenses:

Administration	\$ 2,738,068	\$ 2,748,131	\$ 2,685,328	\$ 2,815,139	2.4%	\$67,008
Police	\$ 6,054,747	\$ 6,347,610	\$ 6,444,949	\$ 6,525,452	2.8%	\$177,842
Fire	\$ 4,327,778	\$ 4,716,849	\$ 4,786,213	\$ 4,877,494	3.4%	\$160,645
Com. Development	\$ 1,509,050	\$ 1,540,250	\$ 1,486,350	\$ 1,595,740	3.6%	\$55,490
Public Works	\$ 3,637,175	\$ 3,870,472	\$ 3,416,044	\$ 3,951,792	2.1%	\$81,320
Total Operating Exp.	\$ 18,266,818	\$ 19,223,312	\$ 18,818,884	\$ 19,765,617	2.8%	\$542,305

Operating Margin	\$ 2,062,062	\$ 216,709	\$ 2,026,617	\$ 146,206	-32.5%	(\$70,503)
Plus: Transfers In	\$ 3,145,996	\$ 3,184,728	\$ 3,134,728	\$ 3,130,956	-1.7%	(\$53,772)
Op. Margin + Transfers in	\$ 5,208,058	\$ 3,401,437	\$ 5,161,345	\$ 3,277,162	-3.7%	(\$124,275)

Less: Transfers (Out) *	\$ (1,305,000)	\$ (3,450,000)	\$ (3,450,000)	\$ (7,050,000)	104.3%	(\$3,600,000)
\$'s Available for Capital	\$ 3,903,058	\$ (48,563)	\$ 1,711,345	\$ (3,772,838)		(\$3,724,275)

Less: Capital Outlay	\$ (1,865,599)	\$ (3,455,305)	\$ (2,014,105)	\$ (2,864,585)	-17.1%	\$590,720
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Source (Use) of Cash	\$ 2,037,459	\$ (3,503,868)	\$ (302,760)	\$ (6,637,423)	89.4%	(\$3,133,555)
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Special Revenue Funds

Motor Fuel Tax Fund

Revenues	\$ 358,621	\$ 312,000	\$ 310,500	\$ 310,500	-0.5%	(\$1,500)
Expenditures and Transfers	\$ 62,451	\$ 625,000	\$ -	\$ 835,000	33.6%	\$210,000
Cash - Flow	\$ 296,170	\$ (313,000)	\$ 310,500	\$ (524,500)	67.6%	(\$211,500)

* Memo only

Transfers out Detail

To Subsidize Refuse Operations	\$ 550,000	\$ 550,000	\$ 550,000	0.0%	\$0
To Fund Facility Improvements	\$ 700,000	\$ 700,000	\$ 500,000	-28.6%	(\$200,000)
To Fund Stormwater Projects	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
	\$ 3,450,000	\$ 3,450,000	\$ 7,050,000	104.3%	\$3,600,000

2010 / 11 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
A	B	C	D		

Debt Service Funds

Revenues and Transfers	\$ 478,318	\$ 480,305	\$ 479,805	\$ 484,299	0.8%	\$3,994
Expenditures	\$ 467,380	\$ 505,734	\$ 505,734	\$ 514,944	1.8%	\$9,211
Cash - Flow	\$ 10,938	\$ (25,429)	\$ (25,929)	\$ (30,645)	20.5%	(\$5,217)

Capital Projects Funds

Facilities

Revenues and Transfers	\$ 522,690	\$ 705,000	\$ 701,000	\$ 501,000	-28.9%	(\$204,000)
Expenditures	\$ 2,421,208	\$ 1,600,000	\$ 1,000,000	\$ 330,000	-79.4%	(\$1,270,000)
Cash - Flow	\$ (1,898,518)	\$ (895,000)	\$ (299,000)	\$ 171,000	-119.1%	\$1,066,000

SSA 3 Trapp Lane

Revenues and Transfers	\$ 251,650	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
Expenditures & Transfers	\$ 480,458	\$ 35,700	\$ 35,700	\$ 34,680	-2.9%	(\$1,020)
Cash - Flow	\$ (228,807)	\$ -	\$ -	\$ -		

SSA 4 Oak, Elm, Locust, Roswd

Revenues and Transfers	\$ 1,548	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
Expenditures & Transfers	\$ -	\$ 4,991	\$ 4,991	\$ 4,824	-3.3%	(\$167)
Cash - Flow	\$ 1,548	\$ -	\$ -	\$ -		\$0

SSA 5 Oak, Elm, Rose, Glendale

Revenues and Transfers	\$ 1,598	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Expenditures & Transfers	\$ -	\$ 4,240	\$ 4,240	\$ 4,098	-3.3%	(\$142)
Cash - Flow	\$ 1,598	\$ -	\$ -	\$ -		\$0

Business Distr. Revitalization

Revenues and Transfers	\$ 5,516	\$ 1,500	\$ 1,000	\$ 500	-66.7%	(\$1,000)
Expenditures	\$ 33,045	\$ 250,000	\$ 155,000	\$ 150,000	-40.0%	(\$100,000)
Cash - Flow	\$ (27,529)	\$ (248,500)	\$ (154,000)	\$ (149,500)	-39.8%	\$99,000

Enterprise Funds

Electric

Operating Revenue	\$ 15,421,455	\$ 15,818,700	\$ 15,891,899	\$ 15,896,388	0.5%	\$ 77,688
Operating Expenses	\$ 14,795,974	\$ 16,166,920	\$ 15,681,966	\$ 15,964,835	-1.2%	(\$202,085)
Electric Operating Income	\$ 625,481	\$ (348,220)	\$ 209,933	\$ (68,447)	-80.3%	\$279,773
Non-op. income - interest	\$ 60,104	\$ 40,000	\$ 50,000	\$ 20,000	-50.0%	(\$20,000)
Capital Outlay	\$ -	\$ (2,327,000)	\$ (1,806,000)	\$ (1,772,000)	-23.9%	\$555,000
Depreciation	\$ 1,547,968	\$ 1,600,000	\$ 1,600,000	\$ 1,600,000	0.0%	\$0
Cash - Flow	\$ 2,233,553	\$ (1,035,220)	\$ 53,933	\$ (220,447)		\$814,773

Water

Operating Revenue	\$ 3,215,197	\$ 3,601,500	\$ 4,255,500	\$ 3,820,000	6.1%	\$218,500
Operating Expenses	\$ 3,216,142	\$ 3,445,592	\$ 3,348,742	\$ 3,475,745	0.9%	\$30,153
Water Operating Income	\$ (945)	\$ 155,908	\$ 906,758	\$ 344,255	120.8%	\$188,347
Non-op. income - interest	\$ (1,546)	\$ -	\$ 1,500	\$ 1,500		\$1,500
Capital Outlay	\$ -	\$ (445,000)	\$ (244,060)	\$ (624,000)	40.2%	(\$179,000)
Depreciation	\$ 460,846	\$ 440,000	\$ 440,000	\$ 440,000	0.0%	\$0
Cash - Flow	\$ 458,355	\$ 150,908	\$ 1,104,198	\$ 161,755	7.2%	\$10,847

	2010 / 11 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
	A	B	C	D		
Sanitary Sewer						
Operating Revenue	\$ 731,083	\$ 853,500	\$ 1,009,300	\$ 940,500	10.2%	\$87,000
Operating Expenses	\$ 843,368	\$ 978,013	\$ 977,903	\$ 1,002,864	2.5%	\$24,851
Sewer Operating Income	\$ (112,285)	\$ (124,513)	\$ 31,397	\$ (62,364)	-49.9%	\$62,149
Non-op. income - interest	\$ 13,899	\$ 10,000	\$ 7,000	\$ 3,500	-65.0%	(\$6,500)
Capital Outlay	\$ -	\$ (350,000)	\$ (274,000)	\$ (500,000)	42.9%	(\$150,000)
Depreciation	\$ 85,633	\$ 70,000	\$ 70,000	\$ 80,000	14.3%	\$10,000
Cash - Flow	\$ (12,753)	\$ (394,513)	\$ (165,603)	\$ (478,864)	21.4%	(\$84,351)
Refuse						
Operating Revenue	\$ 2,242,722	\$ 2,185,000	\$ 2,188,700	\$ 2,185,000	0.0%	\$0
Operating Expenses	\$ 2,281,101	\$ 2,368,220	\$ 2,364,522	\$ 2,400,115	1.3%	\$31,895
Refuse Operating Income	\$ (38,380)	\$ (183,220)	\$ (175,822)	\$ (215,115)	17.4%	(\$31,895)
Non-op. income - interest	\$ 11,814	\$ 13,000	\$ 13,000	\$ 6,500	-50.0%	(\$6,500)
Capital Outlay	\$ -	\$ -	\$ -	\$ (65,000)		(\$65,000)
Depreciation	\$ 125,143	\$ 135,000	\$ 135,000	\$ 135,000	0.0%	\$0
Cash - Flow	\$ 98,577	\$ (35,220)	\$ (27,822)	\$ (138,615)	293.6%	(\$103,395)
Storm Sewer						
Operating Revenue	\$ -	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
Operating Expenses	\$ -	\$ -	\$ -	\$ -		\$0
Storm Operating Income	\$ -	\$ 2,200,000	\$ 2,200,000	\$ 6,000,000	172.7%	\$3,800,000
Non-op. income - interest	\$ -	\$ -	\$ 5,000	\$ 10,000		\$10,000
Capital Outlay	\$ -	\$ (2,080,000)	\$ (628,000)	\$ (7,324,000)	252.1%	(\$5,244,000)
Depreciation	\$ -	\$ -	\$ -	\$ -		\$0
Cash - Flow	\$ -	\$ 120,000	\$ 1,577,000	\$ (1,314,000)		(\$1,434,000)
Internal Service Funds						
Workers' Comp. Insurance						
Revenues	\$ 548,664	\$ 536,492	\$ 543,492	\$ 536,492	0.0%	\$0
Expenses	\$ 680,129	\$ 795,500	\$ 345,000	\$ 797,000	0.2%	\$1,500
Cash-Flow	\$ (131,465)	\$ (259,008)	\$ 198,492	\$ (260,508)	0.6%	(\$1,500)
Liability Insurance						
Revenues	\$ 212,508	\$ 10,000	\$ 10,000	\$ 10,000	0.0%	\$0
Expenses	\$ 52,038	\$ 300,000	\$ 95,000	\$ 300,000	0.0%	\$0
Cash-Flow	\$ 160,470	\$ (290,000)	\$ (85,000)	\$ (290,000)	0.0%	\$0
Health Insurance						
Revenues & Transfers	\$ 2,834,559	\$ 2,822,700	\$ 2,825,000	\$ 3,057,720	8.3%	\$235,020
Expenses	\$ 3,426,870	\$ 3,572,900	\$ 3,555,300	\$ 3,460,100	-3.2%	(\$112,800)
Cash-Flow	\$ (592,311)	\$ (750,200)	\$ (730,300)	\$ (402,380)	-46.4%	\$347,820
Data Processing						
Operating Revenue	\$ 331,832	\$ 328,000	\$ 328,100	\$ 328,200	0.1%	\$200
Operating Expenses	\$ 359,145	\$ 455,200	\$ 375,000	\$ 469,650	3.2%	\$14,450
DP Operating Income	\$ (27,313)	\$ (127,200)	\$ (46,900)	\$ (141,450)	11.2%	(\$14,250)
Non-op. income - interest	\$ 9,850	\$ 7,000	\$ 3,100	\$ 3,100	-55.7%	(\$3,900)
Capital Outlay	\$ -	\$ -	\$ -	\$ -		\$0
Depreciation	\$ -	\$ 35,000	\$ 35,000	\$ 35,000	0.0%	\$0
Cash - Flow	\$ (17,464)	\$ (85,200)	\$ (8,800)	\$ (103,350)	21.3%	(\$18,150)

2011 / 12 Actual	FY 2013 Budget	FY 2013 Estimated	FY 2014 Budget	% Budg D v. B	B to D \$ change
A	B	C	D		

Fleet Services

Operating Revenue	\$ 862,473	\$ 882,840	\$ 882,840	\$ 904,576	2.5%	\$21,736
Operating Expenses	\$ 876,299	\$ 850,536	\$ 863,903	\$ 866,374	1.9%	\$15,838
Fleet Operating Income	\$ (13,825)	\$ 32,304	\$ 18,937	\$ 38,202	18.3%	\$5,898
Non-op. income - interest	\$ 1,905	\$ 1,500	\$ 1,500	\$ 1,000	-33.3%	(\$500)
Capital Outlay	\$ -	\$ -	\$ -	\$ -		\$0
Transfer In - Recapitalize	\$ -	\$ -	\$ -	\$ -		\$0
Depreciation	\$ 1,359	\$ -	\$ -	\$ -		\$0
Cash - Flow	\$ (10,561)	\$ 33,804	\$ 20,437	\$ 39,202	16.0%	\$5,398

Assets Held in Trust:

Police Pension

Revenues	\$ 2,477,065	\$ 2,442,534	\$ 2,442,500	\$ 2,632,152	7.8%	\$189,618
Expenses	\$ 1,532,877	\$ 1,731,850	\$ 1,535,000	\$ 1,797,550	3.8%	\$65,700
Cash-Flow	\$ 944,188	\$ 710,684	\$ 907,500	\$ 834,602	17.4%	\$123,918

Fire Pension

Revenues	\$ 2,342,239	\$ 2,415,794	\$ 2,415,000	\$ 2,650,031	9.7%	\$234,237
Expenses	\$ 1,716,982	\$ 1,926,500	\$ 1,730,000	\$ 1,962,000	1.8%	\$35,500
Cash-Flow	\$ 625,258	\$ 489,294	\$ 685,000	\$ 688,031	40.6%	\$198,737



Agenda Item Executive Summary

Title: Resolution R-9-2013: Water Rates- Introduction

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The proposed budget includes a 6.0% water rate increase for incorporated water customers. Unincorporated customers have a proposed 8.0% water rate increase.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget. Because of the projected capital needs of the water system, water rate increases are proposed.

The 6.0% water rate increase for residents is estimated to cost a typical water customer \$47 more per year, or a total of \$827 per year for municipal water use.

The Village code requires that this resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting.

Recommendation / Suggested Action: *(briefly explain)*

Consider introduction of resolution.

Attachments: *(please list individually)*

R-9-2013 A resolution establishing water rates.

RESOLUTION NO. R-9-2013

**A RESOLUTION ESTABLISHING RATES AND FEES
RELATED TO WATER SERVICE, SERVICE TAPS AND METERS**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka owns and operates a water utility that provides all water service within the Village of Winnetka; and

WHEREAS, the Village of Winnetka’s Water and Electric Department is responsible for the day-to-day operations of the Village’s water utility; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village’s water utility, including but not limited to establishing rates for water service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Water Rates. Each customer using water furnished by the Village of Winnetka Water and Electric Department shall be charged for such service in accordance with the following Schedule of Water Rates, as provided in Section 13.04.040 of the Winnetka Village Code.

SCHEDULE OF WATER RATES

<u>Type of Customer</u>	<u>Rate</u>
Service within corporate limits	\$29.78 \$31.57 per 1,000 cubic feet, as metered
Service outside of corporate limits	\$51.27 \$55.37 per 1,000 cubic feet, as metered
Service to Village of Northfield:	Rate shall be as established by agreement approved by resolution of the Village Council
Special Service	\$22.33 \$23.67 per 1,000 cubic feet, as metered

SECTION 2: Disconnection/Reconnection Fees. The following fees shall be charged and collected for service calls to either disconnect or reconnect service as the result of nonpayment, as provided in Section 13.04.060 of the Winnetka Village Code:

SERVICE DISCONNECTION OR RECONNECTION FEE

<u>Time of Service Call</u>	<u>Fee</u>
During regular business hours (Monday through Friday, except for holidays, from 7:30 a.m. to 3:00 p.m.)	\$95.00 per service dispatch
All other times (Evenings, nights, weekends and holidays)	\$265.00 per service dispatch

SECTION 3: Water Service Tap Fees. The following fees shall be charged for the installation of water connections, as provided in Section 13.04.100 of the Winnetka Village Code:

WATER SERVICE TAP FEES

<u>Water Tap Size</u>	<u>Water Main Size</u>	<u>Fee</u>	<u>Service Included in Fee</u>
1 1/2" or less	All	\$852	Making tap, <u>tapping sleeve</u> , corp. stop, curb stop and box, and inspection
2"	All	\$960	Making tap, <u>tapping sleeve</u> , corp. stop, curb stop and box, and inspection
4"	4"	\$1,535	Making tap, tapping sleeve and valve, and inspection
4"	6"	\$1,775	Making tap, tapping sleeve and valve, and inspection
6"	6"	\$1,880	Making tap, tapping sleeve and valve, and inspection
4"	8"	\$1,880	Making tap, tapping sleeve and valve, and inspection
6"	8"	\$1,985	Making tap, tapping sleeve and valve, and inspection
8"	8"	\$2,300	Making tap, tapping sleeve and valve, and inspection
4"	10"	\$2,400	Making tap, tapping sleeve and valve, and inspection
6"	10"	\$2,500	Making tap, tapping sleeve and valve, and inspection
8"	10"	\$2,900	Making tap, tapping sleeve and valve, and inspection
4"	12"	\$2,400	Making tap, tapping sleeve and valve, and inspection
6"	12"	\$2,500	Making tap, tapping sleeve and valve, and inspection
8"	12"	\$2,925	Making tap, tapping sleeve and valve, and inspection

For all taps 4" and larger, valve vaults meeting Water and Electric Department specifications must be furnished by the customer's plumber, at the customer's cost. Other size taps may be made only with the consent of the Water and Electric Department, at the customer's cost.

SECTION 4: Water Meter Fees. The following fees shall be charged for the water meters provided by the Village, as provided in Sections 13.04.030 and 13.04.100 of the Winnetka Village Code:

WATER METER FEES

<u>Meter Size</u>	<u>Cost</u>
5/8"	\$475
3/4"	\$525
1"	\$625
1 1/2"	\$890
2"	\$990

Spreader and valves on both sides of meter must be installed by the customer's plumber, at the customer's cost. Other sizes of meters may be required or permitted, as determined by the Water and Electric Department, based on the characteristics of the proposed service. Such other installations shall require the written approval of the Water and Electric Department and the entire cost of the purchase and installation shall be borne by the customer.

SECTION 5: Replacement of Touchpad. The following fees shall be charged for replacement of removed touchpads and replacement of the touchpad wiring.

Replacement of Touchpad	\$95.00
Replacement of Touchpad Wiring	\$265.00

SECTION 6: Temporary Water Service. Pursuant to Section 13.04.150 of the Winnetka Village Code, temporary water service provided during building construction shall be billed at the rate applicable to the use specified in the building permit.

SECTION 7: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 8: Payment Period; Late Fees. All bills issued for water service shall be paid in full by the time specified in the bill. The time of payment shall be established by the Director of Finance, provided that the payment period for charges made pursuant to Section 1 of this Resolution shall be no less than 21 nor more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.04.040 of the Winnetka Village Code, if any bill for any change made pursuant to this Resolution is not paid within the specified payment period, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 9: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 10: Effect of Resolution. The rates established herein shall apply to all bills issued on or after April 1, 2013, and this resolution shall supersede Resolution R-7-2012.

[Remainder of this page intentionally left blank.]

SECTION 11: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this _____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: March 5, 2013

Adopted:



Agenda Item Executive Summary

Title: Resolution R-10-2013: Electric Rates- Introduction

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The proposed budget includes a anticipates no net change in the unit cost for electricity.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget.

The current electric rates for the Village include an approximately 2.0% credit. The credit estimated to be effective 5/1/2013 is 0.0% as the Village's budgeted rate for wholesale power purchases has been very close to that actually paid.

In order to avoid our customer's experience a 2.0% electric rate increase when the current credit expires, there is a 2.0% reduction in the electric rates proposed effective 4/1/2013. This will result in our customers seeing no significant change in the unit cost for electricity after the current credit expires.

The under grounding service charges have been updated to reflect a slight increase in our costs.

The Village code requires that this resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting.

Recommendation / Suggested Action: *(briefly explain)*

Consider introduction of resolution.

Attachments: *(please list individually)*

R-10-2013 A resolution establishing electric rates.

RESOLUTION NO. R-10-2013

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR ELECTRIC SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka owns and operates an electric utility that provides all electric service within the Village of Winnetka; and

WHEREAS, the Village of Winnetka's Electric Department is responsible for the day-to-day operations of the Village's electric utility; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village's electric utility, including but not limited to establishing rates for electric service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: As used in this Resolution, the following terms, phrases and words and their derivations shall have the meanings given in this section, unless the context or use clearly indicates another or different meaning is intended:

Customer Charge: A fixed charge based on the type of service rather than the amount of electricity used.

Demand Charge: A charge based on the rate at which electric energy is delivered, expressed in kilowatts (kW), averaged over a 30-minute period.

Energy Charge: A volume based charge for energy used.

Load Factor: The ratio of energy used to the maximum energy consumption for a given monthly peak demand.

On-peak Demand: A peak demand that occurs between the hours of 3:00 p.m. and 9:00 p.m.

Off-peak Demand: A peak demand that occurs between after 9:00 p.m. and before 3:00 p.m.

Primary Lines: High voltage power lines

Secondary Lines: Low voltage power lines that extend from the high voltage Primary Lines and distribute electricity to individual property lines.

Service Lines: The power lines that extend from the Secondary Lines to the individual meter connections located on each parcel of property that receives electric service.

SECTION 2: Seasonal Rates. Separate summer and winter rates shall be established for demand charges and energy charges. Pursuant to Section 13.08.150 of the Winnetka Village Code, summer rates shall be in effect for each of the four consecutive months with ending metered dates on or after June 1 of each year.

SECTION 3: Electric Rates. Each customer using electricity furnished by the Village of Winnetka Water and Electric Department shall be charged for such service in accordance with the following schedule of electric rates, as provided in Section 13.08.040 of the Winnetka Village Code.

A. **Customer Charge:** Each customer shall be charged a monthly customer charge of \$9.46 for Single Phase Service or \$15.76 for Three Phase Service, except that this Customer Charge does not apply to customers subject to Energy and Demand Charges under Rate 18.

B. **Energy and Demand Charges:** In addition to the Customer Charge, each customer shall pay energy and demand charges at the rates set forth in the following Schedule of Energy and Demand Charges.

SCHEDULE OF ENERGY AND DEMAND CHARGES

Rate 1 - Residential: (Section 13.08.080 of the Winnetka Village Code)

Energy Charge

Summer Rate	\$0.1264 <u>\$0.1233</u> per kWh
Winter Rate	\$0.1114 <u>\$0.1083</u> per kWh

Rate 2 - Space Heating Customers: (Section 13.08.090 of the Winnetka Village Code)

Energy Charge

Summer Rate	\$0.1261 <u>\$0.1230</u> per kWh
Winter Rate	
First 750 kWh	\$0.1140 <u>\$0.1109</u> per kWh
All over 750 kWh	\$0.0785 <u>\$0.0754</u> per kWh

Rate 3 - Commercial: (Section 13.08.100 of the Winnetka Village Code)

Demand Charge

Summer Rate	
First 50 kW	\$0.00 per kW
All over 50 kW	\$11.27 per kW
Winter Rate	
First 50 kW	\$0.00 per kW
All over 50 kW	\$9.91 per kW

Energy Charge

Summer Rate	
First 15,000 kWh	\$0.1236 <u>\$0.1205</u> per kWh
All over 15,000 kWh	\$0.0949 <u>\$0.0918</u> per kWh
Winter Rate	
First 15,000 kWh	\$0.1099 <u>\$0.1068</u> per kWh
All over 15,000 kWh	\$0.0848 <u>\$0.0817</u> per kWh

Rate 4 - School and Government: (Section 13.08.110 of the Winnetka Village Code)

(a) With an annual peak demand of up to 1,000 kW:

Demand Charge

Summer Rate \$10.82 per kW
Winter Rate \$ 9.01 per kW

Energy Charge

Summer Rate

First 100,000 kWh ~~\$0.0774~~ \$0.0743 per kWh
Over 100,000 kWh ~~\$0.0709~~ \$0.0678 per kWh

Winter Rate

First 100,000 kWh ~~\$0.0719~~ \$0.0688 per kWh
Over 100,000 kWh ~~\$0.0665~~ \$0.0634 per kWh

(b) With an annual peak demand exceeding 1,000 kW:

Demand Charge

Summer Rate

On Peak \$10.82 per kW
Off Peak \$ 6.62 per kW in excess of On Peak Demand

Winter Rate

On Peak \$ 9.01 per kW
Off Peak \$ 6.76 per kW in excess of On Peak Demand

Energy Charge

Summer Rate

First 100,000 kWh ~~\$0.0774~~ \$0.0743 per kWh
Over 100,000 kWh ~~\$0.0709~~ \$0.0678 per kWh

Winter Rate

First 100,000 kWh ~~\$0.0719~~ \$0.0688 per kWh
Over 100,000 kWh ~~\$0.0665~~ \$0.0634 per kWh

Load Factor Credit (\$0.005) per kWh for kWh in excess of 50% based upon the on peak demand

Rate 6 - Water Heating: (Section 13.08.120 of the Winnetka Village Code)

Energy Charge

Summer Rate ~~\$0.1119~~ \$0.1088 per kWh
Winter Rate ~~\$0.1119~~ \$0.1088 per kWh

[Remainder of this page intentionally left blank.]

Rate 7 - Large Residential: (Section 13.08.130 of the Winnetka Village Code)

Demand Charge	
Summer Rate	\$ 9.91 per kW
Winter Rate	\$ 8.33 per kW
Energy Charge	
Summer Rate	\$0.0957 <u>\$0.0926</u> per kWh
Winter Rate	\$0.0848 <u>\$0.0817</u> per kWh

Rate 18 - Street Lights: (Section 13.08.140 of the Winnetka Village Code)

Energy Charge	
Summer Rate	\$0.1060 <u>0.1029</u> per kWh
Winter Rate	\$0.1060 <u>0.1029</u> per kWh

SECTION 4: Wholesale Power Purchase Cost Adjustment.

A. **Wholesale Power Purchase Cost Adjustment formula.** The electric system's cost of purchasing power shall be estimated at least once per year. The estimated annual purchase cost adjustment shall be prorated among all rate classes by establishing the cost of purchase per kWh, which cost shall be added to or subtracted from the base rates set by Village Council resolution. The actual annual cost of purchasing power shall be determined after the close of each fiscal year. Pursuant to Section 13.08.160 of the Winnetka Village Code, the Wholesale Power Purchase Cost Adjustment shall be calculated as the difference between the estimated annual cost per kWh of purchasing power and the actual annual purchase power cost per kWh incurred during the prior fiscal year. If the actual annual cost per kWh of purchasing power exceeds the estimated cost, the shortage shall be annualized and shall be recovered by billing all customers at the same amount per kWh, beginning with all bills issued on or after May 1 of the new fiscal year. If the estimated annual cost per kWh of purchasing power exceeds the actual cost, the excess shall be annualized and shall be credited to all customers at the same amount per kWh, beginning with all bills issued on or after May 1 of the new fiscal year.

SECTION 5: Renewable Energy Production Credit

A. Terms.

1. Eligible Customer. A customer of the Village's Electric Utility who satisfies all of the requirements of Section 13.08.260 of the Winnetka Village Code.
2. Renewable Energy Production Credit, or REPC, means the actual credit as calculated pursuant to the formula in subsection B, below.
3. Renewable Energy, or RE, means the amount of energy, measured in kWh, delivered to the Village by an Eligible Customer.
4. Wholesale Purchase Power Cost, or WPPC, means the allocation on a per kilowatt hour basis of the total annual cost of purchasing power shown in the annual budget line item for "Purchased Power – Contractual Services."

B. Calculation of REPC

$$\text{REPC} = (\text{RE} \times \text{WPPC})$$

C. REPC Carry-forward. If the REPC exceeds the cost of the power and energy billed to the Eligible Customer by the Village in a billing period, the excess REPC will be carried forward from one billing period to the next, except that no amount shall be carried forward past the end of the calendar year and that any amount of energy in kWh reflected in carry-forward credits remaining at the end of the calendar year shall be deemed to have been provided to the Village at no charge.

D. No refunds or transfers. No Eligible Customer whose electric service is terminated shall be entitled to a refund of any REPC balance, regardless of the reason for the termination of service. Nor shall any Eligible Customer be entitled to transfer any REPC balance to a succeeding customer upon the termination of the Eligible Customer's electrical service, regardless of the reason for the termination of service. Upon the termination of an Eligible Customer's electric service, the Eligible Customer's account shall be closed and any amount of kWh reflected in any REPC balance in existence at the time the account is closed will be deemed to have been provided to the Village, at no charge.

SECTION 6: Undergrounding Surcharge. Pursuant to Section 13.08.240 of the Winnetka Village Code, the following surcharges are hereby established for the undergrounding of transmission and distribution lines:

RATE U - UNDERGROUNDING SURCHARGE

(a) **Surcharge.** Except as provided in subsection (c), each customer located in a Project Area within which the Primary Lines and Secondary Lines are placed underground pursuant to section 9.22 of the Winnetka Village Code shall be subject to an undergrounding surcharge. The surcharge shall be charged monthly until the Applicable Project Cost, plus interest on the unpaid balance at a rate of 7% per annum, is fully paid. The surcharge shall not be charged for more than 60 consecutive months. The surcharge amount shall be as follows:

- | | |
|--------------|--|
| Surcharge UA | Monthly surcharge of \$100 if Applicable Project Cost equals \$5,000 or less. |
| Surcharge UB | Monthly surcharge of \$150 if Applicable Project Cost is greater than \$5,000 but does not exceed \$7,500. |
| Surcharge UC | Monthly surcharge of \$200 if Applicable Project Cost is greater than \$7,500 but does not exceed \$10,000. |
| Surcharge UD | Monthly surcharge of \$250 if Applicable Project Cost is greater than \$10,000 but does not exceed \$12,500. |

(b) **Definitions.** The following definitions shall be used in determining the undergrounding surcharge:

Project Area: The service area covered by a petition for undergrounding, as determined by the director of water and electric, and shall include the Primary Lines, Secondary Lines and Service Lines within that service area.

Project Costs: All direct costs of undergrounding the Primary Lines and Secondary Lines in the Project Area (“Cost 1”). For customers with overhead Service Lines, the direct costs of undergrounding overhead Service Lines in the Project Area (“Cost 2”) shall be included in the Project Costs in addition to Cost 1. Direct costs shall include, but not be limited to, labor, materials, recording of easements and the cost of relocating all related electric utility facilities and equipment, such as pad mount transformers and switch gear.

Project Cost_{UG} : the Project Cost per customer with underground Service Lines, which shall be determined by dividing Cost 1 by the number of customers in the Project Area.

Project Cost_{OH}: the Project Cost per customer with overhead electric service, which shall be determined by dividing Cost 2 by the number of customers in the Project Area with overhead electric service and adding the resulting amount to Project Cost_{UG} .

Applicable Project Cost: the Project Costs as allocated to the individual customers in the Project Area. The Applicable Project Cost for each customer with underground Service Lines shall be Project Cost_{UG}. The Applicable Project Cost for each customer with overhead Service Lines shall be Project Cost_{OH}.

- (c) **Exceptions to Surcharge.** The undergrounding surcharge shall not be charged to any customer in the Project Area who pays the Applicable Project Costs in full before the project begins.

SECTION 7: Disconnection or Reconnection Fee. The following fees shall be charged and collected for service calls to disconnect or reconnect service as the result of nonpayment, as provided in Section 13.08.060 of the Winnetka Village Code:

SERVICE DISCONNECTION OR RECONNECTION FEE

<u>Time of Service Call</u>	<u>Fee</u>
During regular business hours (Monday through Friday, except for holidays, from 7:30 a.m. to 3:00 p.m.)	\$95.00 per service dispatch
All other times (Evenings, nights, weekends and holidays)	\$265.00 per service dispatch

SECTION 8: Replacement of Touchpad. The following fees shall be charged for replacement of removed touchpads and replacement of the touchpad wiring.

Replacement of Touchpad	\$95.00
Replacement of Touchpad Wiring	\$265.00

SECTION 9: Costs of Adding, Upgrading and Undergrounding Electric Services.

The costs of installing new electric service, upgrading electric service to increase capacity and converting overhead service to underground service shall be allocated as follows:

Installation and Ownership of Facilities: All existing facilities and equipment, and all facilities and equipment related to new service, upgraded service and underground conversions, up to the meter, shall be owned, operated and maintained by the Village of Winnetka Water and Electric Department. The meter pedestal or meter enclosure shall be provided by the customer, at the customer's expense, and shall be owned and maintained by the customer. The Water and Electric Department shall install all new electrical service lines, all meters, all service upgrades and all conversions of overhead service to underground service, regardless of the party initiating the conversion, except that the Water and Electric Department shall not perform any work on the customer's side of the meter.

New Service or Increased Load: The following fees shall be charged for installing new or larger electric services:

Installation of a 200 ~~Amp-Ampere~~ service ~~\$ 9,500~~ \$ 9,800

(Includes conduits for communication conductors)

Installation of a 400 ~~Amp-Ampere~~ service ~~\$17,000~~ \$18,000

(Includes conduits for communication conductors)

Installation of three phase service ~~As Below~~

The costs of providing three phase electric service, including the cost of any necessary relocation, replacement or extension of the primary, secondary lines and transformers to which the service line is connected, shall be paid for by the customer requesting the new or increased three phase service.

If a primary or secondary line must be relocated, replaced or extended in order to install a new service or to increase the load capacity of an existing service, any customer who connects to such primary or secondary line within five years after the its installation may be required to pay that customer's pro rata share of such costs. The Village Manager, in the exercise of his discretion, may enter into a written agreement with the initial requesting customer and establish terms for the payment of such costs, which may include a recapture provision that provides for the Village to refund such pro rata costs, less administrative costs in the amount of 10% of the recaptured amount, to the initial requesting customer.

Service Lines – Scheduled Conversion to Underground Service: A customer may choose either to maintain overhead service or to convert his service line from overhead service to underground service in conjunction with the Water and Electric Department’s planned conversion undergrounding of the primary and secondary lines to which the customer’s service line is connected. If the customer elects to maintain overhead service, the Water and Electric Department will install, at no additional cost to the customer, a new pole as close to the service connection as the Department deems possible, placing the service line underground to the pole, installing a service riser to the top of the pole, and connecting an overhead line to the existing service connection. If the customer elects to place the service line underground, the Water and Electric Department will do so, at no additional cost to the customer, provided the customer purchases the meter enclosure or meter pedestal and makes, at the customer’s expense, all alterations necessary to relocate the meter and building service so as to connect to the underground service line in the location specified by the Water and Electric Department.

Underground Service – Customer Requested Conversion: All costs of converting overhead electrical service to underground electrical service, including the cost of any necessary relocation of the primary and secondary lines to which the service line is connected, shall be paid by the customer if it is requested by the customer and the conversion is not done as part of the Water and Electric Department’s undergrounding program. If the customer is increasing the size of the service entrance equipment, the customer shall be charged in accordance with rates for New Service or Increased Load for the service connection work. Existing rear lot residential services will be relocated to the front of the building and the service connection shall be at a location specified by the Water & Electric Department. As part of the conversion, an electric meter located within the structure shall be relocated to the outside.

SECTION 10: Temporary Electric Service. Pursuant to Section 13.08.210 of the Winnetka Village Code, temporary electric service provided during building construction shall be billed at the rate applicable to the use specified in the building permit.

SECTION 11: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 12: Payment Period; Late Fees. All bills issued for electric service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 no more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.08.040.B of the Winnetka Village Code, if any bill for electric service is not paid within the payment period prescribed by resolution, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 13: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 14: Effect of Resolution. The rates established herein shall apply to all bills issued on or after April 1, 2013, and this resolution shall supersede Resolution R-8-2012.

SECTION 15: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: March 5, 2013

Adopted:



Agenda Item Executive Summary

Title: Resolution R-11-2013: Sewer Rates- Introduction

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The proposed budget proposes a 10% sanitary sewer rate increase to pay for capital repairs to the sanitary sewer system.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget. Because of the projected capital needs of the sanitary sewer system, rate increases are proposed.

The 10% sanitary sewer rate increase is projected to cost a typical customer about \$24 more per year.

The projected capital plan contains approximately \$950,000 of sanitary sewer repairs that are anticipated to be completed over the next three years.

Based on cash-flow projections, a 10% rate increase is necessary for the next several years to pay for the anticipated improvements. It is possible, based on projections, that this fund may also borrow from the General Fund in two or three years as a negative cash position is possible for a few years.

The Village code requires that this resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting.

Recommendation / Suggested Action: *(briefly explain)*

Consider introduction of resolution.

Attachments: *(please list individually)*

R-11-2013 A resolution establishing the sanitary sewer rate.

RESOLUTION NO. R-11-2013

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR SEWER SERVICES**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka maintains a public sewer system that serves all premises within the Village; and

WHEREAS, the Council finds that all matters pertaining to the operation and maintenance of the Village's public sewers, including but not limited to establishing rates for sewer service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Sewer Service Rates. Any person owning or occupying premises which are connected to the public sewers within the Village of Winnetka shall pay for such services, as provided in Section 13.12.010 of the Winnetka Village Code, at the rate of ~~\$10.38~~ \$11.42 per 1,000 cubic feet of water supplied to those premises.

SECTION 2: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 3: Payment Period; Late Fees. All bills issued for sewer service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 nor more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.12.010.B of the Winnetka Village Code, if any bill for sewer service is not paid within the specified payment period, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. The rates established herein shall apply to all bills issued on or after April 1, 2013, and this resolution shall supersede Resolution R-9-2012.

[Remainder of this page intentionally left blank.]

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this _____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: March 5, 2013

Adopted:



Agenda Item Executive Summary

Title: Resolution R-12-2013: Refuse Rates- Introduction

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The proposed budget has no changes to the refuse collection charges assessed by the Village.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget.

There are no changes proposed to the existing refuse collection charges. The Village adopts a new resolution each year, even if there are no changes in the rates, so that there is an annual review of existing fees and transparency in our operations.

The Village code requires that this resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting

Recommendation / Suggested Action: *(briefly explain)*

Consider introduction of resolution.

Attachments: *(please list individually)*

R-12-2013 A resolution establishing refuse charges.

RESOLUTION NO. R-12-2013

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR REFUSE SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka, through its Public Works Department, operates a municipal waste system that provides for the collection, transportation and disposal of refuse and yard waste within the Village; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village's municipal waste system, including but not limited to establishing rates and fees for refuse and yard waste services, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Definitions. All terms defined in Section 8.16.010 of Chapter 8.16 of the Winnetka Village Code, "Garbage and Refuse," shall have the same meaning when used in this resolution.

SECTION 2: Commercial Refuse Service Fees. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following monthly fees are hereby established for commercial refuse service, including apartments in commercial buildings:

SCHEDULE OF MONTHLY COMMERCIAL REFUSE SERVICE FEES

Container Volume per Pick-up	Number of Pickups Per Week						
	1	2	3	4	5	6	7
1 Cu.Yd.	\$31	\$55	\$78	\$101	\$122	\$147	\$171
1.5 Cu.Yd.	\$39	\$70	\$103	\$135	\$168	\$199	\$231
2 Cu.Yd.	\$47	\$87	\$129	\$170	\$210	\$251	\$292
3 Cu.Yd.	\$62	\$117	\$173	\$228	\$284	\$339	\$395
4 Cu.Yd.	\$81	\$142	\$216	\$284	\$352	\$419	\$487
5 Cu.Yd.	\$99	\$179	\$259	\$339	\$419	\$500	\$579
6 Cu.Yd.	\$117	\$210	\$302	\$395	\$487	\$579	\$672
1-99 Gal.	\$13	\$19	\$24	\$31	\$36	\$42	\$48
100-180 Gal.	\$19	\$31	\$42	\$55	\$66	\$79	\$91

[**Note:** Individual accounts will be charged a share of the monthly fees charged based upon the account's proportionate use of the container(s), as determined by the Winnetka Public Works Department.]

SECTION 3: Residential Refuse Service Fees. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following fees and charges are hereby established for residential refuse service:

SCHEDULE OF RESIDENTIAL REFUSE SERVICE FEES

<u>Service</u>	<u>Charge</u>
One pick-up per week of no more than two garbage cans of household rubbish	No charge
Collection of household rubbish or garbage in excess of two garbage cans per pick-up(one sticker required per container)	\$2.00 per sticker
Subscription service for one additional pick-up each week	\$25.00 per month

SECTION 4: Charges for Special Refuse Collections. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for special refuse collections:

SCHEDULE OF FEES FOR SPECIAL REFUSE COLLECTIONS

<u>Service</u>	<u>Charge</u>
Base Fee for special collections	\$30.00
Bulk pick-ups	
Up to 1.0 cubic yards	Base Fee
Over 1.0 cubic yards	Base Fee plus \$11.00 for each additional cubic yard or fraction thereof
<i>[Note: Bulk pick-ups are for light refuse other than liquids and yard waste.]</i>	
White goods and other large items	Base Fee plus \$10.00 for each item
<i>[Note: Includes appliances, sofas, etc.]</i>	
Hard-to-handle refuse	Base Fee plus \$15.00 per cubic yard
<i>[Note: Hard-to-handle refuse includes such miscellaneous rubbish as wood, fencing, carpeting, multiple pieces of furniture and cabinets, and construction materials such as wallboard, plaster and flooring, but shall not include liquids, soil, concrete and asphalt.]</i>	
Tires and/or tire rims	Base Rate plus Charge per Tire
Charge per tire	
Tire without rim	Base Rate plus \$10.00
Tire with rim	Base Rate plus \$15.00
Truck tire without rim	Base Rate plus \$20.00
Truck tire with rim	Base Rate plus \$25.00

SCHEDULE OF FEES FOR SPECIAL REFUSE COLLECTIONS (cont'd)

<u>Service</u>	<u>Charge</u>
Carts and roll-off boxes	
[<i>Note:</i> Charge is based on container size. Contents shall not include concrete, soil, asphalt or liquids]	
1.0 cubic yard	\$40.00
1.5 cubic yard	\$50.00
2.0 cubic yard	\$60.00
6.0 cubic yard	\$165.00

SECTION 5: Yard Waste. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for the removal of certain yard waste:

SCHEDULE OF YARD WASTE REMOVAL FEES

<u>Service</u>	<u>Charge</u>
Removal of yard waste	
Village yard waste bag	\$2.00 per bag
Other bags (one sticker required per bag)	\$2.00 per sticker
Removal of brush, trees, logs and limbs	
Diameter of 4 inches or less	
First 10 minutes	\$30.00
Each additional minute	\$1.00
Diameter over 4 inches	Charged as hard-to-handle item (See Section 4)

SECTION 6: Charges for Miscellaneous Refuse Services. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for miscellaneous refuse services and for the purchase of miscellaneous items for use in disposing of refuse:

SCHEDULE OF MISCELLANEOUS FEES

<u>Service or Item</u>	<u>Charge</u>
Small Recycling Carts	\$50.00 each
Large Recycling Carts	\$67.00 each

SECTION 7: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 8: Payment Period. All bills issued for refuse service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 no more than 30 days from the date of the issuance of the bill.

SECTION 9: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 10: Effect of Resolution. The rates established herein shall apply to all bills issued on or after April 1, 2013, and this resolution shall supersede Resolution R-10-2012.

SECTION 11: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this _____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: March 5, 2013

Adopted:



Agenda Item Executive Summary

Title: Resolution R-13-2013: General Permit & License Fees (Informational Only)

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input checked="" type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The proposed budget has no major changes to the existing fees and most customers will see no change in costs for these items. This resolution is distributed prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget.

The only change of note in fees is an updated hourly rates for instances when the Village bills for services. The Village rarely bills for services, so this change is not projected to have no impact on a typical residential or commercial customer.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting.

Recommendation / Suggested Action: *(briefly explain)*

Provide the public with notice of the proposed changes in general permit and fee amounts.

Attachments: *(please list individually)*

R-13-2013 A resolution establishing general permit and license fees.

RESOLUTION NO. R-13-2013

**A RESOLUTION
AMENDING GENERAL PERMIT, LICENSE AND REGISTRATION FEES,
PARKING AND TOWING FEES AND
MISCELLANEOUS SERVICE FEES**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, with the authority and, except as limited by said Section 6 of Article VII, is authorized to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Council of the Village of Winnetka finds that setting of rates and fees for various permits, licenses and services is a matter pertains to the government and affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Permit, License and Registration Fees. Fees are hereby established for certain permits, licenses and registrations, in the amounts and for the purposes set forth in the following Schedule of General Permit, License and Registration Fees, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

SCHEDULE OF GENERAL PERMIT, LICENSE AND REGISTRATION FEES

Note: All annual permits, licenses and registrations other than motor vehicle licenses are due and payable on or before the beginning of each fiscal year, April 1, and remain in effect until the end of the applicable fiscal year, the following March 31. Motor Vehicle Licenses are due and payable on or before January 1 of each year and remain in effect until the end of the calendar year, December 31.

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Amusement Devices</i>		5.12.010
Daily	\$15.00	
Annual	\$25.00	
<i>Animals</i>		6.08.010
Dog License (Annual)		
Unspayed Female	\$15.00	
All Other Dogs	\$10.00	
<i>Animals (counted)</i>		6.08.010
Replacement License	\$2.00	
Taking up or Impounding Dog	\$55.00	
<i>Bicycle Registration</i>	No Fee	10.32.060

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Billiard Rooms & Pool Rooms</i>		5.52.020
Annual License (per table)	\$10.00	
<i>Bowling Alleys</i>		5.52.030
Annual License (per alley)	\$10.00	
<i>Coin Operated Musical Devices</i>		5.16.010
Annual License (per device)	\$25.00	
<i>Charitable and Political Solicitation</i>	None	5.48.010
<i>Circuses and Carnivals (Daily)</i>	\$100.00	5.52.040
<i>Drug Paraphernalia Sales</i>		9.04.070
Annual Registration Fee	\$25.00	
<i>Film Production Application Fees</i>		
Basic Application Processing Fee	\$1,000.00	5.20.070
Additional Application Processing Fee (Per Hour)	\$250.00	5.20.070
<i>Food Dealers</i>		
Restaurant Permit: (Annual, based on seating capacity)		5.24.010
1-20	\$35.00	
21-50	\$45.00	
51-100	\$50.00	
More than 100	\$75.00	
Fast Food/Drive-In	\$75.00	
Food Store Permit (Annual, per cash register)	\$25.00	5.24.010
Itinerant Food Vendor Permit (Annual)	\$15.00	5.24.010
Vending Machine Operator Permit (Annual, per machine)	\$15.00	5.24.010
<i>Foresters, Tree Surgeons</i>		5.72.010
Annual License	\$15.00	
<i>Garbage and Refuse Scavenger</i>		8.16.040
Annual License	\$500.00	
<i>Junk Dealers (Annual)</i>		5.32.010
License, Base Fee	\$50.00	
Vehicle Fee (per vehicle)	\$25.00	

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Laundries</i>		5.36.010
Annual Fee	\$15.00	
<i>Liquor Licenses</i>		5.09.100
Class A-1 Restaurant (Annual)	\$1,000.00	
Class A Restaurant (Annual)	\$750.00	
Packaged Meal Rider (Take-out; Annual)	\$150.00	
Class B - Grocery Store (Annual)	\$750.00	
Class C - Special Event (Daily)	\$25.00	
Maximum per event more than 2 days	\$75.00	
Class D – Package delivery service/mail	\$150.00	
Class E - Limited Food Products Store (Wine)	\$500.00	
Class E-1 - Limited Food Products Store (Wine or Beer)	\$500.00	
Class P - Park District (Annual)	\$500.00	
Sidewalk Restaurant Rider	\$150.00	
<i>Money Changers</i>		5.40.010
Annual Fee, per location	\$25.00	
<i>Parades and Processions</i>	None	10.08.060
<i>Pawnbrokers</i>		5.44.010
Annual Fee, per location	\$100.00	
<i>Peddlers</i>		5.48.010
License, if NO vehicle used		
Per year	\$25.00	
Per month	\$10.00	
Per day	\$3.00	
License, if vehicle used		
Per year, per vehicle	\$50.00	
Per month, per vehicle	\$15.00	
Per day, per vehicle	\$5.00	
<i>Public Dance Halls</i>		5.56.010
Per year	\$100.00	
Per Day	\$20.00	
<i>Public Garage and Service Station</i>		5.60.010
Base fee, annual	\$50.00	
For each fuel pump	\$5.00	
<i>Raffle, per event</i>	\$25.00	9.04.040

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Second Hand Dealers</i>		5.64.010
Annual Fee, per location	\$25.00	
<i>Taxicab Operator's License</i>	\$2.00	5.68.050
<i>Vehicle (Motor) Licenses</i>		10.12.030
Annual Fee	\$40.00	
Semi-Annual Fee (if purchased after 6/30)	\$20.00	
Transfer Fee	\$1.00	

SECTION 2: Parking Permit Fees. Pursuant to Chapter 10.24 of the Winnetka Village Code, titled, "Parking," the following fees are hereby established for parking permits:

SCHEDULE OF PARKING PERMIT FEES

Semi-Annual Parking Permits (Commuter Parking Permits)

Note: Semi-annual parking permits are issued for the periods of January through June and July through December. Purchase and refund amounts are prorated based on the month in which the purchase or refund request is made. Only persons who reside in the Village of Winnetka, and who have a current Village vehicle sticker for a vehicle registered with the State to a Winnetka address, are eligible for the resident fee.

Month of Purchase or Refund	Purchase Cost		Refund Amount	
	Resident	Non-Resident	Resident	Non-Resident
January or July	\$100.00	\$220.00	\$83.33	183.33
February or August	83.33	183.33	66.67	146.67
March or September	66.67	146.67	50.00	110.00
April or October	50.00	110.00	33.33	73.33
May or November	33.33	73.33	16.67	36.67
June or December	16.67	36.67	–	–

Annual Parking Permits (Not refundable)

Business District Employee Parking Permit \$10.00

Daily Parking Permits (Not refundable)

~~**Note:** Beginning July 1, 2000, refunds will no longer be available for unused daily parking permits.~~

Commuter Parking Lots \$3.00
Business District Employee Parking \$3.00

Remote Lot Parking Permits (Public Works Yards)

Semi-annual charge per vehicle \$120.00

Note: The Village Manager may issue permits to allow parking on a limited basis at the Village’s landfill site, 1390 Willow Road, by businesses located in the Village of Winnetka, including but not limited to the United States Postal Service, for parking of their fleet vehicles, and by businesses located in the Village of Winnetka that are engaged in the retail sale of automobiles, for parking of their sales inventory. The Village Manager shall determine the number and location of such spaces that may be made available on the site may vary from time to time. Such space shall be limited to areas of the site that the Village Manager determines will not interfere with the Village’s use of the site. Requests for such parking shall be made directly to the Village Manager. Remote parking spaces shall not be available for the general public.

~~Remote Parking Permit (Semi-annual charge per vehicle) \$120.00~~

SECTION 3: Fees for Vehicle Impoundment and Towing. Fees and charges are hereby established for the impoundment, towing and storage of vehicles upon the issuance of a final notice for unpaid parking tickets, as set forth in the following Impoundment and Towing Fee Schedule, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

IMPOUNDMENT AND TOWING FEES

<u>Type of Fee</u>	<u>Fee Amount</u>	<u>Conditions for Payment or Refund</u>
<i>Impoundment</i>	\$200.00	Payment is required prior to release of vehicle. Payment will be refunded if the hearing officer determines that the impoundment was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Towing and/or Storage - Private Contractor</i>	Actual cost as billed by the towing or impounding facility	Payment is required prior to release of towed, removed, relocated and/or stored vehicle. Payment will be refunded if the hearing officer determines that the towing, removal, relocation and/or storage was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Storage on Village Property</i>	\$10.00 per day, per vehicle	Payment is required prior to release of stored vehicle. Payment will be refunded if the hearing officer determines that the storage was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Collateral</i>	100% of the amount of all outstanding fines due, as stated in the final notice.	Payment is required prior to release of impounded, towed, removed, relocated and/or stored vehicle. Payment is also required before a request for a judicial proceeding made pursuant to a “final notice” is processed. Payment will be refunded if, as the result of the dismissal of outstanding or unsettled traffic violation

<u>Type of Fee</u>	<u>Fee Amount</u>	<u>Conditions for Payment or Refund</u>
		notices, judgments and/or warrants by a court of competent jurisdiction, the impounded or removed vehicle is subject to fewer than five unsatisfied fines for violation of any parking ordinance of the Village.

SECTION 4: Miscellaneous Service Fees. Fees are hereby established for certain miscellaneous services and purchase items in the amounts and for the purposes set forth in the following Schedule of General Permit, License and Registration Fees, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

SCHEDULE OF GENERAL PERMIT, LICENSE AND REGISTRATION FEES

<u>Miscellaneous Service Fees</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Ambulance Services</i>		2.52.040
Advanced Life Support	\$675.00	
Basic Life Support	\$525.00	
<i>Audit (Print copy)</i>	\$35.00	
<i>Annual Budget (Print copy)</i>	\$35.00	
<i>Certified copies (per certification)</i>	\$1.00	
<i>Comprehensive Plan</i>		
With Maps	\$35.00	
Without Maps	\$8.50	
<i>Copying, Scanning and Printing Charges</i>		
In-house copying		
Black & White, 8½” x 11” (per side)	\$0.15	
Black & White, 8½” x 14” (per side)	\$0.15	
Black & White, 11” x 17” (per side)	\$0.50	
Color, 8½” x 11” (per side)	\$0.50	
Color, 8½” x 14” (per side)	\$1.00	
Color, 11” x 17” (per side)	\$1.00	
Out-sourced copying	Actual Cost	
Oversize documents (plats, etc.)	Actual Cost	
CD-ROM (per disk)	\$5.00	
DVD recordings of meetings (per DVD)	\$20.00	
<i>Fire Alarm Monitoring Services</i>	\$55.00	
(direct connections to Village’s fire alarm monitoring system only)	per month	

<u>Miscellaneous Service Fees</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Other, Unspecified Services</i>	Actual Cost	
<i>Street Cleaning</i>	\$550.00	
<i>Unincorporated Fire Service</i>	(See Resolution R-15-2013)	13.040.120
<i>Winnetka Village Code</i>	\$200.00	
<i>Winnetka Zoning Ordinance</i>	\$10.00	

SECTION 5: Fees for Special Services, Film Production and Special Events.
 Services provided or performed in conjunction with film production permits issued pursuant to Chapter 5.20 of the Winnetka Village Code and in conjunction with special event permits issued pursuant to Chapter 5.66 of the Winnetka Village Code shall be subject to the following fee schedule.

SCHEDULE OF SPECIAL SERVICE FEES

Note: The following hourly rates shall be assessed for: (i) all services provided in conjunction with film production and film production permits issued pursuant to Chapter 5.20 of the Village Code; (ii) all services provided in conjunction with film special events and events subject to special events permits issued pursuant to Chapter 5.66 of the Village Code; and (iii) all other non-standard services provided by Village personnel and all other uses of Village equipment not subject to specific fees set out in either this resolution R-13-2013 or resolution R-14-2013.

<u>Department</u>	<u>Hourly Rate</u>
<i>Village Administration & Finance Departments</i>	
Village Manager	\$340 \$350
Assistant to the Village Manager	\$280 \$290
Village Attorney	\$340 \$350
Department Head	\$280 \$290
Supervisory Personnel	\$180 \$190
Clerical/Support Staff	\$180 \$190
<i>Police Department</i>	
Command Staff (Deputy Chief, Commanders)	\$230 \$240
Sergeants	\$180 \$190
Patrol Officers	\$150 \$160
Support Staff	\$130 \$140
Vehicles	\$50
<i>Fire Department</i>	
Command Staff (Deputy Chief, Captains)	\$230 \$240
Lieutenants	\$180 \$190
Fire Medics	\$150 \$160
Support Staff	\$130 \$140
Light Vehicles	\$60

<u>Department</u>	<u>Hourly Rate</u>
Ambulance	\$100 <u>\$110</u>
Fire Truck / Engine	\$450 <u>\$460</u>
 <i>Public Works</i>	
Supervisory	\$180 <u>\$190</u>
Engineers	\$180 <u>\$190</u>
Maintenance Workers	\$130 <u>\$140</u>
Light Trucks	\$60
Medium Trucks	\$90
Heavy Trucks, Refuse Trucks, Street Sweepers	\$120 <u>\$130</u>
 <i>Community Development</i>	
Assistant Director	\$230 <u>\$240</u>
Planners, Architect	\$180 <u>\$190</u>
Inspectors	\$150 <u>\$160</u>
Clerical / Support Staff	\$130 <u>\$140</u>
Vehicles	\$50
 <i>Water & Electric</i>	
Deputy Director, Chief Engineer	\$230 <u>\$240</u>
Supervisory	\$180 <u>\$190</u>
Plant Operators	\$150 <u>\$160</u>
Linesmen	\$150 <u>\$160</u>
Clerical / Support Staff	\$120 <u>\$130</u>
Light Trucks	\$60
Medium Trucks	\$70 <u>\$90</u>
Heavy Trucks, Boom Trucks	\$120 <u>\$130</u>

SECTION 6: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 7: This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 8: Effect of Resolution. This resolution supersedes Resolution R-11-2012.
[Remainder of this page intentionally left blank.]

SECTION 9: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this _____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk



Agenda Item Executive Summary

Title: Resolution R-14-2013: Building, Zoning & Construction Fees (Informational)

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input checked="" type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The proposed budget has no changes to the existing fees. This resolution is distributed prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget.

The Community Development Department is preparing some information to evaluate how Winnetka's fees compare to those in other communities. Only one change is proposed in the attached resolution, updating the fee charged for legal services to be consistent with the rate established in R-13-2013.

It is not common for the Village to charge for legal services, so this will impact few, if any customers. No other changes to Winnetka's charges are proposed at this time.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting.

Recommendation / Suggested Action: *(briefly explain)*

Provide the public with notice of the proposed building, zoning, and construction fees.

Attachments: *(please list individually)*

R-14-2013 A resolution establishing building, zoning, and construction fees.

RESOLUTION NO. R-14-2013

**A RESOLUTION
AMENDING THE SCHEDULE OF FEES FOR
BUILDING, ZONING AND CONSTRUCTION ACTIVITY**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax and (iv) to incur debt; and

WHEREAS, the Council of the Village of Winnetka finds that all matters pertaining to the regulation of building, zoning and construction activities within the Village of Winnetka, including but not limited to establishing fees for permits for such activities, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Permit, License and Registration Fees. Pursuant to the sections of the Winnetka Village Code (“Code”) referred to in the following Schedule Building, Zoning and Construction Activity Fees (“Fee Schedule”), there are hereby established certain permit, license and registration fees, in the amounts and for the purposes set forth in said Fee Schedule:

SCHEDULE OF BUILDING, ZONING AND CONSTRUCTION ACTIVITY FEES

WORK PERFORMED WITHOUT PERMITS

All permit fees for work performed without a required permit shall be double the amount of the fees for the required permits.

PERMIT DEPOSITS (Section 15.32.020)

[**Note:** Deposits must be submitted with permit applications. The Village’s costs, including plan review and reinspections, will be deducted from deposits. Deposit balances will be retained by the Village until a final certification of occupancy is approved.]

For Building Demolition Permits with site restoration plans	\$3,000
For Installation of New Security, Fire Detection or other Fire and Life Safety Systems (Chapters 8.04 and 15.16)	\$1,500
For Temporary Certificate of Occupancy	\$3,000
For Tree Replacement (for each inch of DBH)	\$250

[Remainder of this page intentionally left blank.]

PLAN REVIEW FEES (Sec. 15.32.020)

For all Building Permits, other than restrictive building permits:

- 15% of building permit fee, \$70 minimum.

For review of Construction Document Revisions

- \$15 for each review where original building permit was subject to minimum fee, building permit is not required, or revision is for a restrictive building permit.
- \$100 for all reviews of any revision to building permit construction documents that do **not** require zoning, engineering, and/or forestry reviews.
- \$130 for all reviews of any revision to building permit construction documents that require zoning, engineering, and/or forestry reviews for minor revisions (as determined by the Director of Community Development).
- 25% of original plan review fee for all reviews of any major revision to building permit construction documents (as determined by the Director of Community Development).

For engineering review required for building in the flood plain:

- \$500 for flood plain development review
- \$500 for LOMR review

For plan reviews required for fire and life safety systems (Sec. 15.16.070) (deducted from any deposits)

- Fire Department review
- Technical review services

\$100.00
Variable,
based on
actual cost to
Village

CONTRACTOR PERMIT BOND (Sec. 15.32.060)

\$20,000

[**Note:** Bond to be payable to the Village of Winnetka; required of all contractors. Pursuant to the Contractor Unified License and Permit Bond Act of 1998, contractor may provide a certified copy of his/its current unified contractor bond in amount of no less than \$50,000, as on file with Cook County Clerk.]

BUILDING PERMIT FEES (Sec. 15.32.020)

Renovations, including remodeling and additions, to Existing Structures and for other construction activity not specified by Resolution of the Council

- \$30 per \$1,000 or fraction thereof of the estimated total project cost, \$70 minimum.

Construction [as defined in Title 17, for all primary structures and additions thereto, including basement and attic areas, whether finished or unfinished, crawl space, attached garages and detached accessory structures, all without deducting for zoning allowances, bonuses or other exceptions]

- \$1.30 per horizontal square foot, but not less than \$70.00,

BUILDING PERMIT FEES (*cont'd*)

Below Grade Parking Facilities

- \$0.80 per Gross Floor Area square foot.

RESTRICTIVE PERMITS

[**Note:** Includes initial plan review fee.]

Canopy/Awning (Sec. 15.44.030) \$70 each
[**Note:** Certificate of Appropriateness of Design may be required.]

Construction Trailers (Sec. 15.32.020) \$220 each

Demolition (Sec. 15.52.010)

- For each accessory structure \$45
- For demolition with building permit application and complete construction documents. \$16,070
- For demolition with site restoration plan and schedule. \$16,070
- Reimbursement of payment made by Village of Winnetka to Winnetka Historical Society for research related to demolition of a primary structure \$600

Fences (Sec. 15.44.060) \$65 each

Roofing (Sec. 15.32.020 and 15.44.100) \$65 each

Signs (Sec. 15.60.140)

[**Note:** Signs may require Certificate of Appropriateness of Design.]

- For each non-illuminated signs \$60
- For each illuminated sign (includes electrical permit fee) \$195

Swimming Pools (Sec. 15.56.020) \$515

[**Note:** Includes electrical, plumbing and fence permits]

Tree Enhancement/Tree Protection Plan Review (Sec. 15.28.070)

- For each review per lot in development site \$90

Landscape/Tree Replacement Plan Review (Sec. 15.28.050 and 15.28.060)

- For each review per lot in development site \$90

Tree Removal Permit Fee (Sec. 15.28.040)

- For each tree \$60

[Remainder of this page intentionally left blank.]

ELECTRICAL PERMITS (Sec. 15.32.020 and 15.44.050)

[Note: Includes initial plan review fee.]

Base Fee for All Permits	\$70
Electrical Fixtures, per fixture	\$1.10
Heating/Air Conditioning/Ventilation (HVAC), per unit [Note: Requires HVAC permit.]	\$25
Motors over 0.5 hp, per motor	\$25
New Service or Modifications to Existing Service Entrance Equipment [Note: Permit fees are waived if modifications result from Water & Electric Department's scheduled undergrounding program.]	
• Less than 200 amps, per new service or modification	\$50
• 200 amps or more, per new service or modification	\$60
Outlets, per outlet	\$1.10
Temporary Service, per service	\$340

PLUMBING AND MECHANICAL (HVAC) PERMITS (Sec. 15.32.020 and 15.44.050)

[Note: Includes initial plan review fee.]

Plumbing

- Base Fee for All Plumbing Permits (includes 5 fixtures) \$70
- Plumbing Fixtures (beginning with the sixth fixture), per fixture \$10
- Process Piping for Heating System, per unit \$100

Lawn Sprinklers

- Base Fee \$80
- Per Sprinkler Head \$0.90

HVAC

[Note: Exterior installations require zoning approval.]

- Base Fee for all HVAC Permits \$70
- For replacement of duct work only, per unit \$45

HVAC (Cont'd)

- For totally new system, per unit \$90
- For each roof-top unit, new or replacement \$100
- For each new or replacement AC unit, if total capacity on the property is 8 tons or more. \$100

[Remainder of this page intentionally left blank.]

MISCELLANEOUS FEES

Development Agreement — Base Fee (Sec. 15.32.080(K))	\$1,500
[Note: Base Fee includes standard staff review time and 3 hours of Village Attorney time.]	
Development Agreement — Supplemental Fee (Sec.15.32.080(K))	Variable, based on Staff time spent and rates set by R-13-2013
All Village costs in excess of those included in the Base Fee shall be based upon the actual time spent by the Village, plus costs incurred, and shall be incorporated into the Development Agreement.	
Fire Prevention Permit (Sec. 15.16.040)	\$100
Partial Permits (Sec. 15.32.110)	\$150
Permit Renewal (Sec. 15.32.200)	50% of total original permit fees
Stop Work Order (Sec. 15.04.080)	
• 1 st Stop Work Order	\$250
• 2 nd Stop Work Order	\$500
• 3 rd Stop work Order	\$750
Temporary Certificate of Occupancy (Sec. 15.36.010)	\$275
Village Attorney Services (Sec. 5.66.040)	\$340 -\$350 per hour, ½ hour increments
For non-standard services related to post-approval implementation or amendment of development agreements, subdivisions and planned developments	

PETITION FILING FEES

Administrative Appeals	
• Building Code Appeals (Sec. 15.72.010)	\$350
• Zoning Appeals (Sec. 17.72.010)	\$450
Certificate of Appropriateness of Design (Sec. 15.40.010)	
• For each new primary structure or addition thereto	\$450
• For each application for signs, canopies, or awnings	\$55
• All other requests	\$110
Consolidation of Land into single parcel (Sec. 16.08.010)	\$550
Driveway Variation (Sec. 12.12.010 and 15.44.040)	\$265
Flood Plain Variation (Sec. 15.68.100)	\$715
Sign Variation (Sec. 15.60.250)	\$220
Special Use Permit (Sec. 17.56.010)	\$935

PETITION FILING FEES (cont'd)

Subdivision of Land – Base Fee (Sec. 16.04.040)	\$935
[Note: Base Fee includes standard staff review time and 3 hours of Village Attorney time.]	
Subdivision of Land – Supplemental Fee (Sec. 15.32.080(K))	Variable,
All Village costs in excess of those included in the Base Fee shall be based upon the actual time spent by the Village, plus costs incurred. For projects requiring a Development Agreement, the supplemental fee shall be incorporated into the Development Agreement t.	based on Staff time spent and rates set by R-11-2012
Zoning Map Amendment (Sec. 17.72.040)	\$800
Zoning Planned Development (Chapter 17.58)	\$935
Zoning Special Use (Chapter 17.56)	\$935
Zoning Text Amendment (Sec. 17.72.040)	\$800
Zoning Variation by Zoning Administrator (Minor Variation) (Sec. 17.60.015)	\$250
Zoning Variation by Ordinance (Major Variation)(Sec. 17.60.030)	\$800
Zoning Variation by Zoning Board of Appeals (Standard Variation) (Sec. 17.60.020)	\$400

STREETS, SIDEWALKS, DRIVEWAYS AND OTHER IMPERMEABLE SURFACES
(Sec. 12.04.110)

Driveway curb cut, new or enlarged (Sec. 12.12.010)	\$75
[Note: Requires street excavation/occupancy permit and right-of-way deposit]	
Right-of-way Excavation and/or Occupation (Sec. 12.16.010)	\$125
Sewer (Sec. 15.24.090)	\$150
Impermeable Surfaces (including driveways, sidewalks, patios, etc.) (Sec. 12.08.010)	\$75
Street Replacement (Sec. 12.04.20)	
• Base fee - Streets resurfaced 5 or fewer years prior to the date of permit application	\$2,000
• Base Fee - Streets resurfaced more than 5 years prior to the date of permit application	\$1,000
• Per square yard of base (any type of base)	\$125

SECTION 2: Determination of Construction Costs. In setting any fee based on the cost of construction, the Director of Community Development may use any of the following methods:

- A. an estimate furnished by the permit applicant;
- B. a certification of the cost of construction from a licensed architect or a registered structural or professional engineer;
- C. an affidavit from the owner or the owner's agent setting forth the estimated cost of the proposed work; or
- D. a calculation to be made by the Director of Community Development, based on the most current edition of the RS Means Square Foot Costs Book.

SECTION 3: Fee for Returned Payments. A fee in the amount of \$150.00 or 5% of the permit fee, whichever is greater, shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. This resolution supersedes Resolution R-12-2012.

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this _____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk



Agenda Item Executive Summary

Title: Resolution R-15-2013: Fire Service Fees (Informational Only)

Presenter: Edward McKee, Finance Director

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input checked="" type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

The Village Council held meetings on February 6, 2013, February 13, 2013, and February 20, 2013 to review the proposed budget.

The Village annually calculates an unincorporated fire service fee based on a formula. This resolution is distributed prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

The Village held three meetings on the proposed 2013/2014 budget. The unincorporated fire service fee is assessed based on a formula that takes into account the equalized assessed value of the area served, calls for service, and fire department expense data. This formula is designed to ensure an equitable charge for unincorporated customers.

The proposed resolution sets the cost of providing service to the Village of Kenilworth at \$474,692.58 for next year, a decline of \$158.68.

The proposed resolution sets the individual homeowner amount at \$985.86 per year (\$82.16 per month).

Winnetka's unincorporated water customers are required to pay for unincorporated fire service by code, as they benefit from the fire hydrants in the area available for fire suppression purposes.

The Village will consider adoption of this resolution at the March 19, 2013 Council Meeting.

Recommendation / Suggested Action: *(briefly explain)*

Provide the public with notice of the proposed unincorporated fire service fees.

Attachments: *(please list individually)*

1. R-15-2013 A resolution establishing non resident fire service fees
2. Fire contract worksheet for fiscal year 2013 / 2014

A RESOLUTION ESTABLISHING THE ANNUAL FEE FOR THE MAINTENANCE AND AVAILABILITY OF FIRE SUPPRESSION AND RESCUE SERVICES TO CERTAIN PREMISES IN UNINCORPORATED NEW TRIER TOWNSHIP

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka (“Village”) owns and operates a water utility that provides all water service within the Village of Winnetka; and

WHEREAS, the Village’s water utility also provides water service to certain customers located in unincorporated areas outside the corporate limits; and

WHEREAS, Section 13.04.120 of the Winnetka Village Code, “Charges for the maintenance and availability of fire suppression and emergency paramedical and rescue services,” provides for the establishment of an annual fee for providing such services to any customer of the Village of Winnetka water utility located outside of the corporate limits of the Village and in the vicinity of one or more fire hydrants maintained by the Village for fire protection purposes; and

WHEREAS, Section 13.04.120 of the Winnetka Village Code establishes the formula for determining said annual fee; and

WHEREAS, calculations performed by Village staff pursuant to Section 13.04.120 of the Winnetka Village Code have established that the annual amount to be charged to each of the premises in unincorporated New Trier Township that receives fire suppression and rescue services from the Village of Winnetka is ~~One Thousand Seventy Seven Dollars and Twenty Four Cents (\$1,077.24)~~ Nine Hundred Eighty-Five Dollars and Ninety-Two Cents (\$985.92); and

WHEREAS, in accordance with Social Security Administration Medicare billing requirements, non-residents receiving emergency medical services from the Village are charged the same fixed, per call charge for such services that Village residents pay, pursuant to the Village’s annual general fee and rate resolutions; and

WHEREAS, the Council finds that it is fair and reasonable to set the charges for non-resident fire suppression and rescue services at ~~One Thousand Seventy Seven Dollars and Twenty Four Cents (\$1,077.24)~~ Nine Hundred Eighty-Five Dollars and Ninety-Two Cents (\$985.92) for the fiscal year commencing April 1, 2013; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village’s water utility, including but not limited to providing water service to residents in nearby unincorporated areas and establishing the rates, terms and conditions for such service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: The foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as if fully set forth herein.

SECTION 2: Effective April 1, 2013, the annual amount to be charged to each premises that is located in unincorporated New Trier Township and is receiving fire suppression and rescue services from the Village of Winnetka is hereby established in the amount of ~~One Thousand Seventy-Seven Dollars and Twenty-Four Cents (\$1,077.24)~~ Nine Hundred Eighty-Five Dollars and Ninety-Two (\$985.92).

SECTION 3: All non-resident water customers who are subject to the foregoing fire suppression fee shall pay for emergency medical services on a per call basis, at the rates established in the Village's general fee and rate resolutions.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. This resolution supersedes Resolution R-13-2012.

SECTION 5: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this _____ day of March, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

FIRE CONTRACT WORKSHEET FOR FISCAL YEAR 2013/2014 (beginning April 1, 2013)

The annual reimbursement for fire suppression and emergency paramedical and rescue services provided by the Village of Winnetka to the Village of Kenilworth and to certain premises located in unincorporated New Trier Township is based upon the following terms:

	<2010>	ESTIMATED
A. POPULATION (latest decennial census figures available):		
Total New Trier Township.....		56,205
Incorporated New Trier Township:		
Village of Kenilworth.....	(2,513)	
Village of Winnetka.....	(12,187)	
New Trier Township portion of Village of Glencoe.....	(8,723)	
New Trier Township portion of Village of Wilmette.....	(27,087)	
New Trier Township portion of Village of Glenview.....	(3,173)	
New Trier Township portion of Village of Northfield.....+	(1,696)	

Total Incorporated New Trier Township.....=		(55,379)
Unincorporated New Trier Township		826
		=====
Fire Service Area:		
Village of Kenilworth.....		2,513 (16.19%)
Village of Winnetka.....		12,187 (78.49%)
Unincorporated New Trier Township.....+		826 (5.32%)

Total Fire Service Area.....=		15,526
		=====
B. EQUALIZED ASSESSED VALUATION (latest figures available):		
Total New Trier Township.....	<2010>	\$4,946,850,035
Incorporated New Trier Township:		
Village of Kenilworth.....	(\$315,051,106)	
Village of Winnetka.....	(\$1,440,254,090)	
New Trier Township portion of Village of Glencoe.....	(\$929,105,591)	
New Trier Township portion of Village of Wilmette.....	(\$1,862,970,271)	
New Trier Township portion of Village of Glenview.....	(\$130,505,841)	
New Trier Township portion of Village of Northfield.....+	(\$142,163,871)	

Total Incorporated New Trier Township.....=		(\$4,820,050,770)
Unincorporated New Trier Township		\$126,799,265
		=====
Fire Service Area:		
Village of Kenilworth.....		\$315,051,106 (16.74%)
Village of Winnetka.....		\$1,440,254,090 (76.52%)
Unincorporated New Trier Township.....+		\$126,799,265 (6.74%)

Total Fire Service Area.....=		\$1,882,104,461
		=====
C. CALLS (for fire suppression and emergency paramedical and rescue services, including false, for twelve-month period January 1st through December 31st):		
Fire Service Area:	<2011>	
Village of Kenilworth.....		160 (7.24%)
Village of Winnetka (including auto and mutual aid calls).....		2,002 (90.55%)
Unincorporated New Trier Township.....+		49 (2.22%)

Total Fire Service Area.....=		2,211
		=====

D. FIRE SERVICE AREA ANNUAL BUDGET (for fiscal year corresponding to term of contract):

	<2013/2014>	
Winnetka Fire Department annual budget...including capital		\$4,877,494
Less:		
Fire Prevention -- salaries & retirement <*>.....	(\$940,036)	
Fire Prevention -- services.....	(57,100)	
Fire Prevention -- employee insurance benefits <*>.....	(99,768)	
Foreign Fire Insurance	(75,000)	
Joint Services Credit	(30,000)	
Building Improvements	0	
Major capital in proposed budget.....	0	

Total subtractions.....		(1,201,904)
Plus:		
Amortized Major Capital <*>.....	\$136,467	

Total Additions.....		136,467

Kenilworth contract annual budget calculation		\$3,812,057
		=====

<*> These figures each equal exactly 25% of total budgeted departmental expenditures for salaries, retirement and employee insurance benefits. The resulting dollar figures shown are estimates of the department's respective budgeted amounts allocated to fire prevention activities within Winnetka.

<*> This figure is the sum of:

1993	Public Safety Bldg Renovation Plans (Fire); \$30,000 over 30 years installment #21 of 30; installments required through FY 2022/23	1,000
1995	Pumper Truck; \$211,000 over 20 years; installment #19 of 20; installments required through FY 2014/15	10,550
2007	Training Tower \$290,000 over 20 years installment #7 of 20; installments required through FY 2027	14,500
1998	Conversion of Pumper to Rescue/Pumper; \$42,000 over 17 years; installment #16 of 17; installments required through FY 20014/15	2,471
2000	Pumper Truck; \$290,000 over 20 years; installment #14 of 20; installments required through FY 2019/20	14,500
2011	Command Vehicle;\$60,000 over 10 years installment #3 of 10; installments required through FY 2021/2022	6,000
2008	Staff Vehicle; \$35,000 over 6 years; installment #6 of 6; installments required through FY 2014/2015	5,833
d/c		
2010	Ladder/Quint truck; \$674,400 over 20 years installment #4 of 25;.....	33,720
2004	Self Contained Breathing Apparatus; \$60,000 over 14 years; installment #10 of 14; installments required through FY 2019/2020	4,143
2012	Ambulance;\$275,000 over 12 years installments #2 of 12; installments required through FY 2024/2025	22,917
2007	Ambulance;\$180,000 over 12 years installments #7 of 12; installments required through FY 2019/2020	15,000
2012	Staff Vehicle;\$35,000 over 6 years; installment #1 of 6; installments required through FY 2019/2020	5,833
chief		
	Total amortized major capital	\$136,467
		=====

E. CREDIT FOR PAYMENTS MADE TO THE VILLAGE OF WINNETKA EXCEEDING EXPENSES (for latest available audited fiscal year):

<2011/2012>

Amount budgeted for Winnetka Fire Department Operations.....	\$4,565,410	
Final audit amount for Winnetka Fire Department.....- reflects operating expenses	(\$4,308,117)	

Rebate.....=		\$257,293
		=====

Fire Service Area budget costs were apportioned that year as follows:

Village of Kenilworth.....*	13.85%
Village of Winnetka.....*	81.18%
Unincorporated New Trier Township.....+/*	4.97%

Total.....=/*	100.0%
	=====

Therefore, the following amounts equal the respective credits
(Rebate multiplied by respective percent):

Village of Kenilworth.....=	\$35,635.08
Village of Winnetka.....=	208,870.46
Unincorporated New Trier Township.....=/+	12,787.46

Total Rebate.....=	\$257,293.00
	=====

~~~~~  
 F. ANNUAL COST OF FIRE SUPPRESSION AND EMERGENCY PARAMEDICAL AND RESCUE SERVICES  
 PROVIDED TO THE VILLAGE OF KENILWORTH:  
 ~~~~~

Village of Kenilworth population.....	2,513	
Fire Service Area population...../	15,526	

Village of Kenilworth population as a proportion of Fire Service Area population.....=	16.19%	
	=====	
Village of Kenilworth equalized assessed valuation.....	\$315,051,106	
Fire Service Area equalized assessed valuation...../	1,882,104,461	

Village of Kenilworth equalized assessed valuation as a proportion of Fire Service Area equalized assessed valuation.....=	16.74%	
	=====	
Village of Kenilworth calls.....	160	
Fire Service Area calls...../	2,211	

Village of Kenilworth calls as a proportion of Fire Service Area calls.....=	7.24%	
	=====	
Fire Service Area annual budget.....	3,812,057	
Average Village of Kenilworth proportion of Fire Service Area.....*	13.39%	

Village of Kenilworth portion of Fire Service Area annual budget.....=	\$510,327.66	
Less Village of Kenilworth latest credit.....-	(35,635.08)	

Village of Kenilworth annual amount due.....=	\$474,692.58	
	=====	

H. ANNUAL COST OF FIRE SUPPRESSION AND EMERGENCY PARAMEDICAL AND RESCUE SERVICES
 PROVIDED TO UNINCORPORATED NEW TRIER TOWNSHIP:

Unincorporated New Trier Township population.....	826	
Fire Service Area population...../	15,526	

Unincorporated New Trier Township population as a proportion of Fire Service Area population.....=		5.32%
		=====
Unincorporated New Trier Township equalized assessed valuation.....	\$126,799,265	
Fire Service Area equalized assessed valuation...../	1,882,104,461	

Unincorporated New Trier Township equalized assessed valuation as a proportion of Fire Service Area equalized assessed valuation.....=		6.74%
		=====
Unincorporated New Trier Township calls.....	49	
Fire Service Area calls...../	2,211	

Unincorporated New Trier Township calls as a proportion of Fire Service Area calls.....=		2.22%
		=====
Fire Service Area annual budget.....		\$3,812,057
Average Unincorporated New Trier Township proportion of Fire Service Area.....*		4.76%

Unincorporated New Trier Township portion of Fire Service Area annual budget.....=		\$181,370.05
Less Unincorporated New Trier Township latest credit.....-		(12,787.46)

Unincorporated New Trier Township annual amount "due".....=		\$168,582.59

Number of fire contracts between Winnetka Fire Department and Unincorporated New Trier Township...../		171

Annual amount due per contract in Unincorporated New Trier Township.....=		\$985.86
		=====

Current Monthly
 Proposed Monthly \$ 82.16

cc: Winnetka Village Manager
 Winnetka Fire Chief
 Winnetka Finance Director
 Kenilworth Village Manager



Agenda Item Executive Summary

Title: M-3-2013: 630 Pine Lane Landmark Rescission- Adoption

Presenter: Katherine S. Janega, Village Attorney

Agenda Date: 03/05/2013

Consent: YES NO

<input checked="" type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

February 19, 2013 - Ordinance M-3-2013 introduced
November 7, 2006 - Resolution R-25-2006 (Approving CBI Subdivision)
- Ordinance M-20-2006 (Certified Landmark Designation for 630 Pine Lane)
November 6, 2007 - Resolution R-43-2007 (Approving development Agreement for CBI Subdivision)

Executive Summary:

Ordinance M-3-2013 rescinds the landmark designation of the residence at 630 Pine Lane. The residence had been designated a certified landmark as part of the creation of the 3-lot CBI Subdivision of a 3.38 Acre parcel located north of Pine Street, between Hibbard Road and Pine Lane. The original builder abandoned the project, leaving the original investors unable to complete the project. None of the proposed improvements were made to the residence, which has fallen into disrepair, leading the owners to seek rescission of the landmark status so the building can be demolished. Because the owners have not sought to modify the subdivision, it is necessary to craft the rescission so that the subdivision is not altered.

Pursuant to discussion at the time of introduction, Ordinance M-3-2013 has been amended by reciting a more detailed history of the property and development, and by imposing conditions that require: (i) proof of ownership of the property, (ii) construction access from Hibbard Road, supported by a construction easement, (iii) a new Development Agreement that reiterates prior restrictive covenants, and (iv) relaxed procedures for the demolition of the residence.

Recommendation / Suggested Action: *(briefly explain)*

1. Consider a motion to amend Ordinance M-3-2013, as indicated in the tracked draft.
2. Consider a motion to pass Ordinance M-3-2013, as amended.

Attachments: *(please list individually)*

1. Agenda Report
2. Ordinance M-3-2013, with Exhibit A (clean text)
3. Ordinance M-3-2013, with Exhibit A (red-lined text)
4. Development Agreement Exhibit F (Pages 1 and 2 of the Final Plat of CBI Subdivision)
5. Pre-subdivision photo of the 630 Pine Lane
6. GIS print of subdivided property, showing building footprints
7. Winnetka Village Code Section 15.64.050, Amendment or rescission of [landmark] designation

AGENDA REPORT

SUBJECT: Ordinance M-3-2013 - 630 Pine Lane Landmark Rescission

PREPARED BY: Katherine S. Janega, Village Attorney

REF: February 19, 2013 Council Agenda, pp. 26 – 95
November 6, 2007 Council Agenda, pp. 58 – 104
November 7, 2006 Council Agenda, pp. 22 – 114

DATE: February 28, 2013

Introduction

Ordinance M-3-2013 rescinds the designation of landmark status of the residence at 630 Pine Lane, which was designed by architect Edwin Clark and built in 1922. The property at 630 Pine Lane is on Lot 2 of the CBI Subdivision, a faltering three-lot subdivision that was approved by the Village Council in 2006. One of the conditions and covenants for the CBI Subdivision required that the residence on Lot 2 be designated a certified landmark under the Village's Landmark Preservation Ordinance. (See Attachment 4, page 2)

Although the builder initially secured the residence as required in the subdivision documents and implementing Development Agreement, the building's condition has since deteriorated significantly, and the owner has therefore requested that the landmark designation be rescinded.

Pursuant to the Council's discussion when Ordinance M-3-2013 was introduced, the Ordinance has been revised to address the impact of building demolition and future construction on neighboring properties. Because the owners have not yet applied for a demolition permit and are not seeking an amendment to the subdivision, the amendments to Ordinance M-3-2013 also impose conditions that would expedite the demolition process while preserving the existing subdivision and maintaining the integrity of the procedures established by Village Code.

The attached draft of Ordinance M-3-2013 (Attachment 2) is followed by a red-lined draft that reflects all of the changes since introduction. (Attachment 3) The following sections of this Agenda Report provide a more detailed review of the history of the CBI Subdivision and an explanation of the conditions that have been incorporated into the amended Ordinance.

It should be noted that, because both the history and architecture of the residence and the rationale for the rescission of its landmark status have not changed and were fully discussed by the Council at the time of introduction, they are not revisited in this Agenda Report. However, a copy of Village Code Section 15.64.050, which pertains to the amendment or rescission of a landmark designation, is attached for the Council's reference. (Attachment 7)

CBI Subdivision

The CBI Subdivision is a three-lot subdivision of a 3.386 acre parcel of property located between Hibbard Road and Pine Lane, north of Pine Street. The subdivision was established

pursuant to Resolution R-25-2006, which was adopted by the Village Council on November 7, 2006. The Council's action on that day was the culmination of a process that had proceeded sporadically over the span of several years. (Preliminary subdivision approval had been granted in March of 2004.)

The Development Agreement required for the CBI Subdivision was approved one year later by Resolution R-43-2007, which was adopted on November 6, 2007. The Final Plat of the CBI Subdivision ("Final Plat") was recorded with the Cook County Recorder of Deeds a month later, on December 18, 2007, as Document No. 0735215110.

The property's size, location, topography and development characteristics raised some unique issues. First, the property was a long, narrow "through lot," with street frontages on both Hibbard Road and Pine Lane. Thus, dividing it into three lots would create a flag lot, which ran counter to the policies established by the Village Council. Second, the property is located in an area characterized by low density development. The Edwin Clark-designed home was near the center of the property, and the subdivision would add two more homes to the open space to the east and west of the home.

The property was also heavily wooded and, of its more than 300 trees, 151 had diameters large enough to bring them under the scope of the Village's tree protection Ordinance. In addition, the westerly portion of the property was located within the boundaries of the floodplain, making it subject to floodplain construction regulations. Therefore, subdividing and developing the property required a large amount of detention and compensatory storage for stormwater runoff. Finally, in addition to stormwater drainage, the subdivision required the installation of basic utility infrastructure, so that the two new lots would be adequately served with water, electricity and sanitary sewer services, as well as stormwater drainage. (See Attachments 5 and 6)

To address the unique characteristics of the underlying property, the Village Council imposed several covenants and conditions on the CBI Subdivision, which limited the size and location of the buildings in an effort to assure that the redevelopment of the property would be compatible with the surrounding area. Those conditions and covenants are discussed in the following section.

Conditions and Covenants for CBI Subdivision

The covenants and conditions for the CBI Subdivision were stated on the Final Plat of the CBI Subdivision, and included a requirement that the residence on Lot 2 (630 Pine Lane) be preserved and designated a certified landmark under the Village's Landmark Preservation Ordinance. The ordinance for the landmark designation (M-20-2006) was considered by the Village Council on November 7, 2006, at the same meeting the Village Council considered the final plat. By that time, the application for certified landmark status had already been considered by the Landmark Preservation Commission and the subdivision had been fully vetted. Therefore, the Village Council waived introduction and passed the ordinance the same day, immediately fulfilling a key condition for the grant of the subdivision.

Because the developers proposed an addition to the existing residence, its certified landmark status required a certificate of appropriateness from the Landmark Preservation Commission. That approval was granted and compliance with those approved drawings was included as one of the subdivision covenants stated on the Final Plat.

The Final Plat also contained covenants and conditions that limited the buildable areas, roofed lot coverage and maximum building size on each of the three lots, and required the developers of the CBI Subdivision to enter into a Development Agreement with the Village. The Development Agreement included a copy of the Final Plat of Subdivision, and those conditions and restrictive covenants were shown on a table on Page 1 of the Final Plat and in a recitation of the restrictive covenants on Page 2. (See Attachment 4)

Amended Draft of Ordinance M-3-2013

Preamble and Section 1. The amended draft of Ordinance M-3-2013 provides an expanded history of the CBI Subdivision as it relates to 630 Pine Lane. That history, outlined above, is found in the preamble to the Ordinance. The preamble also retains the discussion of the basis for the landmark designation, the difficult history of the development, and the standards for the rescission of the landmark status. As is customary, Section 1 incorporates the preamble's recitals as the Council's findings.

Sections 2, 3 and 5. Section 2 contains the basic grant of the rescission, subject to the conditions in sections 4 through 8, while Section 3 states that Ordinance M-3-2013 supersedes the ordinance that granted the original certified landmark designation. Similarly, Section 5 releases the landmark-related conditions and covenants of the subdivision.

Sections 4, 6, 7 and 8. Sections 4, 6, 7 and 8 contain the detailed conditions for the grant of rescission. Those conditions are intended to address four areas of concern: (i) ownership of the property, (ii) expediting the inevitable demolition that will result from the rescission, (iii) assuring that the release of covenants and eventual development of Lot 2 do not substantially change the substance of the approved CBI Subdivision, and (iv) addressing the impact of eventual construction on the neighborhood. Each of these areas is addressed below.

Ownership Issues. GBM has been the owner of the CBI Subdivision since it was designated and, along with CBI, is a party to the Development Agreement. However, the Secretary of State's corporate records indicate that both entities have been involuntarily dissolved, CBI in 2010, and GBM in late 2012. (GBM's status changed just days before the letter seeking rescission was submitted, and GBM probably did not have notice of the change at the time.) Because the Village's Landmark Ordinance is entirely voluntary and does not allow anyone who is not an owner to make any application for another's property, Section 4 requires proof of ownership before the rescission of the landmark is effective. This proof of ownership will also assure that the Village has the real parties in interest as parties to the Development Agreement.

Demolition procedures. As discussed at the time of introduction, the demolition procedures established by Chapter 15.52 of the Village Code involve review by the Landmark Preservation Commission to determine whether the property has historic or architectural merit.

Following a preliminary determination, an Historic and Architectural Impact Study (HAIS) must be prepared, and the Commission may order a delay to identify alternatives to demolition. Although the owners have not applied for demolition, Ordinance M-3-2013 establishes that the building has lost its landmark-worthy characteristics. However, the prior designation established a basis for a finding that the inevitable demolition of the residence will have some impact.

To address this procedural quandary, Section 6 sets out the following conditions:

- Recognizes that new construction is not imminent, and so requires a site restoration plan.
- Eliminates the preliminary determination of possible historic and architectural merit, but retains the HAIS requirement. As discussed at introduction, given the amount of documentation that accompanied the initial designation, the HAIS can be a simple compilation of prior information.
- Prohibits a preservation-related delay, but reserves the right to delay demolition for the administrative reasons set out in the Village Code (*i.e.*, public safety and convenience).

No Amendments to the Subdivision. Section 7 attempts to preserve the substance of the original subdivision. To do so, it imposes the following requirements:

- It requires an amended Development Agreement, which will require future Council action.
- The amended Development Agreement will not include preservation of the residence on Lot 2.
- It requires a new restrictive covenant to be recorded against Lot 2. The covenant must restate the limits on gross floor area, roofed lot coverage and impermeable surfaces for Lot 2 that were stated in the Zoning Table on the approved Final Plat of Subdivision. (See Attachment 4) The restrictive covenant will also limit the height of the building so that the same building envelope that was part of the original subdivision will be maintained. The restrictive covenant is shown in a table in Exhibit A to Ordinance M-3-2013. (See Attachments 2 and 3)

Construction Issues. Sections 7 and 8 also address construction access and the sequencing of construction, so as to reduce the impact of development on the neighborhood. Key limitations include:

- All construction access for Lot 1 must come from Hibbard Road.
- For Lot 2, all construction and demolition access must also be via Hibbard Road, until construction is substantially completed.
- East access to Lot 2, *i.e.*, access from Pine Lane via the “flagpole” of Lot 2, is to be closed off before any construction or demolition activity begins on either Lot 1 or Lot 2. Emergency access is preserved.

- CBI's owners must record a construction easement against Lot 1 for the benefit of Lot 2.

Final Documentation. Finally, to assure that all documentation is in place, Section 8 of the Ordinance prohibits the issuance of any building permits for Lot 1 or 2 before (i) issuance of the Demolition Permit for Lot 2, (ii) submittal of the HAIS, and (iii) the Amended Development Agreement and all covenants and conditions have been recorded.

Recommendation:

- 1) Consider amending Ordinance M-3-2013, as presented in the attached revised draft.
- 2) Consider passing Ordinance M-3-2013, as amended.

**AN ORDINANCE
RESCINDING THE LANDMARK DESIGNATION
OF THE RESIDENCE AT 630 PINE LANE**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village; and

WHEREAS, the Council of the Village of Winnetka finds that establishing standards for identifying, designating and preserving buildings and structures in the Village that are historically, culturally and architecturally significant promotes the welfare of the Village and is a matter pertaining to the affairs of the Village; and

WHEREAS, on November 7, 2006, pursuant to an application submitted by CBI Custom Homes, Inc. (“CBI”), the Council of the Village of Winnetka (“Village Council”) adopted Resolution R-25-2006, which approved a three-lot subdivision of a 3.386 acre parcel of property located between Hibbard Road and Pine Lane, north of Pine Street (“CBI Subdivision”); and

WHEREAS, the Final Plat of the CBI Subdivision (“Final Plat”) was recorded with the Cook County Recorder of Deeds on December 18, 2007, as Document No. 0735215110; and

WHEREAS, in addition to being improved with a residence that was designed by well-known architect Edwin Clark and built in 1922, the CBI Subdivision property had several other defining characteristics, in that (i) it is heavily wooded, with more than 300 trees, 151 of which had diameters in excess of 8 inches, making them subject to the Village’s tree protection Ordinance, (ii) the westerly portion of the property is located within the boundaries of the floodplain, making it subject to floodplain construction regulations and requiring a large amount of detention and compensatory storage for stormwater runoff, and (iii) it is located in an established, low density neighborhood; and

WHEREAS, because of the unique characteristics of the underlying property, the Village Council imposed certain covenants and conditions on the CBI Subdivision, in order to maintain the existing neighborhood context while allowing appropriate development; and

WHEREAS, the covenants and conditions for the CBI Subdivision, which were stated on the Final Plat, included a requirement that the residence on Lot 2 of the CBI Subdivision, commonly known as 630 Pine Lane (“Subject Property”), be preserved and designated a certified landmark pursuant to Chapter 15.64 of Title 15 of the Winnetka Village Code, titled “Landmark Preservation” (the “Landmark Ordinance”); and

WHEREAS, in furtherance of Resolution R-25-2006, and pursuant to the procedures established by the Landmark Ordinance, on November 7, 2006, the Village Council also enacted Ordinance M-20-2006, designating the residence on the Subject Property a certified Village landmark; and

WHEREAS, the certified landmark designation of the residence on the Subject Property was based on two key findings: (i) that the residence was significant in its style and design, being

a rare example of the Colonial Revival style that had not undergone significant changes in more than 55 years; and (ii) that the residence was associated with Edwin Clark, a well-known architect who contributed significantly to the architectural character of the Village, having designed the Winnetka Village Hall, the North Shore Country Day School and the Indian Hill Country Club, as well as many other prominent buildings on the North Shore and in Chicago, including the Plaza del Lago shopping center, and the Reptile and Primate houses at Lincoln Park Zoo; and

WHEREAS, the Final Plat also contained covenants and conditions that limited the buildable areas, roofed lot coverage and maximum building size on each of the three lots, and required the developers of the CBI Subdivision to enter into a Development Agreement with the Village; and

WHEREAS, at the time the Final Plat was approved, the property was owned by GBM Properties, LLC (“GBM”), which had purchased the property from CBI and entered into an agency agreement whereby GBM would finance the development and CBI would implement the construction and development; and

WHEREAS, as required by Resolution R-25-2006, CBI and GBM entered into a Development Agreement with the Village, which was approved by the Village Council’s adoption of Resolution R-43-2007 and was recorded with the Cook County Recorder of Deeds on January 18, 2008, as Document No. 0801722074; and

WHEREAS, after securing the Subject Property as required by the Development Agreement, CBI proceeded to develop Lot 3 of the CBI Subdivision , but abandoned all work on the development, leaving GBM with the development responsibilities; and

WHEREAS, CBI eventually went into bankruptcy, and records of the Illinois Secretary of State indicate that both CBI Custom Homes, Inc., and GBM Properties, LLC, have dissolved; and

WHEREAS, the Village has received a written request from one of the principals of GBM, seeking to rescind the certified landmark designation of the residence on the Subject Property so that it can be demolished and the land can be marketed for redevelopment; and

WHEREAS, pursuant to due notice, the Landmark Preservation Commission considered the application to rescind the landmark status on January 7, 2013, and the five members then present unanimously recommended that the landmark designation be rescinded, having found that the applicant had met the criteria for rescission, in that: (i) the residence had deteriorated to the point that the qualities that once warranted the designation have been lost or destroyed, (ii) the architectural features cannot be repaired, (iii) the building is not economically viable and cannot yield a reasonable return in its current condition, and (iv) the alterations that would be required to restore the residence would exceed the market value of the Subject Property; and

WHEREAS, the applicant has established that the net investment in the purchase of the Subject Property and the construction of site improvements and utility infrastructure, after accounting for the development and sale of Lot 3 of the subdivision, is approximately \$5.9 million; and

WHEREAS, the applicant has established that the estimated cost of restoring the residence according to the approved plans would be an additional \$3.4 million and that the

estimated market value of the restored residence would be in the range of from \$2.9 million to \$3.1 million; and

WHEREAS, having reviewed the record presented, the Village Council accepts the findings and recommendations of the Landmark Preservation Commission and accordingly finds and determines that the applicant has established that the record shows conclusively that the qualities that caused the residence on the Subject Property to be designated a certified landmark have been lost or destroyed, due to the deteriorated condition of the Subject Property; and

WHEREAS, the Village Council further finds and determines that the cost of restoring the residence would significantly exceed the market value of the restored property; and

WHEREAS, the Village Council therefore finds and determines that the Subject Property meets the standards for rescission of the landmark designation under Section 15.64.050(A) of the Village Code; and

WHEREAS, the Village Council further finds and determines that, because rescinding the landmark status will result in the demolition of the residence on the Subject Property, it is necessary to make that rescission subject to certain conditions and covenants, to assure that development of all three lots of the CBI Subdivision will be consistent both with the Final Plat that was approved and recorded pursuant to Resolution R-25-2006 and with the terms and conditions of the Development Agreement approved and recorded pursuant to Resolution R-43-2007.

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka as follows:

SECTION 1: The foregoing recitals are hereby incorporated as the findings of the Council of the Village of Winnetka, as if fully set forth herein.

SECTION 2: Subject to the terms and conditions set forth in Sections 4 through 8 of this Ordinance, the designation of the residence located on the property at 630 Pine Lane, permanent real estate index number 05-17-312-075-0000, as a certified landmark under Section 15.04.070 the Landmark Preservation Ordinance, is hereby rescinded.

SECTION 3: This Ordinance shall supersede Ordinance M-20-2006.

SECTION 4: The rescission of the certified landmark status of the residence located on the property at 630 Pine Lane shall not go into effect unless and until the applicant provides proof of ownership of Lots 1 and 2 of the CBI Subdivision.

SECTION 5: The following conditions and covenants of the CBI Subdivision are hereby superseded and released:

A. Subsections R and S of Section 3 of Resolution R-25-2006, titled "A Resolution Accepting and Approving a Plat of Subdivision (630 Pine Lane – CBI Subdivision); provided, that in all other respects Resolution R-25-2006 shall remain in full force and effect.

B. Conditions and Covenants numbers 4 and 5 on page 2 of 2 of the Final Plat of CBI Subdivision, approved by Resolution R-25-2006, and recorded with the Cook County Recorder of Deeds on December 18, 2007 as Document No. 0735215110, a copy of which was also appended as Exhibit F to the Development Agreement approved by

Resolution R-43-2007 and recorded with the Cook County Recorder of Deeds on January 18, 2008, as Document No. 0801722074.

SECTION 6: The demolition of the residence on Lot 2 of the CBI Subdivision, and the redevelopment of said Lot 2, shall be subject to the procedures established by Chapter 15.52 of the Village Code, and shall also be subject to the following terms and conditions:

A. The application for demolition shall be accompanied by a site restoration plan.

B. In light of the prior landmark designation of the residence, the application shall not be subject to the preliminary historic and architecture review provided for in Section 15.52.040 of the Village Code.

C. Applicant shall submit a Historic and Architectural Impact Study for review and approval by the Landmark Preservation Commission pursuant to Sections 15.52.050 and 15.52.060 of the Village Code; provided, that, in light of the prior landmark designation of the residence, the Landmark Preservation Commission may, but shall not be required to, make a formal determination of historic and/or architectural impact pursuant to Section 15.52.060 of the Village Code.

D. The Village reserves the right to delay the issuance of the demolition permit for public convenience and safety, emergency or administrative purposes, as provided in subsections B through E of Section 15.52.070 of the Village Code; provided, that the demolition of the residence shall not be subject to a preservation delay under Section 15.52.070(A) of the Village Code.

SECTION 7: To assure that the development of Lots 1 and 2 of the CBI Subdivision after the demolition of the residence on Lot 2 is consistent both with the Final Plat approved by Resolution R-25-2006 and with the Development Agreement approved by Resolution R-43-2007, the owners shall enter into an amended Development Agreement, in a form acceptable to the Village Council. Said Development Agreement shall cover the same subject matter as the Development Agreement approved by Resolution R-43-2007, except that said Development Agreement shall not require the preservation of the residence on Lot 2 of the CBI Subdivision, and shall also contain the following additional covenants and conditions:

A. Access for all construction and demolition activity on Lot 1 shall be from Hibbard Road.

B. Access for all construction and demolition activity on Lot 2 shall be from Hibbard Road, until such time as the Director of Community Development determines that construction has been substantially completed. For purposes of this provision, substantial completion shall mean (i) that the buildings constructed on Lot 2 are ready for final inspection and/or occupancy, and (ii) that all landscaping on the west side of Lot 2 has been installed.

C. Prior to beginning any construction or demolition activity on either Lot 1 or Lot 2, owners shall close the east access to the Subject Property; provided, that said closure shall be done so that it does not impede access to adjacent properties and does not prevent emergency access to Lot 2. The east access shall remain closed to all construction and demolition traffic and vehicles until the Director of Community Development determines that the construction on Lot 2 has been substantially completed, as defined in the foregoing subsection B, and that the only vehicles needing access to Lot 2 are small

service vehicles and vehicles making deliveries of home furnishings. The east access to Lot 2 shall be permanently reopened when a certificate of occupancy is issued for Lot 2.

D. The owners of the CBI Subdivision, at their expense, shall record a construction easement against Lot 1 of the CBI Subdivision for the benefit of Lot 2. Said construction easement shall be in a form acceptable to the Village Attorney.

E. The owners of the CBI Subdivision, at their expense, shall record a restrictive covenant against Lot 2 that restates the limits on gross floor area, roofed lot coverage and impermeable surfaces for Lot 2 that were stated in the Zoning Table on the approved Final Plat of Subdivision. Said restrictive covenant shall also impose the same building envelope that was approved in the initial subdivision, as depicted in Exhibit A to this Ordinance. Said restrictive covenant shall be in a form acceptable to the Village Attorney,

SECTION 8: No building permits shall be issued for Lot 1 or 2 before the owner has satisfied all of the following conditions:

A. applied for and obtained a Demolition Permit for the residence on Lot 2;

B. submitted the Historic and Architectural Impact Study as required in Section 6.C of this Ordinance; and

C. completed and recorded the Development Agreement and all covenants and conditions stated in Section 7 of this Ordinance.

SECTION 9: This Ordinance is passed by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

[Remainder of this page intentionally left blank.]

SECTION 10: This Ordinance shall take effect immediately upon its passage, approval and publication as provided by law.

PASSED this ___ day of _____, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

APPROVED this ___ day of _____, 2013.

Signed:

Village President

Countersigned:

Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this ____ day of _____, 2013.

Introduced: February 19, 2013

Passed and Approved:

EXHIBIT A

**Restrictive Zoning Covenant against Lot 2 of the CBI Subdivision
as to the Maximum Allowable
Gross Floor Area, Roofed Lot Coverage, Impermeable Surfaces and Building Height
and as to the Minimum Required
Front Setback, Side Yards and Rear Yard**

Zoning Standard	Restrictive covenant for Lot 2 of CBI Subdivision	Typical zoning requirement (R-2 Zoning District)
Gross Floor Area	9,275 s.f.	10,347 s.f.
Roofed Lot Coverage	4,675 s.f.	10,490 s.f.
Total Impermeable surfaces	15,432 s.f.	20,819 s.f.

Zoning Standard	Restrictive covenant for Lot 2 of CBI Subdivision	Typical zoning requirement (R-2 Zoning District)
Front Setback (east)	77.91 ft.	50 ft.
Side Yard (north, minimum)	33.47 ft.	12 ft.
Side Yard (total)	72.78 ft. (33.47 ft.+39.31 ft.)	55.65 ft. (30%)
Rear Yard (west)	47.59 ft.	25 ft.
Building Height	29 ft. as measured from the first floor to the ridge of the gables	33 ft.

Note: The above figures for maximum allowable Gross Floor Area, Roofed Lot Coverage, Impermeable Surfaces and Building Height reflect a reduction from the maximums allowable under the Winnetka Zoning Ordinance, and the minimum required front setback, side yard and rear yard reflect an increase over the minimums required under the Winnetka Zoning Ordinance, with both the limitations and requirements being conditions of the approval of the CBI Subdivision pursuant to Village Council Resolution R-25-2006.

**AN ORDINANCE
RESCINDING THE LANDMARK DESIGNATION
OF THE RESIDENCE AT 630 PINE LANE**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village; and

WHEREAS, the Council of the Village of Winnetka finds that establishing standards for identifying, designating and preserving buildings and structures in the Village that are historically, culturally and architecturally significant promotes the welfare of the Village and is a matter pertaining to the affairs of the Village; and

~~**WHEREAS**, on November 7, 2006, the Council of the Village of Winnetka (“Village Council”) enacted Ordinance M-20-2006, designating the residence on the property located at 630 Pine Lane (“Subject Property”) a certified Village landmark pursuant to Chapter 15.64 of Title 15 of the Winnetka Village Code, titled “Landmark Preservation” (the “Landmark Ordinance”); and~~

~~**WHEREAS**, on November 7, 2006, the Village Council also adopted Resolution R-25-2006, which created what is commonly known as the CBI Subdivision and is located on the east side of Hibbard Road, north of Pine Street; and~~

~~**WHEREAS**, on November 7, 2006, pursuant to an application submitted by CBI Custom Homes, Inc. (“CBI”), the Council of the Village of Winnetka (“Village Council”) adopted Resolution R-25-2006, which approved a three-lot subdivision of a 3.386 acre parcel of property located between Hibbard Road and Pine Lane, north of Pine Street (“CBI Subdivision”); and~~

~~**WHEREAS**, the Final Plat of the CBI Subdivision (“Final Plat”) was recorded with the Cook County Recorder of Deeds on December 18, 2007, as Document No. 0735215110; and~~

~~**WHEREAS**, in addition to being improved with a residence that was designed by well-known architect Edwin Clark and built in 1922, the CBI Subdivision property had several other defining characteristics, in that (i) it is heavily wooded, with more than 300 trees, 151 of which had diameters in excess of 8 inches, making them subject to the Village’s tree protection Ordinance, (ii) the westerly portion of the property is located within the boundaries of the floodplain, making it subject to floodplain construction regulations and requiring a large amount of detention and compensatory storage for stormwater runoff, and (iii) it is located in an established, low density neighborhood; and~~

~~**WHEREAS**, because of the unique characteristics of the underlying property, the Village Council imposed certain covenants and conditions on the CBI Subdivision, in order to maintain the existing neighborhood context while allowing appropriate development; and~~

~~**WHEREAS**, the covenants and conditions for the CBI Subdivision, which were stated on the Final Plat, included a requirement that the residence on Lot 2 of the CBI Subdivision, commonly known as 630 Pine Lane (“Subject Property”), be preserved and designated a certified~~

landmark pursuant to Chapter 15.64 of Title 15 of the Winnetka Village Code, titled “Landmark Preservation” (the “Landmark Ordinance”); and

WHEREAS, in furtherance of Resolution R-25-2006, and pursuant to the procedures established by the Landmark Ordinance, on November 7, 2006, the Village Council also enacted Ordinance M-20-2006, designating the residence on the Subject Property a certified Village landmark; and

~~WHEREAS, the Village Council’s designation of the Subject Property as a certified landmark was one of the conditions imposed by the Village Council for its approval of the three-lot CBI Subdivision; and~~

WHEREAS, the certified landmark designation of the residence on the Subject Property, ~~which was constructed in 1922,~~ was based on two key findings: (i) that the residence was significant in its style and design, being a rare example of the Colonial Revival style that had not undergone significant changes in more than 55 years; and (ii) that the residence was associated with Edwin Clark, a well-known architect who contributed significantly to the architectural character of the Village, having designed the Winnetka Village Hall, the North Shore Country Day School and the Indian Hill Country Club, as well as many other prominent buildings on the North Shore and in Chicago, including the Plaza del Lago shopping center, and the Reptile and Primate houses at Lincoln Park Zoo; and

WHEREAS, the Final Plat also contained covenants and conditions that limited the buildable areas, roofed lot coverage and maximum building size on each of the three lots, and required the developers of the CBI Subdivision to enter into a Development Agreement with the Village; and

WHEREAS, at the time the Final Plat was approved, the property was owned by GBM Properties, LLC (“GBM”), which had purchased the property from CBI and entered into an agency agreement whereby GBM would finance the development and CBI would implement the construction and development; and

WHEREAS, as required by Resolution R-25-2006, CBI and GBM entered into a Development Agreement with the Village, which was approved by the Village Council’s adoption of Resolution R-43-2007 and was recorded with the Cook County Recorder of Deeds on January 18, 2008, as Document No. 0801722074; and

WHEREAS, after securing the Subject Property as required by the Development Agreement, CBI proceeded to develop Lot 3 of the CBI Subdivision, but abandoned all work on the development, leaving GBM with the development responsibilities; and

WHEREAS, CBI eventually went into bankruptcy, and records of the Illinois Secretary of State indicate that both CBI Custom Homes, Inc., and GBM Properties, LLC, have dissolved; and

WHEREAS, ~~the current owners of the Subject Property have filed the Village has received a written request from one of the principals of GBM, seeking to rescind the certified landmark designation of the residence on the Subject Property so that the residence-it can be demolished and the land can be marketed for redevelopment; and~~

WHEREAS, pursuant to due notice, the Landmark Preservation Commission considered the application to rescind the landmark status on January 7, 2013, and the five members then

present unanimously recommended that the landmark designation be rescinded, having found that the applicant had met the criteria for rescission, in that: (i) the residence had deteriorated to the point that the qualities that once warranted the designation have been lost or destroyed, (ii) the architectural features cannot be repaired, (iii) the building is not economically viable and cannot yield a reasonable return in its current condition, and (iv) the alterations that would be required to restore the residence would exceed the market value of the Subject Property; and

~~WHEREAS, the current owners of the Subject Property were initially investors with CBI Custom Homes, Inc., who formed GBM Developers, LLC, in order to purchase the Subject Property; and~~

~~WHEREAS, the CBI Subdivision has been the subject of controversy, with CBI Custom Homes, Inc. having gone into bankruptcy, leaving the current owners with the financial responsibility for completing the development after the construction and sale of only one home; and~~

WHEREAS, the ~~current owners~~ applicant has ~~have~~ established that ~~their~~ the net investment in the purchase of the Subject Property and the construction of site improvements and utility infrastructure, after accounting for the development and sale of ~~the first lot~~ Lot 3 of the subdivision, is approximately \$5.9 million; and

WHEREAS, the ~~current owners~~ applicant has ~~have~~ established that the estimated cost of restoring the residence according to the approved plans would be an additional \$3.4 million and that the estimated market value of the restored residence would be in the range of from \$2.9 million to \$3.1 million; and

WHEREAS, having reviewed the record presented, the Village Council accepts the findings and recommendations of the Landmark Preservation Commission and accordingly finds and determines that the applicant has established that the record shows conclusively that the qualities that caused the residence on the Subject Property to be designated a certified landmark have been lost or destroyed, due to the deteriorated condition of the Subject Property; and

WHEREAS, the Village Council further finds and determines that the cost of restoring the residence would significantly exceed the market value of the restored property; and

WHEREAS, the Village Council therefore finds and determines that the Subject Property meets the standards for rescission of the landmark designation under Section 15.64.050(A) of the Village Code; and

WHEREAS, the Village Council further finds and determines that, because rescinding the landmark status will result in the demolition of the residence on the Subject Property, it is necessary to make that rescission subject to certain conditions and covenants, to assure that development of all three lots of the CBI Subdivision will be consistent both with the Final Plat that was approved and recorded pursuant to Resolution R-25-2006 and with the terms and conditions of the Development Agreement approved and recorded pursuant to Resolution R-43-2007.

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka as follows:

SECTION 1: The foregoing recitals are hereby incorporated as the findings of the Council of the Village of Winnetka, as if fully set forth herein.

SECTION 2: Subject to the terms and conditions set forth in Sections 4 through 8 of this Ordinance, the designation of the residence located on the property at 630 Pine Lane, permanent real estate index number 05-17-312-075-0000, as a certified landmark under Section 15.04.070 the Landmark Preservation Ordinance, is hereby rescinded.

SECTION 3: This Ordinance shall supersede Ordinance M-20-2006.

SECTION 4: The rescission of the certified landmark status of the residence located on the property at 630 Pine Lane shall not go into effect unless and until the applicant provides proof of ownership of Lots 1 and 2 of the CBI Subdivision.

SECTION 5: The following conditions and covenants of the CBI Subdivision are hereby superseded and released:

A. This Ordinance shall supersede Subsections R and S of Section 3 of Resolution R-25-2006, titled "A Resolution Accepting and Approving a Plat of Subdivision (630 Pine Lane – CBI Subdivision); provided, that in all other respects Resolution R-25-2006 shall remain in full force and effect.

B. Conditions and Covenants numbers 4 and 5 on page 2 of 2 of the Final Plat of CBI Subdivision, dated-approved by Resolution R-25-2006, and recorded with the Cook County Recorder of Deeds on December 18, 2007 as Document No. 0735215110, and a copy of which was also appended as Exhibit F to the Development Agreement approved by Resolution R-43-2007 and recorded with the Cook County Recorder of Deeds on January 18, 2008, as Document No. 0801722074.

SECTION 6: The demolition of the residence on Lot 2 of the CBI Subdivision, and the redevelopment of said Lot 2, shall be subject to the procedures established by Chapter 15.52 of the Village Code, and shall also be subject to the following terms and conditions:

A. The application for demolition shall be accompanied by a site restoration plan.

B. In light of the prior landmark designation of the residence, the application shall not be subject to the preliminary historic and architecture review provided for in Section 15.52.040 of the Village Code.

C. Applicant shall submit a Historic and Architectural Impact Study for review and approval by the Landmark Preservation Commission pursuant to Sections 15.52.050 and 15.52.060 of the Village Code; provided, that, in light of the prior landmark designation of the residence, the Landmark Preservation Commission may, but shall not be required to, make a formal determination of historic and/or architectural impact pursuant to Section 15.52.060 of the Village Code.

D. The Village reserves the right to delay the issuance of the demolition permit for public convenience and safety, emergency or administrative purposes, as provided in subsections B through E of Section 15.52.070 of the Village Code; provided, that the demolition of the residence shall not be subject to a preservation delay under Section 15.52.070(A) of the Village Code.

SECTION 7: To assure that the development of Lots 1 and 2 of the CBI Subdivision after the demolition of the residence on Lot 2 is consistent both with the Final Plat approved by Resolution R-25-2006 and with the Development Agreement approved by Resolution R-43-2007, the owners shall enter into an amended Development Agreement, in a form

acceptable to the Village Council. Said Development Agreement shall cover the same subject matter as the Development Agreement approved by Resolution R-43-2007, except that said Development Agreement shall not require the preservation of the residence on Lot 2 of the CBI Subdivision, and shall also contain the following additional covenants and conditions:

A. Access for all construction and demolition activity on Lot 1 shall be from Hibbard Road.

B. Access for all construction and demolition activity on Lot 2 shall be from Hibbard Road, until such time as the Director of Community Development determines that construction has been substantially completed. For purposes of this provision, substantial completion shall mean (i) that the buildings constructed on Lot 2 are ready for final inspection and/or occupancy, and (ii) that all landscaping on the west side of Lot 2 has been installed.

C. Prior to beginning any construction or demolition activity on either Lot 1 or Lot 2, owners shall close the east access to the Subject Property; provided, that said closure shall be done so that it does not impede access to adjacent properties and does not prevent emergency access to Lot 2. The east access shall remain closed to all construction and demolition traffic and vehicles until the Director of Community Development determines that the construction on Lot 2 has been substantially completed, as defined in the foregoing subsection B, and that the only vehicles needing access to Lot 2 are small service vehicles and vehicles making deliveries of home furnishings. The east access to Lot 2 shall be permanently reopened when a certificate of occupancy is issued for Lot 2.

D. The owners of the CBI Subdivision, at their expense, shall record a construction easement against Lot 1 of the CBI Subdivision for the benefit of Lot 2. Said construction easement shall be in a form acceptable to the Village Attorney.

E. The owners of the CBI Subdivision, at their expense, shall record a restrictive covenant against Lot 2 that restates the limits on gross floor area, roofed lot coverage and impermeable surfaces for Lot 2 that were stated in the Zoning Table on the approved Final Plat of Subdivision. Said restrictive covenant shall also impose the same building envelope that was approved in the initial subdivision, as depicted in Exhibit A to this Ordinance. Said restrictive covenant shall be in a form acceptable to the Village Attorney.

SECTION 8: No building permits shall be issued for Lot 1 or 2 before the owner has satisfied all of the following conditions:

A. applied for and obtained a Demolition Permit for the residence on Lot 2;

B. submitted the Historic and Architectural Impact Study as required in Section 6.C of this Ordinance; and

C. completed and recorded the Development Agreement and all covenants and conditions stated in Section 7 of this Ordinance.

SECTION 5.9: This Ordinance is passed by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 6 10: This Ordinance shall take effect immediately upon its passage, approval and publication as provided by law.

PASSED this ___ day of _____, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

APPROVED this ___ day of _____, 2013.

Signed:

Village President

Countersigned:

Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this ____ day of _____, 2013.

Introduced: February 19, 2013

Passed and Approved:

EXHIBIT A

**Restrictive Zoning Covenant against Lot 2 of the CBI Subdivision
as to the Maximum Allowable
Gross Floor Area, Roofed Lot Coverage, Impermeable Surfaces and Building Height
and as to the Minimum Required
Front Setback, Side Yards and Rear Yard**

<u>Zoning Standard</u>	<u>Restrictive covenant for Lot 2 of CBI Subdivision</u>	<u>Typical zoning requirement (R-2 Zoning District)</u>
<u>Gross Floor Area</u>	<u>9,275 s.f.</u>	<u>10,347 s.f.</u>
<u>Roofed Lot Coverage</u>	<u>4,675 s.f.</u>	<u>10,490 s.f.</u>
<u>Total Impermeable surfaces</u>	<u>15,432 s.f.</u>	<u>20,819 s.f.</u>

<u>Zoning Standard</u>	<u>Restrictive covenant for Lot 2 of CBI Subdivision</u>	<u>Typical zoning requirement (R-2 Zoning District)</u>
<u>Front Setback (east)</u>	<u>77.91 ft.</u>	<u>50 ft.</u>
<u>Side Yard (north, minimum)</u>	<u>33.47 ft.</u>	<u>12 ft.</u>
<u>Side Yard (total)</u>	<u>72.78 ft. (33.47 ft.+39.31 ft.)</u>	<u>55.65 ft. (30%)</u>
<u>Rear Yard (west)</u>	<u>47.59 ft.</u>	<u>25 ft.</u>
<u>Building Height</u>	<u>29 ft. as measured from the first floor to the ridge of the gables</u>	<u>33 ft.</u>

Note: The above figures for maximum allowable Gross Floor Area, Roofed Lot Coverage, Impermeable Surfaces and Building Height reflect a reduction from the maximums allowable under the Winnetka Zoning Ordinance, and the minimum required front setback, side yard and rear yard reflect an increase over the minimums required under the Winnetka Zoning Ordinance, with both the limitations and requirements being conditions of the approval of the CBI Subdivision pursuant to Village Council Resolution R-25-2006.

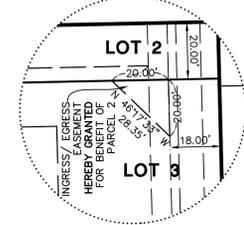
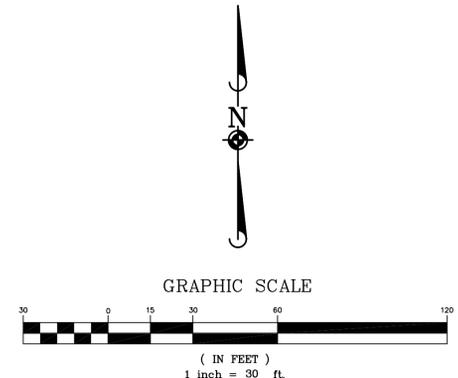
FINAL PLAT OF CBI SUBDIVISION

BEING A SUBDIVISION OF PART OF THE SOUTH HALF OF THE SOUTHWEST QUARTER OF SECTION 17, TOWNSHIP 42 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

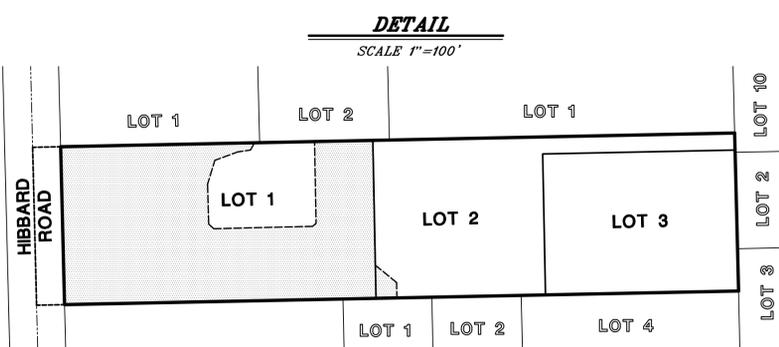
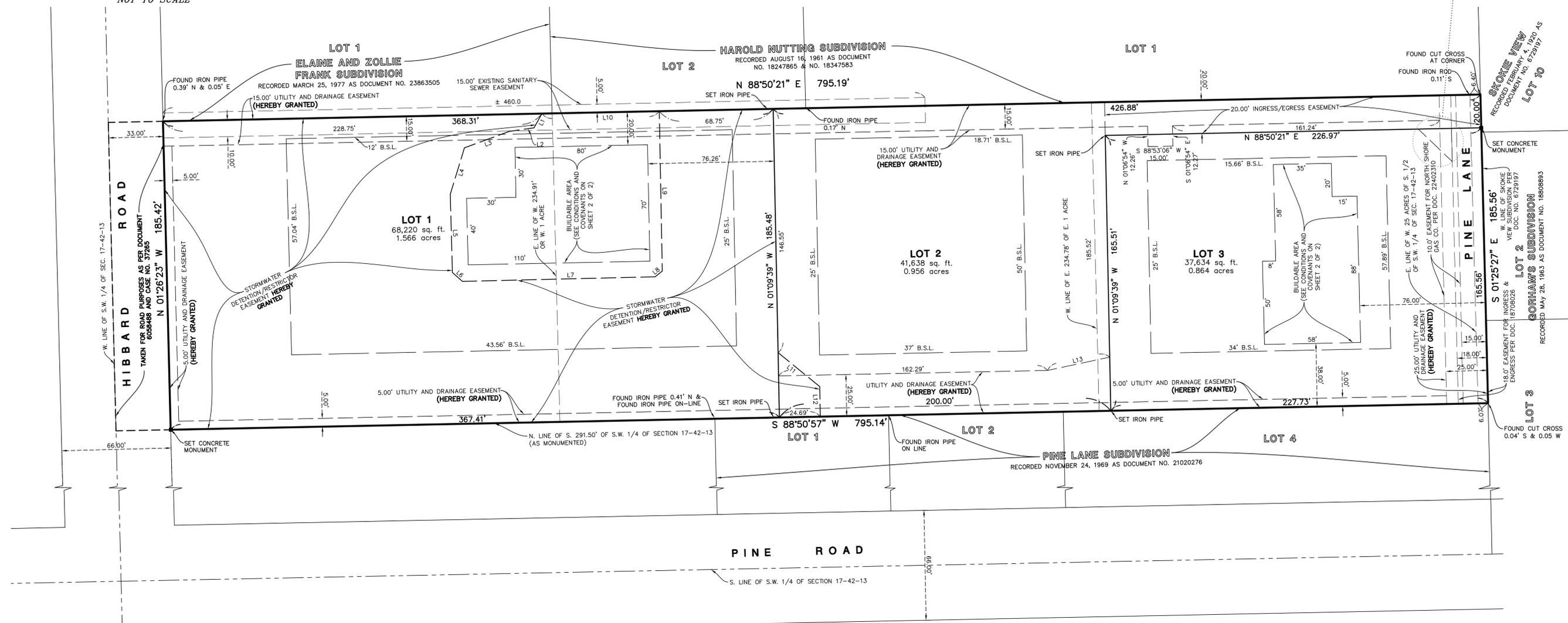


LOCATION SKETCH
NOT TO SCALE

LINE	BEARING	LENGTH
L1	S 291°40'3" W	9.79'
L2	S 81°49'20" W	15.08'
L3	S 69°54'40" W	28.80'
L4	S 170°35'5" W	29.19'
L5	S 01°34'31" E	47.05'
L6	S 48°31'06" E	8.06'
L7	N 88°38'15" E	116.32'
L8	N 51°14'04" E	5.54'
L9	N 01°04'42" W	96.06'
L10	S 88°50'21" W	70.82'
L11	S 50°58'06" E	32.32'
L12	S 01°09'39" E	18.08'
L13	N 77°56'32" E	38.40'



DATE	REVISIONS
02/17/06	ADDED PROPOSED INGRESS/EGRESS EASEMENT
12/22/05	REVISED PER CITY REVIEW
06/16/05	REVISED PER VILLAGE REVIEW
07/17/05	REVISED PER VILLAGE REVIEW
07/17/05	REVISED PER CLIENT REVIEW
07/19/05	REVISED PER CLIENT REVIEW
07/19/05	REVISED PER VILLAGE REVIEW
11/12/03	REVISED PER VILLAGE REVIEW



GENERAL NOTES:
 1. DISTANCES ARE MARKED IN FEET AND DECIMAL PLACES THEREOF. NO DIMENSION SHALL BE ASSUMED BY SCALE MEASUREMENT HEREON. DISTANCES AND/OR BEARINGS SHOWN IN PARENTHESIS (456.67') ARE RECORD OR DEED VALUES, NOT FIELD MEASURED.
 2. COMPARE THIS PLAT, LEGAL DESCRIPTION AND ALL SURVEY MONUMENTS BEFORE BUILDING, AND IMMEDIATELY REPORT ANY DISCREPANCIES TO THE SURVEYOR.
 3. THIS SURVEY IS SUBJECT TO MATTERS OF TITLE, WHICH MAY BE REVEALED BY A CURRENT TITLE REPORT. THERE MAY ALSO BE ADDITIONAL TERMS, POWERS, PROVISIONS AND LIMITATIONS CONTAINED IN AN ABSTRACT DEED, LOCAL ORDINANCES, DEEDS, TRUSTS, COVENANTS OR OTHER INSTRUMENTS OF RECORD.
 4. THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A SUBDIVISION SURVEY. MANHARD CONSULTING, LTD. IS A PROFESSIONAL DESIGN FIRM, REGISTRATION NUMBER 184003350, EXPIRES APRIL 30, 2007.

"RESTRICTIVE ZONING COVENANT ON MAXIMUM GROSS FLOOR AREA, ROOFED LOT COVERAGE AND IMPERMEABLE SURFACES"

Zoning covenants restricting allowable building size and improvements, as such terms are defined by the Village of Winnetka Zoning Ordinance.	Allowable Gross Floor Area	Allowable Roofed Lot Coverage	Allowable Impermeable Surfaces
Lot 1	9,275 s.f.	3,625 s.f.	11,500 s.f.
Lot 2	9,275 s.f.	4,675 s.f.	15,432 s.f.
Lot 3	8,950 s.f.	5,025 s.f.	10,350 s.f.

The above figures for maximum allowable Gross Floor Area, Roofed Lot Coverage and Impermeable Surfaces reflect a reduction from the maximums allowable under the Winnetka Zoning Ordinance, as a condition of subdivision approval pursuant to Council Resolution R-25-2006.

DEVELOPER
 CBI
 42 SHERWOOD TERRACE, UNIT 3
 LAKE BLUFF, IL 60044

TOTAL AREA
 147,491 SQ. FT. (3.386 ACRES)

LEGEND
 B.S.L. = BUILDING SETBACK LINE

SEE SHEET 2 OF 2 FOR CERTIFICATES, PROVISIONS AND NOTES

EXHIBIT F

MANHARD CONSULTING [LTD.]
 ENGINEERS • SURVEYORS • PLANNERS
 ENVIRONMENTAL SCIENTISTS
 900 Woodlands Parkway Vernon Hills, Illinois 60061
 tel: 847/634-5550 fax: 847/634-0095 http://www.MANHARD.com

CBI SUBDIVISION
WINNETKA, ILLINOIS
FINAL PLAT OF SUBDIVISION

PROJ. MGR. J.C.T.
 PROJ. ENGR. J.C.
 DRAWN BY: S.J.K.
 CHECKED BY: J.R.S.
 DATE: 07/03/03
 SCALE: 1"=30'

SHEET
1 OF 2

CBIW2 4477

FINAL PLAT OF CBI SUBDIVISION

BEING A SUBDIVISION OF PART OF THE SOUTH HALF OF THE SOUTHWEST QUARTER OF SECTION 17,
TOWNSHIP 42 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

OWNER'S CERTIFICATE

STATE OF ILLINOIS)) SS
COUNTY OF COOK)

THIS IS TO CERTIFY THAT _____
(AN) _____ CORPORATION IS THE OWNER OF THE PROPERTY AS DESCRIBED
AND PLATTED HEREIN, AND THAT SUCH OWNERS, DO HEREBY CONSENT TO THE SUBDIVISION
PLATTED HEREON, FOR THE PURPOSES THEREIN SET FORTH, AND DOES ACKNOWLEDGE AND
ADOPT THE SAME UNDER THE STYLE AND TITLE HEREON.

DATED AT _____, ILLINOIS THIS ____ DAY OF _____, A.D., 20____

OWNER _____

PRINTED NAME _____

TITLE _____

CORPORATION/COMPANY NAME _____

CORPORATION NAME & ADDRESS _____

ATTEST: _____

PRINTED NAME _____

NOTARY PUBLIC

STATE OF ILLINOIS)) SS
COUNTY OF COOK)

I, _____, A NOTARY
PUBLIC IN AND FOR THE COUNTY AND STATE AFORESAID, DO HEREBY CERTIFY THAT

_____ OF _____ WHO IS/ARE
PERSONALLY KNOWN TO ME TO BE THE SAME WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE
FOREGOING CERTIFICATE, APPEARED BEFORE ME THIS DAY IN PERSON AND ACKNOWLEDGED
THAT HE/SHE/HEY DID SIGN AND DELIVER THIS INSTRUMENT AS A FREE AND VOLUNTARY ACT
FOR THE USES AND PURPOSES HEREIN SET FORTH.

GIVEN UNDER MY HAND AND NOTORIAL SEAL THIS ____ DAY OF _____, A.D., 20____

NOTARY PUBLIC _____

MORTGAGEE CONSENT

STATE OF _____)) SS
COUNTY OF _____)

THE UNDERSIGNED, AS MORTGAGEE, UNDER THE PROVISIONS OF CERTAIN MORTGAGE DATED
_____ AND RECORDED IN THE RECORDER'S OFFICE OF _____
COUNTY, ILLINOIS, ON THIS ____ DAY OF _____, A.D., ____ AS DOCUMENT
NUMBER _____, HEREBY CONSENTS TO THE SUBDIVISION STATED HEREIN.

DATED: _____, A.D., 20____

MORTGAGEE _____

BY: _____
PRESIDENT

ATTEST: _____
SECRETARY

MORTGAGEE NOTARY PUBLIC

STATE OF _____)) SS
COUNTY OF _____)

I, _____, A NOTARY
PUBLIC IN AND FOR THE COUNTY AND STATE AFORESAID, DO HEREBY CERTIFY THAT

_____ AND _____
OF _____ WHO IS/ARE PERSONALLY KNOWN TO ME TO BE THE
SAME WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE FOREGOING CERTIFICATE, APPEARED
BEFORE ME THIS DAY IN PERSON AND ACKNOWLEDGED THAT HE/SHE/HEY DID SIGN AND
DELIVER THIS INSTRUMENT AS A FREE AND VOLUNTARY ACT FOR THE USES AND PURPOSES
HEREIN SET FORTH.

GIVEN UNDER MY HAND AND NOTORIAL SEAL THIS ____ DAY OF _____, A.D. 20____

NOTARY PUBLIC _____

COUNTY CLERK CERTIFICATE

STATE OF ILLINOIS)) SS
COUNTY OF COOK)

I, _____, COUNTY CLERK OF COOK COUNTY, ILLINOIS DO HEREBY CERTIFY
THAT THERE ARE NO DELINQUENT TAXES, NO UNPAID CURRENT GENERAL TAXES AND NO UNPAID FORFEITED
TAXES, AND NO REDEEMABLE TAX SALES AGAINST ANY OF THE LAND INCLUDED IN THE PLAT.

I FURTHER CERTIFY THAT I HAVE RECEIVED ALL STATUTORY FEES IN CONNECTION WITH THE PLAT.

GIVEN UNDER MY HAND AND SEAL OF THE COUNTY CLERK OF COOK COUNTY, ILLINOIS,

THIS ____ DAY OF _____, A.D., 20 ____

COUNTY CLERK _____

COOK COUNTY RECORDER'S CERTIFICATE

STATE OF ILLINOIS)) S.S.
COUNTY OF COOK)

THIS INSTRUMENT _____ WAS FILED FOR RECORD IN THE RECORDER'S
OFFICE OF COOK COUNTY, ILLINOIS, ON THIS ____ DAY OF _____, A.D., 20____

AT _____ O'CLOCK ____M., AND WAS RECORDED IN BOOK _____ OF PLATS ON PAGE _____

SIGNED: _____
RECORDER OF DEEDS

PLAN COMMISSION CERTIFICATE

STATE OF ILLINOIS)) S.S.
COUNTY OF COOK)

PLAT AS TO SUBDIVISION APPROVED BY THE PLAN COMMISSION OF THE VILLAGE OF WINNETKA,
COOK COUNTY, ILLINOIS, ON THE ____ DAY OF _____, A.D., 20____

CHAIRMAN OF THE PLAN COMMISSION _____

SECRETARY OF THE PLAN COMMISSION _____

VILLAGE COLLECTOR

STATE OF ILLINOIS)) SS
COUNTY OF COOK)

I, _____, VILLAGE COLLECTOR OF WINNETKA, ILLINOIS DO HEREBY CERTIFY
THAT THERE ARE NO DELINQUENT TAXES, NO UNPAID CURRENT GENERAL TAXES AND NO UNPAID FORFEITED
TAXES, AND NO REDEEMABLE TAX SALES AGAINST ANY OF THE LAND INCLUDED IN THE PLAT.

I FURTHER CERTIFY THAT I HAVE RECEIVED ALL STATUTORY FEES IN CONNECTION WITH THE PLAT.

GIVEN UNDER MY HAND AND SEAL OF THE COUNTY CLERK OF COOK COUNTY, ILLINOIS,

THIS ____ DAY OF _____, A.D., 20 ____

VILLAGE COLLECTOR - WINNETKA, ILLINOIS _____

BOARD OF TRUSTEES:

ACCEPTED BY THE PRESIDENT AND BOARD OF TRUSTEES OF THE VILLAGE OF WINNETKA, COOK
COUNTY, ILLINOIS AT A MEETING HELD THIS ____ DAY OF _____, A.D., 20 ____

BY: _____
PRESIDENT OF THE BOARD OF TRUSTEES

ATTEST: _____
VILLAGE CLERK

VILLAGE ENGINEER:

APPROVED BY THE VILLAGE ENGINEER OF THE VILLAGE OF WINNETKA, COOK
COUNTY, ILLINOIS, ON THIS ____ DAY OF _____, A.D., 20____

BY: _____
VILLAGE ENGINEER

VILLAGE DIRECTOR OF WATER AND ELECTRIC:

APPROVED BY THE DIRECTOR OF THE WATER AND ELECTRIC OF THE VILLAGE OF WINNETKA,
COOK COUNTY, ILLINOIS, ON THIS ____ DAY OF _____, A.D., 20 ____

BY: _____
DIRECTOR OF WATER AND ELECTRIC

INGRESS/EGRESS EASEMENT PROVISIONS

THE RIGHT OF INGRESS AND EGRESS IS HEREBY GRANTED OVER, UPON
AND THROUGH THE EASEMENT LABELED INGRESS/ EGRESS AT ALL
TIMES FOR EMERGENCY VEHICLES OF ANY AND ALL TYPES AND FOR
THE VILLAGE OF WINNETKA MUNICIPAL STAFF FOR ANY PURPOSE
WHATSOEVER.

PUBLIC UTILITY EASEMENT PROVISION

AN EASEMENT IS HEREBY GRANTED TO THE VILLAGE OF WINNETKA
FOR PUBLIC UTILITIES IN, UPON, ALONG, OVER AND UNDER THOSE
PARTS OF THE LOTS INDICATED ON THIS PLAT AND MARKED "UTILITY
EASEMENT," TO INSTALL, CONSTRUCT, LAY, MAINTAIN, OPERATE,
RELOCATE, RENEW AND REMOVE NECESSARY EQUIPMENT FOR PUBLIC
UTILITY PURPOSES, TOGETHER WITH THE RIGHTS OF INGRESS TO AND
EGRESS FROM THE EASEMENT, AND THE RIGHT TO TRIM AND REMOVE
SUCH TREES, BUSHES, SHRUBS AND LANDSCAPING, AS MAY BE REASONABLY
REQUIRED INCIDENTAL TO THE INSTALLATION AND MAINTENANCE OF
UTILITY FACILITIES. THE EASEMENT MAY BE USED FOR GARDENS,
SHRUBS, LANDSCAPING, WOODEN FENCES, AND OTHER PURPOSES
THAT DO NOT INTERFERE WITH THE USE OF THE EASEMENT, BUT NO
PERMANENT BUILDINGS OR STRUCTURES SHALL BE PLACED ON THE
EASEMENT EXCEPT FOR THE ROADWAY THAT LIES WITHIN THE
EASEMENT FOR INGRESS AND EGRESS, AND DRIVEWAY AND SIDEWALK
SURFACES.

DRAINAGE EASEMENT PROVISION

TO THE BEST OF OUR KNOWLEDGE AND BELIEF, THE DRAINAGE OF
SURFACE WATERS WILL NOT BE CHANGED BY THE CONSTRUCTION OF
THIS SUBDIVISION OR ANY PART THEREOF, OR THAT IF SUCH
SURFACE WATER DRAINAGE WILL BE CHANGED, REASONABLE
PROVISIONS HAVE BEEN MADE FOR THE COLLECTION AND DIVERSION
OF SUCH SURFACE WATERS INTO PUBLIC AREAS OR DRAINS WHICH
THE SUBDIVIDER HAS A RIGHT TO USE, AND THAT SUCH SURFACE
WATERS WILL BE PLANNED FOR IN ACCORDANCE WITH GENERALLY
ACCEPTED ENGINEERING PRACTICES SO AS TO REDUCE THE
LIKELIHOOD OF DAMAGE TO THE ADJOINING PROPERTY BECAUSE OF
THE CONSTRUCTION OF THE SUBDIVISION.

DATED THIS ____ DAY OF _____, 20 ____

OWNER OR ATTORNEY _____

ENGINEER _____

STORMWATER DETENTION/RESTRICTOR EASEMENT

THE OBLIGATION OF MAINTAINING THE STORMWATER DETENTION AND
COMPENSATORY STORAGE FACILITIES, CONSISTING OF THE UNDERGROUND
STORMWATER DETENTION AREAS, THE COMPENSATORY STORAGE BASIN AND THE
APPURTENANCES THERETO AS DESCRIBED HEREON, SHALL BE THAT OF THE OWNER
OR OWNERS, AND THEIR SUCCESSORS AND ASSIGNS, HOLDING TITLE TO THAT PART
OF THE PREMISES ON WHICH SUCH STORMWATER DETENTION AND COMPENSATORY
STORAGE FACILITIES ARE LOCATED. HOWEVER, THE VILLAGE OF WINNETKA SHALL
HAVE THE RIGHT PURSUANT TO THIS GRANT OF EASEMENT, BUT NOT THE
OBLIGATION, TO ENTER THE PREMISES DESCRIBED HEREON AS THE UNDERGROUND
STORMWATER DETENTION AREAS, THE COMPENSATORY STORAGE BASIN AND THE
APPURTENANCES THERETO AT ANY TIME IT DEEMS NECESSARY TO INSPECT, REPAIR
OR MAINTAIN SUCH STORMWATER DETENTION AND COMPENSATORY STORAGE
FACILITIES, WHICH SUCH OWNER OR OWNERS OR THEIR SUCCESSORS AND ASSIGNS
FAIL OR REFUSE TO MAINTAIN, FOLLOWING WRITTEN NOTICE TO DO SO FROM THE
VILLAGE. IN THE EVENT OF PERFORMANCE BY THE VILLAGE OF WINNETKA OR ITS
AGENTS OF ANY SUCH REPAIR OR MAINTENANCE WORK, THE COST THEREOF,
INCLUDING BOTH DIRECT AND INDIRECT COSTS, SHALL BE PAID BY THE OWNER OR
ASSOCIATION AND SHALL CONSTITUTE A LIEN UPON THE ABOVE-DESCRIBED
UNDERGROUND STORMWATER DETENTION AREAS, COMPENSATORY STORAGE BASIN
AND APPURTENANCES THERETO, AND THE ADJACENT ENTIRE REAL ESTATE WHICH
THE SUCH STORMWATER DETENTION AND COMPENSATORY STORAGE FACILITIES
SERVE. SUCH LIEN MAY BE ENFORCED BY THE VILLAGE OF WINNETKA, WHICH MAY
ALSO RECOVER ALL REASONABLE COSTS AND ATTORNEY'S FEES IN DOING SO, IN
THE MANNER PROVIDED BY LAW FOR THE ENFORCEMENT AND FORECLOSURE OF
LIENS.

CONDITIONS AND COVENANTS

FOR THE BENEFIT OF THE PROPERTY COVERED BY THE PLAT OF SUBDIVISION (CBI
SUBDIVISION) AND FOR THE BENEFIT OF EACH OWNER AND FUTURE OWNER THEREOF AND
FOR THE BENEFIT OF ALL OF THOSE WHO ARE OR BECOME OWNERS OF THE ADJOINING
PROPERTIES, THE OWNERS DECLARE THAT THE PROPERTY SHALL BE HELD, TRANSFERRED,
AND OCCUPIED SUBJECT TO THE FOLLOWING CONDITIONS AND COVENANTS:

- PRIOR TO AND AS A CONDITION OF ISSUANCE OF ANY PERMITS FOR DEVELOPMENT OF
ANY LOT, PLANS SHALL BE SUBMITTED TO THE VILLAGE ENGINEER FOR HIS APPROVAL FOR
DETENTION FACILITIES CAPABLE OF DETAINING THE RUNOFF FROM A 100-YEAR STORM
EVENT, WITH A MAXIMUM ALLOWABLE RELEASE RATE EQUAL TO THE RATE OF RUNOFF IN
ITS UNDEVELOPED STATE FROM A THREE (3) YEAR STORM EVENT. SUCH DETENTION
FACILITIES SHALL BE COMPLETED PRIOR TO AND AS A CONDITION OF ISSUANCE OF A
CERTIFICATE OF OCCUPANCY FOR ANY LOT.
- CONSTRUCTION OF ANY FENCES OR OTHER STRUCTURES THAT MAY INHIBIT ACCESS TO
EMERGENCY VEHICLES ARE PROHIBITED FOR THE ENTIRE LENGTH OF THE 20 FOOT WIDE
INGRESS/EGRESS EASEMENT TO THE FLAG LOT (LOT 2). A MINIMUM PAVEMENT WIDTH OF
16 FEET SHALL BE PROVIDED. OBSTRUCTIONS SUCH AS BOULDERS, COLUMNS, FENCES,
BOLLARDS OR ANY SIMILAR OBSTRUCTIONS ARE PROHIBITED.
- PRINCIPAL RESIDENCE ON LOT 1 AND LOT 3 IS RESTRICTED TO BEING LOCATED WITHIN
THE AREA LABELED AS "BUILDABLE AREA" ON SHEET 1 OF 2 HEREIN.
- IT BEING FURTHER DECLARED THAT THE FOREGOING CONDITIONS AND COVENANTS SHALL
RUN WITH THE LAND AND BIND THE OWNERS THEREOF, THEIR HEIRS, GRANTEEES, AND
ASSIGNS, AND ALL PARTIES CLAIMING BY, THROUGH, OR UNDER THEM, AND SHALL ENURE
TO THE BENEFIT OF AND PASS WITH THE PROPERTY, AND EACH AND EVERY PARCEL
THEREOF, COVERED BY THIS PLAT.
- PRINCIPAL RESIDENCE ON LOT 2 HAS BEEN DECLARED A CERTIFIED LOCAL LANDMARK
UNDER THE VILLAGE OF WINNETKA'S LANDMARK PRESERVATION ORDINANCE, WITH A
DECLARATION OF RESTRICTIVE COVENANT RECORDED ON JANUARY 29, 2007 AS DOCUMENT
NUMBER 0702917109. PURSUANT TO CHAPTER 15.64 OF THE WINNETKA VILLAGE CODE,
ALL ALTERATIONS TO THE PRINCIPAL RESIDENCE ON LOT 2 ARE SUBJECT TO BINDING
REVIEW BY THE WINNETKA LANDMARK PRESERVATION COMMISSION".
- PRIOR TO ISSUANCE OF PERMITS ON EITHER LOT 1 OR LOT 3, PLANS FOR THE
RENOVATION, ALTERATION AND ADDITION TO THE EXISTING RESIDENCE ON LOT 2 SHALL BE
SUBMITTED TO THE VILLAGE OF WINNETKA AND A CERTIFICATE OF APPROPRIATENESS
GRANTED BY THE WINNETKA LANDMARK PRESERVATION COMMISSION PURSUANT TO SECTION
15.64 OF THE WINNETKA VILLAGE CODE. THE SHAPE AND FORM OF THE PROPOSED
ADDITIONS TO THE RESIDENCE ON LOT 2 SHALL COMPLY SUBSTANTIALLY WITH THE PLAN
SUBMITTED TO THE WINNETKA VILLAGE COUNCIL CAPTIONED "SITE PLAN - ZONING
CALCULATIONS", PREPARED BY MORGANTE WILSON ARCHITECTS DATED OCTOBER 5, 2006".
- MAXIMUM ALLOWABLE GROSS FLOOR AREA, ROOFED LOT COVERAGE AND IMPERMEABLE
SURFACES FOR LOT 1, LOT 2 AND LOT 3 ARE RESTRICTED TO THOSE MAXIMUMS DEPICTED
ON THE TABLE ON SHEET 1 HEREIN TITLED "RESTRICTIVE ZONING COVENANT ON MAXIMUM
GROSS FLOOR AREA, ROOFED LOT COVERAGE AND IMPERMEABLE SURFACES".
- A MINIMUM OF 75% OF THE TOTAL GROSS FLOOR AREA FOR THE PROPOSED RESIDENCE
ON LOT 1 SHALL BE LOCATED WITHIN THE SOUTH 40 FEET OF THE "BUILDABLE AREA" OF
LOT 1.
- A MAXIMUM OF ONE CURB CUT SHALL BE LOCATED ON HIBBARD ROAD.

SURVEYOR

STATE OF ILLINOIS)) SS
COUNTY OF LAKE)

THIS IS TO DECLARE THAT THE FOLLOWING DESCRIBED PROPERTY WAS SURVEYED AND
SUBDIVIDED BY MANHARD CONSULTING, LTD., UNDER THE SUPERVISION OF AN ILLINOIS
PROFESSIONAL LAND SURVEYOR AND THAT THE PLAT HEREON DRAWN IS A CORRECT
REPRESENTATION OF SAID SURVEY:

THE SOUTH ONE HALF OF A TRACT OF LAND DESCRIBED AS FOLLOWS: THE SOUTH 12 AND ONE
HALF ACRES (EXCEPT THE SOUTH 291.5 FEET THEREOF) OF THE WEST 25 ACRES OF THE
SOUTH HALF OF THE SOUTHWEST QUARTER OF SECTION 17, TOWNSHIP 42 NORTH, RANGE 13,
EAST OF THE THIRD PRINCIPAL MERIDIAN, TOGETHER WITH THE STRIP OF LAND LYING EAST OF
AND ADJOINING SAID PREMISES UP TO THE WEST LINE OF SKOKIE VIEW, A PLAT OF WHICH WAS
RECORDED FEBRUARY 4, 1920 AS DOCUMENT 6729197, IN COOK COUNTY, ILLINOIS.

SUBDIVIDED PROPERTY CONTAINS 3.386 ACRES, MORE OR LESS AND ALL DISTANCES ARE
SHOWN IN FEET AND DECIMAL PARTS THEREOF.

THIS IS ALSO TO DECLARE THAT THE PROPERTY AS DESCRIBED ON THE ANNEXED PLAT LIES
WITHIN THE CORPORATE LIMITS OF THE VILLAGE OF WINNETKA WHICH HAS ADOPTED A VILLAGE
PLAN AND IS EXERCISING THE SPECIAL POWER AUTHORIZED BY DIVISION 12 OF ARTICLE 11 OF
THE ILLINOIS MUNICIPAL CODE.

¾ DIAMETER BY 24" LONG IRON RODS WILL BE SET AT ALL SUBDIVISION CORNERS, LOT
CORNERS, POINTS OF CURVATURE AND POINTS OF TANGENCY IN COMPLIANCE WITH ILLINOIS
STATUTES AND APPLICABLE ORDINANCES, UNLESS OTHERWISE NOTED.

THIS IS ALSO TO DECLARE THAT THE FEDERAL EMERGENCY MANAGEMENT AGENCY FIRM
COMMUNITY PANEL NUMBER 1703100232 F & 1703100251 F, HAVING AN EFFECTIVE DATE OF
NOVEMBER 6, 2000, INDICATES THAT THE ABOVE DESCRIBED PROPERTY LIES WITHIN AREAS
DESIGNATED AS ZONE A. ZONE A IS DEFINED AS AN AREA OF SPECIAL FLOOD HAZARD AREA
INUNDATED BY A 100-YEAR FLOOD WITH NO BASE FLOOD ELEVATIONS DETERMINED. ZONE X
(UNSHADED) IS DEFINED AS AN AREA TO BE OUTSIDE 500-YEAR FLOODPLAIN. THESE MAPS DO
NOT NECESSARILY SHOW ALL AREAS SUBJECT TO FLOODING IN THE COMMUNITY OR ALL
PLANIMETRIC FEATURES OUTSIDE SPECIAL FLOOD HAZARD AREAS. THIS DOES NOT GUARANTEE
THAT THE SURVEYED PROPERTY WILL OR WILL NOT FLOOD.

GIVEN UNDER MY HAND AND SEAL THIS ____ DAY OF _____, A.D. 20 ____

FOR REVIEW ONLY
ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 3623
LICENSE EXPIRES NOVEMBER 30, 2008



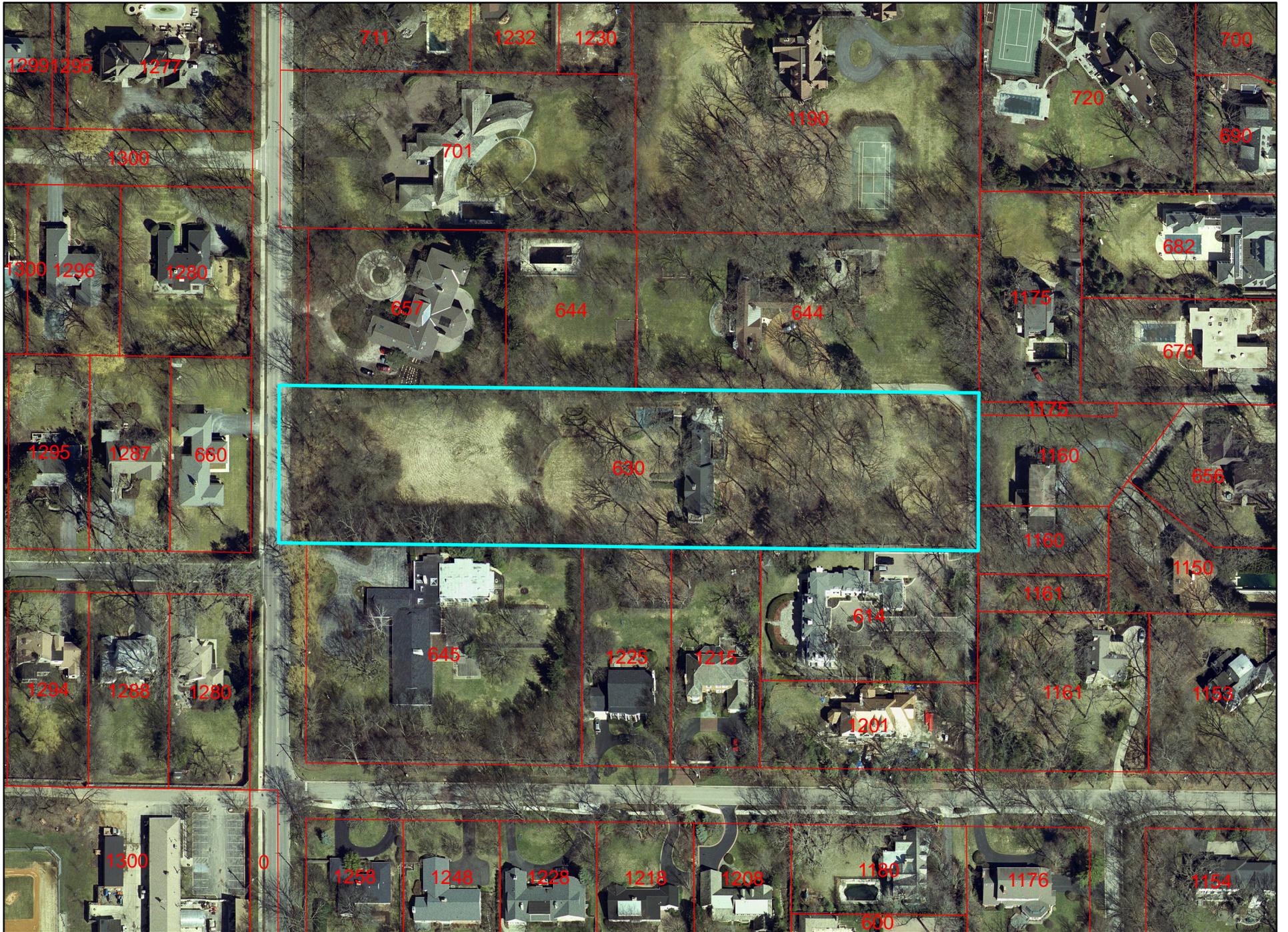
DATE	REVISIONS	DRAWN BY	CHECK BY
08/21/07	DRAFT	GP	J.J.B.
04/05/07	REVISED EASEMENTS AND PROVISION	A.A.S	R.Y.P.
04/05/07	REVISED EASEMENTS AND PROVISION	A.A.S	R.Y.P.
09/27/06	REVISED LOT LINES & EASEMENTS	GP	R.Y.P.
08/15/06	REVISED LOT LINES & EASEMENTS	GP	R.Y.P.
06/29/06	REVISED LOT LINES & EASEMENTS	GP	R.Y.P.
02/17/06	REVISED PER VILLAGE REVIEW	SSS	J.J.S.
12/22/05	ADDED PROPOSED INGRESS/EGRESS EASEMENT	GP	J.J.S.
09/27/05	REVISED PER CITY REVIEW	GP	J.J.S.
09/16/05	REVISED PER VILLAGE REVIEW	GP	J.J.S.
07/17/05	REVISED PER VILLAGE REVIEW	GP	J.J.S.
07/16/05	REVISED PER CLIENT REVIEW	GP	J.J.S.
07/09/05	REVISED PER CLIENT REVIEW	GP	J.J.S.
11/29/03	REVISED PER VILLAGE REVIEW	GP	J.J.S.

MANHARD CONSULTING LTD.
ENGINEERS • SURVEYORS • PLANNERS
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900 Woodlands Parkway Vernon Hills, Illinois 60061
tel: 847/634-5560 fax: 847/634-0095 http://www.MANHARD.com

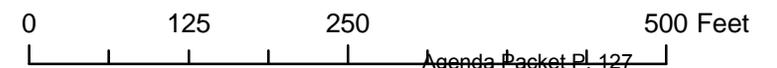


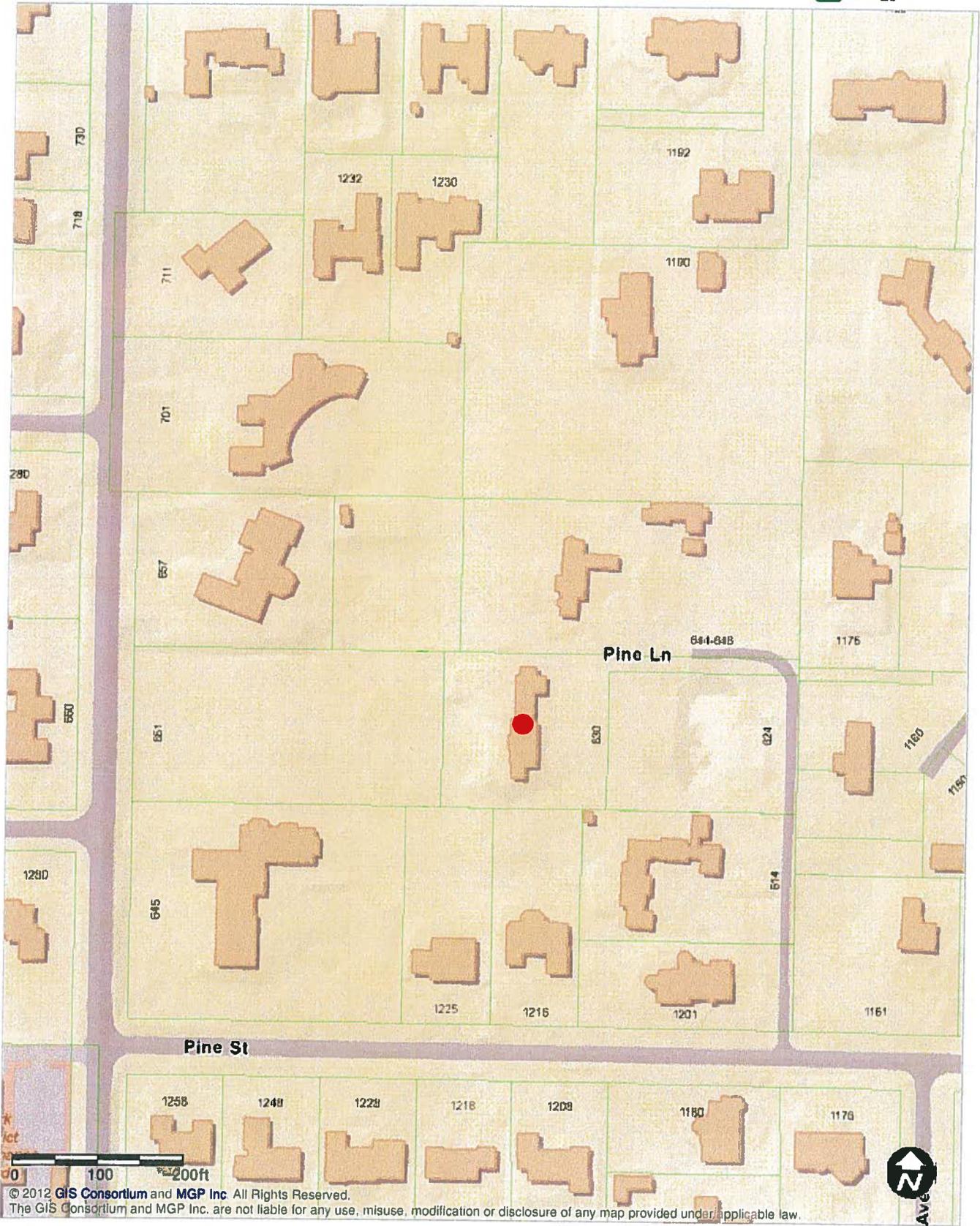
CBI SUBDIVISION
WINNETKA, ILLINOIS
FINAL PLAT

PROJ. MGR.	T.C.T.
DRAWN BY	S.J.K.
CHECKED BY	J.R.S.
DATE	07/03/03
SCALE	1"=30'
SHEET	
2 OF 2	
CBIWN2	4477



1 inch equals 150 feet





Winnetka, IL Village Code

Section 15.64.050 Amendment or rescission of designation.

The Village Council, upon recommendation of the Commission, may amend or rescind designation of a landmark only under any of the following conditions:

- A. The structure, building, object or site has ceased to meet the criteria for designation because the qualities which caused it to be originally designated have been lost or destroyed;
- B. Additional information shows conclusively that the structure, building, object or site does not possess sufficient significance to meet the designation criteria;
- C. The original designation was clearly in error; or
- D. There was prejudicial procedural error in the designation process.

(Prior code § 20.05)

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Agenda Item Executive Summary

Title: Business District Floral Program Proposal

Presenter: Robert Bahan, Village Manager

Agenda Date: 03/05/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input checked="" type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History: *(reference past Council reviews, approvals, or authorizations)*

On January 8, 2013, the Business Community Development Commission (BCDC) presented the Village Council with a floral program concept for Winnetka's three business districts. Materials from this meeting offer background on the floral program and other BCDC strategic priorities. The BCDC was asked to develop a draft program proposal, so the item could be considered as part of the FY 2013-14 budget process.

Executive Summary:

The BCDC has asked the Village to consider implementing a Business District Floral Program, with the goal of beautifying Winnetka's shopping districts and main thoroughfares. In order to understand the cost implications of placing permanent hanging baskets on light poles, adorned with flowers for a portion of each year, Staff has taken initial data from the BCDC and performed further research. A comprehensive Business District Floral Program includes components such as: basket and floral design, plant preparation, procurement, basket installation, maintenance and watering, removal, and storage.

A complete Agenda Report, describing proposed program elements, is attached for the Council's consideration.

Recommendation / Suggested Action: *(briefly explain)*

Advise Staff whether the Business District Floral Program should be included in the FY2013-14 budget. If yes, provide direction on which program to pursue: 30 or 60 pole locations in the three business districts.

Attachments: *(please list individually)*

1. Agenda Report
2. Business District Floral Program- Preliminary Cost Assessment
3. Business District Floral Program- Draft Project Schedule

Agenda Report

Subject: **Business District Floral Program Proposal**

Prepared By: Robert Bahan, Village Manager

Date: February 27, 2013

The BCDC has asked the Village to consider implementing a Business District Floral Program, with the goal of beautifying Winnetka's shopping districts and main thoroughfares. In order to understand the cost implications of placing permanent hanging baskets on light poles, adorned with flowers for a portion of each year, Staff has taken initial data from the BCDC and performed further research. A comprehensive Business District Floral Program includes components such as: basket and floral design, plant preparation, procurement, basket installation, maintenance and watering, removal, and storage.

With input from the BCDC, up to 60 pole locations (120 baskets) have been identified. To reduce interference, two baskets will be mounted per pole below banners and will hang parallel to the sidewalk. For a lesser cost, the program could be reduced to 30 poles, for a total of 60 hanging baskets. Pole locations are provided in each commercial district as follows:

- Hubbard Woods= 12 poles (24 baskets)
- East Elm= 14 poles (28 baskets)
- West Elm= 25 poles (50 baskets)
- Indian Hill= 9 poles (18 baskets)

The program will consist of a single planting per year, likely to be displayed between April and October. Field verification will help finalize specific pole locations once a final number is determined. Options for program implementation have been evaluated, and due to past staffing reductions, Public Works staff is not available to perform the amount of maintenance required for the baskets. Performing the program in-house would require removing an individual from key maintenance activities such as storm and sanitary sewer cleaning/maintenance, pavement repair/maintenance, or reduce effectiveness in these areas.

The Village would purchase the mounting hardware and baskets and then Water & Electric Department Staff would install the mounting hardware on the poles, but the floral design, basket planting, installation, and maintenance would be performed by a contractor. Staff surveyed surrounding communities about business district beautification programs. Barrington, Mount Prospect, and Skokie all have programs, elements of which were used to discern best practices and compare costs. The experience of surrounding communities also indicates contractors commonly provide these services. The floral design is proposed to be done by the contractor, though the selected design would ultimately be reviewed by the BCDC and then approved by Staff.

Attachment 1 provides a preliminary cost assessment, looking at both the 60 and 120 basket options. The BCDC originally outlined a capital investment of \$33,177, including 60 hanging baskets and the purchase of a water wagon. On average, the per basket maintenance costs of the five communities surveys ranged from \$189 to \$309. Staff's analysis assumed a \$200 per basket, per year maintenance cost. The following table displays the program costs based on number of baskets:

Item	30 Poles/60 Baskets	60 Poles/120 Baskets
Initial Purchase	\$24,540	\$49,080
Initial Installation	\$4,040	\$8,081
Annual Maintenance	\$12,000	\$24,000
First Year Investment	\$40,580	\$81,161

A substantial initial investment in the basket infrastructure will be necessary for either option in the first year. However, the basket design proposed by the BCDC can be mounted on existing Village poles and estimated annual replacement costs range from \$1,227 (60 baskets) to \$2,454 (120 baskets). Additionally after the first year investment, annual costs are estimated to be around \$12,000 (60 baskets) to \$24,000 (120 baskets). The program would be administered from the Public Works Department Public Property Contractual Services fund, offset by funds from the contingency budget. The FY 2013/14 contingency is currently proposed at \$250,000.

Due to cost, the option of 60 pole locations with one basket per pole was also considered. While this option would reduce the initial purchase price and annual costs of plantings, the baskets would have less of a visual impact on the commercial districts. Additionally, this option would still require a contractor to physically maintain 60 separate pole locations.

Attachment 2 presents the draft project schedule for implementation in 2013. While in the future, Public Works anticipates the baskets would be planted and maintained April/May through October, due to the late timing of the request, the earliest installation this year is July, and the timeframe will be heavily dependent upon the responsiveness of bidders. With input from the Village Council, Staff anticipates this item could be returned for approval at the March 19 Regular Meeting.

Recommendation:

Advise Staff whether the Business District Floral Program should be included in the FY2013-14 budget. If yes, provide direction on which program to pursue: 30 or 60 pole locations in the three business districts.

Preliminary Cost Assessment of Proposed BCDC Floral Basket Program

2/18/2013

Initial Purchase Cost	Cost per Basket	30 Baskets	60 Baskets	120 Baskets
Bracket and Mounting Hardware	\$ 140.00	\$ 4,200.00	\$ 8,400.00	\$ 16,800.00
Basket	\$ 100.00	\$ 3,000.00	\$ 6,000.00	\$ 12,000.00
Water Reservoir	\$ 100.00	\$ 3,000.00	\$ 6,000.00	\$ 12,000.00
Fiberglass Insert	\$ 69.00	\$ 2,070.00	\$ 4,140.00	\$ 8,280.00
Total	\$ 409.00	\$ 12,270.00	\$ 24,540.00	\$ 49,080.00

Mounting Hardware Installation	Cost per Basket	30 Baskets	60 Baskets	120 Baskets
2-person crew with bucket truck 30 minutes per install 2 baskets per pole				
Total	\$ 67.34	\$ 2,020.20	\$ 4,040.40	\$ 8,080.80

Annual Upkeep Cost*	Cost per Basket	30 Baskets	60 Baskets	120 Baskets
Floral Design Planting/Growing Basket Install & Removal Watering Assume April - October				
Total	\$ 200.00	\$ 6,000.00	\$ 12,000.00	\$ 24,000.00

Annual Replacement Cost	Cost per Basket	30 Baskets	60 Baskets	120 Baskets
Assume 5% Annual Replacement	\$ 20.45	\$ 613.50	\$ 1,227.00	\$ 2,454.00

* Annual upkeep cost based on survey of (5) similar programs among NWMC Communities

Raw Average Annual Cost/Basket	\$ 309 per year		
Weighted Average Cost/Basket	\$ 189 per year	<u>Use \$200/basket/year</u>	

