

Winnetka Village Council
REGULAR MEETING
Village Hall
510 Green Bay Road
Tuesday, November 19, 2013
7:00 p.m.

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AGENDA

- 1) Call to Order
- 2) Pledge of Allegiance
- 3) Quorum
 - a) December 3, 2013 Regular Meeting
 - b) December 10, 2013 Study Session
 - c) December 17, 2013 Regular Meeting
- 4) Approval of Agenda
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9) Old Business: None.	
10) New Business: None.	
11) Appointments	
12) Reports	
13) Executive Session	
14) Adjournment	

NOTICE

All agenda materials are available at villageofwinnetka.org (*Council > Current Agenda*); the Reference Desk at the Winnetka Library; or in the Manager’s Office at Village Hall (2nd floor).

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MINUTES
WINNETKA VILLAGE COUNCIL BUDGET MEETING

October 30, 2013

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Wednesday, October 30, 2013 at 7:00 p.m.

1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Joe Adams, Jack Buck, Patrick Corrigan, Richard Kates and Stuart McCrary. Absent: Trustee Arthur Braun. Also in attendance: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Finance Director Ed McKee, Community Development Director Mike D’Onofrio, Public Works Director Steve Saunders, Assistant Public Works Director Steve Auth and approximately 5 persons in the audience.

2) Budget Review Topics:

a) Community Development. Director of Community Development Mike D’Onofrio reviewed the Department’s organization, explaining that the number of full-time positions has been reduced since the Village contracted out for inspection services. The Village also shares a Sanitarian with three other municipalities.

Mr. D’Onofrio remarked that the budget has remained fairly flat over the past three years, and most expenses are personnel related as there is no capital budget for the Department. He reviewed the contract with SafeBuilt, noting that costs for inspection services have been reduced 10-15% since the contract was approved in June. The contract provides flexibility, consistency and a regional approach to building inspections. Responding to a question, he said the inspections are going fairly well, notwithstanding a few bumps to be worked out.

Other third party vendors include the Sanitarian, fire safety plan review services, and a contract with the Historical Society for Historical Architectural Impact Study (HAIS) review, as part of the demolition permit process.

Mr. D’Onofrio noted that building permit revenue has increased in the past three years as the economy recovers, and the Village has not significantly raised permit fees since 2010. Department expenditures have been stable over the past six years, with 2013 running lower due to the SafeBuilt contract and the transition of a planning assistant from full to part-time. Mr. D’Onofrio also presented the Department’s initiatives for 2014, including implementation of ULI study recommendations and new building permit tracking software.

There was a brief discussion about the allocation of legal fees for the Department, and Mr. McKee said he would provide a summary of legal costs at the budget follow-up meeting.

It was suggested that the scope of the Historical Society’s HAIS reviews be limited to truly historical structures. Mr. D’Onofrio explained that the HAIS is not a straightforward architectural review, since a house also gains significance if a notable person lived in it. He said the Historical Society searches their records to determine

whether the house has any historic significance, or whether a famous person lived there, and prepare a report for the Landmark Preservation Commission. The HAIS fee is built into the demolition permit fee.

Attorney Janega explained that a previous Council structured the demolition permit fee to include the HAIS because there was a concern at the time that the Village was losing historic housing stock.

The Council requested more discussion of liquor license and demolition permit fees, and review of how legal fees are allocated; and suggested that an independent civil engineer review the stormwater impact of new homes.

- b) Public Works. Director of Public Works and Village Engineer Steve Saunders reviewed the Department activities and personnel organizational chart, noting that he is short-staffed due to a vacant superintendent of operations position. He reviewed a breakdown of the Department's funding, noting the shift of operating expenses from primarily the General Fund, and another transfer from Sewer Fund to Stormwater Fund, for transparency purposes. The Department's total budget increase for 2014 is 1.95%.

Mr. Saunders explained that reserves in the Sewer Fund are very low and that cash flow is projected to be negative in 2016. After a comparison of Winnetka's sewer rates with neighboring communities revealed that the Village is below the average, a 10% sewer rate increase is proposed, to pay for capital projects and re-establish sufficient the reserves.

Mr. Saunders reviewed the Department's operating expenses, noting that the biggest pieces are: personnel costs; refuse-related contractual expenses; administrative charges; fuel; and forestry contractual services. Capital projects for 2014 include: equipment replacement; street and sidewalk construction; rehabilitation of the Lincoln Avenue parking lot and the Scott Avenue parking deck.

Mr. Saunders explained how streets are chosen for rehabilitation, and reviewed the 2014 proposed Street Program. Responding to a question about why data from a previous inspection study of the Village's roads was not used to choose streets for resurfacing, Mr. Saunders explained that having the administrative superintendent position vacant has reduced the Department's manpower to accomplish this and other projects. He said he would do his best to schedule a review of the data in question.

Mr. Saunders next reviewed the proposed capital projects for the Motor Fuel Tax Fund, Sanitary Sewer Fund, Refuse Fund and Stormwater Fund, which includes a proposed increase in the pumping capacity for the Ash Street pump station, for immediate relief for the tree streets until the Willow Road Tunnel is built. Lastly, Mr. Saunders reviewed the capital projects for the Business District Fund and the Facilities Fund.

The Trustees discussed the recycling containers for the business districts proposed by the Environmental and Forestry Commission (EFC) and suggested that a consistent theme be chosen before placing them. Mr. Saunders explained that the container was chosen from the Streetscape Master Plan.

More information about snow removal costs was requested, such as allocation of labor; and Mr. McKee noted that the new financial accounting software will be able to track the

labor costs so a history can be developed. It was suggested that the Village involve the expertise of Winnetka's garden clubs for planting ideas for the Floral Program.

Responding to a question about the use of salt on the Village's streets, Mr. Saunders explained that a mix of materials is used, including salt brine, which conserves salt use. He said he hopes to find time to investigate alternative de-icers in 2014.

Trustee Corrigan noted that the 2014 Budget seems congruous with priorities the Council identified in its strategic planning session, and although some tweaks could be made, both Community Development Public Works are in line Council priorities.

President Greable requested an update on the Stormwater Fund cash flow projections before final approval of the budget and asked that staff review a less expensive alternative for refuse and recycling collection and hauling. He asked the Trustees to send their comments to Ed so that revisions can be made before the Budget is adopted.

- c) Follow-up Issues. Finance Director Ed McKee explained that the Budget includes two non-routine transfers, one for downtown redevelopment and one for stormwater expenses and he added that the Budget is balanced and sound. There will be a final budget review at the November 14 rescheduled Study Session.

3) Public Comment.

Bernard Hammer, 1455 Tower Road: Mr. Hammer complained that he had not received any written responses to several letters he had written to the Village Council and Village Attorney and warned that there would be litigation over the stormwater utility issue.

Attorney Janega explained that she is unable to respond to his legal memoranda for ethical reasons, as her legal opinion is owed to her client, the Village Council. She added that she had met with Mr. Hammer several times to hear his views and they had been unable to come to agreement about the stormwater utility.

Ann Wilder, 1096 Spruce Street: Ms. Wilder asked how the budget could be approved with inclusion of the proposed implementation of a stormwater utility, as the Council has not yet approved one.

Mr. McKee explained that items are accounted for in the Budget for the entire fiscal year, and then the Council authorizes the expenditures as projects become ready for approval.

Charlotte McGee, 518 Rosewood Avenue: Ms. McGee said she is an Environmental and Forestry Commissioner and explained that the Commission believes that having recycling containers in the commercial districts is important to show concern for the environment. The Streetscape Plan of 2010 was used as a guide in choosing the containers and she suggested removing all refuse containers that do not have recycling next to them and using the Commission's model.

- 4) Adjournment. Trustee McCrary, seconded by Trustee Adams, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 10:05 p.m.

Recording Secretary

**MINUTES
WINNETKA VILLAGE COUNCIL
REGULAR MEETING
November 5, 2013**

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Council Chambers on Tuesday, November 5, 2013, at 7:00 p.m.

- 1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Joe Adams, Arthur Braun, Jack Buck, Patrick Corrigan, Richard Kates, and Stuart McCrary. Absent: None. Also present: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Village Attorney Katherine Janega, Public Works Director Steve Saunders, Finance Director Ed McKee and approximately 6 persons in the audience.
- 2) Pledge of Allegiance. President Greable led the group in the Pledge of Allegiance.
- 3) Quorum.
 - a) Thursday, November 14, 2013 Rescheduled Study Session. All of the Council members present, with the exception of Trustee Corrigan, indicated that they expected to attend.
 - b) November 19, 2013 Regular Meeting. All of the Council members present indicated that they expected to attend.
 - c) December 3, 2013 Regular Meeting. All of the Council members present indicated that they expected to attend.
- 4) Approval of the Agenda. Trustee Kates requested that Warrant #055847 be pulled from Warrant List #1820 for discussion. Trustee Adams, seconded by Trustee McCrary, moved to approve the Agenda with the item removed as Trustee Kates requested. By roll call vote the motion carried. Ayes: Trustees Adams, Braun, Buck, Corrigan, Kates and McCrary. Nays: None. Absent: None.
- 5) Consent Agenda
 - a) Village Council Minutes.
 - i) October 10, 2013 Budget Meeting.
 - ii) October 14, 2013 Budget Meeting.
 - iii) October 15, 2013 Regular Meeting.
 - b) Warrant Lists Nos. 1819 and 1820. Approving Warrant List No. 1819 in the amount of \$1,917,038.97, and Warrant List No. 1820 – excluding Item #055932: payment to Simpson Construction Co., and Item #055847: payment to Fontana Leadership Development – resulting in an amount of \$576,643.58.
 - c) State of Illinois Joint Purchase Program Equipment Replacement: PW-8. Awarding a purchase order to Bob Ridings Ford in the amount of \$84,949 for the purchase of a 2014 Ford F450 regular cab chassis and platform body under State of Illinois Joint Purchasing Program Contract #401-7340.

Trustee Braun, seconded by Trustee Buck, moved to approve the foregoing items on the Consent Agenda by omnibus vote. By roll call vote, the motion carried. Ayes: Trustees Adams, Braun, Buck, Corrigan, Kates and McCrary. Nays: None. Absent: None.

6) Stormwater Update. No report.

7) Ordinances and Resolutions.

a) Public Hearing: 2014 Village Budget

i) Resolution R-31-2013: Village Budget for 2014 Fiscal Year – Introduction.

President Greable opened the public hearing at 7:08 p.m.

Finance Director Ed McKee reviewed the proposed 2014 Budget, which the Village Council discussed at three separate public meetings in October. The Budget proposes: (a) a 1.7% increase in property taxes; (b) a 4% increase in water rates to fund capital improvements; (c) a 10% increase in the sanitary sewer rates, to fund capital improvements and build up the dwindling reserves; and (d) implementation of a stormwater utility fee in July, 2014. Electric rates, vehicle license fees and most other fees and taxes remain unchanged. The total effect of the 2014 Budget on a typical resident would be an increase of \$250 over last year, or 3.5%.

As the Budget had been thoroughly discussed at the prior budget meetings, and a final budget discussion will be held at the November 14 Rescheduled Study Session, there were no questions from the Council.

President Greable closed the public hearing at 7:13 p.m.

Trustee Braun, seconded by Trustee Adams, moved to introduce Resolution R-31-2013. By voice vote, the motion carried.

b) Updated Fee and Rate Resolutions.

i) Resolution R-32-2013: Water Rates – Introduction.

ii) Resolution R-33-2013: Electric Rates – Introduction.

iii) Resolution R-34-2013: Sewer Service Rates – Introduction.

iv) Resolution R-35-2013: Refuse Rates – Introduction.

Mr. McKee explained that the changes in the rate resolutions implement the proposed fiscal changes that were previously reviewed at the Budget public hearing. The last three resolutions do not require introduction but have historically been included for information purposes prior to adoption. The changes to the ambulance charges are being proposed to offset expected declining revenue after implementation of the Affordable Care Act. Resolution R-38-2013, Fire Service Fees, will be adopted even though no changes in the fees are proposed at this time.

The Council discussed some of the changes with Mr. McKee, with the assurance that the Budget could be fine-tuned after the final discussion takes place on November 14.

President Greable requested that staff keep the Council apprised of any impacts resulting from the Affordable Care Act, and he suggested that a cost/service study of the utility operations be undertaken next year.

Trustee Braun, seconded by Trustee Buck, moved to introduce Resolutions R-32-2013; R-33-2013; R-34-2013 and R-35-2013. By voice vote, the motion carried.

v) Resolution R-36-2013: General Permit & License Fees (Information only).

There were no questions or comments from the Council or the audience.

vi) Resolution R-37-2013: Building, Zoning & Construction Fees (Information only).

There were no questions or comments from the Council or the audience.

vii) Resolution R-38-2013: Fire Service Fees (Information only).

There were no questions or comments from the Council or the audience.

c) Ordinance M-15-2013: General Obligation Bond Series 2013 (Stormwater) Amend and Adopt. Mr. McKee reported that the bond sale went well and he introduced Kevin McCanna of Speer Financial, the Village's financial consultant, to explain the bid process.

Mr. McCanna reported that the bidding on the bonds was active, with six bidders participating, and that BMO Capital Markets submitted the winning bid, with their offer of a 4.1% interest rate. He reviewed the bidding details, the debt service schedule and highlights of the Moody's rating report, which will apply not only to this sale, but to the Series 2014 bond sale as well.

The Council discussed the technical aspects of the bond sale with Mr. McCanna and he answered their questions.

Attorney Janega recited on the nature of the matter, which included a reading of the title of the ordinance and statements (1) that the ordinance provided for the issuance of general obligation bonds for the purpose of paying the costs of certain capital projects in and for the Village, (2) that the bonds are issuable without referendum pursuant to the home rule powers of the Village, (3) that the ordinance further provides for the levy of taxes to pay the bonds, (4) that the ordinance provides many details for the bonds, including tax-exempt status covenants, provision for terms and form of the bonds, and appropriations and (5) that the text of the ordinance has been amended since its introduction at the October 15, 2013, Council meeting, to include the final sale results of the bond issue. She then walked the Council through the amended draft of Ordinance M-13-2013, reviewing the amendments made to the Ordinance pursuant to the sale of the bonds, which includes such details as price, buyer, date of bond closing, certificate of authentication, and the tax levy schedule.

Jeffrey Liss, 1364 Edgewood: Mr. Liss asked if the bonds are callable if interest rates should fall, and he asked how the sale rate compared with the Village's projections last summer. Mr. McKee explained that there is an eight year call, and that the Village's interest projection had been 4.2 - 4.5%.

President Greable thanked Mr. McCanna and Village staff for their hard work in bringing the bonds to fruition.

Trustee Kates, seconded by Trustee Braun, moved to amend Ordinance M-15-2013 as indicated by the Village Attorney. By roll call vote, the motion carried. Ayes: Trustees Adams, Braun, Buck, Corrigan, Kates and McCrary. Nays: None. Absent: None.

Trustee Braun, seconded by Trustee Buck, moved to adopt Ordinance M-15-2013 as amended. By roll call vote, the motion carried. Ayes: Trustees Adams, Braun, Buck, Corrigan, Kates and McCrary. Nays: None. Absent: None.

d) Resolution R-30-2013: Approving a Bond Record-Keeping Policy – Adoption.

Mr. McKee explained that the subject Resolution establishes a record-keeping policy for bonds and other financial obligation instruments, as required by the Internal Revenue Service. Resolution R-30-2013 provides the procedure for maintaining and reviewing bond records over the life of the bonds, plus an additional three years. This ensures that the bonds are treated separately from other financial records, pursuant to the Local Records Act and the Village’s records retention program.

There being no questions or comments, Trustee Buck, seconded by Trustee Braun, moved to adopt Resolution R-30-2013. By roll call vote, the motion carried. Ayes: Trustees Adams, Braun, Buck, Corrigan, Kates and McCrary. Nays: None. Absent: None.

8) Public Comment and Questions. None.

9) Old Business. None.

10) New Business.

a) 93 Green Bay Road Contaminated Soil Removal and Site Investigation. Mr. Saunders explained that during construction of improvements last summer at Green Bay Road and Winnetka Avenue, the Village’s contractor discovered several underground fuel tanks. After the tanks were removed, surrounding soil tested positive for petroleum contamination. The Illinois EPA now requires the Village to proceed with further site investigation to determine the extent of the petroleum contamination and to develop an approved remediation plan.

Mr. Saunders explained that prior Councils had exercised appropriate due diligence regarding the purchase of the property, and five previously known fuel tanks have been removed. The site has been registered with the Underground Storage Tank Fund, and the Village is eligible for reimbursement of most of the money spent cleaning the site.

After Mr. Saunders answered a few questions, Trustee Braun, seconded by Trustee Adams, moved to award a contract to Mankoff Industries to complete the required Stage 1 Site Investigation for an amount not to exceed \$47,685.39. By roll call vote, the motion carried. Ayes: Trustees Adams, Braun, Buck, Corrigan, Kates and McCrary. Nays: None. Absent: None.

b) Warrant List #1820: Fontana Warrant (#055847). Trustee Kates objected to paying a fee to Fontana Leadership Development for roughly \$1,200 for a half-day discussion that was held with the Village’s Department Heads in advance of the Council’s strategic planning session, on the grounds that the Council was not notified in advance of the meeting, and it was unnecessary. The other Council members disagreed with Trustee Kates’ objection.

Trustee McCrary, seconded by Trustee Adams, moved to pay Warrant #055847 to Fontana Leadership Development in the amount of \$3,000. By roll call vote, the motion carried. Ayes: Trustees Adams, Braun, Buck, Corrigan and McCrary. Nays: Trustee Kates. Absent: None.

11) Appointments. None.

12) Reports.

a) Village President. None.

b) Trustees.

i) Trustee Kates announced that there would be a meeting on Wednesday, November 6, with the residents adjacent to the Forest Preserve pond to discuss the Northwest Winnetka stormwater improvements. In attendance will be President Greable, Trustee Kates, Village Engineer Saunders and staff from Christopher B. Burke Engineering, Ltd.

ii) Trustee Buck reported that he conversed with Cook County Board President Toni Preckwinkler about a possible Woodley Road annexation, and that the County Board expressed interest in helping the process.

iii) Trustee McCrary reported that in a meeting he had with Cook County Commissioner Larry Suffredin, it was noted the Cook County Board and President have a strong interest in eliminating unincorporated areas, and will cooperate in the annexation process.

iv) Trustee Braun said it is important to allay resident fears about the Willow Road Tunnel polluting the lake by having the Village's engineers explain the environmental aspects of the plan.

c) Attorney. None.

d) Manager. None.

13) Executive Session. None.

14) Adjournment. Trustee Buck, seconded by Trustee Braun, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 8:50 p.m.

Recording Secretary



Agenda Item Executive Summary

Title: Warrant Lists Nos. 1821 and 1822

Presenter: Robert M. Bahan, Village Manager

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input checked="" type="checkbox"/>	Informational Only

Item History:

None.

Executive Summary:

Warrant Lists Nos. 1821 and 1822 were emailed to each Village Council member.

Recommendation / Suggested Action:

Consider approving Warrant Lists Nos. 1821 and 1822

Attachments:

None.



Agenda Item Executive Summary

Title: Identity Theft Prevention Policy Report

Presenter: Ed McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input checked="" type="checkbox"/>	Informational Only

Item History:

In November 2008, the Village passed Ordinance MC-7-2008 to comply with the Fair and Accurate Credit Transactions (FACT) Act of 2003. This law required the Village to implement policies and procedures to detect, prevent, and mitigate the impact of identity theft. In addition, the policy calls for annual review by the Village Council. No incidents of identity theft have been brought to the attention of the Utility Billing Staff since the last report to the Council on November 20, 2012.

Executive Summary:

The Village is considered a creditor under this law, as services are provided by the Village (such as electric, water, and refuse) before a customer pays for those services.

One aspect of the law is periodic review by the governing body of 1) the Village's policies, and 2) any instances where potential identity theft might have occurred. Factors that can be indicative of identity theft are commonly referred to as red flags. The Village's policy documents 13 red flags, including notification received by the Village from credit reporting agencies, personal identification not looking original or matching the applicant, mail not received by the customer, and other events that could be a sign of compromised customer identity.

In terms of the program itself, Staff has been able to work within the guidelines established and no revisions are currently recommended.

Since the last report to the Village Council on November 20, 2012, no red flag events have occurred that required the intervention of the finance director.

Recommendation / Suggested Action:

Review the provided materials; information only report.

Attachments:

- 1) Identity Theft Prevention Program effective 12/1/2012
- 2) Identity Theft Protection section of Village Code, MC - 7 - 2008

**VILLAGE OF WINNETKA
IDENTITY THEFT PREVENTION PROGRAM**

Program Administrator: Finance Director

Signature: _____

Effective Date: December 1, 2012

This Identity Theft Prevention Program is hereby adopted by the above-named Illinois municipality pursuant to and in compliance with the Identity Theft Rules of the Federal Trade Commission (FTC), Part 681 of Title 16 of the Code of Federal Regulations (16 CFR Part 681).

Purpose

The purpose of this Identity Theft Prevention Program (Program) is to protect customers of the Municipality’s utility services from identity theft. The Program is intended to establish reasonable policies and procedures to facilitate the detection, prevention and mitigation of identity theft in connection with the opening of new Covered Accounts and activity on existing Covered Accounts.

Scope

This Program applies to the creation, modification and access to Identifying Information of a customer of one or more of the utilities operated by the Village and all employees. This Program does not replace or repeal any previously existing policies or programs addressing some or all of the activities that are the subject of this Program, but rather it is intended to supplement any such existing policies and programs.

Definitions

When used in this Program, the following terms have the meanings set forth opposite their name, unless the context clearly requires that the term be given a different meaning:

Covered Account: The term “covered account” means an account that the Municipality offers or maintains, primarily for personal, family or household purposes, that involves or is designed to permit multiple payments of transactions. (16 CFR 681.2(b)(3)(i)). A utility account is a “covered account.” The term “covered account” also includes other accounts offered or maintained by the Municipality for which there is a reasonably foreseeable risk to customers the Municipality or its customers from identity theft. (16 CFR 681.2(b)(3)(ii)).

Identity Theft: The term “identity theft” means a fraud committed or attempted using the identifying information of another person without authority. (16 CFR §681.2(b)(8) and 16 CFR §603.2(a)).

Identifying Information: The term “identifying information” means any name or number that may be used, alone or in conjunction with any other information, to identify a specific person, including any name, social security number, date of birth, official State or government issued driver's license or identification number, alien registration number, government passport number, employer or taxpayer identification number. Additional examples of “identifying information” are set forth in 16 CFR §603.2(a).

Red Flag: The term “Red Flag” means a pattern, practice or specific activity that indicates the possible existence of identity theft.

Certain terms used but not otherwise defined herein shall have the meanings given to them in the FTC's Identity Theft Rules (16 CFR Part 681) or the Fair Credit Reporting Act of 1970 (15 U.S.C. §1681 *et seq.*), as amended by the Fair and Accurate Credit Transactions Act of 2003 into law on December 4, 2003. (Public Law 108-159).

Administration of the Program

The initial adoption and approval of the Identity Theft Prevention Program shall be by Ordinance of the Village Council. Thereafter, changes to the Program of a day-to-day operational character and decisions relating to the interpretation and implementation of the Program may be made by the Finance Director who shall be the Program Administrator. Major revisions of this policy shall be approved by the Village Council.

Development, implementation, administration and oversight of the Program will be the responsibility of the Program Administrator. The Program Administrator will report at least annually to the Village Council regarding compliance with this Program.

Issues to be addressed in the annual Identity Theft Prevention Report include:

1. The effectiveness of the policies and procedures in addressing the risk of Identity Theft in connection with the opening of new Covered Accounts and activity with respect to existing Covered Accounts.
2. Service provider arrangements.
3. Significant incidents involving Identity Theft and management's response.
4. Recommendations for material changes to the Program, if needed, for improvement.

Identity Theft Prevention Elements

Identification of Relevant Red Flags

The Municipality has considered the guidelines and the illustrative examples of possible Red Flags from the FTC's Identity Theft Rules and has reviewed the Municipality's past history with instances of identity theft, if any. The municipality hereby determines that the following are the relevant Red Flags for purposes of this Program given the relative size of the Municipality and the limited nature and scope of the services that the Municipality provides to its citizens:

- A. Alerts, notifications, or other warnings received from consumer reporting agencies or service providers.
 - 1. A Consumer Reporting Agency alerts the Village of a credit freeze, address disparity, or that an account has been noted to have abusive or fraudulent activity.
- B. The presentation of suspicious documents.
 - 2. Documents provided for ID do not appear to be genuine and unaltered.
 - 3. The photo or physical description is not consistent with the appearance of the applicant.
 - 4. Information given to open the account is not consistent with the ID of the applicant.
- C. The presentation of suspicious personal identifying information, such as a suspicious address change.
 - 5. Personal ID is of the same type associated with fraudulent activity: fictitious address, mail box drop, or prison or phone number is invalid; it is associated with a pager or answering service.
 - 6. Personal ID provided is associated with known fraudulent activity.
 - 7. Personal ID is inconsistent with utility records.
 - 8. The customer fails to provide all needed personal ID upon request.

D. The unusual use of, or other suspicious activity related to, a Covered Account.

9. The utility is notified of unauthorized charges or transactions in connection with a customer's account.

10. Customer notifies utility that they are not receiving their bill.

11. Mail sent to customer is repeatedly returned.

12. Payments are made in a manner associated with fraud. For example, a deposit or initial payment is made and no payments are made thereafter.

E. Notice of Possible Identity Theft.

13. Utility is notified by law enforcement officials or others, that it has opened a fraudulent account for a person engaged in identity theft.

Detection of Red Flags

The employees of the Municipality that interact directly with customers on a day-to-day basis shall have the initial responsibility for monitoring the information and documentation provided by the customer and any third-party service provider in connection with the opening of new accounts and the modification of or access to existing accounts and the detection of any Red Flags that might arise. Management shall see to it that all employees who might be called upon to assist a customer with the opening of a new account or with modifying or otherwise accessing an existing account are properly trained such that they have a working familiarity with the relevant Red Flags identified in this Program so as to be able to recognize any Red Flags that might surface in connection with the transaction.

An Employee who is not sufficiently trained to recognize the Red Flags identified in this Program shall not open a new account for any customer, modify any existing account or otherwise provide any customer with access to information in an existing account without the direct supervision and specific approval of a management employee. Management employees shall be properly trained such that they can recognize the relevant Red Flags identified in this Program and exercise sound judgment in connection with the response to any unresolved Red Flags that may present themselves in connection with the opening of a new account or with modifying or accessing of an existing account. Management employees shall be responsible for making the final decision on any such unresolved Red Flags.

The Program Administrator shall establish from time to time a written policy setting forth the manner in which prospective customers shall apply for service, the information and documentation to be provided by the prospective customer in connection with an application for a new utility service account, the steps to be taken by the employee assisting the customer with the application in verifying the customer's identity and the manner in which the information and documentation provided by the customer and any third-party service provider shall be maintained. Such policy shall be generally consistent with the spirit of the Customer Identification Program rules (31 CFR 103.121) implementing Section 326(a) of the USA PATRIOT Act but need not be as detailed. The Program Administrator shall establish from time to time a written policy setting forth the manner in which customers with existing accounts shall establish their identity before being allowed to make modifications to or otherwise gain access to existing accounts.

Response to Detected Red Flags

If the responsible employees of the Municipality as set forth in the previous section are unable, after making a good faith effort, to form a reasonable belief that they know the true identity of a customer attempting to open a new account or modify or otherwise access an existing account based on the information and documentation provided by the customer and any third-party service provider, the Municipality shall not open the new account or modify or otherwise provide access to the existing account as the case may be. Opening new accounts or the modification or access to existing accounts will be on a non-discriminatory basis based on the Village's policies.

The Program Administrator shall establish from time to time a written policy setting forth the steps to be taken in the event of an unresolved Red Flag situation. Consideration should be given to aggravating factors that may heighten the risk of Identity Theft, such as a data security incident that results in unauthorized access to a customer's account, or a notice that a customer has provided account information to a fraudulent individual or website. Appropriate responses to prevent or mitigate Identity Theft when a Red Flag is detected include:

1. Monitoring a Covered Account for evidence of Identity Theft.
2. Contacting the customer.
3. Changing any passwords, security codes, or other security devices that permit access to a Covered Account.
4. Reopening a Covered Account with a new account number.
5. Not opening a new Covered Account.
6. Closing an existing Covered Account.
7. Not attempting to collect on a Covered Account or not selling a Covered Account to a debt collector.
8. Notifying law enforcement.
9. Determining that no response is warranted under the particular circumstances.

Program Management and Accountability

Initial Risk Assessment – Covered Accounts

Utility accounts for personal, family and household purposes are specifically included within the definition of “covered account” in the FTC’s Identity Theft Rules. Therefore, the Municipality determines that with respect to its residential utility accounts it offers and/or maintains covered accounts. The Municipality also performed an initial risk assessment to determine whether the utility offers or maintains any other accounts for which there are reasonably foreseeable risks to customers or the utility from identity theft. In making this determination the Municipality considered (1) the methods it uses to open its accounts, (2) the methods it uses to access its accounts, and (3) its previous experience with identity theft, and it concluded that it does not offer or maintain any such other covered accounts.

Program Updates – Risk Assessment

The Program, including relevant Red Flags, is to be updated as often as necessary but at least annually to reflect changes in risks to customers from Identity Theft. Factors to consider in the Program update include:

1. An assessment of the risk factors identified above.
2. Any identified Red Flag weaknesses in associated account systems or procedures.
3. Changes in methods of Identity Theft.
4. Changes in methods to detect, prevent, and mitigate Identity Theft.
5. Changes in business arrangements, including mergers, acquisitions, alliances, joint ventures, and service provider arrangements.

Training and Oversight

All staff and third-party service providers performing any activity in connection with one or more Covered Accounts are to be provided appropriate training and receive effective oversight to ensure that the activity is conducted in accordance with policies and procedures designed to detect, prevent, and mitigate the risk of Identity Theft.

Other Legal Requirements

Awareness of the following related legal requirements should be maintained:

- 31 U.S.C. 5318 (g) – Reporting of Suspicious Activities
- 15 U.S.C. 1681 c-1 (h) – Identity Theft Prevention; Fraud Alerts and Active Duty Alerts – Limitations on Use of Information for Credit Extensions
- 15 U.S.C. 1681 s-2 – Responsibilities of Furnishers of Information to Consumer Reporting Agencies
- 15 U.S.C. 1681 m – Requirements on Use of Consumer Reports

Village of Winnetka

Red Flag Events

A	B	C	D	E
Alerts, Notifications or Warnings from Consumer Reporting Agency	Suspicious Documents	Suspicious Personal I.D. Information	Unusual Use or Suspicious Activity related to the Covered Account	Notice of Theft
1. A Consumer Reporting Agency alerts the Village of a credit freeze, address disparity, or that an account has been noted to have abusive or fraudulent activity.	2. Documents provided for ID do not appear to be genuine and unaltered.	5. Personal ID is of the same type associated with fraudulent activity.: fictitious address, mail box drop, or prison or phone number is invalid; it is associated with a pager or answering service.	9. The utility is notified of unauthorized charges or transactions in connection with a customer's account.	13. Utility is notified by law enforcement officials or others, that it has opened a fraudulent account for a person engaged in identity theft.
	3. The photo or physical description is not consistent with the appearance of the applicant.	6. Personal ID provided is associated with known fraudulent activity.	10. Customer notifies utility that they are not receiving their bill.	
	4. Information given to open the account is not consistent with the ID of the applicant.	7. Personal ID is inconsistent with utility records.	11. Mail sent to customer is repeatedly returned.	
		8. The customer fails to provide all needed personal ID upon request.	12. Payments are made in a manner associated with fraud. For example, a deposit or initial payment is made and no payments are made thereafter.	

ORDINANCE NO. MC-7-2008

**AN ORDINANCE AMENDING
CHAPTERS 2.48 AND 4.04 OF THE WINNETKA VILLAGE CODE
TO PROVIDE FOR IDENTITY THEFT PROTECTION PROCEDURES**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to regulate for the protection of the public health, safety and welfare; and

WHEREAS, the United States Congress has enacted the Fair and Accurate Credit Transactions (FACT) Act of 2003, which requires that creditors implement policies and procedures to detect, prevent, and mitigate the impact of identity theft; and

WHEREAS, the FACT Act has a mandatory compliance date of November 1, 2008; and

WHEREAS, as required by the FACT Act, the Federal Trade Commission (FTC) and federal banking agencies have issued final rules and guidelines for implementing the FACT Act; and

WHEREAS, because the Village provides a variety of services, including electric, water and refuse service, before the customer is required to pay for them, and because the Village occasionally enters into payment plans with customers with past due accounts, the Village is considered a creditor under the FACT Act and must implement policies and procedures as required by the FACT Act and applicable federal rules.

NOW, THEREFORE, the Council of the Village of Winnetka do ordain as follows:

SECTION 1: The foregoing recitals are hereby incorporated as the findings of the Council of the Village of Winnetka, as if fully set forth herein.

SECTION 2: Subsection E of Section 2.48.010 of Chapter 2.48, “Finance Department,” of Title 2 of the Winnetka Village Code, “Administration and Personnel,” is hereby amended to provide as follows:

E. Powers and Duties. The Finance Director shall be subject to the control and direction of the Village Manager and shall be head of the Finance Department. Subject to the approval of the Village Manager, the Finance Director shall have both control over all of the property and employees of the Finance Department and the power to appoint and remove such employees as may be required for the efficient operation of the Department. In addition to the duties required by state law, the Finance Director shall have the following duties, functions and responsibilities:

1. To assist the Budget Officer in the preparation of the annual budgets, and to prepare tax levy and tax abatement ordinances;
2. To supervise all expenditures of the Village and to maintain accurate records of such expenditures;
3. To keep the financial records of the Village;
4. To oversee all purchases made by the Village and to develop and recommend procedures for such purchases;
5. To prepare financial reports and statements;
6. To issue bills and collect fees for water, electric, sewer, refuse and other services rendered by the Village;
7. To invest Village funds with the approval of the Treasurer;
8. To collect, and to maintain accurate records of, the following: all special assessments; all cash deposits required by the Village, including deposits for electric, sewer and water service; all fees for licenses and permits issued by the Village; and all payments of fines and fees, as provided in this code;
9. To collect late fees and to recover costs related to the collection of any unpaid or delinquent fees, fines, deposits or other payments due and owing to the Village;
10. To retain deposits and excess payments that may otherwise be subject to refunds, for the sole purpose of applying the retained amount to pay all or part of a delinquent account owed by the person making the deposit; ~~and~~
11. To develop and implement procedures to detect, prevent, and mitigate the impact of identity theft in accordance with section 4.04.020 of this Code and applicable federal laws, rules and regulations; and
12. To perform such other services as may be required by the Village Manager.

SECTION 3: Chapter 4.04, “Fiscal Provisions Generally” of Title 4 of the Winnetka Village Code, “Revenue and Finance,” is hereby amended to provide as follows:

Chapter 4.04

~~FISCAL GENERAL PROVISIONS GENERALLY~~

Sections:

- 4.04.010 Disposition of funds.**
~~4.04.020 Identity Theft Protection~~

Section 4.04.010 Disposition of funds.

No person shall be paid from the treasury upon any warrant except from the money belonging to the particular funds, or budget item, upon which such warrant shall be drawn; nor shall money be transferred by the Treasurer from one fund to another after the money has been received by the Treasurer, nor appropriated to any other purpose than that for which it has been collected or paid, except as provided in Section 4.08.010(D) of this code. (Ord. MC-228-99 § 1 (part), 1999: prior code § 3.04 (part))

Section 4.04.020 Identity Theft Protection.

A. Statement of Policy. It is the policy of the Village of Winnetka to establish procedures to detect, prevent, and mitigate the impact of identity theft, as required by the Fair and Accurate

Credit Transactions Act of 2003 and applicable rules promulgated by the Federal Trade Commission and federal banking agencies pursuant to said Act.

B. Development of Procedures. The Finance Director, under the supervision of the Village Manger, shall be responsible for developing the Village of Winnetka Identity Theft Protection Procedures, which shall establish procedures appropriate to the Village's operations for the detection, prevention, and mitigation of the impact of identity theft, as required by the aforesaid Act. The procedures shall include a requirement that all incidents of identity theft be reported to the Winnetka Police Department and any other law enforcement agency having jurisdiction over such matters for investigation and prosecution.

C. Village Council Review and Approval. The Village of Winnetka Identity Theft Protection Procedures, and amendments thereto, shall be subject to the review and approval of the Village Council.

D. Annual Report. During the fourth calendar quarter of each year, the Finance Director shall report to the Village Council regarding the implementation of the Village procedures, including reports of identity theft and recommendations for modifications to the procedures.

SECTION 4: This Ordinance is passed by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

PASSED this 6th day of November, 2008, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

APPROVED this 6th day of November, 2008.

Signed:

Village President

Countersigned:

Village Clerk

Introduced: October 21, 2008

Posted: October 22, 2008

Passed and Approved: November 6, 2008

Posted: November 7, 2008



Agenda Item Executive Summary

Title: Stormwater Monthly Summary Report

Presenter: Steven M. Saunders, Director of Public Works/Village Engineer

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input checked="" type="checkbox"/>	Informational Only

Item History:

Monthly Report

Executive Summary:

The Village's Stormwater Project Manager has prepared a monthly report for the Village Council that brings together status, cost, and schedule information, for each separate stormwater project, in one place. The report consists of four documents, explained below:

AT Group Project Summary Report (Attachment #1)

This report provides a brief outline and summary of each major stormwater project currently being undertaken by the Village.

One Year Look-Ahead Schedule (Attachment #2)

This document provides an overview schedule for each project.

Program Budget (Attachment #3)

This report provides financial information for the stormwater and sanitary sewer improvement programs.

Program Organization Chart (Attachment #4)

This document presents a one-page "snapshot" view of the status of each project, and how each project fits into the overall stormwater and sanitary sewer management program.

Recommendation / Suggested Action:

Informational report

Attachments:

1. AT Group Project Summary Report
2. One Year Look-Ahead Schedule
3. Program Budget
4. Program Organization Chart



MEMORANDUM

DATE: November 13, 2013
TO: Steven Saunders, P.E.
Village of Winnetka
SUBJECT: Project Summary

Spruce Outlet (Tower)

Activity Summary The Village opened bids for the project on September 26, and the Council awarded the contract to Copenhaver on October 15, in the amount of \$976,036. Tentatively, construction is scheduled to begin in 2013 and be completed in spring, 2014.

Budget Summary The Village budgeted \$90,000 for engineering and committed \$111,429, and budgeted \$1,000,000 for construction and committed \$976,036.

6-Month Look Ahead The project team will:

1. Conduct a neighborhood pre-construction meeting on the project
2. Construct the project

Spruce Outlet (Lloyd)

Activity Summary The Village Council authorized a contract with Lenny Hoffman Excavating for the project on August 20. The Village held a pre-construction meeting on October 4. Lenny Hoffman Excavating has started construction and estimates a 4-week project.

Budget Summary The Village budgeted \$90,000 for engineering and committed \$37,143. The bid award was for \$251,488. Based on the bid award, the total project cost estimate has been reduced from \$398,786 to \$344,354.

6-Month Look Ahead The project team will:

1. Construct the project

Winnetka Avenue Pump Station

Activity Summary The Village opened bids for the project on September 10, and the Village Council awarded the contract on September 15. Boller Construction was the low responsible bidder with a bid of \$1,038,300. Construction is scheduled for late 2013 and will continue to spring, 2014.

Budget Summary The adjusted project budget is \$1,188,562, including engineering and construction.

6-Month Look Ahead The project team will:

1. Construct the project

NW Winnetka (Greenwood/Forest Glen)

Activity Summary Village staff and consultants met with area residents (Boal Parkway, as well as Heather, Hickory, Sumac and Hazel Lanes) to present the proposed design and obtain resident input on stormwater concerns. The project team will follow-up with the residents and another area resident meeting is anticipated.

Budget Summary The Village budgeted \$250,000 for engineering and committed \$226,874 for engineering. The total project cost estimate – including the Forest Glen improvements - remains \$4,266,924.

6-Month Look Ahead The project team will:

1. Complete the permit process
2. Let the contract with Village Council approval
3. Conduct an additional neighborhood meeting on the project
4. Construct the project

Willow Road Tunnel

Activity Summary The Village received two responses to the RFQ and distributed the RFP to the two teams. The project team met with each of the teams to discuss the RFP and answer questions. The RFP responses are due November 22 and interviews are scheduled for December 4.

Budget Summary The Village budgeted \$800,000 for engineering and committed \$70,350. The total project cost estimate remains \$34,369,048.

6-Month Look Ahead The project team will:

1. Review the RFP submittals and conduct interviews.
2. Present the recommended firm to the Village Council for approval.
3. Process the engineering services agreement.



Stormwater Master Plan

Activity Summary Village staff continues to meet monthly with Baxter & Woodman (B&W) representatives to discuss the status of the project. B&W has completed their review of the Village's stormwater-related development regulations, the new MWRD regulations, and select other community regulations. The results of this review will be presented to the Council at the November 14 Study Session.

Budget Summary The Village budgeted \$50,000 and committed \$101,220.

6-Month Look Ahead The project team will:

1. Review the Village's stormwater-related development regulations with the Council
2. Prepare the draft Stormwater Master Plan
3. Present the draft Stormwater Master Plan to the Council

Stormwater Utility Feasibility Study

Activity Summary The Village Council directed Staff and Municipal & Financial Services Group (MFSG) to proceed with the implementation phase for a stormwater utility. MFSG conducted a utility policy workshop with the Council on October 1 and received feedback on key policies for the development of the utility billing database.

Budget Summary The Village budgeted \$50,000 and awarded an agreement in the amount of \$72,100.

6-Month Look Ahead The project team will:

1. Complete the on-line bill estimator
2. Proceed with implementation



Sanitary Sewer Evaluation

Activity Summary B&W is proceeding with the detailed I/I evaluation in select areas of the Village to identify specific system repairs and corrections needed.

Budget Summary The Village has budgeted \$150,000 and committed \$152,157.

6-Month Look Ahead The project team will:

1. Complete detailed evaluations
2. Report findings to the Council
3. Complete design engineering of initial system improvements

Public Outreach

Activity Summary The project team continues to update the website and monitor the activity. The Stormwater Management Program Special Report was published in August and two Town Hall Meetings were held in September.

Budget Summary There is no separate budget associated with this project.

6-Month Look Ahead The project team will continue to update the website and monitor activity.

Ravine/Sheridan Road Improvements

Activity Summary IDOT is planning pavement and drainage improvements for the area with paving tentatively scheduled for 2014. Due to the need for easement acquisition, the drainage is scheduled for 2015. Staff met with IDOT to review the preliminary plans and discuss the project in general.

Budget Summary This project is funded in its entirety by IDOT

6-Month Look Ahead The project team will:

1. Monitor IDOT activities
2. Update the Council as needed



Ash Street Pump Station

Activity Summary CBBEL completed plans and specifications for the station, including pump and electrical equipment replacement. Staff also reviewed the project scope as part of the FY 14 budget. Construction is tentatively scheduled for 2014.

Budget Summary This project is funded within the Stormwater Fund Capital Budget.

6-Month Look Ahead The project team will:

1. Budget for the project
2. Proceed with final engineering and construction

Attached are the following documents:

1. One-Year Look-Ahead Schedule including Council Meeting Presentations
2. Program Budget
3. Program Organization Chart

If you have any questions or need additional information, please call me at 847-691-9832, or send an e-mail to jjohnson@theadgrp.com.



**Village of Winnetka
Stormwater Management Program**

One-Year Look Ahead Schedule

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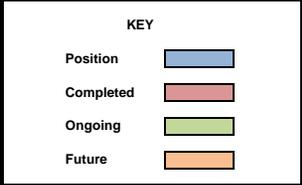
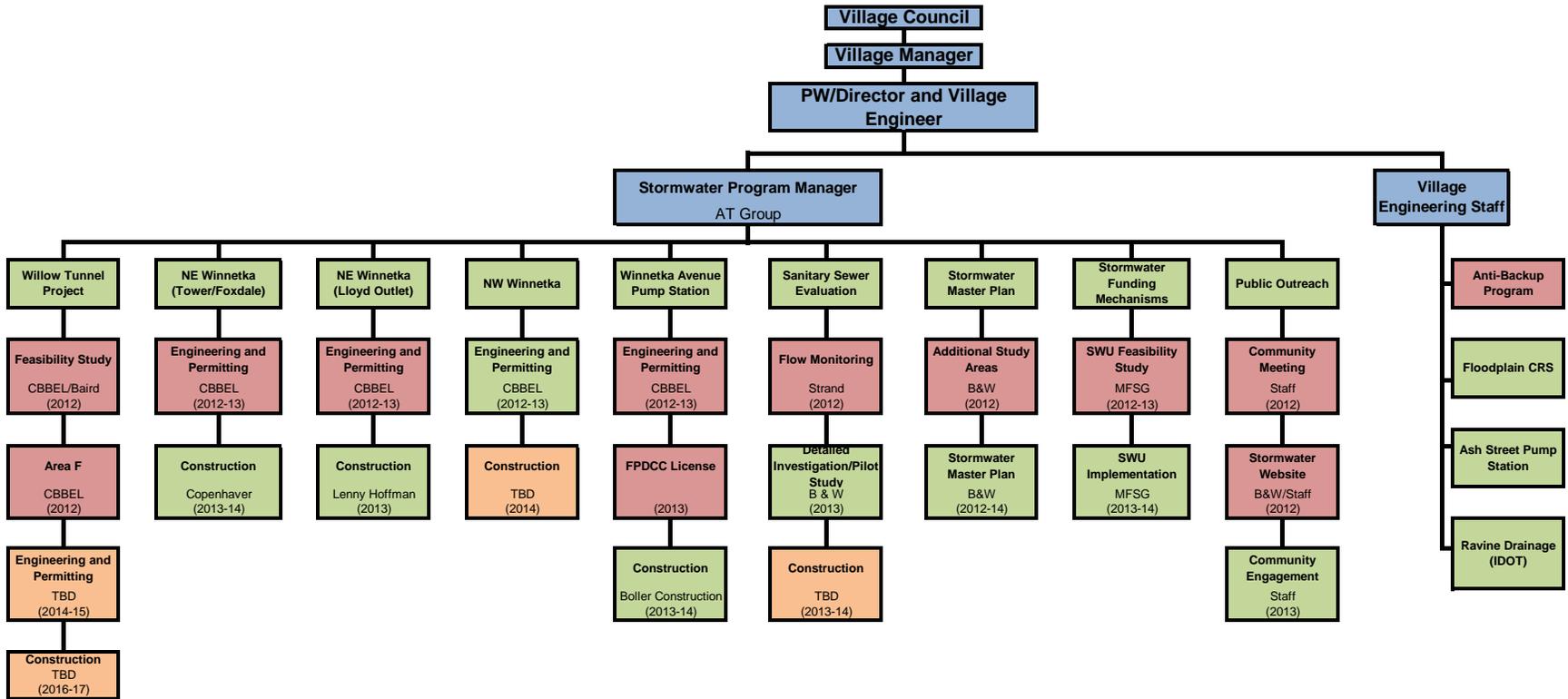
	Nov 13	Dec 13	Jan 14	Feb 14	Mar 14	Apr 14	May 14	Jun 14	Jul 14	Aug 14	Sep 14	Oct 14
Tower/Foxdale												
Bid Authorization/Bidding												
Construction												
Lloyd Outlet												
Construction												
Tunnel (Willow North, Willow South, Provident, Cherry Outlet, Underpass)												
Engineering RFP												
Preliminary Engineering												
NW Winnetka (Greenwood/Forest Glen)												
Bid Authorization/Bidding												
Construction												
Winnetka Avenue Pump Station												
Construction												
Sanitary Sewer												
Engineering												
Construction												
Stormwater Master Plan												
Develop SMP												
Community Outreach												
Program Financing												
Bond 1 - Authorization/Processing (\$9.0MM)												
Bond 1 - Proceeds (\$9.0MM)												
Bond 2 - Authorization/Processing (\$9.5MM)												
Bond 2 - Proceeds (\$9.5MM)												
Village Council Meeting Presentations												
Stormwater Development Regulations												
Stormwater Monthly Report												
NW Winnetka Bid Authorization												
Stormwater Monthly Report												
Stormwater Master Plan Draft Report												
Willow Road Tunnel RFP												
NW Winnetka Bid Approval												
Willow Road Tunnel CM RFQ												
Stormwater Monthly Report												
Stormwater Monthly Report												
Willow Road Tunnel CM RFP												
Stormwater Monthly Report												
Stormwater Monthly Report												



**Village of Winnetka
Stormwater Management Program Budget**

Project	Initial Estimated Project Costs	Current Estimated Project Costs	2013/2014 Budget	Council Authorized	Spent	Comments
<u>Stormwater Fund</u>						
<u>58.75.640.601</u>						
Winnetka Ave. pump station	\$ 1,188,562	\$ 1,188,562	\$ 750,000	\$ 1,067,600	\$ 29,300	Council Award 9/15/13
Tower Road/Foxdale	\$ 1,419,544	\$ 976,036	\$ 1,000,000	\$ 976,036	\$ 107,271	Council Award 10/15/13
Lloyd Park/Spruce Street	\$ 601,030	\$ 344,354	\$ 414,000	\$ 288,631	\$ 35,757	Council Award 8/20/13
NW Winnetka Greenwood/Forest Glen	\$ 2,880,887	\$ 4,266,924	\$ 4,040,000	\$ 226,874	\$ 216,274	Added Forest Glen and included utilities from different line item
Willow Rd tunnel <i>Proposed Area F</i>	\$ 32,498,697	\$ 34,369,048	\$ 800,000	\$ 37,750 \$ 17,600	\$ 37,705 \$ 17,407	CBBEL October 2011 budget w/Kenny and Baird estimates
Stormwater rate study	\$ 50,000	\$ 167,316	\$ 10,000	\$ 167,316	\$ 108,416	DPW 2011/12 Budget vs proposal. Additional fee for fifth workshop. Includes Implementation Phase
Stormwater master plan	\$ 50,000	\$ 101,220	\$ 60,000	\$ 101,220	\$ 91,712	DPW 2011/12 Budget vs proposal (added 6 drainage areas)
Total Stormwater Costs	\$ 38,688,720	\$ 41,413,460	\$ 7,074,000	\$ 2,883,027	\$ 643,842	
<u>Sanitary Sewer Fund</u>						
<u>54.70.640.201</u>						
Sanitary Sewer Studies/Engineering	\$ 150,000	\$ 152,157	\$ 50,000	\$ 152,157	\$ 130,602	Additional monitoring
System I & I repairs	\$ 1,000,000	\$ 1,000,000	\$ 300,000	\$ -	\$ -	
Total Sanitary Sewer Costs	\$ 1,150,000	\$ 1,152,157	\$ 350,000	\$ 152,157	\$ 130,602	







Agenda Item Executive Summary

Title: Resolution R-31-2013 - Village Budget for 2014 Fiscal Year - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History:

October 10, 14, and 30, 2013 Budget Study Sessions to review and discuss proposed 2014 Fiscal Year Budget
November 5, 2013 Public hearing and introduction of Budget Resolution, R-31-2013
November 14, 2013 Further discussion at Council Study Session

Executive Summary:

The proposed 2014 Budget will result in an approximately 3.5% increase in costs for municipal services for a typical homeowner consisting of a:

1.7% increase in property taxes	(\$60 on Village portion [\$3,614] of a \$27,135 total property tax bill)
4.0% increase in water rates	(\$33 annual cost on a \$827 annual bill)
10.0% increase in sanitary sewer rates	(\$26 annual cost on a \$262 annual bill)

Implementation of a stormwater utility fee, effective 7/1/2014, at an annual amount of \$262 for the typical homeowner, will result in a cost of \$131 for the remainder of Fiscal Year 2014 (7/1/2014 to 12/31/2014).

Electric costs, vehicle license fees, and most other fees and taxes remain unchanged.

Recommendation / Suggested Action:

Consider a motion to adopt the Budget Resolution, R-31-2013.

Attachments:

Table captioned "Village of Winnetka, Budget Impact on Homeowner"

R-31-2013 - A Resolution Adopting the Annual Budget for the Fiscal Year Beginning January 1, 2014 and Ending December 31, 2014

Exhibit A to R-31-2013 - Budget Summary captioned "Village of Winnetka, Annual Budget by Fund Category"

**Village of Winnetka
Budget Impact on Homeowner**

Select Taxes and Fees			Change	
	2013	2014	\$'s	%
Village Property Taxes *	\$ 3,554	\$ 3,614	\$ 60	1.7%
Electric	\$ 2,358	\$ 2,358	\$ -	0.0%
Water	\$ 827	\$ 860	\$ 33	4.0%
Sanitary Sewer	\$ 262	\$ 288	\$ 26	9.9%
Stormwater Utility Fee	\$ -	\$ 131	\$ 131	
Telecommunications Tax	\$ 60	\$ 60	\$ -	0.0%
Natural Gas Tax **	\$ 80	\$ 80	\$ -	0.0%
Licenses (2 cars & 1 Dog)	\$ 90	\$ 90	\$ -	0.0%
Total Taxes and Fees	\$ 7,231	\$ 7,481	\$ 250	3.5%
* Assumes \$27,135 tax bill, 13.32% Village portion, 1.7% projected increase.				
*** The Village's \$262 per equivalent run-off unit is proposed to be effective 7/1/2014 therefore, 6 months of this expense is reflected in the above total.				
** Assumes no reduction in natural gas heating bills.				

RESOLUTION NO. R-31-2013

**A RESOLUTION
ADOPTING THE ANNUAL BUDGET FOR THE FISCAL YEAR
BEGINNING JANUARY 1, 2014
AND ENDING DECEMBER 31, 2014**

WHEREAS, the corporate authorities of the Village of Winnetka (“Village Council”) have previously adopted Sections 8-2-9.1 through 8-2-9.10 of the Illinois Municipal Code, establishing the office of budget officer and authorizing the adoption of the annual budget in lieu of an annual appropriation ordinance; and

WHEREAS, on August 20, 2013, the Village Council enacted Ordinance MC-3-2013, which amended Section 1.04.050 of the Winnetka Village Code to provide that the fiscal year of the Village of Winnetka shall be the same as the calendar year; and

WHEREAS, on October 7, 2013, the Village Council placed the proposed, tentative annual budget for the fiscal year beginning January 1, 2014, and ending December 31, 2014, on file at the office of the Village Manager, at the Winnetka Public Library, and on the Village of Winnetka web site (www.villageofwinnetka.org), and has made the proposed, tentative annual budget available for public inspection since that date; and

WHEREAS, on November 5, 2013, pursuant to notice published on Thursday, October 24, 2013, in the Winnetka Talk, a newspaper published and in general circulation in the Village of Winnetka, the Village Council held a public hearing on the proposed tentative annual budget; and

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village Council finds that establishing an annual budget for the Village, including estimating revenues and recommending expenditures, is a matter pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: The Annual Budget for the Village of Winnetka, which is attached hereto as Exhibit A and is incorporated by reference as if fully set forth herein, is hereby adopted as the Annual Budget for the Village of Winnetka for the Fiscal Year beginning January 1, 2014 and ending December 31, 2014.

SECTION 2: The adoption of the foregoing annual budget shall be in lieu of the appropriation ordinance required in Section 8-2-9 of the Illinois Municipal Code.

SECTION 3: **Home Rule.** This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 4: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 5, 2013

Adopted: November 19, 2013

NOTE:

EXHIBIT A IS THE COMPLETE BUDGET DOCUMENT, WHICH, DUE TO ITS SIZE, CANNOT BE REPRODUCED IN FULL IN THESE AGENDA MATERIALS.

THE FOLLOWING 3 PAGES PROVIDE A SUMMARY OF THE BUDGETED REVENUES AND EXPENDITURES BY FUND CATEGORY, AND ARE PROVIDED HERE FOR EASE OF REFERENCE.

THE COMPLETE BUDGET REMAINS AVAILABLE FOR INSPECTION IN THE VILLAGE MANAGER'S OFFICE AND AT THE WINNETKA PUBLIC LIBRARY, AND THE COMPLETE, FINAL BUDGET WILL BE APPROPRIATELY LABELED AND WILL BE ATTACHED TO AND MAINTAINED WITH THE ORIGINAL BUDGET RESOLUTION FOLLOWING ITS ADOPTION.

**Village of Winnetka
Annual Budget by Fund Category**

2014 Budget

1 - Governmental Funds

Revenue

Property Tax - Property Tax	\$13,122,477
Other Taxes - Other Taxes	\$850,000
Licenses/Permits - Licenses, Permits & Fees	\$1,861,275
Intergovernmental - Intergovernmental Revenue	\$2,785,000
Service Charges - Charges For Service	\$1,966,705
Fines - Fines & Forfeitures	\$200,000
Transfers - Transfers	\$3,773,044
Other Revenue - Other Revenue	\$10,000
Interest Income - Interest Income	\$54,500

Revenue Totals	\$24,623,001
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Expenditures

SB - Salary and Benefits	\$ 15,621,665
SS - Services and Supplies	\$ 5,083,225
CO - Capital Outlay	\$ 3,769,000
INS - Insurance and Other Chargebacks	\$ 93,300
DS - Debt Service	\$ 481,948
TRN - Transfers	\$ 1,496,000

Expenditure Totals	\$ 26,545,138
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Revenue Totals:	\$ 24,623,001
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Expenditure Totals	\$ 26,545,138
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Governmental Funds Totals	\$ (1,922,137)
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Major Funds	
General	
Motor Fuel Tax	
Downtown Revitalization	
Debt Service	
Special Service Areas	
Facilities	
Noteworthy Uses of Cash:	Amount:
Transfer to Stormwater Utility	\$ 211,000
Transfer to Downtown Revital.	\$ 400,000
Motor Fuel Tax Projects	\$ 760,000
Parking lot & Deck Repairs	\$ 550,000
	\$ 1,921,000

**Village of Winnetka
Annual Budget by Fund Category**

2014 Budget

2 - Proprietary Funds

Revenue

Property Tax - Property Tax	\$ 1,100,000
Service Charges - Charges For Service	\$ 23,224,567
Interfund - Interfund Services	\$ 3,549,892
Transfers - Transfers	\$ 761,000
Other Revenue - Other Revenue	\$ 11,500
Interest Income - Interest Income	\$ 93,250
Revenue Totals	\$ 28,740,209

Major Funds

Electric

Water

Sanitary Sewer

Storm Sewer

Refuse

Internal Service

Expenditures

SB - Salary and Benefits	\$ 6,064,616
SS - Services and Supplies	\$ 15,450,079
CO - Capital Outlay	\$ 9,943,098
INS - Insurance and Other Chargebacks	\$ 3,425,500
DEP - Depreciation Expense	\$ 2,290,000
DS - Debt Service	\$ 1,005,000
TRN - Transfers	\$ 1,503,396
Expenditure Totals	\$ 39,681,689

Noteworthy Uses of Cash:

Amount:

Stormwater Improvements	\$ 6,130,000
Sanitary Capital	\$ 650,000
Watermain Capital	\$ 461,788
Electric Capital	\$ 2,451,810

Revenue Totals: \$ 28,740,209

Expenditure Totals \$ 39,681,689

Proprietary Funds Totals \$ (10,941,480)

\$ 9,693,598

3 - Fiduciary Funds

Revenue

Village of Winnetka
Annual Budget by Fund Category

2014 Budget

	2014 Budget	Funds
Property Tax - Property Tax	\$2,310,000	
Intergovernmental - Intergovernmental Revenue	\$10,000	Police Pension
Other Revenue - Other Revenue	\$460,000	Fire Pension
Interest Income - Interest Income	\$2,650,000	
Revenue Totals	\$5,430,000	

Expenditures

SB - Salary and Benefits	\$ 4,060,000
SS - Services and Supplies	\$ 99,000
Expenditure Totals	\$ 4,159,000

Revenue Totals:	\$ 5,430,000
Expenditure Totals	\$ 4,159,000
Fiduciary Funds Totals	\$ 1,271,000

Village - Wide Total

Revenue	\$ 58,793,210
Expenditure	\$ 70,385,827
Net	\$ (11,592,617)



Agenda Item Executive Summary

Title: Resolution R-32-2013 - Water Rates - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History:

October 10, 14, and 30, 2013 Budget Study Sessions to review and discuss proposed 2014 Fiscal Year Budget
November 5, 2013 Public hearing on proposed budget; introduction of Resolution R-32-2013
November 14, 2013 Further discussion at Council Study Session

Executive Summary:

Because of the projected capital needs of the water system, Resolution R-32-2013 proposes a 4% water rate increase for water customers within and outside of the corporate limits, and for special services.

The proposed 4.0% water rate increase for residents is estimated to increase the cost to a typical water customer by \$33 per year, for a total annual cost of \$860.

The wholesale water rate for sales to the Village of Northfield is set by contract and is not covered by this rate resolution.

Recommendation / Suggested Action:

Consider a motion to adopt Resolution R-32-2013, titled "A Resolution Establishing Rates and Fees Related to Water Service, Service Taps and Meters."

Attachments:

R-32-2013 - A Resolution Establishing Rates and Fees Related to Water Service, Service Taps and Meters

RESOLUTION NO. R-32-2013

**A RESOLUTION ESTABLISHING RATES AND FEES
RELATED TO WATER SERVICE, SERVICE TAPS AND METERS**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka owns and operates a water utility that provides all water service within the Village of Winnetka; and

WHEREAS, the Village of Winnetka’s Water and Electric Department is responsible for the day-to-day operations of the Village’s water utility; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village’s water utility, including but not limited to establishing rates for water service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Water Rates. Each customer using water furnished by the Village of Winnetka Water and Electric Department shall be charged for such service in accordance with the following Schedule of Water Rates, as provided in Section 13.04.040 of the Winnetka Village Code.

SCHEDULE OF WATER RATES

<u>Type of Customer</u>	<u>Rate</u>
Service within corporate limits	\$31.57 <u>\$32.83</u> per 1,000 cubic feet, as metered
Service outside of corporate limits	\$55.37 <u>\$57.58</u> per 1,000 cubic feet, as metered
Service to Village of Northfield:	Rate shall be as established by agreement approved by resolution of the Village Council
Special Service	\$23.67 <u>\$24.62</u> per 1,000 cubic feet, as metered

SECTION 2: Disconnection/Reconnection Fees. The following fees shall be charged and collected for service calls to either disconnect or reconnect service as the result of nonpayment, as provided in Section 13.04.060 of the Winnetka Village Code:

SERVICE DISCONNECTION OR RECONNECTION FEE

<u>Time of Service Call</u>	<u>Fee</u>
During regular business hours (Monday through Friday, except for holidays, from 7:30 a.m. to 3:00 p.m.)	\$95.00 per service dispatch
All other times (evenings, nights, weekends and holidays)	\$265.00 per service dispatch

SECTION 3: Water Service Tap Fees. The following fees shall be charged for the installation of water connections, as provided in Section 13.04.100 of the Winnetka Village Code:

WATER SERVICE TAP FEES

<u>Water Tap Size</u>	<u>Water Main Size</u>	<u>Fee</u>	<u>Service Included in Fee</u>
1 1/2" or less	All	\$852	Making tap, tapping sleeve, corp. stop, curb stop and box, and inspection
2"	All	\$960	Making tap, tapping sleeve, corp. stop, curb stop and box, and inspection
4"	4"	\$1,535	Making tap, tapping sleeve and valve, and inspection
4"	6"	\$1,775	Making tap, tapping sleeve and valve, and inspection
6"	6"	\$1,880	Making tap, tapping sleeve and valve, and inspection
4"	8"	\$1,880	Making tap, tapping sleeve and valve, and inspection
6"	8"	\$1,985	Making tap, tapping sleeve and valve, and inspection
8"	8"	\$2,300	Making tap, tapping sleeve and valve, and inspection
4"	10"	\$2,400	Making tap, tapping sleeve and valve, and inspection
6"	10"	\$2,500	Making tap, tapping sleeve and valve, and inspection
8"	10"	\$2,900	Making tap, tapping sleeve and valve, and inspection
4"	12"	\$2,400	Making tap, tapping sleeve and valve, and inspection
6"	12"	\$2,500	Making tap, tapping sleeve and valve, and inspection
8"	12"	\$2,925	Making tap, tapping sleeve and valve, and inspection

For all taps 4" and larger, valve vaults meeting Water and Electric Department specifications must be furnished by the customer's plumber, at the customer's cost. Other size taps may be made only with the consent of the Water and Electric Department, at the customer's cost.

SECTION 4: Water Meter Fees. The following fees shall be charged for the water meters provided by the Village, as provided in Sections 13.04.030 and 13.04.100 of the Winnetka Village Code:

WATER METER FEES

<u>Meter Size</u>	<u>Cost</u>
5/8"	\$475
3/4"	\$525
1"	\$625
1 1/2"	\$890
2"	\$990

Spreader and valves on both sides of meter must be installed by the customer's plumber, at the customer's cost. Other sizes of meters may be required or permitted, as determined by the Water and Electric Department, based on the characteristics of the proposed service. Such other installations shall require the written approval of the Water and Electric Department and the entire cost of the purchase and installation shall be borne by the customer.

SECTION 5: Replacement of Touchpad. The following fees shall be charged for replacement of removed touchpads and replacement of the touchpad wiring.

Replacement of Touchpad	\$95.00
Replacement of Touchpad Wiring	\$265.00

SECTION 6: Temporary Water Service. Pursuant to Section 13.04.150 of the Winnetka Village Code, temporary water service provided during building construction shall be billed at the rate applicable to the use specified in the building permit.

SECTION 7: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 8: Payment Period; Late Fees. All bills issued for water service shall be paid in full by the time specified in the bill. The time of payment shall be established by the Director of Finance, provided that the payment period for charges made pursuant to Section 1 of this Resolution shall be no less than 21 nor more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.04.040 of the Winnetka Village Code, if any bill for any change made pursuant to this Resolution is not paid within the specified payment period, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 9: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 10: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 2014, and this resolution shall supersede Resolution R-9-2013.

[Remainder of this page intentionally left blank.]

SECTION 11: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 5, 2013

Adopted: November 19, 2013



Agenda Item Executive Summary

Title: Resolution R-33-2013 - Electric Rates - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History:

October 10, 14, and 30, 2013 Budget Study Sessions to review and discuss proposed
2014 Fiscal Year Budget
November 5, 2013 Public hearing on proposed budget; introduction of Resolution R-33-2013
November 14, 2013 Further discussion at Council Study Session

Executive Summary:

Resolution R-33-2013 sets electric rates for the fiscal year that will begin on January 1, 2014. Because the proposed budget does not contain any increase in electric rates, the Resolution does not change the rates.

However, the transition from a March-through-April fiscal year to a calendar-based fiscal year will affect the purchased power cost adjustment, which is usually calculated after a 12-month fiscal year. Because only 9 months of data is available, and the use of that partial-year data would increase customer costs, Resolution R-33-2013 contains a special provision stating that the purchased power adjustment for 2014 will be set at \$0.0000.

Recommendation / Suggested Action:

Consider a motion to adopt Resolution R-33-2013, titled "A Resolution Establishing Rates and Fees for Electric Service."

Attachments:

R-33-2013 - A Resolution Establishing Rates and Fees for Electric Service

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR ELECTRIC SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka owns and operates an electric utility that provides all electric service within the Village of Winnetka; and

WHEREAS, the Village of Winnetka's Water and Electric Department is responsible for the day-to-day operations of the Village's electric utility; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village's electric utility, including but not limited to establishing rates for electric service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: As used in this Resolution, the following terms, phrases and words and their derivations shall have the meanings given in this section, unless the context or use clearly indicates another or different meaning is intended:

Customer Charge: A fixed charge based on the type of service rather than the amount of electricity used.

Demand Charge: A charge based on the rate at which electric energy is delivered, expressed in kilowatts (kW), averaged over a 30-minute period.

Energy Charge: A volume based charge for energy used.

Load Factor: The ratio of energy used to the maximum energy consumption for a given monthly peak demand.

On-peak Demand: A peak demand that occurs between the hours of 3:00 p.m. and 9:00 p.m.

Off-peak Demand: A peak demand that occurs between after 9:00 p.m. and before 3:00 p.m.

Primary Lines: High voltage power lines

Secondary Lines: Low voltage power lines that extend from the high voltage Primary Lines and distribute electricity to individual property lines.

Service Lines: The power lines that extend from the Secondary Lines to the individual meter connections located on each parcel of property that receives electric service.

SECTION 2: Seasonal Rates. Separate summer and winter rates shall be established for demand charges and energy charges. Pursuant to Section 13.08.150 of the Winnetka Village Code, summer rates shall be in effect for each of the four consecutive months with ending metered dates on or after June 1 of each year.

SECTION 3: Electric Rates. Each customer using electricity furnished by the Village of Winnetka Water and Electric Department shall be charged for such service in accordance with the following schedule of electric rates, as provided in Section 13.08.040 of the Winnetka Village Code.

A. **Customer Charge:** Each customer shall be charged a monthly customer charge of \$9.46 for Single Phase Service or \$15.76 for Three Phase Service, except that this Customer Charge does not apply to customers subject to Energy and Demand Charges under Rate 18.

B. **Energy and Demand Charges:** In addition to the Customer Charge, each customer shall pay energy and demand charges at the rates set forth in the following Schedule of Energy and Demand Charges.

SCHEDULE OF ENERGY AND DEMAND CHARGES

Rate 1 - Residential: (Section 13.08.080 of the Winnetka Village Code)

Energy Charge	
Summer Rate	\$0.1233 per kWh
Winter Rate	\$0.1083 per kWh

Rate 2 - Space Heating Customers: (Section 13.08.090 of the Winnetka Village Code)

Energy Charge	
Summer Rate	\$0.1230 per kWh
Winter Rate	
First 750 kWh	\$0.1109 per kWh
All over 750 kWh	\$0.0754 per kWh

Rate 3 - Commercial: (Section 13.08.100 of the Winnetka Village Code)

Demand Charge	
Summer Rate	
First 50 kW	\$0.00 per kW
All over 50 kW	\$11.27 per kW
Winter Rate	
First 50 kW	\$0.00 per kW
All over 50 kW	\$9.91 per kW

Energy Charge	
Summer Rate	
First 15,000 kWh	\$0.1205 per kWh
All over 15,000 kWh	\$0.0918 per kWh
Winter Rate	
First 15,000 kWh	\$0.1068 per kWh
All over 15,000 kWh	\$0.0817 per kWh

Rate 4 - School and Government: (Section 13.08.110 of the Winnetka Village Code)

(a) With an annual peak demand of up to 1,000 kW:

Demand Charge

Summer Rate	\$10.82 per kW
Winter Rate	\$ 9.01 per kW

Energy Charge

Summer Rate	
First 100,000 kWh	\$0.0743 per kWh
Over 100,000 kWh	\$0.0678 per kWh
Winter Rate	
First 100,000 kWh	\$0.0688 per kWh
Over 100,000 kWh	\$0.0634 per kWh

(b) With an annual peak demand exceeding 1,000 kW:

Demand Charge

Summer Rate

On Peak	\$10.82 per kW
Off Peak	\$ 6.62 per kW in excess of On Peak Demand

Winter Rate

On Peak	\$ 9.01 per kW
Off Peak	\$ 6.76 per kW in excess of On Peak Demand

Energy Charge

Summer Rate

First 100,000 kWh	\$0.0743 per kWh
Over 100,000 kWh	\$0.0678 per kWh

Winter Rate

First 100,000 kWh	\$0.0688 per kWh
Over 100,000 kWh	\$0.0634 per kWh

Load Factor Credit (\$0.005) per kWh for kWh in excess of 50% based upon the on peak demand

Rate 6 - Water Heating: (Section 13.08.120 of the Winnetka Village Code)

Energy Charge

Summer Rate	\$0.1088 per kWh
Winter Rate	\$0.1088 per kWh

[Remainder of this page intentionally left blank.]

Rate 7 - Large Residential: (Section 13.08.130 of the Winnetka Village Code)

Demand Charge	
Summer Rate	\$ 9.91 per kW
Winter Rate	\$ 8.33 per kW
Energy Charge	
Summer Rate	\$0.0926 per kWh
Winter Rate	\$0.0817 per kWh

Rate 18 - Street Lights: (Section 13.08.140 of the Winnetka Village Code)

Energy Charge	
Summer Rate	0.1029 per kWh
Winter Rate	0.1029 per kWh

SECTION 4: Wholesale Power Purchase Cost Adjustment.

A. **Wholesale Power Purchase Cost Adjustment formula.** The electric system's cost of purchasing power shall be estimated at least once per year. The estimated annual purchase cost adjustment shall be prorated among all rate classes by establishing the cost of purchase per kWh, which cost shall be added to or subtracted from the base rates set by Village Council resolution. The actual annual cost of purchasing power shall be determined after the close of each fiscal year. Pursuant to Section 13.08.160 of the Winnetka Village Code, the Wholesale Power Purchase Cost Adjustment shall be calculated as the difference between the estimated annual cost per kWh of purchasing power and the actual annual purchase power cost per kWh incurred during the prior fiscal year. If the actual annual cost per kWh of purchasing power exceeds the estimated cost, the shortage shall be annualized and shall be recovered by billing all customers at the same amount per kWh, beginning with all bills issued on or after ~~May 1~~ February 1 of the new fiscal year. If the estimated annual cost per kWh of purchasing power exceeds the actual cost, the excess shall be annualized and shall be credited to all customers at the same amount per kWh, beginning with all bills issued on or after ~~May 1~~ February 1 of the new fiscal year.

B. Transition to Calendar-Based Fiscal Year. Notwithstanding the foregoing, because the current fiscal year must be shortened to 9 months, so that it will end on December 31, 2013, and the new fiscal year will begin on January 1, 2014, as provided in Section 1.04.050 of the Winnetka Village Code, as amended by Ordinance MC-3-2013, the purchased power cost adjustment for the fiscal year that begins on January 1, 2014 shall be \$0.0000.

SECTION 5: Renewable Energy Production Credit

A. Terms.

1. Eligible Customer. A customer of the Village's Electric Utility who satisfies all of the requirements of Section 13.08.260 of the Winnetka Village Code.

2. Renewable Energy Production Credit, or REPC, means the actual credit as calculated pursuant to the formula in subsection B, below.

3. Renewable Energy, or RE, means the amount of energy, measured in kWh, delivered to the Village by an Eligible Customer.

4. Wholesale Purchase Power Cost, or WPPC, means the allocation on a per kilowatt hour basis of the total annual cost of purchasing power shown in the annual budget line item for “Purchased Power – Contractual Services.”

B. Calculation of REPC

$$\text{REPC} = (\text{RE} \times \text{WPPC})$$

C. REPC Carry-forward. If the REPC exceeds the cost of the power and energy billed to the Eligible Customer by the Village in a billing period, the excess REPC will be carried forward from one billing period to the next, except that no amount shall be carried forward past the end of the calendar year and that any amount of energy in kWh reflected in carry-forward credits remaining at the end of the calendar year shall be deemed to have been provided to the Village at no charge.

D. No refunds or transfers. No Eligible Customer whose electric service is terminated shall be entitled to a refund of any REPC balance, regardless of the reason for the termination of service. Nor shall any Eligible Customer be entitled to transfer any REPC balance to a succeeding customer upon the termination of the Eligible Customer’s electrical service, regardless of the reason for the termination of service. Upon the termination of an Eligible Customer’s electric service, the Eligible Customer’s account shall be closed and any amount of kWh reflected in any REPC balance in existence at the time the account is closed will be deemed to have been provided to the Village, at no charge.

SECTION 6: Undergrounding Surcharge. Pursuant to Section 13.08.240 of the Winnetka Village Code, the following surcharges are hereby established for the undergrounding of transmission and distribution lines:

RATE U - UNDERGROUNDING SURCHARGE

(a) **Surcharge.** Except as provided in subsection (c), each customer located in a Project Area within which the Primary Lines and Secondary Lines are placed underground pursuant to Section 13.08.230 of the Winnetka Village Code shall be subject to an undergrounding surcharge. The surcharge shall be charged monthly until the Applicable Project Cost, plus interest on the unpaid balance at a rate of 7% per annum, is fully paid. The surcharge shall not be charged for more than 60 consecutive months. The surcharge amount shall be as follows:

Surcharge UA Monthly surcharge of \$100 if Applicable Project Cost equals \$5,000 or less.

Surcharge UB Monthly surcharge of \$150 if Applicable Project Cost is greater than \$5,000 but does not exceed \$7,500.

Surcharge UC Monthly surcharge of \$200 if Applicable Project Cost is greater than \$7,500 but does not exceed \$10,000.

Surcharge UD Monthly surcharge of \$250 if Applicable Project Cost is greater than \$10,000 but does not exceed \$12,500.

(b) **Definitions.** The following definitions shall be used in determining the undergrounding surcharge:

Project Area: The service area covered by a petition for undergrounding, as determined by the Director of Water and Electric, and shall include the Primary Lines, Secondary Lines and Service Lines within that service area.

Project Costs: All direct costs of undergrounding the Primary Lines and Secondary Lines in the Project Area (“Cost 1”). For customers with overhead Service Lines, the direct costs of undergrounding overhead Service Lines in the Project Area (“Cost 2”) shall be included in the Project Costs in addition to Cost 1. Direct costs shall include, but not be limited to, labor, materials, recording of easements and the cost of relocating all related electric utility facilities and equipment, such as pad mount transformers and switch gear.

Project Cost_{UG}: The Project Cost per customer with underground Service Lines, which shall be determined by dividing Cost 1 by the number of customers in the Project Area.

Project Cost_{OH}: The Project Cost per customer with overhead electric service, which shall be determined by dividing Cost 2 by the number of customers in the Project Area with overhead electric service and adding the resulting amount to Project Cost_{UG}.

Applicable Project Cost: The Project Costs as allocated to the individual customers in the Project Area. The Applicable Project Cost for each customer with underground Service Lines shall be Project Cost_{UG}. The Applicable Project Cost for each customer with overhead Service Lines shall be Project Cost_{OH}.

(c) **Exceptions to Surcharge.** The undergrounding surcharge shall not be charged to any customer in the Project Area who pays the Applicable Project Costs in full before the project begins.

SECTION 7: Disconnection or Reconnection Fee. The following fees shall be charged and collected for service calls to disconnect or reconnect service as the result of nonpayment, as provided in Section 13.08.060 of the Winnetka Village Code:

SERVICE DISCONNECTION OR RECONNECTION FEE

<u>Time of Service Call</u>	<u>Fee</u>
During regular business hours (Monday through Friday, except for holidays, from 7:30 a.m. to 3:00 p.m.)	\$95.00 per service dispatch
All other times (Evenings, nights, weekends and holidays)	\$265.00 per service dispatch

SECTION 8: Replacement of Touchpad. The following fees shall be charged for replacement of removed touchpads and replacement of the touchpad wiring.

Replacement of Touchpad	\$95.00
Replacement of Touchpad Wiring	\$265.00

SECTION 9: Costs of Adding, Upgrading and Undergrounding Electric Services.

The costs of installing new electric service, upgrading electric service to increase capacity and converting overhead service to underground service shall be allocated as follows:

Installation and Ownership of Facilities: All existing facilities and equipment, and all facilities and equipment related to new service, upgraded service and underground conversions, up to the meter, shall be owned, operated and maintained by the Village of Winnetka Water and Electric Department. The meter pedestal or meter enclosure shall be provided by the customer, at the customer's expense, and shall be owned and maintained by the customer. The Water and Electric Department shall install all new electrical service lines, all meters, all service upgrades and all conversions of overhead service to underground service, regardless of the party initiating the conversion, except that the Water and Electric Department shall not perform any work on the customer's side of the meter.

New Service or Increased Load: The following fees shall be charged for installing new or larger electric services:

Installation of a 200 Amp service (Includes conduits for communication conductors)	\$ 9,800
Installation of a 400 Amp service (Includes conduits for communication conductors)	\$18,000

Installation of three phase service

The costs of providing three phase electric service, including the cost of any necessary relocation, replacement or extension of the primary, secondary lines and transformers to which the service line is connected, shall be paid for by the customer requesting the new or increased three phase service.

If a primary or secondary line must be relocated, replaced or extended in order to install a new service or to increase the load capacity of an existing service, any customer who connects to such primary or secondary line within five years after the its installation may be required to pay that customer's pro rata share of such costs. The Village Manager, in the exercise of his discretion, may enter into a written agreement with the initial requesting customer and establish terms for the payment of such costs, which may include a recapture provision that provides for the Village to refund such pro rata costs, less administrative costs in the

amount of 10% of the recaptured amount, to the initial requesting customer.

Service Lines – Scheduled Conversion to Underground Service: A customer may choose either to maintain overhead service or to convert his service line from overhead service to underground service in conjunction with the Water and Electric Department’s planned conversion undergrounding of the primary and secondary lines to which the customer’s service line is connected. If the customer elects to maintain overhead service, the Water and Electric Department will install, at no additional cost to the customer, a new pole as close to the service connection as the Department deems possible, placing the service line underground to the pole, installing a service riser to the top of the pole, and connecting an overhead line to the existing service connection. If the customer elects to place the service line underground, the Water and Electric Department will do so, at no additional cost to the customer, provided the customer purchases the meter enclosure or meter pedestal and makes, at the customer’s expense, all alterations necessary to relocate the meter and building service so as to connect to the underground service line in the location specified by the Water and Electric Department.

Underground Service – Customer Requested Conversion: All costs of converting overhead electrical service to underground electrical service, including the cost of any necessary relocation of the primary and secondary lines to which the service line is connected, shall be paid by the customer if it is requested by the customer and the conversion is not done as part of the Water and Electric Department’s undergrounding program. If the customer is increasing the size of the service entrance equipment, the customer shall be charged in accordance with rates for New Service or Increased Load for the service connection work. Existing rear lot residential services will be relocated to the front of the building and the service connection shall be at a location specified by the Water & Electric Department. As part of the conversion, an electric meter located within the structure shall be relocated to the outside.

SECTION 10: Temporary Electric Service. Pursuant to Section 13.08.210 of the Winnetka Village Code, temporary electric service provided during building construction shall be billed at the rate applicable to the use specified in the building permit.

SECTION 11: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 12: Payment Period; Late Fees. All bills issued for electric service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 no more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.08.040.B of the Winnetka Village Code, if any bill for electric service is not paid within the payment period prescribed by

resolution, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 13: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 14: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 2014, and this resolution shall supersede Resolution R-10-2013.

SECTION 15: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 5, 2013

Adopted: November 19, 2013



Agenda Item Executive Summary

Title: Resolution R-34-2013 - Sewer Service Rates - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History:

October 10, 14, and 30, 2013	Budget Study Sessions to review and discuss proposed 2014 Fiscal Year Budget
November 5, 2013	Public hearing on proposed budget and introduction of Resolution R-34-2013
November 14, 2013	Further discussion at Council Study Session

Executive Summary:

The capital plan in the 2014 budget contains sanitary sewer repairs that are anticipated to be completed over the next three years at a projected cost of approximately \$950,000.

Based on cash-flow projections, additional rate increases are anticipated to pay for repairs and improvements.

To pay for these capital repairs, the 2014 budget proposes a 10% increase to the sanitary sewer rates.

Therefore, Resolution R-34-2013 contains a 10% sanitary sewer rate increase, which is projected to cost a typical customer about \$26 more per year.

Recommendation / Suggested Action:

Consider a motion to adopt Resolution R-34-2013, titled "A Resolution Establishing Rates and Fees for Sewer Service."

Attachments:

R-34-2013 - A Resolution Establishing Rates and Fees for Sewer Service

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR SEWER SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka maintains a public sewer system that serves all premises within the Village; and

WHEREAS, the Council finds that all matters pertaining to the operation and maintenance of the Village's public sewers, including but not limited to establishing rates for sewer service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Sewer Service Rates. Any person owning or occupying premises which are connected to the public sewers within the Village of Winnetka shall pay for such services, as provided in Section 13.12.010 of the Winnetka Village Code, at the rate of ~~\$11.42~~ \$12.56 per 1,000 cubic feet of water supplied to those premises.

SECTION 2: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 3: Payment Period; Late Fees. All bills issued for sewer service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 nor more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.12.010.B of the Winnetka Village Code, if any bill for sewer service is not paid within the specified payment period, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 2014, and this resolution shall supersede Resolution R-11-2013.

[Remainder of this page intentionally left blank.]

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 5, 2013

Adopted: November 19, 2013



Agenda Item Executive Summary

Title: Resolution R-35-2013 - Refuse Rates - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History:

October 10, 14, and 30, 2013 Budget Study Sessions to review and discuss proposed
2014 Fiscal Year Budget
November 5, 2013 Public hearing on proposed budget; introduction of Resolution R-35-2013
November 14, 2013 Further discussion at Council Study Session

Executive Summary:

Resolution R-35-2013 sets fees for refuse services, with the only change proposed being an increase of approximately 2% to the commercial collection fees, which were not increased last year.

The residential fees will not change. The collection charge for twice a week remains at \$25 per month, and the cost of yard waste bags and yard waste collection stickers remains at \$2 each.

Recommendation / Suggested Action:

Consider a motion to adopt Resolution R-35-2013, titled "A Resolution Establishing Rates and Fees for Refuse Service."

Attachments:

R-35-2013 - A Resolution Establishing Rates and Fees for Refuse Service

RESOLUTION NO. R-35-2013

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR REFUSE SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka, through its Public Works Department, operates a municipal waste system that provides for the collection, transportation and disposal of refuse and yard waste within the Village; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village's municipal waste system, including but not limited to establishing rates and fees for refuse and yard waste services, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Definitions. All terms defined in Section 8.16.010 of Chapter 8.16 of the Winnetka Village Code, "Garbage and Refuse," shall have the same meaning when used in this resolution.

SECTION 2: Commercial Refuse Service Fees. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following monthly fees are hereby established for commercial refuse service, including apartments in commercial buildings:

SCHEDULE OF MONTHLY COMMERCIAL REFUSE SERVICE FEES

Container Volume per Pick-up	Number of Pickups Per Week						
	1	2	3	4	5	6	7
1 Cu.Yd.	\$31 <u>\$32</u>	\$55 <u>\$57</u>	\$78 <u>\$80</u>	\$101 <u>\$104</u>	\$122 <u>\$125</u>	\$147 <u>\$150</u>	\$171 <u>\$175</u>
1.5 Cu.Yd.	\$39 <u>\$40</u>	\$70 <u>\$72</u>	\$103 <u>\$106</u>	\$135 <u>\$138</u>	\$168 <u>\$172</u>	\$199 <u>\$203</u>	\$231 <u>\$236</u>
2 Cu.Yd.	\$47 <u>\$48</u>	\$87 <u>\$89</u>	\$129 <u>\$132</u>	\$170 <u>\$174</u>	\$210 <u>\$215</u>	\$251 <u>\$257</u>	\$292 <u>\$298</u>
3 Cu.Yd.	\$62 <u>\$64</u>	\$117 <u>\$120</u>	\$173 <u>\$177</u>	\$228 <u>\$233</u>	\$284 <u>\$290</u>	\$339 <u>\$346</u>	\$395 <u>\$403</u>
4 Cu.Yd.	\$81 <u>\$83</u>	\$142 <u>\$145</u>	\$216 <u>\$221</u>	\$284 <u>\$290</u>	\$352 <u>\$360</u>	\$419 <u>\$428</u>	\$487 <u>\$497</u>
5 Cu.Yd.	\$99 <u>\$101</u>	\$179 <u>\$183</u>	\$259 <u>\$265</u>	\$339 <u>\$346</u>	\$419 <u>\$428</u>	\$500 <u>\$510</u>	\$579 <u>\$591</u>

Container Volume per Pick-up	Number of Pickups Per Week						
	1	2	3	4	5	6	7
6 Cu.Yd.	\$117	\$210	\$302	\$395	\$487	\$579	\$672
	<u>\$120</u>	<u>\$215</u>	<u>\$309</u>	<u>\$403</u>	<u>\$497</u>	<u>\$591</u>	<u>\$686</u>
1-99 Gal.	<u>\$13 \$14</u>	<u>\$19 \$20</u>	<u>\$24 \$25</u>	<u>\$31 \$32</u>	<u>\$36 \$37</u>	<u>\$42 \$43</u>	<u>\$48 \$49</u>
100-180 Gal.	<u>\$19 \$20</u>	<u>\$31 \$32</u>	<u>\$42 \$43</u>	<u>\$55 \$57</u>	<u>\$66 \$68</u>	<u>\$79 \$81</u>	<u>\$91 \$93</u>

[**Note:** Individual accounts will be charged a share of the monthly fees charged based upon the account's proportionate use of the container(s), as determined by the Winnetka Public Works Department.]

SECTION 3: Residential Refuse Service Fees. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following fees and charges are hereby established for residential refuse service:

SCHEDULE OF RESIDENTIAL REFUSE SERVICE FEES

<u>Service</u>	<u>Charge</u>
One pick-up per week of no more than two garbage cans of household rubbish	No charge
Collection of household rubbish or garbage in excess of two garbage cans per pick-up (one sticker required per container)	\$2.00 per sticker <u>(one sticker required for each container)</u>
Subscription service for one additional pick-up each week	\$25.00 per month

SECTION 4: Charges for Special Refuse Collections. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for special refuse collections:

SCHEDULE OF FEES FOR SPECIAL REFUSE COLLECTIONS

<u>Service</u>	<u>Charge</u>
Base Fee for special collections	\$30.00
Bulk pick-ups [Note: Bulk pick-ups are for light refuse other than liquids and yard waste.]	
Up to 1.0 cubic yards	Base Fee
Over 1.0 cubic yards	Base Fee plus \$11.00 for each additional cubic yard or fraction thereof
White goods and other large items [Note: Includes appliances, sofas, etc.]	Base Fee plus \$10.00 for each item

Service

Charge

Hard-to-handle refuse

Base Fee plus \$15.00 per cubic yard

[*Note:* Hard-to-handle refuse includes such miscellaneous rubbish as wood, fencing, carpeting, multiple pieces of furniture and cabinets, and construction materials such as wallboard, plaster and flooring, but shall not include liquids, soil, concrete and asphalt.]

Tires and/or tire rims

Base Rate plus Charge per Tire

Charge per tire

Tire without rim

Base Rate plus \$10.00

Tire with rim

Base Rate plus \$15.00

Truck tire without rim

Base Rate plus \$20.00

Truck tire with rim

Base Rate plus \$25.00

SCHEDULE OF FEES FOR SPECIAL REFUSE COLLECTIONS (cont'd)

Service

Charge

Carts and roll-off boxes

[*Note:* Charge is based on container size. Contents shall not include concrete, soil, asphalt or liquids]

1.0 cubic yard

\$40.00

1.5 cubic yard

\$50.00

2.0 cubic yard

\$60.00

6.0 cubic yard

\$165.00

SECTION 5: Yard Waste. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for the removal of certain yard waste:

SCHEDULE OF YARD WASTE REMOVAL FEES

Service

Charge

Removal of yard waste

Village yard waste bag

\$2.00 per bag

Other bags (one sticker required per bag)

\$2.00 per sticker

Removal of brush, trees, logs and limbs

Diameter of 4 inches or less

First 10 minutes

\$30.00

Each additional minute

\$1.00

Diameter over 4 inches

Charged as hard-to-handle item
(See Section 4)

SECTION 6: Charges for Miscellaneous Refuse Services. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for miscellaneous refuse services and for the purchase of miscellaneous items for use in disposing of refuse:

SCHEDULE OF MISCELLANEOUS FEES

<u>Service or Item</u>	<u>Charge</u>
Small Recycling Carts	\$50.00 each
Large Recycling Carts	\$67.00 each

SECTION 7: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 8: Payment Period. All bills issued for refuse service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 no more than 30 days from the date of the issuance of the bill.

SECTION 9: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 10: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 2014, and this resolution shall supersede Resolution R-12-2013.

SECTION 11: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 5, 2013

Adopted: November 19, 2013



Agenda Item Executive Summary

Title: Resolution R-36-2013 - General Permit & License Fees - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History:

October 10, 14, and 30, 2013 Budget Study Sessions to review and discuss proposed 2014 Fiscal Year Budget
November 5, 2013 Public hearing on proposed budget
November 14, 2013 Further discussion at Council Study Session

Executive Summary:

Resolution R-36-2013 sets fees for general permits, licenses, registrations, parking, towing and miscellaneous services, proposes a change in ambulance service charges. The only change proposed is in rates for ambulance services.

Village staff has met with the firm that handles the Village's ambulance service billing, to understand how the implementation of the Affordable Care Act ("Act") might impact the Village's ambulance operations. It is anticipated that the Act may result in some reduction in ambulance service revenues to the Village. After evaluating what other entities charge, Staff has proposed some changes to ambulance charges to offset the likely reduction in revenue.

Resolution R-36-2013 proposes a new charge of \$12 per loaded patient mile for transporting patients to the hospital. It also proposes to establish non-resident charges of \$650 for Basic Life Support ("BLS") calls and \$850 for Advanced Life Support ("ALS") calls. Resident rates for BLS and ALS calls will remain unchanged at \$525 and \$675, respectively.

Recommendation / Suggested Action:

Consider a motion to adopt Resolution R-36-2013, titled "A Resolution Amending General Permit, License and Registration Fees, Parking and Towing Fees and Miscellaneous Service Fees."

Attachments:

R-36-2013 - A Resolution Amending General Permit, License and Registration Fees, Parking and Towing Fees and Miscellaneous Service Fees

RESOLUTION NO. R-36-2013

**A RESOLUTION
AMENDING GENERAL PERMIT, LICENSE AND REGISTRATION FEES,
PARKING AND TOWING FEES AND
MISCELLANEOUS SERVICE FEES**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, with the authority and, except as limited by said Section 6 of Article VII, is authorized to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Council of the Village of Winnetka finds that setting of rates and fees for various permits, licenses and services is a matter pertains to the government and affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Permit, License and Registration Fees. Fees are hereby established for certain permits, licenses and registrations, in the amounts and for the purposes set forth in the following Schedule of General Permit, License and Registration Fees, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

SCHEDULE OF GENERAL PERMIT, LICENSE AND REGISTRATION FEES

Note: ~~All annual permits, licenses and registrations other than motor vehicle licenses are due and payable on or before the beginning of each fiscal year, April 1, and remain in effect until the end of the applicable fiscal year, the following March 31. Motor Vehicle Licenses Unless otherwise specifically provided in the following Schedule of General Permit, License and Registration Fees (“Schedule”), all annual permits, licenses and registrations provided for in this Schedule are due and payable on or before the beginning of the fiscal year (January 1) of each year, and remain in effect until the end of the calendar fiscal year, (December 31).~~

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Amusement Devices</i>		5.12.010
Daily	\$15.00	
Annual	\$25.00	
<i>Animals</i>		6.08.010
Dog License (Annual)		
Unspayed Female	\$15.00	
All Other Dogs	\$10.00	

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Animals (cont'd)</i>		6.08.010
Replacement License	\$2.00	
Taking up or Impounding Dog	\$55.00	
<i>Bicycle Registration</i>	No Fee	10.32.060
<i>Billiard Rooms & Pool Rooms</i>		5.52.020
Annual License (per table)	\$10.00	
<i>Bowling Alleys</i>		5.52.030
Annual License (per alley)	\$10.00	
<i>Coin Operated Musical Devices</i>		5.16.010
Annual License (per device)	\$25.00	
<i>Charitable and Political Solicitation</i>	None	5.48.010
<i>Circuses and Carnivals (Daily)</i>	\$100.00	5.52.040
<i>Drug Paraphernalia Sales</i>		9.04.070
Annual Registration Fee	\$25.00	
<i>Film Production Application Fees</i>		
Basic Application Processing Fee	\$1,000.00	5.20.070
Additional Application Processing Fee (Per Hour)	\$250.00	5.20.070
<i>Food Dealers</i>		
Restaurant Permit: (Annual, based on seating capacity)		5.24.010
1-20	\$35.00	
21-50	\$45.00	
51-100	\$50.00	
More than 100	\$75.00	
Fast Food/Drive-In	\$75.00	
Food Store Permit (Annual, per cash register)	\$25.00	5.24.010
Itinerant Food Vendor Permit (Annual)	\$15.00	5.24.010
Vending Machine Operator Permit (Annual, per machine)	\$15.00	5.24.010
<i>Foresters, Tree Surgeons</i>		5.72.010
Annual License	\$15.00	

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Garbage and Refuse Scavenger</i>		8.16.040
Annual License	\$500.00	
<i>Junk Dealers (Annual)</i>		5.32.010
License, Base Fee	\$50.00	
Vehicle Fee (per vehicle)	\$25.00	
<i>Laundries</i>		5.36.010
Annual Fee	\$15.00	
<i>Liquor Licenses</i>		5.09.100
Class A-1 Restaurant (Annual)	\$1,000.00	
Class A Restaurant (Annual)	\$750.00	
Packaged Meal Rider (Take-out; Annual)	\$150.00	
Class B - Grocery Store (Annual)	\$750.00	
Class C - Special Event (Daily)	\$25.00	
Maximum per event more than 2 days	\$75.00	
Class D – Package delivery service/mail	\$150.00	
Class E - Limited Food Products Store (Wine)	\$500.00	
Class E-1 - Limited Food Products Store (Wine or Beer)	\$500.00	
Class P - Park District (Annual)	\$500.00	
Sidewalk Restaurant Rider	\$150.00	
<i>Money Changers</i>		5.40.010
Annual Fee, per location	\$25.00	
<i>Parades and Processions</i>	None	10.08.060
<i>Pawnbrokers</i>		5.44.010
Annual Fee, per location	\$100.00	
<i>Peddlers</i>		5.48.010
License, if NO vehicle used		
Per year	\$25.00	
Per month	\$10.00	
Per day	\$3.00	
License, if vehicle used		
Per year, per vehicle	\$50.00	
Per month, per vehicle	\$15.00	
Per day, per vehicle	\$5.00	
<i>Public Dance Halls</i>		5.56.010
Per year	\$100.00	
Per Day	\$20.00	

Remote Lot Parking Permits (Public Works Yards)

Semi-annual charge per vehicle \$120.00

Note: The Village Manager may issue permits to allow parking on a limited basis at the Village’s landfill site, 1390 Willow Road, by businesses located in the Village of Winnetka, including but not limited to the United States Postal Service, for parking of their fleet vehicles, and by businesses located in the Village of Winnetka that are engaged in the retail sale of automobiles, for parking of their sales inventory. The Village Manager shall determine the number and location of such spaces that may be made available on the site may vary from time to time. Such space shall be limited to areas of the site that the Village Manager determines will not interfere with the Village’s use of the site. Requests for such parking shall be made directly to the Village Manager. Remote parking spaces shall not be available for the general public.

SECTION 3: Fees for Vehicle Impoundment and Towing. Fees and charges are hereby established for the impoundment, towing and storage of vehicles upon the issuance of a final notice for unpaid parking tickets, as set forth in the following Impoundment and Towing Fee Schedule, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

IMPOUNDMENT AND TOWING FEES

<u>Type of Fee</u>	<u>Fee Amount</u>	<u>Conditions for Payment or Refund</u>
<i>Impoundment</i>	\$200.00	Payment is required prior to release of vehicle. Payment will be refunded if the hearing officer determines that the impoundment was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Towing and/or Storage - Private Contractor</i>	Actual cost as billed by the towing or impounding facility	Payment is required prior to release of towed, removed, relocated and/or stored vehicle. Payment will be refunded if the hearing officer determines that the towing, removal, relocation and/or storage was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Storage on Village Property</i>	\$10.00 per day, per vehicle	Payment is required prior to release of stored vehicle. Payment will be refunded if the hearing officer determines that the storage was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.

<u>Type of Fee</u>	<u>Fee Amount</u>	<u>Conditions for Payment or Refund</u>
<i>Collateral</i>	100% of the amount of all outstanding fines due, as stated in the final notice.	Payment is required prior to release of impounded, towed, removed, relocated and/or stored vehicle. Payment is also required before a request for a judicial proceeding made pursuant to a “final notice” is processed. Payment will be refunded if, as the result of the dismissal of outstanding or unsettled traffic violation notices, judgments and/or warrants by a court of competent jurisdiction, the impounded or removed vehicle is subject to fewer than five unsatisfied fines for violation of any parking ordinance of the Village.

SECTION 4: Miscellaneous Service Fees. Fees are hereby established for certain miscellaneous services and purchase items in the amounts and for the purposes set forth in the following Schedule of General Permit, License and Registration Fees, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

SCHEDULE OF GENERAL PERMIT, LICENSE AND REGISTRATION FEES

<u>Miscellaneous Service Fees</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Ambulance Services - Residents</i>		2.52.040
Advanced Life Support	\$675.00	
Basic Life Support	\$525.00	
<u>Mileage Charge (per loaded patient mile)</u>	<u>\$12.00</u>	
<i>Ambulance Services – Non-Residents</i>		
<u>Advanced Life Support</u>	<u>\$850.00</u>	
<u>Basic Life Support</u>	<u>\$650.00</u>	
<u>Mileage Charge (per loaded patient mile)</u>	<u>\$12.00</u>	
<i>Audit (Print copy)</i>	\$35.00	
<i>Annual Budget (Print copy)</i>	\$35.00	
<i>Certified copies (per certification)</i>	\$1.00	
<i>Comprehensive Plan</i>		
With Maps	\$35.00	
Without Maps	\$8.50	
<i>Copying, Scanning and Printing Charges</i>		
In-house copying		
Black & White, 8½” x 11” (per side)	\$0.15	
Black & White, 8½” x 14” (per side)	\$0.15	
Black & White, 11” x 17” (per side)	\$0.50	
Color, 8½” x 11” (per side)	\$0.50	
Color, 8½” x 14” (per side)	\$1.00	
Color, 11” x 17” (per side)	\$1.00	

<u>Miscellaneous Service Fees</u>	<u>Amount of Fee</u>	<u>Code Section</u>
Out-sourced copying	Actual Cost	
Oversize documents (plats, etc.)	Actual Cost	
CD-ROM (per disk)	\$5.00	
DVD recordings of meetings (per DVD)	\$20.00	
<i>Fire Alarm Monitoring Services</i> (direct connections to Village's fire alarm monitoring system only)	\$55.00 per month	
<i>Other, Unspecified Services</i>	Actual Cost	
<i>Street Cleaning</i>	\$550.00	
<i>Unincorporated Fire Service</i>	(See Resolution R-15-2013)	13.040.120
<i>Winnetka Village Code</i>	\$200.00	
<i>Winnetka Zoning Ordinance</i>	\$10.00	

SECTION 5: Fees for Special Services, Film Production and Special Events. Services provided or performed in conjunction with film production permits issued pursuant to Chapter 5.20 of the Winnetka Village Code and in conjunction with special event permits issued pursuant to Chapter 5.66 of the Winnetka Village Code shall be subject to the following fee schedule.

SCHEDULE OF SPECIAL SERVICE FEES

Note: The following hourly rates shall be assessed for: (i) all services provided in conjunction with film production and film production permits issued pursuant to Chapter 5.20 of the Village Code; (ii) all services provided in conjunction with film special events and events subject to special events permits issued pursuant to Chapter 5.66 of the Village Code; and (iii) all other non-standard services provided by Village personnel and all other uses of Village equipment not subject to specific fees set out in either this resolution R-36-2013 or resolution R-37-2013.

<u>Department</u>	<u>Hourly Rate</u>
<i>Village Administration & Finance Departments</i>	
Village Manager	\$350
Assistant to the Village Manager	\$290
Village Attorney	\$350
Department Head	\$290
Supervisory Personnel	\$190
Clerical/Support Staff	\$190
<i>Police Department</i>	
Command Staff (Deputy Chief, Commanders)	\$240
Sergeants	\$190
Patrol Officers	\$160

<u>Department</u>	<u>Hourly Rate</u>
Support Staff	\$140
Vehicles	\$50
<i>Fire Department</i>	
Command Staff (Deputy Chief, Captains)	\$240
Lieutenants	\$190
Fire Medics	\$160
Support Staff	\$140
Light Vehicles	\$60
Ambulance	\$110
Fire Truck / Engine	\$460
<i>Public Works</i>	
Supervisory	\$190
Engineers	\$190
Maintenance Workers	\$140
Light Trucks	\$60
Medium Trucks	\$90
Heavy Trucks, Refuse Trucks, Street Sweepers	\$130
<i>Community Development</i>	
Assistant Director	\$240
Planners, Architect	\$190
Inspectors	\$160
Clerical / Support Staff	\$140
Vehicles	\$50
<i>Water & Electric</i>	
Deputy Director, Chief Engineer	\$240
Supervisory	\$190
Plant Operators	\$160
Linesmen	\$160
Clerical / Support Staff	\$130
Light Trucks	\$60
Medium Trucks	\$90
Heavy Trucks, Boom Trucks	\$130

SECTION 6: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 7: This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 8: Effect of Resolution. This resolution supersedes Resolution R-13-2013.

SECTION 9: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 5, 2013

Adopted: November 19, 2013



Agenda Item Executive Summary

Title: Resolution R-37-2013 - Building, Zoning & Construction Fees - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

<input type="checkbox"/>	Ordinance
<input checked="" type="checkbox"/>	Resolution
<input type="checkbox"/>	Bid Authorization/Award
<input type="checkbox"/>	Policy Direction
<input type="checkbox"/>	Informational Only

Item History:

October 10, 14, and 30, 2013 Budget Study Sessions to review and discuss proposed 2014 Fiscal Year Budget
November 5, 2013 Public hearing on proposed budget
November 14, 2013 Further discussion at Council Study Session

Executive Summary:

Resolution R-37-2013 sets the fees for building, zoning and construction activities.

No fee changes are being proposed at this time.

The Community Development Department is preparing some information to evaluate how Winnetka's fees compare to those in other communities. This information will be reviewed in early 2014.

Recommendation / Suggested Action:

Consider a motion to adopt Resolution R-37-2013, titled "A Resolution Amending the Schedule of Fees for Building, Zoning and Construction Activity"

Attachments:

R-37-2013 - A Resolution Amending the Schedule of Fees for Building, Zoning and Construction Activity

RESOLUTION NO. R-37-2013

**A RESOLUTION
AMENDING THE SCHEDULE OF FEES FOR
BUILDING, ZONING AND CONSTRUCTION ACTIVITY**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax and (iv) to incur debt; and

WHEREAS, the Council of the Village of Winnetka finds that all matters pertaining to the regulation of building, zoning and construction activities within the Village of Winnetka, including but not limited to establishing fees for permits for such activities, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Permit, License and Registration Fees. Pursuant to the sections of the Winnetka Village Code (“Code”) referred to in the following Schedule Building, Zoning and Construction Activity Fees (“Fee Schedule”), there are hereby established certain permit, license and registration fees, in the amounts and for the purposes set forth in said Fee Schedule:

SCHEDULE OF BUILDING, ZONING AND CONSTRUCTION ACTIVITY FEES

WORK PERFORMED WITHOUT PERMITS

All permit fees for work performed without a required permit shall be double the amount of the fees for the required permits.

PERMIT DEPOSITS (Section 15.32.020)

[**Note:** Deposits must be submitted with permit applications. The Village’s costs, including plan review and reinspections, will be deducted from deposits. Deposit balances will be retained by the Village until a final certification of occupancy is approved.]

For Building Demolition Permits with site restoration plans	\$3,000
For Installation of New Security, Fire Detection or other Fire and Life Safety Systems (Chapters 8.04 and 15.16)	\$1,500
For Temporary Certificate of Occupancy	\$3,000
For Tree Replacement (for each inch of DBH)	\$250

[Remainder of this page intentionally left blank.]

PLAN REVIEW FEES (Sec. 15.32.020)

For all Building Permits, other than restrictive building permits:

- 15% of building permit fee, \$70 minimum.

For review of Construction Document Revisions

- \$15 for each review where original building permit was subject to minimum fee, building permit is not required, or revision is for a restrictive building permit.
- \$100 for all reviews of any revision to building permit construction documents that do **not** require zoning, engineering, and/or forestry reviews.
- \$130 for all reviews of any revision to building permit construction documents that require zoning, engineering, and/or forestry reviews for minor revisions (as determined by the Director of Community Development).
 - 25% of original plan review fee for all reviews of any major revision to building permit construction documents (as determined by the Director of Community Development).

For engineering review required for building in the flood plain:

- Flood plain development review \$500.00
- LOMR review \$500.00

For plan reviews required for fire and life safety systems (Sec. 15.16.070) (deducted from any deposits)

- Fire Department review \$100.00
 - Technical review services Actual cost
- [Note: Variable fee, based on actual costs charged to Village.]

CONTRACTOR PERMIT BOND (Sec. 15.32.060) \$20,000

[Note: Bond to be payable to the Village of Winnetka; required of all contractors. Pursuant to the Contractor Unified License and Permit Bond Act of 1998, contractor may provide a certified copy of his/its current unified contractor bond in amount of no less than \$50,000, as on file with Cook County Clerk.]

BUILDING PERMIT FEES (Sec. 15.32.020)

Renovations, including remodeling and additions, to Existing Structures and for other construction activity not specified by Resolution of the Council

- \$30.00 per \$1,000.00 or fraction thereof of the estimated total project cost, \$70.00 minimum.

[Remainder of this page intentionally left blank.]

BUILDING PERMIT FEES (cont'd)

Construction [as defined in Title 17, for all primary structures and additions thereto, including basement and attic areas, whether finished or unfinished, crawl space, attached garages and detached accessory structures, all without deducting for zoning allowances, bonuses or other exceptions]

- \$1.30 per horizontal square foot, but not less than \$70.00

Below Grade Parking Facilities

- \$0.80 per Gross Floor Area square foot.

RESTRICTIVE PERMITS

[**Note:** Includes initial plan review fee.]

Canopy/Awning (Sec. 15.44.030) \$70 each
[**Note:** Certificate of Appropriateness of Design may be required.]

Construction Trailers (Sec. 15.32.020) \$220 each

Demolition (Sec. 15.52.010)

- For each accessory structure \$45
- For demolition with building permit application and complete construction documents. \$16,070
- For demolition with site restoration plan and schedule. \$16,070
- Reimbursement of payment made by Village of Winnetka to Winnetka Historical Society for research related to demolition of a primary structure \$600

Fences (Sec. 15.44.060) \$65 each

Roofing (Sec. 15.32.020 and 15.44.100) \$65 each

Signs (Sec. 15.60.140)

[**Note:** Signs may require Certificate of Appropriateness of Design.]

- For each non-illuminated signs \$60
- For each illuminated sign (includes electrical permit fee) \$195

Swimming Pools (Sec. 15.56.020) \$515

[**Note:** Includes electrical, plumbing and fence permits]

Tree Enhancement/Tree Protection Plan Review (Sec. 15.28.070)

- For each review per lot in development site \$90

Landscape/Tree Replacement Plan Review (Sec. 15.28.050 and 15.28.060)

- For each review per lot in development site \$90

Tree Removal Permit Fee (Sec. 15.28.040)

- For each tree \$60

ELECTRICAL PERMITS (Sec. 15.32.020 and 15.44.050)

[Note: Includes initial plan review fee.]

Base Fee for All Permits	\$70
Electrical Fixtures, per fixture	\$1.10
Heating/Air Conditioning/Ventilation (HVAC), per unit [Note: Requires HVAC permit.]	\$25
Motors over 0.5 hp, per motor	\$25
New Service or Modifications to Existing Service Entrance Equipment [Note: Permit fees are waived if modifications result from Water & Electric Department's scheduled undergrounding program.]	
• Less than 200 amps, per new service or modification	\$50
• 200 amps or more, per new service or modification	\$60
Outlets, per outlet	\$1.10
Temporary Service, per service	\$340

PLUMBING AND MECHANICAL (HVAC) PERMITS (Sec. 15.32.020 and 15.44.050)

[Note: Includes initial plan review fee.]

Plumbing

- Base Fee for All Plumbing Permits (includes 5 fixtures) \$70
- Plumbing Fixtures (beginning with the sixth fixture), per fixture \$10
- Process Piping for Heating System, per unit \$100

Lawn Sprinklers

- Base Fee \$80
- Per Sprinkler Head \$0.90

HVAC

[Note: Exterior installations require zoning approval.]

- Base Fee for all HVAC Permits \$70
- For replacement of duct work only, per unit \$45
- For totally new system, per unit \$90
- For each roof-top unit, new or replacement \$100
- For each new or replacement AC unit, if total capacity on the property is 8 tons or more. \$100

[Remainder of this page intentionally left blank.]

MISCELLANEOUS FEES

Development Agreement — Base Fee (Sec. 15.32.080(K))	\$1,500
[Note: Base Fee includes standard staff review time and 3 hours of Village Attorney time.]	
Development Agreement — Supplemental Fee (Sec.15.32.080(K))	Variable,
All Village costs in excess of those included in the Base Fee shall be based upon the actual time spent by the Village, plus costs incurred, and shall be incorporated into the Development Agreement.	based on Staff time spent and rates set by R-36-2013
Fire Prevention Permit (Sec. 15.16.040)	\$100
Partial Permits (Sec. 15.32.110)	\$150
Permit Renewal (Sec. 15.32.200)	50% of total original permit fees
Stop Work Order (Sec. 15.04.080)	
• 1 st Stop Work Order	\$250
• 2 nd Stop Work Order	\$500
• 3 rd Stop work Order	\$750
Temporary Certificate of Occupancy (Sec. 15.36.010)	\$275
Village Attorney Services (Sec. 5.66.040)	\$350 per hour,
For non-standard services related to post-approval implementation or amendment of development agreements, subdivisions and planned developments	½ hour increments

PETITION FILING FEES

Administrative Appeals	
• Building Code Appeals (Sec. 15.72.010)	\$350
• Zoning Appeals (Sec. 17.72.010)	\$450
Certificate of Appropriateness of Design (Sec. 15.40.010)	
• For each new primary structure or addition thereto	\$450
• For each application for signs, canopies, or awnings	\$55
• All other requests	\$110
Consolidation of Land into single parcel (Sec. 16.08.010)	\$550
Driveway Variation (Sec. 12.12.010 and 15.44.040)	\$265
Flood Plain Variation (Sec. 15.68.100)	\$715
Sign Variation (Sec. 15.60.250)	\$220
Special Use Permit (Sec. 17.56.010)	\$935

PETITION FILING FEES (cont'd)

Subdivision of Land – Base Fee (Sec. 16.04.040)	\$935
[Note: Base Fee includes standard staff review time and 3 hours of Village Attorney time.]	
Subdivision of Land – Supplemental Fee (Sec. 15.32.080(K))	Variable,
All Village costs in excess of those included in the Base Fee shall be based upon the actual time spent by the Village, plus costs incurred. For projects requiring a Development Agreement, the supplemental fee shall be incorporated into the Development Agreement.	based on Staff time spent and rates set by R-36-2013
Zoning Map Amendment (Sec. 17.72.040)	\$800
Zoning Planned Development (Chapter 17.58)	\$935
Zoning Special Use (Chapter 17.56)	\$935
Zoning Text Amendment (Sec. 17.72.040)	\$800
Zoning Variation by Zoning Administrator (Minor Variation) (Sec. 17.60.015)	\$250
Zoning Variation by Ordinance (Major Variation)(Sec. 17.60.030)	\$800
Zoning Variation by Zoning Board of Appeals (Standard Variation) (Sec. 17.60.020)	\$400

STREETS, SIDEWALKS, DRIVEWAYS AND OTHER IMPERMEABLE SURFACES
(Sec. 12.04.110)

Driveway curb cut, new or enlarged (Sec. 12.12.010)	\$75
[Note: Requires street excavation/occupancy permit and right-of-way deposit]	
Right-of-way Excavation and/or Occupation (Sec. 12.16.010)	\$125
Sewer (Sec. 15.24.090)	\$150
Impermeable Surfaces (including driveways, sidewalks, patios, etc.) (Sec. 12.08.010)	\$75
Street Replacement (Sec. 12.04.20)	
• Base fee - Streets resurfaced 5 or fewer years prior to the date of permit application	\$2,000
• Base Fee - Streets resurfaced more than 5 years prior to the date of permit application	\$1,000
• Per square yard of base (any type of base)	\$125

SECTION 2: Determination of Construction Costs. In setting any fee based on the cost of construction, the Director of Community Development may use any of the following methods:

- A. an estimate furnished by the permit applicant;
- B. a certification of the cost of construction from a licensed architect or a registered structural or professional engineer;
- C. an affidavit from the owner or the owner's agent setting forth the estimated cost of the proposed work; or
- D. a calculation to be made by the Director of Community Development, based on the most current edition of the RS Means Square Foot Costs Book.

SECTION 3: Fee for Returned Payments. A fee in the amount of \$150.00 or 5% of the permit fee, whichever is greater, shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. This resolution supersedes Resolution R-14-2013.

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk



Agenda Item Executive Summary

Title: Resolution R-38-2013 - Fire Service Fees - Adoption

Presenter: Edward McKee, Finance Director

Agenda Date: 11/19/2013

Consent: YES NO

- | | |
|-------------------------------------|-------------------------|
| <input type="checkbox"/> | Ordinance |
| <input checked="" type="checkbox"/> | Resolution |
| <input type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input type="checkbox"/> | Informational Only |

Item History:

October 10, 14, and 30, 2013	Budget Study Sessions to review and discuss proposed 2014 Fiscal Year Budget
November 5, 2013	Public hearing on proposed budget
November 14, 2013	Further discussion at Council Study Session

Executive Summary:

The Village annually calculates the fee charged for fire and rescue services in unincorporated territories adjacent to the Village. To ensure that the fee is equitable, the Village uses an established formula that takes into account the equalized assessed value of the area served, calls for service, and Fire Department expense data.

The current fee was set for the April-through-March fiscal year, so the complete data needed to calculate the new fees will not be available until February 2014. Consequently, Resolution R-38-2013 merely restates the current rate and sets the stage for the gradual transition to a calendar-based fiscal year. After the annual data is received in February, a new resolution will be prepared for Council consideration, and the effective dates of the rates will gradually move toward January 1.

Recommendation / Suggested Action:

Consider a motion to adopt Resolution R-38-2013, titled "A Resolution Establishing the Annual Fee for the Maintenance and Availability of Fire Suppression and Rescue Services to Certain Premises in Unincorporated New Trier Township."

Attachments:

R-38-2013 - A Resolution Establishing the Annual Fee for the Maintenance and Availability of Fire Suppression and Rescue Services to Certain Premises in Unincorporated New Trier Township

Fire contract worksheet for the April 1, 2013 to March 31, 2014 fiscal year

**A RESOLUTION
ESTABLISHING THE ANNUAL FEE
FOR THE MAINTENANCE AND AVAILABILITY OF
FIRE SUPPRESSION AND RESCUE SERVICES
TO CERTAIN PREMISES IN UNINCORPORATED NEW TRIER TOWNSHIP**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka (“Village”) owns and operates a water utility that provides all water service within the Village of Winnetka; and

WHEREAS, the Village’s water utility also provides water service to certain customers located in unincorporated areas outside the corporate limits; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village’s water utility, including but not limited to providing water service to residents in nearby unincorporated areas and establishing the rates, terms and conditions for such service, are matters pertaining to the affairs of the Village; and

WHEREAS, Section 13.04.120 of the Winnetka Village Code, “Charges for the maintenance and availability of fire suppression and emergency paramedical and rescue services,” provides for the establishment of an annual fee for providing such services to any customer of the Village of Winnetka water utility located outside of the corporate limits of the Village and in the vicinity of one or more fire hydrants maintained by the Village for fire protection purposes; and

WHEREAS, Section 13.04.120 of the Winnetka Village Code establishes the formula for determining that annual fee; and

WHEREAS, calculations performed by Village staff pursuant to Section 13.04.120 of the Winnetka Village Code previously established that, for the fiscal year that began on April 1, 2013, the monthly amount to be charged to each of the premises in unincorporated New Trier Township that receives fire suppression and rescue services from the Village of Winnetka should be Eighty-two Dollars and Sixteen Cents (\$82.16); and

WHEREAS, on March 19, 2013, the Village Council adopted Resolution R-15-2013, setting the monthly amount to be charged to each of the premises in unincorporated New Trier Township that receives fire suppression and rescue services from the Village of Winnetka at Eighty-two Dollars and Sixteen Cents (\$82.16); and

WHEREAS, the Council finds that it is fair and reasonable to maintain the monthly charges for non-resident fire suppression and rescue services at Eighty-two Dollars and Sixteen Cents (\$82.16), beginning January 1, 2014.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: The foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as if fully set forth herein.

SECTION 2: Effective January 1, 2014, the monthly amount to be charged to each premises that is located in unincorporated New Trier Township and that is receiving fire suppression and rescue services from the Village of Winnetka is hereby established in the amount of Eighty-two Dollars and Sixteen Cents (\$82.16) .

SECTION 3: All non-resident water customers who are subject to the foregoing fire suppression fee shall pay for emergency medical services on a per call basis, at the rates established in the Village's general fee and rate resolutions.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. This resolution supersedes Resolution R-15-2013.

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this 19th day of November, 2013, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

FIRE CONTRACT WORKSHEET FOR FISCAL YEAR 2013/2014 (beginning April 1, 2013)

The annual reimbursement for fire suppression and emergency paramedical and rescue services provided by the Village of Winnetka to the Village of Kenilworth and to certain premises located in unincorporated New Trier Township is based upon the following terms:

	ESTIMATED
~~~~~	
A. POPULATION (latest decennial census figures available):	<2010>
Total New Trier Township.....	56,205
Incorporated New Trier Township:	
Village of Kenilworth.....	(2,513)
Village of Winnetka.....	(12,187)
New Trier Township portion of Village of Glencoe.....	(8,723)
New Trier Township portion of Village of Wilmette.....	(27,087)
New Trier Township portion of Village of Glenview.....	(3,173)
New Trier Township portion of Village of Northfield.....+	(1,696)
	-----
Total Incorporated New Trier Township.....=	(55,379)
	-----
Unincorporated New Trier Township .....	826
	=====
Fire Service Area:	
Village of Kenilworth.....	2,513 ( 16.19% )
Village of Winnetka.....	12,187 ( 78.49% )
Unincorporated New Trier Township.....+	826 ( 5.32% )
	-----
Total Fire Service Area.....=	15,526
	=====
~~~~~	
B. EQUALIZED ASSESSED VALUATION (latest figures available):	<2010>
Total New Trier Township.....	\$4,946,850,035
Incorporated New Trier Township:	
Village of Kenilworth.....	(\$315,051,106)
Village of Winnetka.....	(\$1,440,254,090)
New Trier Township portion of Village of Glencoe.....	(\$929,105,591)
New Trier Township portion of Village of Wilmette.....	(\$1,862,970,271)
New Trier Township portion of Village of Glenview.....	(\$130,505,841)
New Trier Township portion of Village of Northfield.....+	(\$142,163,871)

Total Incorporated New Trier Township.....=	(\$4,820,050,770)

Unincorporated New Trier Township	\$126,799,265
	=====
Fire Service Area:	
Village of Kenilworth.....	\$315,051,106 (16.74%)
Village of Winnetka.....	\$1,440,254,090 (76.52%)
Unincorporated New Trier Township.....+	\$126,799,265 (6.74%)

Total Fire Service Area.....=	\$1,882,104,461
	=====
~~~~~	
C. CALLS (for fire suppression and emergency paramedical and rescue services, including false, for twelve-month period January 1st through December 31st):	<2011>
Fire Service Area:	
Village of Kenilworth.....	160 ( 7.24% )
Village of Winnetka (including auto and mutual aid calls).....	2,002 ( 90.55% )
Unincorporated New Trier Township.....+	49 ( 2.22% )
	-----
Total Fire Service Area.....=	2,211
	=====
~~~~~	

D. FIRE SERVICE AREA ANNUAL BUDGET (for fiscal year corresponding to term of contract):

	<2013/2014>	
Winnetka Fire Department annual budget....including capital		\$4,877,494
Less:		
Fire Prevention -- salaries & retirement <*>.....	(\$940,036)	
Fire Prevention -- services.....	(57,100)	
Fire Prevention -- employee insurance benefits <*>.....	(99,768)	
Foreign Fire Insurance	(75,000)	
Joint Services Credit	(30,000)	
Building Improvements	0	
Major capital in proposed budget.....	0	

Total subtractions.....		(1,201,904)
Plus:		
Amortized Major Capital <*>.....	\$136,467	

Total Additions.....		136,467

Kenilworth contract annual budget calculation		\$3,812,057

<*> These figures each equal exactly 25% of total budgeted departmental expenditures for salaries, retirement and employee insurance benefits. The resulting dollar figures shown are estimates of the department's respective budgeted amounts allocated to fire prevention activities within Winnetka.

<*> This figure is the sum of:

1993	Public Safety Bldg Renovation Plans (Fire); \$30,000 over 30 years installment #21 of 30; installments required through FY 2022/23		1,000
1995	Pumper Truck; \$211,000 over 20 years; installment #19 of 20; installments required through FY 2014/15		10,550
2007	Training Tower \$290,000 over 20 years installment #7 of 20; installments required through FY2027		14,500
1998	Conversion of Pumper to Rescue/Pumper; \$42,000 over 17 years; installment #16 of 17; installments required through FY 20014/15		2,471
2000	Pumper Truck; \$290,000 over 20 years; installment #14 of 20; installments required through FY 2019/20		14,500
2011	Command Vehicle;\$60,000 over 10 years installmant #3 of 10; installments required through FY 2021/2022		6,000
2008	Staff Vehicle; \$35,000 over 6 years; installment #6 of 6; installments required through FY 2014/2015		5,833
d/c			
2010	Ladder/Quint truck; \$674,400 over 20 years installment # 4 of 25;.....		33,720
2004	Self Contained Breathing Apparatus; \$60,000 over 14 years; installment #10 of 14; installments required through FY 2019/2020		4,143
2012	Ambulance:\$275,000 over 12 years installments #2 of 12;installments required through FY 2024/2025		22,917
2007	Ambulance;\$180,000 over 12 years installments #7 of 12; installments required through FY 2019/2020		15,000
2012	Staff Vehicle;\$35,000 over 6 years; installment #1 of 6; installments required through FY 2019/2020	Done	5,833
chief			
	Total amortized major capital	=	\$136,467


~~~~~  
 F. ANNUAL COST OF FIRE SUPPRESSION AND EMERGENCY PARAMEDICAL AND RESCUE SERVICES  
 PROVIDED TO THE VILLAGE OF KENILWORTH:  
 ~~~~~

Village of Kenilworth population.....	2,513	
Fire Service Area population...../	15,526	

Village of Kenilworth population as a proportion of Fire Service Area population.....=	16.19%	
	=====	
Village of Kenilworth equalized assessed valuation.....	\$315,051,106	
Fire Service Area equalized assessed valuation...../	1,882,104,461	

Village of Kenilworth equalized assessed valuation as a proportion of Fire Service Area equalized assessed valuation.....=	16.74%	
	=====	
Village of Kenilworth calls.....	160	
Fire Service Area calls...../	2,211	

Village of Kenilworth calls as a proportion of Fire Service Area calls.....=	7.24%	
	=====	
Fire Service Area annual budget.....	3,812,057	
Average Village of Kenilworth proportion of Fire Service Area.....*	13.39%	

Village of Kenilworth portion of Fire Service Area annual budget.....=	\$510,327.66	
Less Village of Kenilworth latest credit.....-	(35,635.08)	

Village of Kenilworth annual amount due.....=	\$474,692.58	
	=====	

~~~~~  
 G. ANNUAL COST OF FIRE SUPPRESSION AND EMERGENCY PARAMEDICAL AND RESCUE SERVICES  
 PROVIDED TO THE VILLAGE OF WINNETKA (required for computation purposes only):

|                                                                                                                                |                 |  |
|--------------------------------------------------------------------------------------------------------------------------------|-----------------|--|
| Village of Winnetka population.....                                                                                            | 12,187          |  |
| Fire Service Area population...../                                                                                             | 15,526          |  |
|                                                                                                                                | -----           |  |
| Village of Winnetka population as a proportion of<br>Fire Service Area population.....=                                        | 78.49%          |  |
|                                                                                                                                | =====           |  |
| <br>Village of Winnetka equalized assessed valuation.....                                                                      | \$1,440,254,090 |  |
| Fire Service Area equalized assessed valuation...../                                                                           | 1,882,104,461   |  |
|                                                                                                                                | -----           |  |
| Village of Winnetka equalized assessed valuation as a<br>proportion of Fire Service Area equalized assessed<br>valuation.....= | 76.52%          |  |
|                                                                                                                                | =====           |  |
| <br>Village of Winnetka calls.....                                                                                             | 2,002           |  |
| Fire Service Area calls...../                                                                                                  | 2,211           |  |
|                                                                                                                                | -----           |  |
| Village of Winnetka calls as a proportion of Fire<br>Service Area calls.....=                                                  | 90.55%          |  |
|                                                                                                                                | =====           |  |
| <br>Fire Service Area annual budget.....                                                                                       | \$3,812,057     |  |
| Average Village of Winnetka proportion of Fire Service Area.....*                                                              | 81.86%          |  |
|                                                                                                                                | -----           |  |
| Village of Winnetka portion of Fire Service Area annual budget.....=                                                           | \$3,120,359.29  |  |
| Less Village of Winnetka latest credit.....-                                                                                   | (208,870.46)    |  |
|                                                                                                                                | -----           |  |
| Village of Winnetka annual amount "due".....=                                                                                  | \$2,911,488.83  |  |
|                                                                                                                                | =====           |  |

H. ANNUAL COST OF FIRE SUPPRESSION AND EMERGENCY PARAMEDICAL AND RESCUE SERVICES  
 PROVIDED TO UNINCORPORATED NEW TRIER TOWNSHIP:

|                                                                                                                                              |               |              |
|----------------------------------------------------------------------------------------------------------------------------------------------|---------------|--------------|
| Unincorporated New Trier Township population.....                                                                                            | 826           |              |
| Fire Service Area population...../                                                                                                           | 15,526        |              |
|                                                                                                                                              | -----         |              |
| Unincorporated New Trier Township population as a<br>proportion of Fire Service Area population.....=                                        | 5.32%         |              |
|                                                                                                                                              | =====         |              |
| Unincorporated New Trier Township equalized assessed<br>valuation.....                                                                       | \$126,799,265 |              |
| Fire Service Area equalized assessed valuation...../                                                                                         | 1,882,104,461 |              |
|                                                                                                                                              | -----         |              |
| Unincorporated New Trier Township equalized assessed<br>valuation as a proportion of Fire Service Area equalized<br>assessed valuation.....= | 6.74%         |              |
|                                                                                                                                              | =====         |              |
| Unincorporated New Trier Township calls.....                                                                                                 | 49            |              |
| Fire Service Area calls...../                                                                                                                | 2,211         |              |
|                                                                                                                                              | -----         |              |
| Unincorporated New Trier Township calls as a proportion of<br>Fire Service Area calls.....=                                                  | 2.22%         |              |
|                                                                                                                                              | =====         |              |
| Fire Service Area annual budget.....                                                                                                         |               | \$3,812,057  |
| Average Unincorporated New Trier Township proportion of<br>Fire Service Area.....*                                                           |               | 4.76%        |
|                                                                                                                                              |               | -----        |
| Unincorporated New Trier Township portion of Fire Service Area annual<br>budget.....=                                                        |               | \$181,370.05 |
| Less Unincorporated New Trier Township latest credit.....-                                                                                   |               | (12,787.46)  |
|                                                                                                                                              |               | -----        |
| Unincorporated New Trier Township annual amount "due".....=                                                                                  |               | \$168,582.59 |
|                                                                                                                                              |               | -----        |
| Number of fire contracts between Winnetka Fire Department and<br>Unincorporated New Trier Township...../                                     |               | 171          |
|                                                                                                                                              |               | -----        |
| Annual amount due per contract in Unincorporated New Trier Township.....=                                                                    |               | \$985.86     |
|                                                                                                                                              |               | =====        |

|                  |          |
|------------------|----------|
| Current Monthly  |          |
| Proposed Monthly | \$ 82.16 |

cc: Winnetka Village Manager  
 Winnetka Fire Chief  
 Winnetka Finance Director  
 Kenilworth Village Manager



## Agenda Item Executive Summary

**Title:** Ordinance M-17-2013: 352 Linden Street, Zoning Variations- Introduction

**Presenter:** Michael D'Onofrio, Director of Community Development

**Agenda Date:** 11/19/2013

**Consent:**  YES  NO

- |                                     |                         |
|-------------------------------------|-------------------------|
| <input checked="" type="checkbox"/> | Ordinance               |
| <input type="checkbox"/>            | Resolution              |
| <input type="checkbox"/>            | Bid Authorization/Award |
| <input type="checkbox"/>            | Policy Direction        |
| <input type="checkbox"/>            | Informational Only      |

### Item History:

No previous action.

### Executive Summary:

Ordinance M-17-2013 grants variations from Section 17.30.070 [Rear Yard Setback] and Section 17.30.110 [Garages] of the Winnetka Zoning Ordinance to permit the construction of an addition connecting the residence to the garage that will create a nonconforming rear yard setback of 6.3 ft., whereas a minimum of 19.05 ft. is required, a variation of 12.75 ft. (66.93%), a front-facing attached garage 22.3 ft. in width, whereas a maximum of 22 ft. is permitted, a variation of 0.3 ft. (1.36%) and a front-facing garage door 9.83 ft. in width, whereas a maximum single door width of 9 ft. is permitted, a variation of 0.83 ft. (9.22%).

The applicant, William Coughlin, is requesting the variations to allow a mudroom addition that will attach the existing detached garage to the residence. To accommodate the addition, one of the three bays of the garage will be eliminated. The proposed addition will be a net decrease of 26.72 s.f. of roofed lot coverage as well as a net decrease of 12.45 s.f. of gross floor area.

Because the proposed addition converts the detached garage to a front-facing attached garage it creates three nonconformities: 1) a nonconforming rear yard setback of 6.3 ft.; 2) a front-facing attached garage width of 22.3 ft., whereas a maximum of 22 ft. is permitted; and 3) a front-facing attached garage door width of 9.83 ft., whereas an individual door cannot exceed 9 ft. The other garage door that will remain is 8.83 ft. in width and therefore complies.

At the October 14, 2013 Zoning Board of Appeals (ZBA) meeting, the ZBA voted 6 to 1 to recommend approval of the zoning variations.

### Recommendation / Suggested Action:

Consider introduction of Ordinance M-17-2013, granting variations for the rear yard setback, attached garage width and front-facing garage door width to permit the construction of an addition connecting the residence to the garage.

### Attachments:

- Agenda Report
- Attachment A: Zoning Matrix
- Attachment B: Ordinance M-17-2013
- Attachment C: GIS Map
- Attachment D: Application
- Attachment E: Plat of Survey
- Attachment F: Site Plan and Building Plans
- Attachment G: October 14, 2013 ZBA minutes

## AGENDA REPORT

**TO:** Village Council

**PREPARED BY:** Michael D'Onofrio, Director of Community Development

**SUBJECT:** 352 Linden St., Ord. M-17-2013  
Variations:  
(1) Rear Yard Setback  
(2) Garages

**DATE:** October 24, 2013

Ordinance M-17-2013 grants variations from Section 17.30.070 [Rear Yard Setback] and Section 17.30.110 [Garages] of the Winnetka Zoning Ordinance to permit the construction of an addition connecting the residence to the garage that will create a nonconforming rear yard setback of 6.3 ft., whereas a minimum of 19.05 ft. is required, a variation of 12.75 ft. (66.93%), a front-facing attached garage 22.3 ft. in width, whereas a maximum of 22 ft. is permitted, a variation of 0.3 ft. (1.36%) and a front-facing garage door 9.83 ft. in width, whereas a maximum single door width of 9 ft. is permitted, a variation of 0.83 ft. (9.22%).

The applicant, William Coughlin, is requesting the variations to allow a mudroom addition that will attach the existing detached garage to the residence. To accommodate the addition, one of the three bays of the garage will be eliminated. The proposed addition will be a net decrease of 26.72 s.f. of roofed lot coverage as well as a net decrease of 12.45 s.f. of gross floor area.

Providing a rear yard setback of 6.3 ft., the existing detached garage complies with the 6 ft. setback required for accessory structures located in the rear yard while adjoining a neighbor's side yard. While the principal building is required to provide a minimum rear yard setback of 19.05 ft. Because the proposed addition converts the detached garage to a front-facing attached garage it creates three nonconformities: 1) a nonconforming rear yard setback of 6.3 ft.; 2) a front-facing attached garage width of 22.3 ft., whereas a maximum of 22 ft. is permitted; and 3) a front-facing attached garage door width of 9.83 ft., whereas an individual door cannot exceed 9 ft. The other garage door that will remain is 8.83 ft. in width and therefore complies.

The property is located at the southwest corner of Linden St. and Willow Rd. in the R-3 Single Family Residential District. The residence was built circa 1914. Subsequent building permits were issued in 1923 to construct a garage, in 1945 to construct a two-car garage, in 1963 to remodel the interior of the residence and replace the two-car garage, in 1979 to remodel the kitchen, and in 1992 to build the existing three-car garage. The petitioner purchased the property in 2010.

There are no previous zoning variations for this property.

An attached zoning matrix (Attachment A) summarizes the work proposed under this request.

### **Recommendation of Advisory Board**

The ZBA considered the variation requests at its meeting October 14, 2013. By a vote of 6 in favor and one against, the ZBA recommended approval of the zoning variations. The findings of the ZBA can be found on pages 6 thru 8 of the attached ZBA Minutes.

Introduction of the ordinance requires the concurrence of a majority of the Council.

### **Recommendation**

Consider introduction of Ordinance M-17-2013, granting variations for the rear yard setback, attached garage width and front-facing garage door width to permit the construction of an addition connecting the residence to the garage.

### **Attachments**

- Attachment A: Zoning Matrix
- Attachment B: Ordinance M-17-2013
- Attachment C: GIS Map
- Attachment D: Application
- Attachment E: Plat of Survey
- Attachment F: Site Plan and Building Plans
- Attachment G: October 14, 2013 ZBA minutes

## ZONING MATRIX

**ADDRESS: 352 Linden St.**  
**CASE NO: 13-17-V2**  
**ZONING: R-3**

| ITEM                              | REQUIREMENT    | EXISTING    | PROPOSED   | TOTAL       | STATUS                      |
|-----------------------------------|----------------|-------------|------------|-------------|-----------------------------|
| Min. Lot Size                     | 16,800 SF      | 11,430 SF   | N/A        | N/A         | EXISTING NONCONFORMING      |
| Min. Average Lot Width            | 85 FT          | 90 FT       | N/A        | N/A         | OK                          |
| Max. Roofed Lot Coverage          | 2,857.5 SF (1) | 2,760.85 SF | (26.72) SF | 2,734.13 SF | OK                          |
| Max. Gross Floor Area             | 4,101 SF (1)   | 3,865.6 SF  | (12.45) SF | 3,853.15 SF | OK                          |
| Max. Impermeable Lot Coverage     | 5,715 SF (1)   | 4,699.91 SF | 48 SF      | 4,747.91 SF | OK                          |
| Min. Front Yard (Linden)          | 40 FT          | 39.08 FT    | N/A        | N/A         | EXISTING NONCONFORMING      |
| Min. Corner (Front) Yard (Willow) | 27 FT          | 44.02 FT    | 43.47 FT   | N/A         | OK                          |
| Min. Side Yard (South)            | 9 FT           | 6.68 FT     | 12.31 FT   | N/A         | EXISTING NONCONFORMING      |
| Min. Rear Yard (West)             | 19.05 FT       | 32.45 FT    | 6.3 FT     | N/A         | 12.75 FT (66.93%) VARIATION |

**NOTES:**

- (1) Based on lot area of 11,430 s.f.
- (2) Variation required to allow a front-facing attached garage 22.3 ft. in width, whereas a maximum of 22 ft. is permitted, a variation of 0.3 ft. (1.36%).
- (3) Variation required to allow front-facing attached garage door width of 9.83 ft., whereas a maximum individual door width of 9 ft. is permitted, a variation of 0.83 ft. (9.22%).

Attachment A

**AN ORDINANCE  
GRANTING A VARIATION IN  
THE APPLICATION OF THE ZONING ORDINANCE  
OF THE VILLAGE OF WINNETKA,  
COOK COUNTY, ILLINOIS (352 Linden)**

**WHEREAS**, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village; and

**WHEREAS**, the Council of the Village of Winnetka (“Village Council”) find that establishing standards for the use and development of lands and buildings within the Village and establishing and applying criteria for variations from those standards are matters pertaining to the affairs of the Village; and

**WHEREAS**, the property commonly known as 352 Linden Street Winnetka, Illinois (the “Subject Property”), is legally described as follows:

The East 127 Feet of Lot 1 and the East 127 Feet of the North 40 Feet of Lot 2 in Block 2 in Dale’s Second Subdivision of the Northeast Quarter of the Northeast Quarter of the Southeast Quarter of Section 20, Township 42 North, Range 13 East of the Third Principal Meridian, in Cook County, Illinois; and

**WHEREAS**, the Subject Property is located in the R-3 Zoning District provided in Chapter 17.20 of the Winnetka Zoning Ordinance, Title 17 of the Winnetka Village Code; and

**WHEREAS**, on September 10, 2013, the owner of the Subject Property filed an application for the following variations from requirements of the Lot, Space, Bulk and Yard Regulations for Single Family Residential Districts established by Chapter 17.30 of the Zoning Ordinance: (a) a variation from the rear yard setback requirements of Section 17.30.070, to allow a rear yard setback of 6.3 feet, whereas a minimum of 19.05 feet is required, resulting in a variation of 12.75 feet (66.93%); (b) a variation from the limitations on the width of garages under Section 17.30.110, to permit a front-facing garage to be 22.3 feet wide, whereas a maximum width of 22 feet is permitted, resulting in a variation of 0.3 feet (1.35%); and (c) a variation from the garage door width limitations of Section 17.30.110 to allow a front-facing garage door with a width of 9.83 feet, whereas the maximum allowable width is 9 feet, resulting in a variation of 0.83 feet (9.22%); all of said variations being requested to allow the construction of a mud room addition that will convert the existing, three-bay, detached garage into a two-bay, attached garage with mud room connection to the existing house on the Subject Property; and

**WHEREAS**, on October 14, 2013, on due notice thereof, the Zoning Board of Appeals conducted a public hearing on the requested variations and, by the favorable vote of six of the seven members then present, has reported to the Council recommending that the requested variations be granted; and

**WHEREAS**, there are practical difficulties associated with carrying out the strict application of the Zoning Ordinance with respect to the Subject Property in that: (a) the Subject Property is located at the southwest corner of Willow Road and Linden Street and, as such, is a corner lot located that is subject to front yard setback requirements from both street frontages; (b) the Subject Property is improved with a single family home, constructed in 1914, before the enactment of the Zoning Ordinance; (c) the Subject Property is a legal, nonconforming lot with a lot area that is 5,370 square feet less than the required minimum lot size in the R-3 Zoning District; (d) the home on the Subject Property is oriented to face Linden Street, with the west lot line functioning as the rear of the lot; (e) the Subject Property currently has legal, nonconforming front and south side yard setbacks; (f) the existing detached garage is currently considered an accessory structure and complies with rear yard setback requirements and with width limitations for the garage and its doors; (g) because the construction of the proposed mud room addition will result in the garage becoming part of the principal structure, the more relaxed setbacks for detached garages will no longer apply; and (h) access to the newly attached garage from the Willow Road frontage makes it subject to the limitations on front-facing garages and garage door widths; and

**WHEREAS**, the requested variations will not alter the essential character of the neighborhood, because the proposed addition has been designed to be consistent with the design of the existing home and will not move the existing garage closer to the neighboring property to the west, and the removal of one of the garage bays will reduce the visual impact of the garage from Willow Road; and

**WHEREAS**, the requested variations will not impair an adequate supply of light and air because: (a) the proposed addition will not move the garage closer to the west property line; and (b) the proposed construction will reduce the amount of roofed lot coverage and impermeable surface on the Subject Property; and

**WHEREAS**, the requested variations will not increase the hazard from fire and other dangers to the Subject Property, as the proposed construction will comply with all applicable building and fire protection codes; and

**WHEREAS**, there is no evidence that the requested variations will diminish the taxable value of land and buildings throughout the Village, and the taxable value of the Subject Property may be increased because of the proposed improvements; and

**WHEREAS**, the proposed construction will not contribute to congestion on the public streets, as the property will continue to be used for single family residential purposes; and

**WHEREAS**, there is no evidence that the requested variations will otherwise impair the public health, safety, comfort, morals, and welfare of the inhabitants of the Village; and

**WHEREAS**, the requested variations are in harmony with the general purpose and intent of the Winnetka Zoning Ordinance, in that they allow the renovation, restoration and rehabilitation of a structurally sound existing building while maintaining the existing scale and appearance of the community, protecting established trees and landscaping, and reducing the amount of impermeable surface and roofed lot coverage; and

**WHEREAS**, this Ordinance has been placed on the Village Council's agenda and made available for public inspection at Village Hall and on the Village's web site, in accordance with Sections 2.04.040 and 2.16.040 of the Winnetka Village Code and applicable law.

**NOW, THEREFORE, BE IT ORDAINED** by the President and Board of Trustees of the Village of Winnetka, as follows:

**SECTION 1:** The foregoing recitals are hereby incorporated as the findings of the Council of the Village of Winnetka, as if fully set forth herein.

**SECTION 2:** Subject to the requirements of Section 3, below, the Subject Property, commonly known as 352 Linden Street and located in the R-3 Single-Family Residential District provided in Chapter 17.20 of the Winnetka Zoning Ordinance, Title 17 of the Winnetka Village Code, is hereby granted the following variations from the Lot, Space, Bulk and Yard Regulations for Single Family Residential Districts established by Chapter 17.30 of the Zoning Ordinance: (a) a variation from the rear yard setback requirements of Section 17.30.070, to allow a rear yard setback of 6.3 feet, whereas a minimum of 19.05 feet is required, resulting in a variation of 12.75 feet (66.93%); (b) a variation from the limitations on the width of garages under Section 17.30.110, to permit a front-facing garage to be 22.3 feet wide, whereas a maximum width of 22 feet is permitted, resulting in a variation of 0.3 feet (1.35%); and (c) a variation from the garage door width limitations of Section 17.30.110 to allow a front-facing garage door with a width of 9.83 feet, whereas the maximum allowable width is 9 feet, resulting in a variation of 0.83 feet (9.22%); all of said variations being requested to allow the construction of a mud room addition that will convert the existing, three-bay, detached garage into a two-bay, attached garage with mud room connection to the existing house on the Subject Property, in accordance with the plans and elevations submitted with the application for variations.

**SECTION 3:** The variations granted herein are conditioned upon the commencement of the proposed construction within 12 months after the effective date of this Ordinance.

[Remainder of this page intentionally left blank.]

**SECTION 4:** This Ordinance is passed by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

**SECTION 5:** This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

**PASSED** this \_\_\_ day of \_\_\_\_\_ 2013, pursuant to the following roll call vote:

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

**APPROVED** this \_\_\_ day of \_\_\_\_\_ 2013.

Signed:

\_\_\_\_\_

Village President

Countersigned:

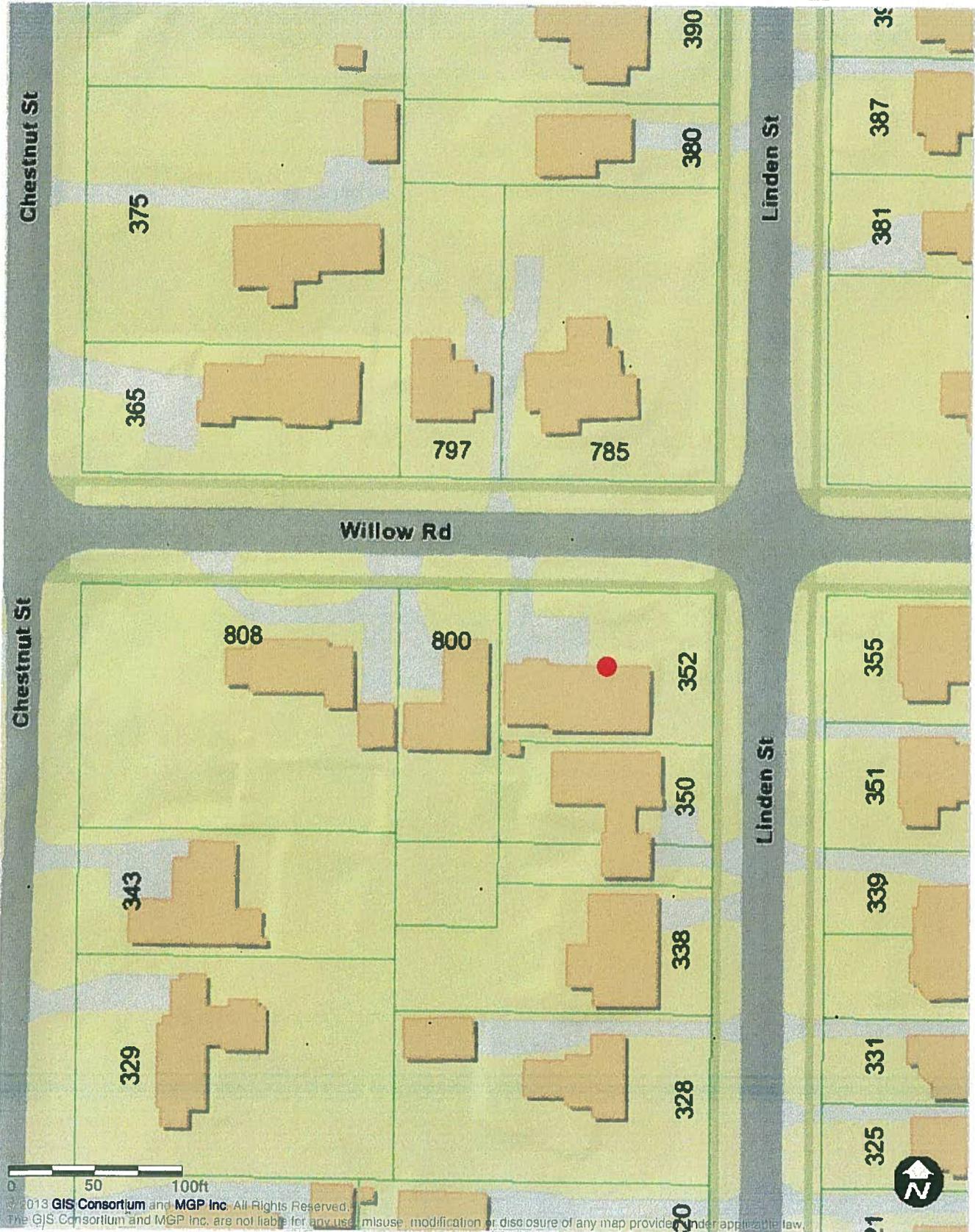
\_\_\_\_\_

Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this \_\_\_\_\_ day of \_\_\_\_\_ 2013.

Introduced: November 19, 2013

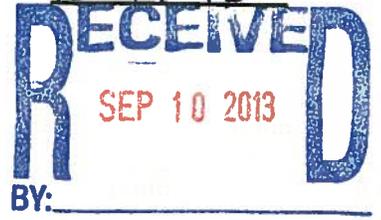
Passed and Approved:



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CASE NO. 13-17-V2

APPLICATION FOR VARIATION  
WINNETKA ZONING BOARD OF APPEALS



Owner Information:

Name: WILLIAM CUNNINGHAM

Property Address: 352 LINDEN

Home and Work Telephone Number: \_\_\_\_\_

Fax and E-mail: \_\_\_\_\_

Architect Information: Name, Address, Telephone, Fax & E-mail:

NIGEL M. STRONG 847-845-2677

101 N. HERMITAGE nigedied@gmail.com

HERMITAGE SC 29702

Attorney Information: Name, Address, Telephone, Fax & E-mail:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date Property Acquired by Owner: 2010

Nature of Any Restrictions on Property: \_\_\_\_\_

Explanation of Variation Requested: \_\_\_\_\_  
(Attach separate sheet if necessary)

PLEASE FIND CHECKBOX TO REMOVE THE ATTACHMENT  
OF AN EXISTING GARAGE TO AN EXISTING RESIDENCE;  
TO ALLOW THE CONSTRUCTION OF A MUDROOM  
BETWEEN THE TWO EXISTING STRUCTURES.

OFFICE USE ONLY

Variation Requested Under Ordinance Section(s): \_\_\_\_\_

Staff Contact: \_\_\_\_\_ Date: \_\_\_\_\_

## STANDARDS FOR GRANTING OF ZONING VARIATIONS

Applications must provide evidence and explain in detail the manner wherein the strict application of the provisions of the zoning regulations would result in a clearly demonstrated practical difficulty or particular hardship. In demonstrating the existence of a particular difficulty or a particular hardship, please direct your comments and evidence to each of the following items:

1. The property in question can not yield a reasonable return if permitted to be used only under the conditions allowed by regulations in that zone.
2. The plight of the owner is due to unique circumstance. Such circumstances must be associated with the characteristics of the property in question, rather than being related to the occupants.
3. The variation, if granted, will not alter the essential character of the locality.
4. An adequate supply of light and air to the adjacent property will not be impaired.
5. The hazard from fire and other damages to the property will not be increased.
6. The taxable value of the land and buildings throughout the Village will not diminish.
7. The congestion in the public street will not increase.
8. The public health, safety, comfort, morals, and welfare of the inhabitants of the Village will not otherwise be impaired.

For your convenience, you will find attached examples of general findings, for and against the granting of a variation, which have been made by the Zoning Board of Appeals and Village Council in prior cases.

**NOTE:** The Zoning Board of Appeals or the Village Council, depending on which body has final jurisdiction, must make a finding that a practical difficulty or a particular hardship exists in order to grant a variation request.

Property Owner's Signature: 

Date: 9/10/13

(Proof of Ownership is required)

**Variations, if granted, require initiation of construction activity within 12 months of final approval. Consider your ability to commence construction within this 12 month time period to avoid lapse of approvals.**

08/19/2013

Variance Request for 352 Linden, Winnetka, Illinois

Our request for a variance is for relief from the Minimum Rear Yard Setback Requirement, to permit the construction of an enclosed rear entry connecting an existing garage to the existing house.

1. The property in question cannot yield a reasonable return if permitted to be used only under the conditions allowed by regulations in that zone.

The petitioner's home is a large 2 story structure that has a detached large 3 car garage and finished space. The existing garage provides for the storage needs of the owners and their children, for bicycles, lawn equipment etc. The rear entry to the house is directly from the outside via an unprotected sidewalk, into the kitchen's breakfast room, which opens directly to the kitchen. There is no overhead protection from rain, snow etc. There is no area for mudroom functions in this existing space, nor a reasonable design solution to remodel this existing breakfast/kitchen space into a mudroom.

We are proposing to remove a parking bay (191.6 sq.ft.) from the existing garage, and replace that square footage with a small and efficient covered entry. The entry will connect the house to the garage, and provide an enclosed, covered area to be used as a mudroom. The floor area of the proposed entry will be approximately 182.87 sq.ft., for a net reduction in total square footage of 11.24 sq.ft.. This proposed structure will provide a safe, weather protected entry to the house, while reducing the total Roofed Lot Coverage, Impermeable Lot Coverage and GFA.

If this variance were to be approved, the house will be conforming with the exception of the rear yard setback.

Due to the deficiencies indicated, the petitioners need relief to remedy this shortcoming. With the removal of one garage bay, and the new enclosed entry as we have proposed, the property can yield a reasonable return; that being a safe rear entry and minimal mudroom area, protected from inclement weather.

2. The plight of the owner is due to unique circumstances. Such circumstances must be associated with the characteristics of the property in question, rather than being related to the occupants.

The house and garage were built in the 20<sup>th</sup> century, and the garage was constructed at a later date by a previous owner. The current owner bought the

property in its current configuration; they have not created the hardship. The home is a well maintained large home devoid of a protected rear entry.

3. The variation, if granted, will not alter the essential character of the locality.

If granted, the variance will enhance the character of the neighborhood. The new entry will provide a protected rear entrance to the house.

4. An adequate supply of light and air to the adjacent property will not be impaired.

If granted, the variance will not impair the supply of light and air to adjacent property. The house's overall size will be reduced due to the removal of one garage bay, and maintain it's existing perimeters to the property line.

5. The hazard from fire and other damages to the property will not be increased.

The hazard from fire and other damages to the property will not be increased. The proposed structure will reduce the hazard of fire and other damages, as the new structure will meet all the current building codes and fire separation. The old structure undoubtedly does not meet current requirements.

6. The taxable value of the land and buildings throughout the Village will not diminish.

The taxable value of the land and buildings throughout the Village will increase as a function of the increased taxable value of the improved property.

7. The congestion in the public street will not increase.

The congestion in the public street will not increase. There is more than adequate existing off street parking, which will not be altered.

8. The public health, safety, comfort, morals and welfare of the inhabitants of the Village will not otherwise be impaired.

There is no impairment to the public health, safety, comfort, morals and welfare of the inhabitants of the Village as a function of the requested variance.

We feel strongly that our proposed request is a reasonable one, and we are hopeful that the zoning board will grant us our request. Thank you for your consideration.



Nigel M. Stroud, Architect

BL = BUILDING SETBACK LINE  
 C = CALCULATED  
 C.E. = CITY EASEMENT  
 CH = CHORD  
 CL = CENTERLINE  
 D = DEED  
 D.E. = DRAINAGE EASEMENT  
 E = EAST  
 F.I.P. = FOUND IRON PIPE  
 F.I.R. = FOUND IRON ROD  
 FT. = FEET/FOOT  
 L = ARC LENGTH  
 M = MEASURED  
 N = NORTH  
 NE = NORTHEAST  
 NW = NORTHWEST  
 P.O.B. = POINT OF BEGINNING  
 P.O.C. = POINT OF COMMENCEMENT  
 P.U.E. = PUBLIC UTILITY EASEMENT  
 P.U. & D.E. = PUBLIC UTILITY & DRAINAGE EASEMENT  
 R = RECORD  
 RAD = RADIUS  
 R.O.W. = RIGHT OF WAY  
 S = SOUTH  
 S.I.P. = SET IRON PIPE  
 S.I.R. = SET IRON ROD  
 SE = SOUTHEAST  
 SW = SOUTHWEST  
 V.E. = VILLAGE EASEMENT  
 W = WEST  
 X = FENCE  
 EASEMENT LINE  
 SETBACK LINE

AREA OF SURVEY:  
 \*CONTAINING 11,430 SQ. FT. 0.26 ACRES MORE OR LESS\*

# MORRIS ENGINEERING, INC.

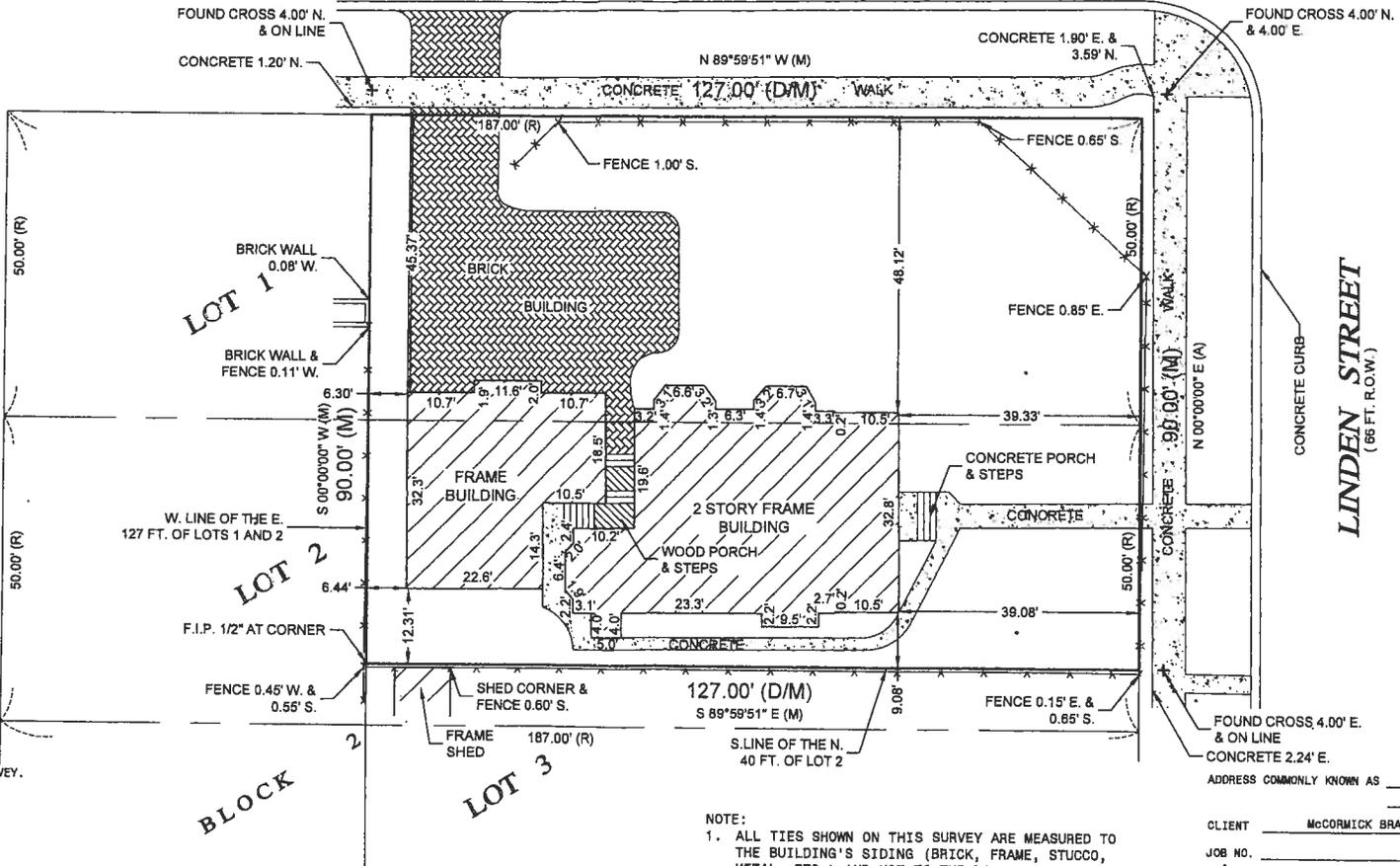
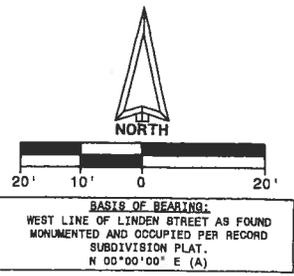
5100 S. LINCOLN LISLE, ILLINOIS 60532  
 MAIN PHONE: (630)271-0770 SURVEY DEPT. PHONE (630)271-0599  
 EMAIL: SURVEY@ECIVIL.COM WEBSITE: ECIVIL.COM

## PLAT OF SURVEY

THE EAST 127 FEET OF LOT 1 AND THE EAST 127 FEET OF THE NORTH 40 FEET OF LOT 2 IN BLOCK 2 IN DALE'S SECOND SUBDIVISION OF THE NORTHEAST QUARTER OF THE NORTHEAST QUARTER OF THE SOUTHEAST QUARTER OF SECTION 20, TOWNSHIP 42 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

### WILLOW ROAD

(66 FT. R.O.W.)  
CONCRETE CURB



Attachment E



STATE OF ILLINOIS }  
 COUNTY OF DUPAGE }

I, THE UNDERSIGNED, AN ILLINOIS PROFESSIONAL LAND SURVEYOR, DO HEREBY CERTIFY THAT "THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY," AND THAT THE PLAT HEREON DRAWN IS A CORRECT REPRESENTATION OF SAID SURVEY.

DATED, THIS 18TH DAY OF MARCH, A.D., 2011, AT LISLE, ILLINOIS.

*Robert J. Slender*

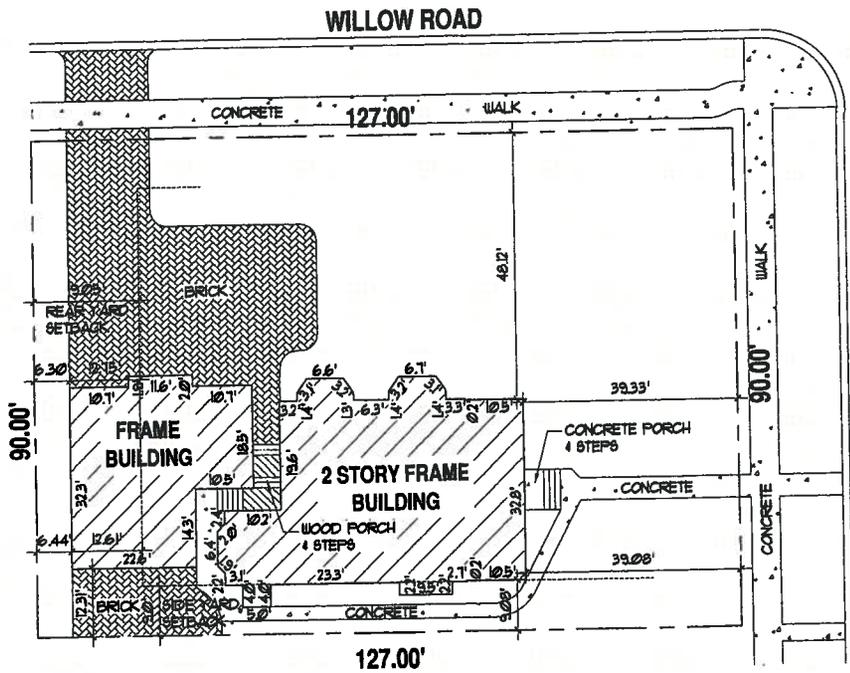
ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 035-2181  
 LICENSE EXPIRATION DATE NOVEMBER 30, 2012  
 ILLINOIS BUSINESS REGISTRATION NO. 184-001245

**NOTE:**

- ALL TIES SHOWN ON THIS SURVEY ARE MEASURED TO THE BUILDING'S SIDING (BRICK, FRAME, STUCCO, METAL, ETC.) AND NOT TO THE FOUNDATION, UNLESS NOTED OTHERWISE.
- ROOF LINES AND OVERHANGS ARE TYPICALLY NOT SHOWN HEREON.

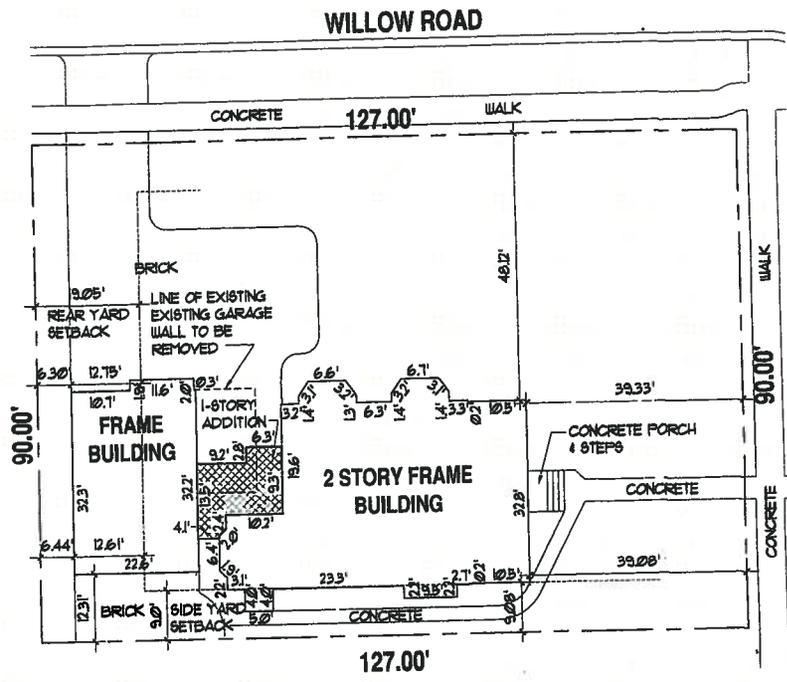
ADDRESS COMMONLY KNOWN AS 352 LINDEN STREET  
 WINNETKA, ILLINOIS  
 CLIENT McCormick Braun Friman, LLC  
 JOB NO. 11-03-0038  
 FIELDWORK DATE./CREW CHIEF 03-18-11 (DS/PW)  
 DRAWN BY: JB REVISED:

I:\NS\2011\11-03-0038\dwg\11-03-0038.dwg, 3/18/2011 10:59:38 AM



1 EXISTING SITE PLAN  
 ASO SCALE: 1" = 20'-0"

| ZONING INFO                                |                  |
|--------------------------------------------|------------------|
| ZONING DISTRICT                            |                  |
| LOT AREA                                   | 1,430.00 SQ. FT. |
| MAX. ALLOWABLE LOT COVERAGE                | 3,066.10 SQ. FT. |
| EXISTING LOT COVERAGE                      | 2,836.24 SQ. FT. |
| PROPOSED LOT COVERAGE                      | -124 SQ. FT.     |
| TOTAL LOT COVERAGE (EX. + PROP.)           | 2,712.00 SQ. FT. |
| MAX. ALLOWABLE FLOOR AREA                  | 4,101.00 SQ. FT. |
| EXISTING FLOOR AREA (INCLUDING ALLOWANCES) | 3,866.84 SQ. FT. |
| PROPOSED FLOOR AREA                        | -124 SQ. FT.     |
| TOTAL FLOOR AREA (EX. + PROP.)             | 3,742.84 SQ. FT. |
| MAX. ALLOWABLE IMPERMEABLE LOT COVERAGE    | 5,185.00 SQ. FT. |
| EXISTING IMPERMEABLE LOT COVERAGE          | 4,898.60 SQ. FT. |
| PROPOSED IMPERMEABLE LOT COVERAGE          | -336 SQ. FT.     |
| TOTAL PROPOSED IMPERMEABLE LOT COVERAGE    | 4,562.60 SQ. FT. |



2 PROPOSED SITE PLAN  
 ASO SCALE: 1" = 20'-0"



NIGEL M. STROUD, P.C.  
 101 N. Harrington Rd. • Beaufort, SC • 29902  
 (843) 945-2677

MODELING TO:  
 COUGHLIN RESIDENCE  
 352 LINDEN ST. WINNETKA, IL

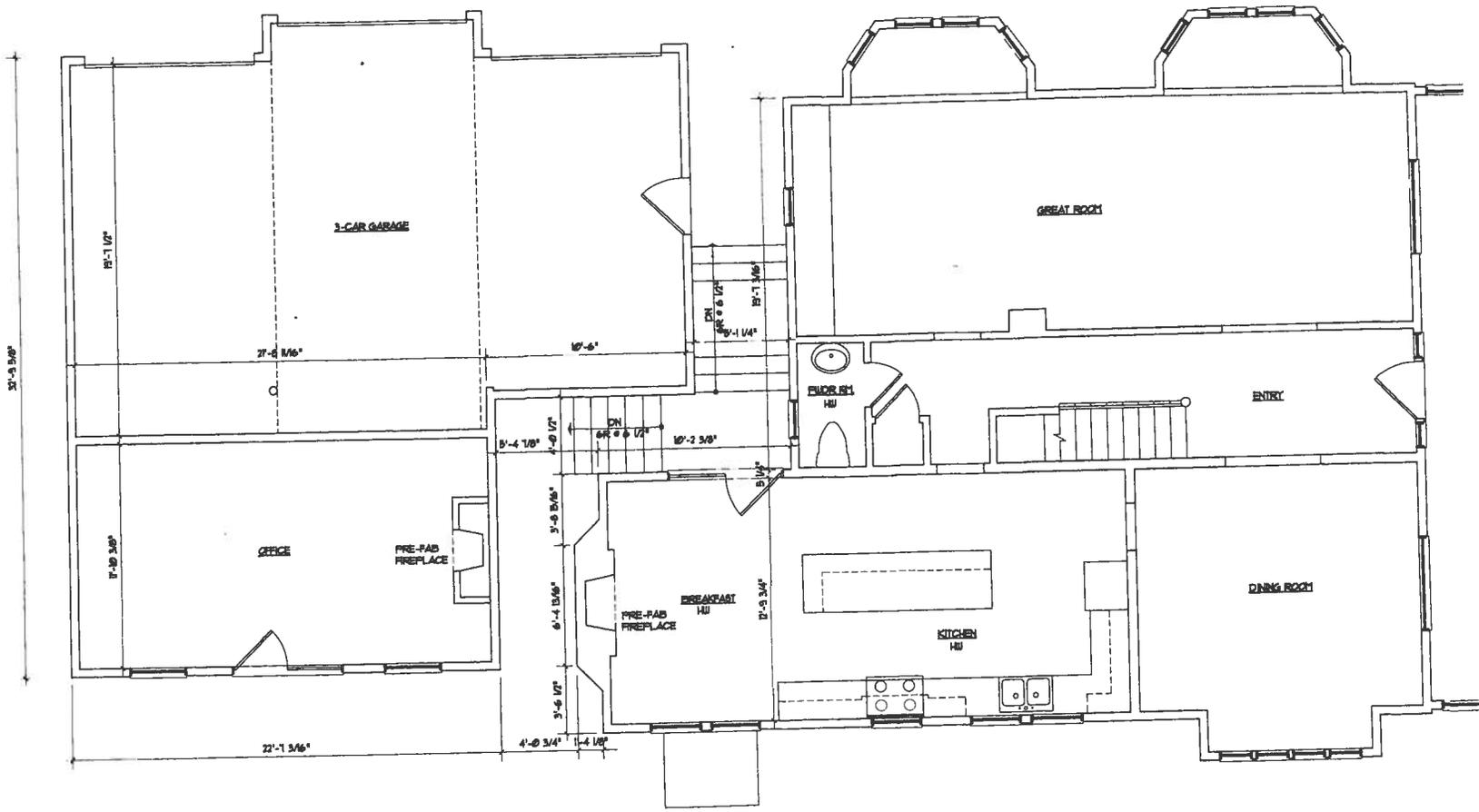
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|-----------|----------|--------------|
|           | 09/26/13 | VARIANCE ART |
|           |          |              |
|           |          |              |
|           |          |              |
|           |          |              |

DRAWN BY: MFD  
 CHECKED BY: MFD  
 DATE: 09/10/13

JOB NUMBER: 130023.004

SHEET:

AS.0



**NIGEL M. STROUD, P.C.**  
 101 N. Hamburge Rd. • Beaufort, SC • 29902  
 (847)945-2677

REMODELING TO:  
**COUGHLIN RESIDENCE**  
 352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE     | DESCRIPTION  |
|-----------|----------|--------------|
|           | 09/10/13 | VARIANCE SET |
|           |          |              |
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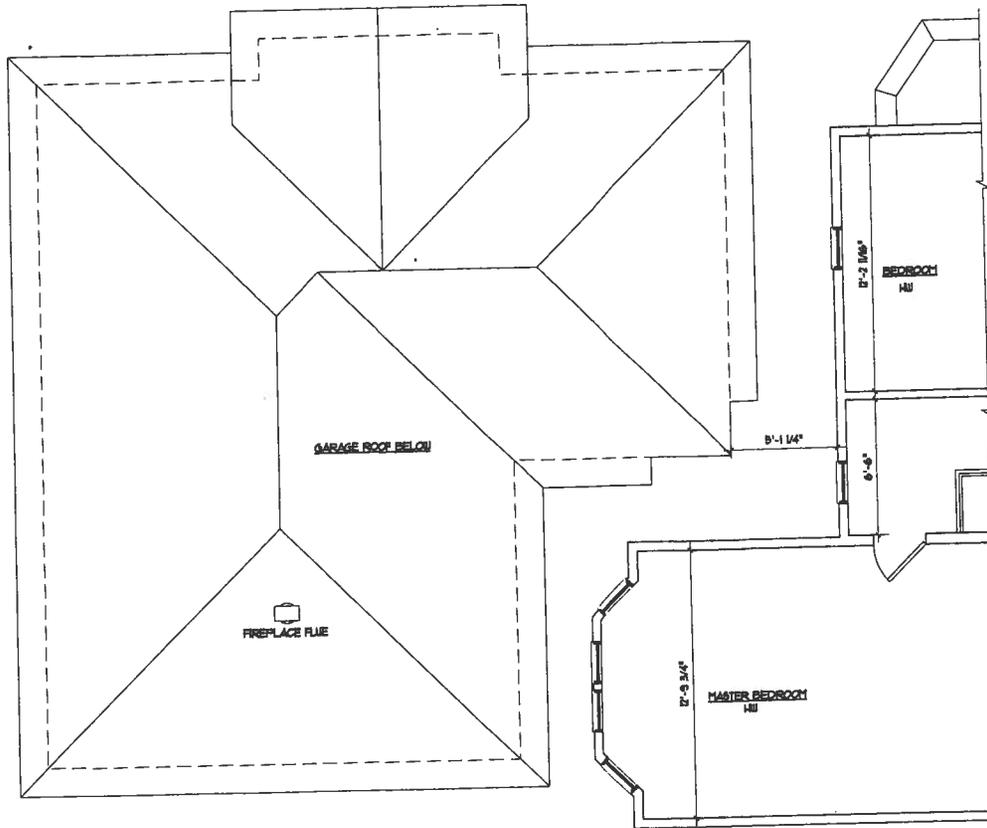
DRAWN BY: RPT  
 CHECKED BY: NFB  
 DATE: 09/10/13

JOB NUMBER: D0003.04  
 SHEET: A0.1

**EXISTING FIRST FLOOR PLAN**  
 SCALE: 3/16" = 1'-0"



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1  
A0.2

**EXISTING SECOND FLOOR PLAN**

SCALE: 3/16" = 1'-0"



NORTH

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(847)945-2677

REMODELING TO:  
**COUGHLIN RESIDENCE**  
352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE     | DESCRIPTION  |
|-----------|----------|--------------|
|           | 08/01/13 | VARIANCE SET |
|           |          |              |
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DRAWN BY: NPT  
CHECKED BY: NPT  
DATE: 08/01/13

JOB NUMBER:  
13080504

SHEET:  
**A0.2**



1  
A03 EXISTING NORTH ELEVATION  
SCALE: 3/16" = 1'-0"

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REMODELING TO:  
**COUGHLIN RESIDENCE**  
352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE     | DESCRIPTION  |
|-----------|----------|--------------|
|           | 08/09/18 | VARIANCE SET |

DRAWN BY:  
NMS  
CHECKED BY:  
NMS  
DATE:  
08/09/18

JOB NUMBER:  
18093.04

SHEET:

**A0.3**



1  
A0.4  
**EXISTING SOUTH ELEVATION**  
SCALE: 3/16" = 1'-0"

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(843) 645-2677

ARCHITECT FOR:  
**COUGHLIN RESIDENCE**  
352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE | DESCRIPTION |
|-----------|------|-------------|
|           |      |             |
|           |      |             |
|           |      |             |
|           |      |             |

DRAWN BY: [Signature]  
CHECKED BY: [Signature]  
JOB NUMBER: 180504

SHEET:  
**A0.4**



1  
A0.5  
EXISTING WEST ELEVATION  
SCALE: 3/16" = 1'-0"

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REMODELING TO:  
**COUGHLIN RESIDENCE**  
352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE     | DESCRIPTION  |
|-----------|----------|--------------|
|           | 09/17/15 | VARIANCE SET |
|           |          |              |
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DRAWN BY:  
RBT

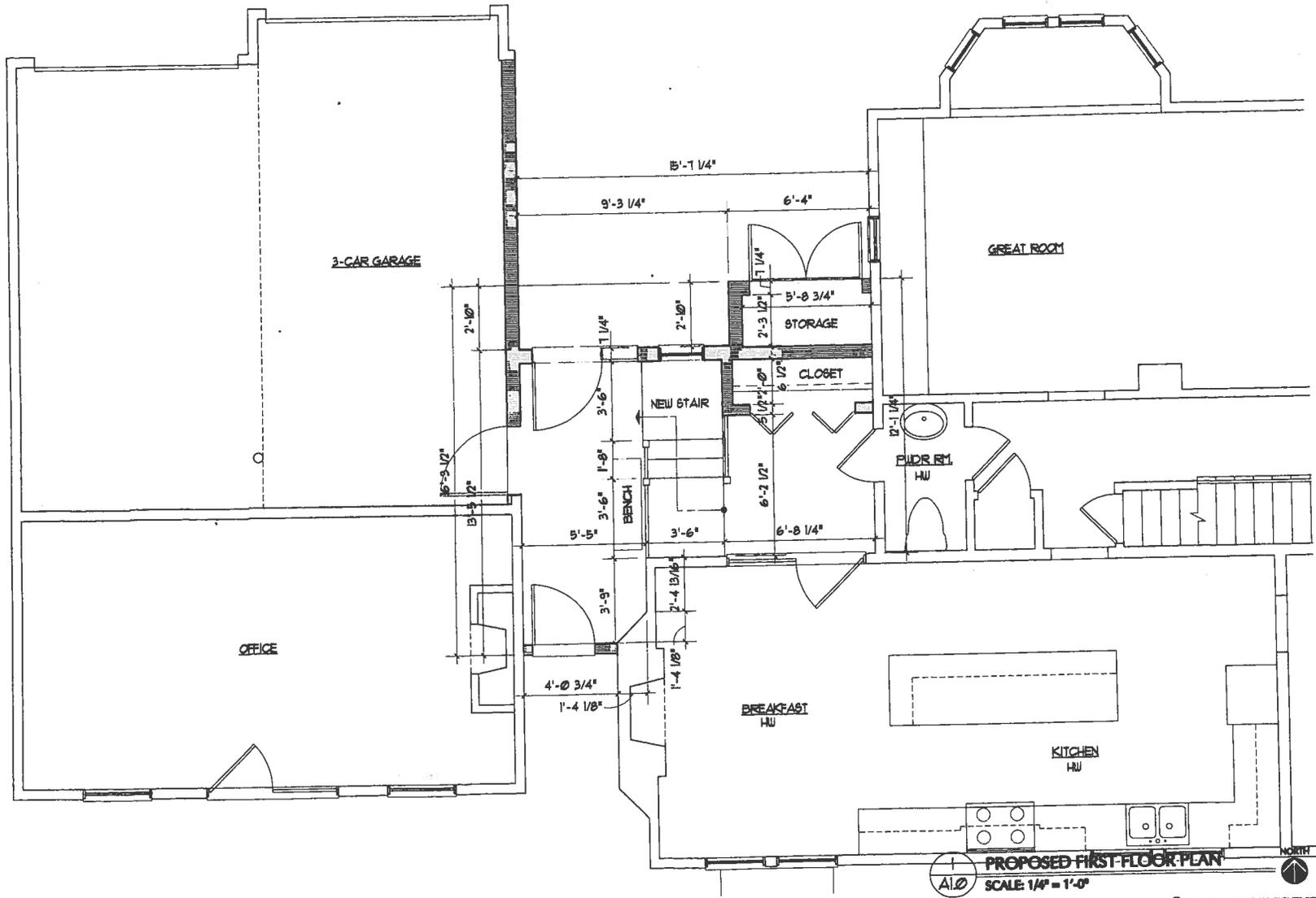
CHECKED BY:  
NMB

DATE:  
09/17/15

JOB NUMBER:  
13003.04

SHEET:

**A0.5**



**PROPOSED FIRST FLOOR PLAN**  
 SCALE: 1/4" = 1'-0"

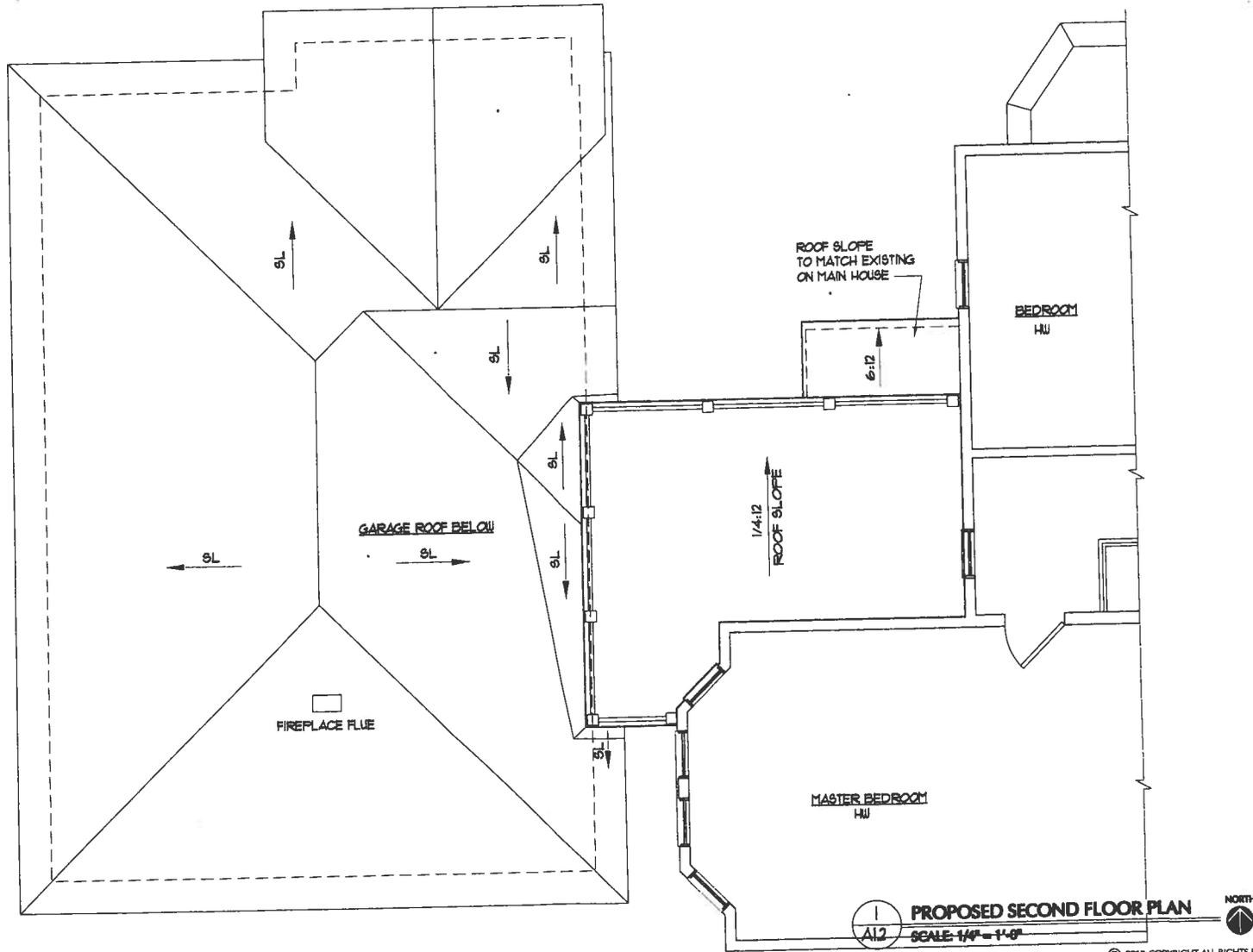
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 (843) 645-2677

REMODELING TO:  
**COUGHLIN RESIDENCE**  
 352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE     | DESCRIPTION | VARIANCE MET |
|-----------|----------|-------------|--------------|
|           | 08/01/13 |             |              |
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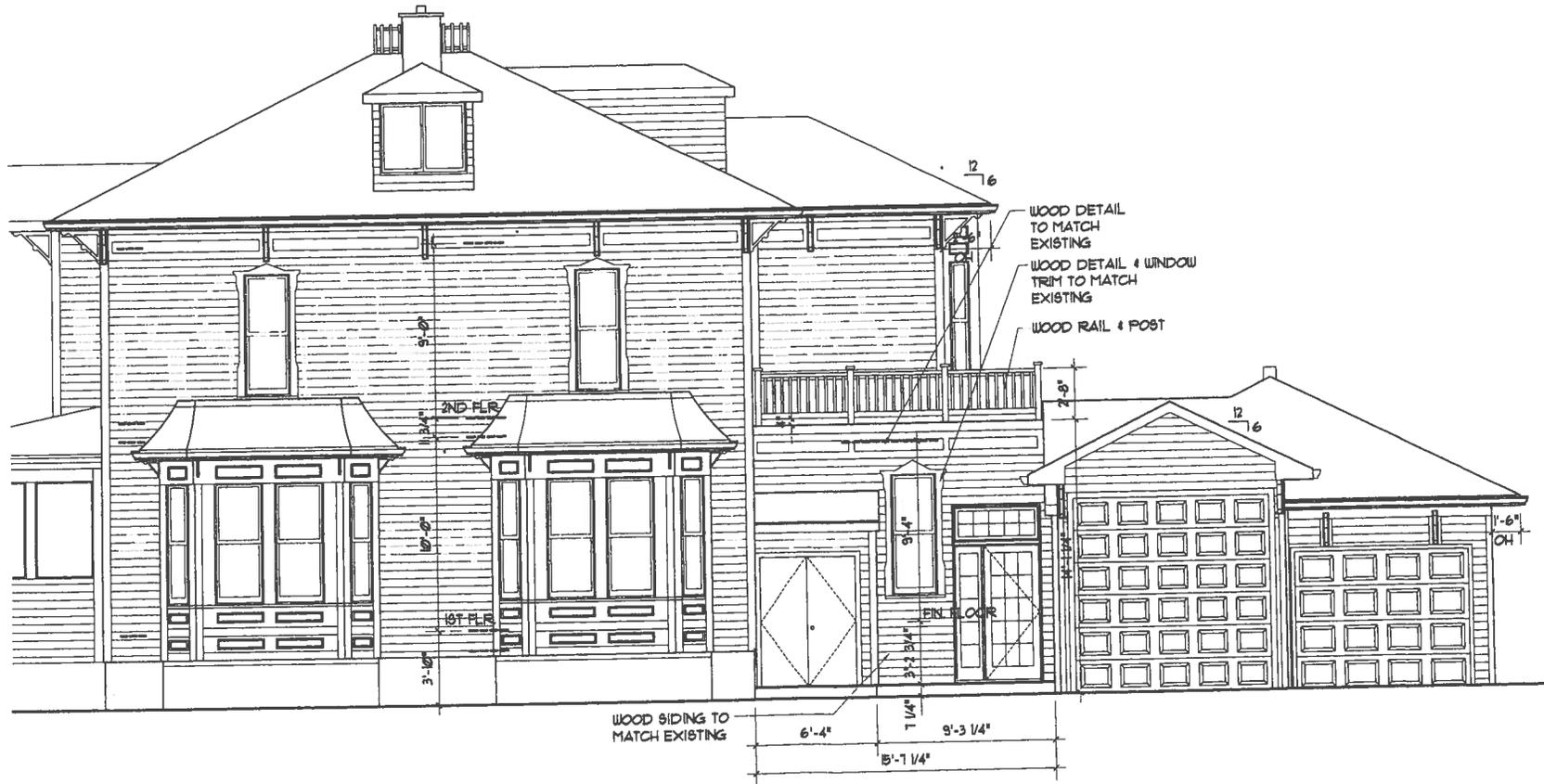
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 CHECKED BY: NMB  
 DATE: 08/01/13  
 JOB NUMBER: 130023.004  
 SHEET: **A1.0**



1  
A1.2  
**PROPOSED SECOND FLOOR PLAN**  
SCALE: 1/4" = 1'-0"

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|                                                                                               |                             |
|-----------------------------------------------------------------------------------------------|-----------------------------|
| <b>NIGEL M. STROUD, P.C.</b><br>101 N. Hermitage Rd. • Beaufort, SC • 29902<br>(843) 784-2677 |                             |
| REMODELING TO:<br><b>COUGHLIN RESIDENCE</b><br>352 LINDEN ST. WINNETKA, IL                    |                             |
| DRAWN BY:<br>BT                                                                               | CHECKED BY:<br>NPS          |
| DATE:<br>09/15/13                                                                             | DATE:<br>09/15/13           |
| REVISIONS                                                                                     | DESCRIPTION<br>VARIANCE SET |
| DATE                                                                                          | VARIANCE SET                |
| JOB NUMBER:<br>13093.04                                                                       | SHEET:<br><b>A1.2</b>       |



**NIGEL M. STROUD, P.C.**  
 101 N. Hemming Rd. - Beaufort, SC - 29902  
 (843)945-2677

REMODELING TO:  
**COUGHLIN RESIDENCE**  
 352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE  | DESCRIPTION | VARIANCE SET |
|-----------|-------|-------------|--------------|
|           | 09/05 |             |              |
|           |       |             |              |
|           |       |             |              |

DRAWN BY: NFB  
 CHECKED BY: NFB  
 DATE: 09/05

JOB NUMBER:  
 D00324

SHEET:

**1**  
**A2.0**  
**PROPOSED NORTH ELEVATION**  
 SCALE: 3/16" = 1'-0"

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**A2.0**



1  
A2.1  
PROPOSED SOUTH ELEVATION  
SCALE: 3/16" = 1'-0"

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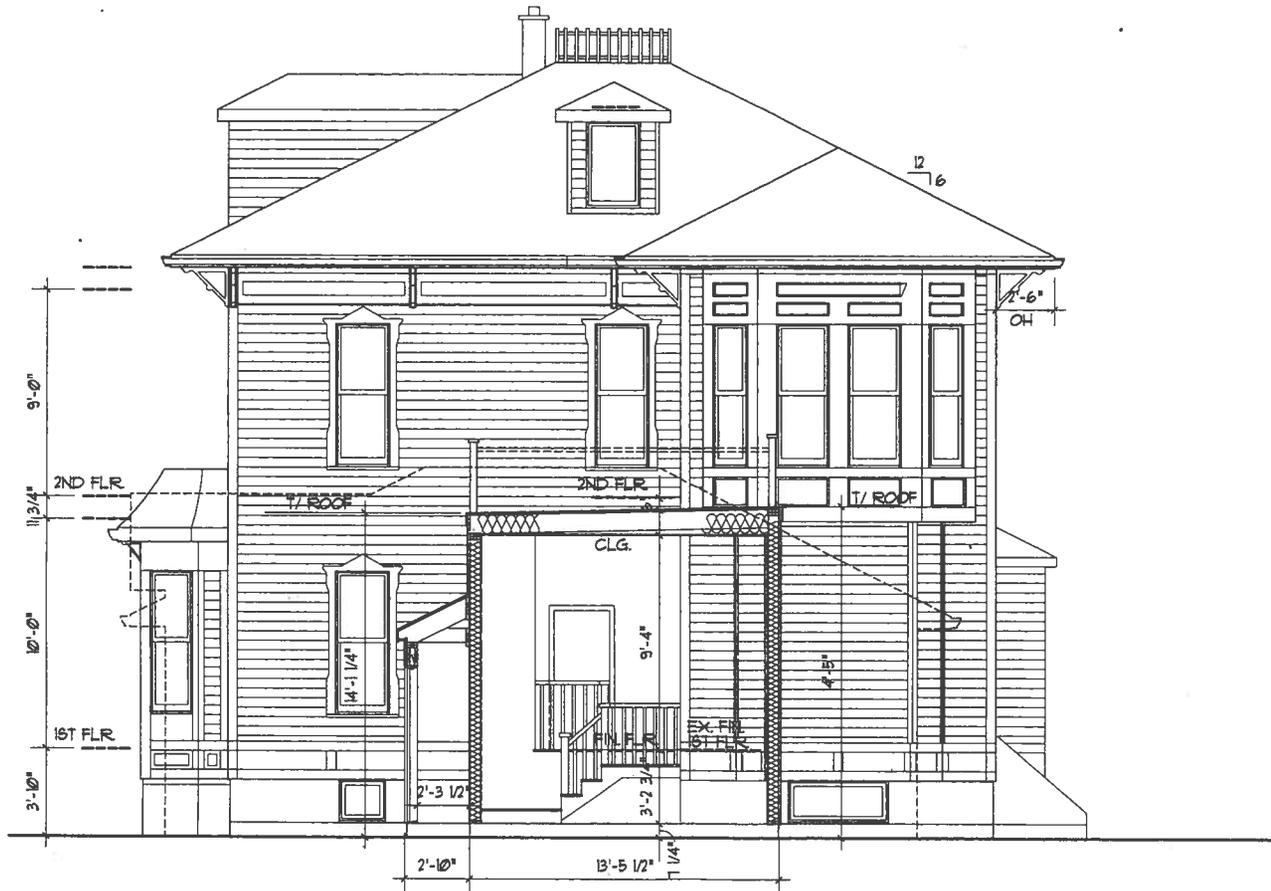
**NIGEL M. STROUD, P.C.**  
101 N. Hermitage Rd. • Beaufort, SC • 29902  
(843) 945-2677

REMODELING TO:  
**COUGHLIN RESIDENCE**  
352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE  | DESCRIPTION | VARIANCE DET. |
|-----------|-------|-------------|---------------|
|           | 09/03 |             |               |
|           |       |             |               |
|           |       |             |               |

DRAWN BY: RPT  
CHECKED BY: NFB  
JOB NUMBER: 13003.04  
DATE: 09/03

SHEET:  
**A2.1**



1  
A22 PROPOSED WEST ELEVATION  
SCALE: 3/16" = 1'-0"

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(847)845-2677

REMODELING TO:  
**COUGHLIN RESIDENCE**  
352 LINDEN ST. WINNETKA, IL

| REVISIONS | DATE     | DESCRIPTION  |
|-----------|----------|--------------|
|           | 09/11/13 | VARIANCE SET |

DRAWN BY: DF1  
CHECKED BY: NMB  
DATE: 09/11/13

JOB NUMBER:  
13003.04

SHEET:

**A2.2**

Attachment G

**DRAFT**

**WINNETKA ZONING BOARD OF APPEALS  
EXCERPT OF MINUTES  
OCTOBER 14, 2013**

**Zoning Board Members Present:** Joni Johnson, Chairperson  
Chris Blum  
Andrew Cripe  
Mary Hickey  
Carl Lane  
Jim McCoy  
Scott Myers

**Zoning Board Members Absent:** None

**Village Staff:** Michael D'Onofrio, Director of Community  
Development  
Ann Klaassen, Planning Assistant

**Agenda Items:** 352 Linden Street  
William Coughlin  
Variations by Ordinance  
1. Rear yard setback  
2. Garages

\*\*\*

**352 Linden St., William Coughlin, Variations by Ordinance: (1) Rear Yard Setback and (2) Garages**

---

Mr. D'Onofrio read the public notice. The purpose of this hearing is to hear testimony and receive public comment regarding a request by William Coughlin concerning variations by Ordinance from Section 17.30.070 [Rear Yard Setback] and Section 17.30.110 [Garages] of the Winnetka Zoning Ordinance to permit the construction of an addition connecting the residence to the garage that will create a nonconforming rear yard setback of 6.3 ft., whereas a minimum of 19.05 ft. is required, a variation of 12.75 ft. (66.93%), a front-facing attached garage 22.3 ft. in width, whereas a maximum of 22 ft. is permitted, a variation of 0.3 ft. (1.36%) and a front-facing garage door 9.83 ft. in width, whereas a maximum single door width of 9 ft. is permitted, a variation of 0.83 ft. (9.22%).

Chairperson Johnson swore in those that would be speaking on this case.

Chairperson Johnson clarified that although there would be three different variations required, the standards submitted by the applicant represented only one variance. She asked the applicant to specifically address the two garage door variances. Chairperson Johnson also stated that a variance is being requested due to safety issues and asked the applicant to provide detail in that

regard.

Nigel Stroud introduced himself to the Board as the architect on the project. He stated that the dilemma they have with the home, although he described it as a wonderful home, there is no place in the home to allow for the mudroom and referred to the proposed connection of the garage to the home and the location of the kitchen and breakfast room. Mr. Stroud stated that a solution would be to remove one of the three car bays from the garage and build an addition to connect the garage to the home. He stated that solution would also reduce the massing of the home.

Mr. Stroud stated that with the garage attached to the home that changed the rear yard setback requirement. He stated that the main reason they are proposing the connection with way they are is proximity. Mr. Stroud then informed the Board that the safety issue is twofold and that first, the applicants have to walk out of the garage; up wooden steps to a landing and then directly into the living room area off of the kitchen. He also stated that in connection with the two roof structures, ice and the impediments of bad weather lay in the area where they have to stand, which he described as the primary safety issue. Mr. Stroud then stated that there is an issue with the children being in that location and their proximity to the vehicles.

Mr. Stroud then stated that the reason the garage stayed at 22.3 feet is that the framework of the garage is a straight line and if they were to reduce it by 0.3 feet, they would have to remove the entire line of the structure from the front to the back in order to make it narrower. He also stated that they would not be able to get the roofs to work the way in which is it being proposed. Mr. Stroud added that they would have to spend quite a bit of money to eliminate 4 feet which he commented did not seem practical.

Mr. Stroud stated that with regard to the garage door issue, he referred to one door which is too wide and noted that the other door is fine at 9 feet. He stated that the nonconforming door is slightly wider than the maximum permitted 9 feet and referred to the measurements on each side of the door. Mr. Stroud informed the Board that the building itself would not change even if the garage was reduced to 22 feet and that the center portion would not change. He stated that it would be a matter of cosmetically making that door appear smaller. Mr. Stroud stated that the applicant would have to spend quite a bit of money to make a door that is 3 inches too wide comply. He then stated that they would be taking a garage which is significantly larger than 22.3 feet and bringing it back down to where it is almost at the amount of the exact minimum requirement.

Mr. Myers asked if they were to move the pad of the existing garage in the proper width, would that change the pitch of the roof.

Mr. Stroud responded that if they were to make that entire portion narrower, they would have to rebuild the entire center portion which would amount to quite a bit of work for a very small dimension. He added that they would still have to replace all of the roof rafters.

Chairperson Johnson asked Mr. Stroud if they looked into ways in which to eliminate the ice buildup.

Mr. Stroud stated that last winter, there were a lot of problems with the gutters as well as the condensation and referred to the area near the kitchen.

Mr. Blum asked the applicant if they considered a covered walkway.

Chairperson Johnson stated that the last thing she would want to say is that everyone with a detached garage would have a safety issue. She then stated that with regard to the safety issue, a lot of people in the Village have detached garages and that they cannot start saying that they need to be attached without unique circumstances.

Mr. Stroud informed the Board that their goal is not to solve an inconvenience.

Chairperson Johnson asked if there were any other questions.

Mr. Myers asked the applicant to address conforming alternatives or any other options that they considered.

Mr. Stroud responded that other than tearing down the garage, this is the only location where they could put the mudroom. He stated this is the area where the children gather and where they enter the home. Mr. Stroud reiterated that there is no other place to put it where it could still be connected to the home.

Chairperson Johnson stated that she did not notice until she went to the home that the breakfast room is not a separate room and that it is part of the kitchen and is one long space. She stated that the other issue related to the office which she described as large and is separate from the home. Chairperson Johnson then stated that if it was attached, that would provide enough space to put the mudroom, which she indicated is an alternative. She stated that the applicant needed to make it clear since it is not clear in the drawings that the office is completely behind the garage and not attached to the home. Chairperson Johnson asked if there were any other questions.

Chairperson Johnson then asked Mr. D'Onofrio to explain the code provisions and stated that there are two provisions where you calculate the setback and referred to Section 17.30.110 which referred back to Section 17.30.070 because the 19.05 feet is not just every home configured in the R-3 district and that a rear yard next to a side yard would be 19 feet.

Ms. Klaassen informed the Board that the rear yard is based on the lot depth at 15%, which is how the rear yard setback is calculated.

Mr. Myers asked why the rear yard is considered the west.

Ms. Klaassen stated that with a corner lot the shortest street line is considered the front lot line. She stated in this case Linden has the shortest street frontage and is therefore the front yard. Ms. Klaassen then stated that the rear yard is opposite of the front yard and is based on 15% of the lot depth which is where Section 17.030.070 comes into play. She stated that 15% of the lot depth, which is 127 feet on this lot, is where the 19.05 feet comes from. She noted that the maximum rear yard setback is 25 feet and a lot of lots do have that as the required setback, but that on a lot of

this depth, it is reduced to 19.05 feet.

Mr. D'Onofrio stated that for example, on the tree streets, the lots are approximately 180 feet deep so that the setbacks would be 25 feet and that 15% would exceed that. He then stated that in this case, there is 127 feet, although it is a corner lot, for a lot depth that is fairly short, that is where the 19 feet is approximately 6 feet less than where you see in a lot of cases where it is 25 feet for a rear yard setback.

Chairperson Johnson asked if there were any questions for Mr. D'Onofrio. No additional questions were raised by the Board at this time. She then called the matter in for discussion.

Mr. Cripe described the property as unique which represented a huge factor and referred to the humongous front yard setback and referred to the homes on Willow Road. He then stated that he believed it is a reasonable variance request.

Mr. Blum stated that in reading specific things and referred to the rear yard and it being an issue along with all of the other issues. He then referred to Chairperson Johnson's statement that they need to be careful with regard to the safety issue and the other alternatives and taking into consideration the reasonableness of having a mudroom.

Another Board member agreed with the comments made.

Mr. Lane stated that he had a different view. He stated that on the surface, the request made sense, but that if this was for a new home being built, they would not be able to build this attached garage as is. Mr. Lane then stated that although it is a corner lot, that did not relate to it being a unique circumstance and that there are a lot of corner lots. He stated that the fact that someone builds a detached garage and subsequently wanted to attach it to the home is not a unique circumstance and that otherwise; everyone would build something which is detached and try to find a way in which to attach it.

Chairperson Johnson stated that one of the issues which resonated with her is that this lot size and the fact that it is in the R-3 district is roughly a third smaller than what is required. She referred to Mr. Lane's statement that if the request was for new construction, they would have everything nonconforming as the base. Chairperson Johnson then referred to the RLC, GFA, impermeable lot coverage and the existing lot size and that she did not know what is required in the R-3 district, but that to her, that said that it is a unique situation and because the applicant has such a small lot in the R-3 district and that they are bound by the R-3 zoning requirements and that when they want to make any changes to a home that was built long before the establishment of] zoning districts.

Chairperson Johnson indicated that the request is somewhat similar to the case they had where the applicant wanted to build a new home but did not want to abide by the R-2 district setback and that the Board recommended denial of that request. She stated that they do have a situation where they have these districts and that she did not know when they were tweaked.

Mr. D'Onofrio stated that the first zoning ordinance was adopted in 1924 or 1925. He then stated that the R-1 and R-5 districts did not come in until the 1990's.

Chairperson Johnson then stated that there are other setbacks in which the property is not conforming to such regulations as the front yard setback, the south side and minimally, the front yard on Linden. She stated that demonstrated that it is a smaller lot in the R-3 district and that they are bound by the zoning regulations of the R-3 district.

Mr. Lane stated that he had a problem with a small lot being a unique circumstance and that there are a lot of small lots. He then stated that the fact that the zoning ordinance was changed is also not a unique circumstance.

Chairperson Johnson stated that it related to more than just the district they are in and the requirements for that district. She then asked Ms. Hickey for her comments.

Ms. Hickey stated that the request brought to mind to her a case on Rosewood which the Board approved where the applicant wanted to make a connection to get a mudroom. She commented that she thought that the applicant made a very good argument and that the request would make the home more conforming. Ms. Hickey then stated that she believed that the design would be very unobtrusive in terms of a red flag that they have connected the garage to the home.

Mr. Blum stated that to follow up on his previous comments, when he drove by the home now, he stated that he did not know that there was not a connection because of the overlap. He then stated that he did not see where there would be a huge visual impact.

Chairperson Johnson then asked if this is the third or fourth garage on the property. She noted that a variance was not needed before for the first garage and that it was rebuilt 22 years later. Chairperson Johnson stated that they rebuilt it again 18 years later.

Ms. Hickey asked if there was a similar case on Spruce.

Chairperson Johnson stated that request did involve enclosing something, but that she is not sure if it is the same issue and that there may have been a setback issue. She then asked Mr. McCoy for his comments.

Mr. McCoy stated that he would agree with everything that has been said.

Chairperson Johnson then asked for a motion and noted that the motion would be for a recommendation to the Village Council. She then stated that it is important that they not adopt what Mr. Stroud submitted because it did not give adequate reasons. Chairperson Johnson stated that it did not address two of the variances and that the Board needed to discuss the fact that the property is in the R-3 zoning district and that the other setbacks are nonconforming and that they did not go into detail with regard to the safety issues at all. She also referred to the fact that it is a corner lot.

Chairperson Johnson also commented that it is important, although it was not mentioned, to put into the motion that there would be no changes in connection with the neighboring properties. She added that they would have the exact same setback and that they would only be attaching the garage which would no longer be an accessory structure to make it part of the principal structure so

the setback changes dramatically. Chairperson Johnson indicated that might be seen as allowing the zoning ordinance and with the hardship and all of the other circumstances involved such as the property being a corner lot and in the R-3 district and it being a much smaller lot size, there is much less flexibility. She again asked for a motion.

Mr. Cripe moved to recommend approval of the request for the zoning variations specifically on the basis that the property cannot yield a reasonable return if only permitted to be used under the conditions allowed by the zoning regulations. He stated that the plight of the applicant is due to unique circumstances, specifically which are the R-3 zoning and the existing setback to the existing structure. Mr. Cripe stated that the request would not alter the character of the locality and that the requested change would not in any way affect the property to the west or result in the garage being closer to the property line and that rather, the garage is simply being connected to the home and would result in a change to the setback requirement. He stated that an adequate supply of light and air to the adjacent property would not be impaired for the same reasons in that the applicant would not be moving the garage, but that the setback requirement has changed by attaching the garage to the home. Mr. Cripe stated that the hazard from fire and other damages to the property would not be increased and that the taxable value of the land would not be impaired. He stated that congestion would not increase and that the public health, safety, comfort, morals and welfare of the inhabitants of the Village will not be otherwise impaired.

Mr. Cripe then stated that with regard to the issue of hardship, the Board specifically considered the need for a mudroom which is recognized as an essential element of a modern Winnetka home and that there is not a reasonable alternative for a conforming place to locate the mudroom. He then stated that with regard to the request to modify the garage door, the variance would be simply to allow the existing garage doors to remain as they are. Mr. Cripe stated that the variance request would be to allow the property owners to be able to continue using the same garage door dimensions they have where one is narrower than the allowed opening and that the other garage door is only 10 inches too wide. He then stated that with regard to the overall request, the applicant would be reducing the footprint, the mass of the structure, and the visual impact of the garage. Mr. Cripe concluded by stating that these factors are consistent with the zoning ordinance.

Mr. McCoy seconded the motion. A vote was taken and the motion was passed, 6 to 1.

AYES: Blum, Cripe, Hickey, Johnson, McCoy, Myers

NAYS: Lane

### **FINDINGS OF THE ZONING BOARD OF APPEALS**

1. The requested variations are within the final jurisdiction of the Village Council.
2. The requested variations are in harmony with the general purpose and intent of the Winnetka Zoning Ordinance. The proposal is compatible, in general, with the character of existing development within the immediate neighborhood with respect to architectural scale and other site improvements.

3. There are practical difficulties or a particular hardship which prevents strict application of Section 17.30.070 [Rear Yard Setback] and Section 17.30.110 [Garages] of the Winnetka Zoning Ordinance which is related to the use, construction, and alteration of the buildings.

The evidence in the judgment of the Zoning Board of Appeals has established:

1. The property cannot yield a reasonable return if permitted to be used only under the conditions allowed by the zoning regulations. The proposed addition to connect the residence to the existing detached garage consists of a mudroom, which is recognized as an essential element of a modern Winnetka home. There is not a reasonable alternative for a conforming location for the mudroom.
2. The plight of the owner is due to unique circumstances which are associated with the characteristics of the property in question, rather than being related to the occupants. The existing detached garage complies with the rear yard setback requirement; however, the attachment of the garage to the residence results in an increase in the required rear yard setback because the garage would no longer be considered an accessory structure. At a width of 9.83 feet, one of the two existing garage doors to remain exceeds the maximum permitted width of 9 feet for an individual door. The request is to allow the existing door to remain. The width of the garage is being reduced to 22.3 feet by removing one bay of the three car garage. Further reducing the width of the garage to comply with the maximum permitted width of 22 feet would require extreme structural alterations to the garage. Lastly, the property is a small lot for the R-3 zoning district and has existing nonconformities in terms of lot size and setbacks. However, the proposed improvements will actually be a reduction in roofed lot coverage and gross floor area.
3. The variations, if granted, will not alter the essential character of the locality. The proposed addition will not affect the property to the west or result in the garage being closer to the property line, rather the garage is simply being connected to the residence and results in a change to the setback requirement. Furthermore, the visual impact of the garage is being lessened with the removal of one of the garage bays.
4. An adequate supply of light and air to adjacent property will not be impaired. There will not be any changes in connection with the neighboring properties. The proposed addition to connect the garage to the residence means the garage will no longer be an accessory structure so the required rear yard setback changes dramatically. The proposed addition will not be any closer to the west property line.
5. The hazard from fire or other damages to the property will not be increased as the proposed improvements shall comply with building code standards, including fire and life safety requirements.
6. The taxable value of land and buildings throughout the Village will not diminish. The proposed improvements are generally considered an improvement to the property, which may increase the taxable value of the property.

7. Congestion in the public streets will not increase. The structure will continue to be used as a single-family residence.
8. The public health, safety, comfort, morals and welfare of the inhabitants of the Village will not be otherwise impaired. No evidence was provided to the contrary.

\*\*\*



## Agenda Item Executive Summary

**Title:** Property Tax Levy and Abatement Ordinances- Introduction

**Presenter:** Ed McKee, Finance Director

**Agenda Date:** 11/19/2013

**Consent:**  YES  NO

|                                     |                         |
|-------------------------------------|-------------------------|
| <input checked="" type="checkbox"/> | Ordinance               |
| <input type="checkbox"/>            | Resolution              |
| <input type="checkbox"/>            | Bid Authorization/Award |
| <input type="checkbox"/>            | Policy Direction        |
| <input type="checkbox"/>            | Informational Only      |

### Item History:

The Council has reviewed the proposed 2014 calendar year budget and the funding provided by property taxes to balance the budget. The proposed 2013 property tax levy will generate \$14.22 million for Village operations, an increase of \$346,890 or 2.5%. Because new development is estimated at 0.8%, most residents will see a 1.7% increase in the Village portion of their 2013 property tax bill.

### Executive Summary:

The 2013 property tax levy sets the amount of revenue to be raised from property taxes to fund Village operations. In Illinois, property taxes are paid a year in arrears. This means that the amount of money requested for the 2013 property tax levy will be received by the Village in calendar 2014.

In addition to the tax levy ordinance for general operations, the Village has three special service areas with a separate tax levy paid only by persons in the special service area. These special service areas have been used to make roadway improvements.

The Village has also issued general obligation debt which results in a property tax levy being extended for each year the bonds are outstanding. It has been the practice of the Village to abate the tax levy for certain outstanding debt if that was the intent of the Council originally issuing the bonds. This abatement occurs annually once a determination is made that there are sufficient dollars on hand to make the principal and interest payments. The 2003 Public Safety Building Bonds and 2013 Stormwater Improvement Bonds are scheduled for 2013 property taxes abatement.

### Recommendation / Suggested Action:

Introduction of the attached general property tax levy, special service area tax levies, and property tax levy abatement ordinances

### Attachments:

Agenda Report  
Property Tax Levy Calculations Spreadsheet  
Schedule of Special Service Area Financing  
Ordinance M-18-2013 2013 Tax Levy Ordinance  
Ordinance M-19-2013 SSA No. 3 Tax Levy Ordinance  
Ordinance M-20-2013 SSA No.4 Tax Levy Ordinance  
Ordinance M-21-2013 SSA No. 5 Tax Levy Ordinance  
Ordinance M-22-2013 2013 Tax Abatement Ordinance (Series 2003 Bonds - Public Safety Building)  
Ordinance M-23-2013 2013 Tax Abatement Ordinance (Series 2013 Bonds - Stormwater Improvements)

## **AGENDA REPORT**

Subject:                   **Ordinance M-18-2013**           **2013 Tax Levy Ordinance**  
                                  **Ordinance M-19-2013**           **SSA No. 3 Tax Levy Ordinance**  
                                  **Ordinance M-20-2013**           **SSA No.4 Tax Levy Ordinance**  
                                  **Ordinance M-21-2013**           **SSA No. 5 Tax Levy Ordinance**  
                                  **Ordinance M-22-2013**           **2013 Tax Abatement Ordinance (2003 Bonds)**  
                                  **Ordinance M-23-2013**           **2013 Tax Abatement Ordinance (2013 Bonds)**

Prepared by:           Ed McKee, Finance Director

Reference:             2013 and 2014 Budget Hearings

Date:                    November 19, 2013

### **Executive Summary:**

The Village of Winnetka is primarily a residential community that pays for many traditional municipal services with property tax revenues.

During the 2013/2014 budget process, the Village projected a 2013 property tax levy of \$14,333,481 which was anticipated to be in compliance with the tax cap applicable to non-home rule entities. This amount was calculated as a 1.8% CPI-based increase for existing homeowners plus a projected 1.5% increase for new development.

As Staff refined the 2014 budget, it was determined the Village would use a lower projection for new development growth in the tax base. From 2004 to 2008, new development grew between 1.8% to 2.0% each year. Based on that data, the Village had used a 1.5% annual trend for new development. The last three years, however, new development tax base growth has been between 0.8% and 0.9% per year.

Staff's final recommended 2013 property tax levy for the 2014 Budget is \$14,222,477 based on the actual CPI increase for calendar 2012 of 1.7%, plus a new development projection of 0.8%. The proposed 2013 property tax levy is \$111,004 less than projected in the 2013/2014 budget.

The General Fund is the Village's main operating fund. The General Fund encompasses Police, Fire, Public Works, Building, and Administrative functions for the Village. In terms of property taxes received by the Village, 75% of those dollars go to pay for General Fund related operations. Because inflation impacts our operating costs, it is necessary to increase property taxes (or other revenues sources) to maintain a balanced budget unless there are significant service reductions.

This year, because the tax levy is being set in conjunction with the budget process, it is possible to evaluate the cash-flow after capital for the 2014 Budget based on the proposed 2013 property tax levy. Below is a summary of the General Fund finances based on a 2013 property tax levy of \$14,222,477.

|                                 | 2014 Budget                |
|---------------------------------|----------------------------|
| Revenues & Transfers            | \$ 23,508,610              |
| Operating Expenses (note 1)     | \$ (20,054,190)            |
| Routine Transfers:              |                            |
| Refuse Subsidy                  | \$ (550,000)               |
| Public Safety Building Debt     | \$ (335,000)               |
| Operations Cash-Flow            | <u>\$ 2,569,420</u>        |
| Capital Outlay (note 2)         | <u>\$ (2,759,000)</u>      |
| <b>Cash-Flow, After Capital</b> | <b>\$ (189,580)</b>        |
| Non-Routine Transfers           |                            |
| Downtown Revitalization         | \$ (400,000)               |
| Stormwater Subsidy (6 mo.)      | \$ (211,000)               |
| Total Non-Routine Transfers     | <u>\$ (611,000)</u>        |
| Net Cash-Flow                   | <u><u>\$ (800,580)</u></u> |

Notes:

- 1) Includes \$96,000 increase for Police and Fire Pensions.
- 2) Assumes \$250,000 contingency is not spent.

The \$189,580 negative cash-flow, after capital outlay, indicates that the 2014 General Fund Budget is approximately balanced. A difference of up to \$300,000 or so per year is not cause for concern. Capital outlay varies over time, revenues usually exceed budget, and ultimately expenses are usually a little less than budgeted.

The non-routine transfers of \$611,000 are discretionary items and are not expected to continue annually. Funding for non-routine transfers comes from the usually positive cumulative impact of actual revenues exceeding budget and operating expenses being slightly less than budget.

After the \$800,580 projected cash-flow for calendar year 2014, the General Fund equity balance is estimated at \$14.1 million, or about 71% of operating expenses. Village policy is to maintain a General Fund equity balance of at least six months of operating expenses, or about \$10.0 million. Therefore, the General Fund equity balance projected for 12/31/2014 is in compliance with the Village’s reserve policy.

Over long periods of time, it is the objective of the Village to keep property tax increases below the inflation rate for typical taxpayers. The following chart compares 1997 property taxes to 2012 property taxes for a typical homeowner (payable in 1998 and 2013, due to the one year payment lag in Illinois).

The total annual property tax bill is estimated at \$14,877 in 1997 and \$27,135 in 2012. Based on these assumptions, a typical homeowner would have seen a 41.0% increase in Village property taxes over this time frame, while the CPI increased 42.3% . This typical resident would have seen an 82.4% increase in total property taxes, as most taxing districts had larger property tax increases than the Village.

**Comparison of Property Taxes Paid  
Typical Taxing Districts in Winnetka  
2012 Versus 1997 Tax Years**

2013.07.29

|                                | 1997 *       |                 |                | 2012 **      |                            |                | Increase in Taxes Paid | % Change     |
|--------------------------------|--------------|-----------------|----------------|--------------|----------------------------|----------------|------------------------|--------------|
|                                | Tax Rate     | Taxes Paid      | %              | Tax Rate     | Taxes Paid                 | %              |                        |              |
| Winnetka Public Schools        | 2.723        | \$4,712         | 31.67%         | 3.094        | \$10,775                   | 39.71%         | \$6,063                | 128.7%       |
| New Trier High School          | 1.967        | \$3,404         | 22.88%         | 1.864        | \$6,491                    | 23.92%         | \$3,087                | 90.7%        |
| Village of Winnetka            | 1.481        | \$2,563         | 17.23%         | 1.038        | \$3,615                    | 13.32%         | \$1,052                | 41.0%        |
| Cook County                    | 1.028        | \$1,779         | 11.96%         | 0.594        | \$2,069                    | 7.62%          | \$290                  | 16.3%        |
| Winnetka Park District         | 0.445        | \$770           | 5.18%          | 0.347        | \$1,208                    | 4.45%          | \$438                  | 56.9%        |
| Water Reclamation District     | 0.451        | \$780           | 5.24%          | 0.370        | \$1,288                    | 4.75%          | \$508                  | 65.1%        |
| All Others                     | <u>0.502</u> | <u>\$869</u>    | <u>5.84%</u>   | <u>0.485</u> | <u>\$1,689</u>             | <u>6.23%</u>   | <u>\$820</u>           | <u>94.4%</u> |
| <b>Total</b>                   | <b>8.597</b> | <b>\$14,877</b> | <b>100.00%</b> | <b>7.792</b> | <b>\$27,135</b>            | <b>100.00%</b> | <b>\$12,258</b>        | <b>82.4%</b> |
| Consumer Price Index - U       | 158.600      |                 |                | 225.672      | 15 Year Increase in CPI >> |                | 42.3%                  |              |
| CPI Index (December, 14 years) | 1996         |                 |                | 2011         | Annual Geometric Mean >    |                | 2.4%                   |              |

\* 1997 Property taxes paid in March and August 1998.  
\*\* 2012 Property taxes paid in March and August 2013.

For every \$1.00 in property taxes paid in calendar year 2013, the Village receives 13.32 cents, as shown in the following chart:



**Tax Levy and Abatement Ordinances**

The complete tax levy process requires the adoption of six ordinances. Ordinance M-18-2013 is the basic tax levy for 2013.

Ordinances M-19-2013, M-20-2013 and M-21-2013 levy taxes in three special service areas that were created to fund local improvements. Special Service Area 3 funds repayment of the local share of the storm sewer and pavement upgrades for Trapp Lane. Special Service Areas 4 and 5 fund repayment of the costs of paving two alleys at the west end of the Village.

Ordinance M-22-2013 abates the amount of the levy that pertains to the 2003 Public Safety Building bonds, because sales tax revenues were pledged to secure payment and those revenues are sufficient to meet the 2013 debt service.

Ordinance M-23-2013 abates the amount of the levy that pertains to the 2013 General Obligation Stormwater Improvement bonds, because there are adequate reserves sufficient to meet the 2013 debt service.

Staff will be available at the Council Meeting to answer any questions.

**Recommendation:** Consider Introduction of Ordinance M-18- 2013, Levying Taxes for the Year 2013 in the amount of \$14,222,477.

Consider Introduction of Ordinance M-19-2013, Levying Taxes for Special Service Area 3, Trapp Lane for the Year 2013 in the amount of \$33,660.

Consider Introduction of Ordinance M-20-2013, Levying Taxes for Special Service Area 4, Elm, Oak, Locust, Rosewood for the Year 2013 in the amount of \$4,658.

Consider Introduction of Ordinance M-21-2013, Levying Taxes for Special Service Area 5, Elm, Oak, Rosewood, Glendale for the Year 2013 in the amount of \$3,957.

Consider Introduction of Ordinance M-22-2012, Abating the Tax Heretofore Levied for the Year 2013 (Series 2003 Bonds) in the amount of \$345,385.

Consider Introduction of Ordinance M-23-2013, Abating the Tax Heretofore Levied for the Year 2013 (Series 2013 Bonds) in the amount of \$333,720.

|                            |              |                                                        |
|----------------------------|--------------|--------------------------------------------------------|
| <b><u>Attachments:</u></b> | Attachment 1 | Property Tax Levy Calculations Spreadsheet             |
|                            | Attachment 2 | Schedule of Special Service Area Property Tax Levies   |
|                            | Attachment 3 | Tax Levy and Abatement Ordinances                      |
|                            | M-18-2013    | Levying Property Taxes for 2013                        |
|                            | M-19-2013    | Levying Special Service Area 3 Property Taxes for 2013 |
|                            | M-20-2013    | Levying Special Service Area 4 Property Taxes for 2013 |
|                            | M-21-2013    | Levying Special Service Area 5 Property Taxes for 2013 |
|                            | M-22-2013    | Abating 2003 Alternate Revenue Bond Tax levy for 2013  |
|                            | M-23-2014    | Abating 2013 General Obligation Bond Tax levy for 2013 |

## **Attachment 1**

# **Property Tax Levy Calculations Spreadsheet**

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT<br>NUMBER                                           | DESCRIPTION                              | BUDGETED<br>AMOUNT | AMOUNT TO BE<br>RAISED BY TAX LEVY |
|-------------------------------------------------------------|------------------------------------------|--------------------|------------------------------------|
| ----- GENERAL FUND: OPERATING EXPENSE: PUBLIC AFFAIRS ----- |                                          |                    |                                    |
| 10-20-530-101                                               | HISTORICAL MUSEUM - CONTRACTUAL SERVICES | 0                  | 0                                  |
| 10-20-530-102                                               | LEGAL-CONTRACTUAL SERVICES               | 44,000             | 30,000                             |
| 10-20-530-103                                               | SURETY BONDS & INSURANCE-CONTRACT SERV   | 46,000             | 20,000                             |
| 10-20-530-105                                               | SUNDRY EXPENSE-CONTRACTUAL SERVICES      | 158,000            | 70,000                             |
| 10-20-540-105                                               | SUNDRY EXPENSE-COMMODITIES               | 16,490             | 0                                  |
| 10-20-511-150                                               | EOC                                      | 0                  | 0                                  |
| 10-20-512-150                                               | EOC                                      | 0                  | 0                                  |
| 10-20-530-150                                               | EOC                                      | 0                  | 0                                  |
| 10-20-540-150                                               | EOC                                      | 0                  | 0                                  |
|                                                             | TOTAL FOR: PUBLIC AFFAIRS                | 264,490            | 120,000                            |

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT NUMBER                                                  | DESCRIPTION                          | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|-----------------------------------------------------------------|--------------------------------------|-----------------|---------------------------------|
| ----- GENERAL FUND: OPERATING EXPENSE: MANAGER'S OFFICE -----   |                                      |                 |                                 |
| 10-21-511-102                                                   | LEGAL EXPENSE - REGULAR SALARIES     | 270,400         | 60,000                          |
| 10-21-512-102                                                   | LEGAL EXPENSE - OVERTIME             | 0               | 0                               |
| 10-21-522-102                                                   | LEGAL EXPENSE - HEALTH INSURANCE     | 27,360          | 0                               |
| 10-21-523-102                                                   | LEGAL EXPENSE - RETIREMENT EXPENSE   | 60,316          | 0                               |
| 10-21-530-102                                                   | LEGAL EXPENSE - CONTRACTUAL SERVICE  | 232,420         | 0                               |
| 10-21-540-102                                                   | LEGAL EXPENSE - COMMODITIES          | 0               | 0                               |
| 10-21-511-106                                                   | ADMINISTRATION-REGULAR SALARIES      | 353,800         | 220,000                         |
| 10-21-512-106                                                   | ADMINISTRATION-OVERTIME SALARIES     | 1,000           | 0                               |
| 10-21-530-106                                                   | ADMINISTRATION-CONTRACTUAL SERVICES  | 73,300          | 50,000                          |
| 10-21-540-106                                                   | ADMINISTRATION-COMMODITIES           | 29,740          | 0                               |
| 10-21-530-107                                                   | Tuition Reimbursement                | 20,000          | 0                               |
|                                                                 | TOTAL FOR: MANAGER'S OFFICE          | 603,496         | 330,000                         |
| ----- GENERAL FUND: OPERATING EXPENSE: FINANCE DEPARTMENT ----- |                                      |                 |                                 |
| 10-22-511-106                                                   | ADMINISTRATION-REGULAR SALARIES      | 1,140,700       | 729,000                         |
| 10-22-512-106                                                   | ADMINISTRATION-OVERTIME SALARIES     | 24,000          | 0                               |
| 10-22-521-106                                                   | ADMINISTRATION-WORKER'S COMPENSATION | 24,000          | 0                               |
| 10-22-522-106                                                   | ADMINISTRATION - HEALTH INSURANCE    | 218,880         | 0                               |
| 10-22-523-106                                                   | ADMINISTRATION - RETIREMENT EXPENSE  | 320,537         | 0                               |
| 10-22-524-106                                                   | LIABILITY INSURANCE                  | 0               | 0                               |
| 10-22-530-106                                                   | ADMINISTRATION-CONTRACT SERVICES     | 181,864         | 0                               |
| 10-22-540-106                                                   | ADMINISTRATION-COMMODITIES           | 27,700          | 0                               |
| 10-22-550-106                                                   | ADMINISTRATION-VEHICLE               | 4,272           | 0                               |
| 10-22-530-107                                                   | TRAINING-CONTRACTUAL SERVICES        | 5,200           | 0                               |
|                                                                 | TOTAL FOR: FINANCE DEPARTMENT        | 1,947,153       | 729,000                         |

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT NUMBER                                             | DESCRIPTION                                   | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|------------------------------------------------------------|-----------------------------------------------|-----------------|---------------------------------|
| ----- GENERAL FUND: OPERATING EXPENSE: PUBLIC SAFETY ----- |                                               |                 |                                 |
| 10-26-511-106                                              | ADMINISTRATION - SALARIES REGULAR             | 591,643         | 560,000                         |
| 10-26-512-106                                              | ADMINISTRATION - SALARIES OVERTIME            | 0               | 0                               |
| 10-26-515-106                                              | ADMINISTRATION - SALARIES SICK CASHED IN      | 16,459          | 0                               |
| 10-26-521-106                                              | ADMINISTRATION-WORKER'S COMPENSATION          | 40,000          | 0                               |
| 10-26-522-106                                              | ADMINISTRATION-HEALTH INSURANCE               | 492,480         | 0                               |
| 10-26-523-106                                              | ADMINISTRATION-RETIREMENT EXPENSE             | 1,237,271       | 0                               |
| 10-26-524-106                                              | LIABILITY INSURANCE                           | 30,000          | 20,000                          |
| 10-26-530-106                                              | ADMINISTRATION-CONTRACTUAL SERVICES           | 20,250          | 20,000                          |
| 10-26-540-106                                              | ADMINISTRATION-COMMODITIES                    | 11,355          | 0                               |
| 10-26-511-107                                              | TRAINING-SALARIES                             | 8,675           | 0                               |
| 10-26-512-107                                              | TRAINING-OVERTIME SALARIES                    | 16,000          | 10,000                          |
| 10-26-530-107                                              | TRAINING-CONTRACTUAL SERVICES                 | 42,139          | 30,000                          |
| 10-26-540-107                                              | TRAINING-COMMODITIES                          | 36,125          | 10,000                          |
| 10-26-530-117                                              | EMERGENCY 911 - CONTRACTUAL SERVICES          | 10,000          | 0                               |
| 10-26-511-118                                              | PUBLIC SERVICE OFFICERS - SALARIES REGULAR    | 209,796         | 170,000                         |
| 10-26-512-118                                              | PUBLIC SERVICE OFFICERS - SALARIES OVERTIME   | 600             | 0                               |
| 10-26-515-118                                              | PUBLIC SERVICE OFFICERS - SALARIES SICK CASHE | 0               | 0                               |
| 10-26-516-118                                              | PUBLIC SERVICE OFFICERS - SALARIES HOLIDAY    | 0               | 0                               |
| 10-26-530-118                                              | PUBLIC SAFETY OFFICERS-CONTRACTUAL SERVICES   | 50,990          | 30,000                          |
| 10-26-540-118                                              | PUBLIC SAFETY OFFICERS-COMMODITIES            | 9,180           | 0                               |
| 10-26-511-119                                              | GENERAL & CRIMINAL RECORDS - SALARIES REGULAR | 156,546         | 130,000                         |
| 10-26-512-119                                              | GENERAL & CRIMINAL RECORDS - SALARIES OVERTIM | 5,500           | 0                               |
| 10-26-515-119                                              | GENERAL & CRIMINAL RECORDS - SALARIES SICK CA | 0               | 0                               |
| 10-26-516-119                                              | GENERAL & CRIMINAL RECORDS - SALARIES HOLIDAY | 1,961           | 0                               |
| 10-26-530-119                                              | GENERAL & CRIMINAL RECORDS-CONTRACT SERVICES  | 112,140         | 80,000                          |
| 10-26-540-119                                              | GENERAL & CRIMINAL RECORDS-COMMODITIES        | 19,140          | 0                               |
| 10-26-530-120                                              | IDENTIFICATION RECORDS-CONTRACT SERVICES      | 0               | 0                               |
| 10-26-540-120                                              | IDENTIFICATION RECORDS-COMMODITIES            | 0               | 0                               |
| 10-26-511-121                                              | COMMUNICATIONS - SALARIES REGULAR             | 328,151         | 300,000                         |
| 10-26-512-121                                              | COMMUNICATIONS - SALARIES OVERTIME            | 13,000          | 0                               |
| 10-26-515-121                                              | COMMUNICATIONS - SALARIES SICK CASHED IN      | 0               | 0                               |
| 10-26-516-121                                              | COMMUNICATIONS - SALARY HOLIDAY               | 7,844           | 0                               |
| 10-26-530-121                                              | COMMUNICATIONS-CONTRACTUAL SERVICES           | 121,700         | 100,000                         |
| 10-26-540-121                                              | COMMUNICATIONS-COMMODITIES                    | 57,080          | 0                               |
| 10-26-530-122                                              | DETENTION & CUSTODY OF PRISONERS-CONTRACT SER | 1,000           | 0                               |
| 10-26-540-122                                              | DETENTION & CUSTODY OF PRISONERS-COMMODITIES  | 7,000           | 0                               |
| 10-26-511-123                                              | INVESTIGATIONS - SALARY REGULAR               | 259,052         | 230,000                         |
| 10-26-512-123                                              | INVESTIGATIONS - SALARIES OVERTIME            | 49,900          | 30,000                          |
| 10-26-515-123                                              | INVESTIGATIONS - SALARIES SICK CASHED IN      | 6,248           | 0                               |
| 10-26-516-123                                              | INVESTIGATIONS - SALARIES HOLIDAY             | 4,851           | 0                               |
| 10-26-530-123                                              | INVESTIGATIONS-CONTRACTUAL SERVICES           | 32,700          | 0                               |
| 10-26-540-123                                              | INVESTIGATIONS-COMMODITIES                    | 13,760          | 0                               |
| 10-26-511-124                                              | UNIFORMED PATROL - SALARIES REGULAR           | 1,717,586       | 1,620,000                       |
| 10-26-512-124                                              | UNIFORMED PATROL - SALARIES OVERTIME          | 115,300         | 85,000                          |
| 10-26-515-124                                              | UNIFORMED PATROL - SALARIES SICK CASHED IN    | 25,000          | 0                               |
| 10-26-516-124                                              | UNIFORMED PATROL - SALARIE HOLIDAY            | 38,455          | 30,000                          |

05-Nov-13 04:44 PM

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT<br>NUMBER | DESCRIPTION                            | BUDGETED<br>AMOUNT | AMOUNT TO BE<br>RAISED BY TAX LEVY |
|-------------------|----------------------------------------|--------------------|------------------------------------|
| 10-26-530-124     | UNIFORMED PATROL-CONTRACTUAL SERVICES  | 5,400              | 0                                  |
| 10-26-540-124     | UNIFORM PATROL-COMMODITIES             | 96,850             | 40,000                             |
| 10-26-511-125     | SCHOOL CROSSING GUARD-REGULAR SALARIES | 0                  | 0                                  |
| 10-26-540-125     | SCHOOL CROSSING PROTECTION-COMMODITIES | 500                | 0                                  |
| 10-26-530-126     | EMERGENCY MANAGEMENT-CONTRACT SERVICES | 9,600              | 0                                  |
| 10-26-540-126     | EMERGENCY MANAGEMENT-COMMODITIES       | 4,000              | 0                                  |
| 10-26-530-127     | POLICE VEHICLES-CONTRACTUAL SERVICES   | 0                  | 0                                  |
| 10-26-540-127     | POLICE VEHICLES-COMMODITIES            | 4,500              | 0                                  |
| 10-26-550-127     | PATROL VEHICLES-VEHICLE EXPENSE        | 255,871            | 220,000                            |
| 10-26-530-128     | SOCIAL WORK-CONTRACTUAL SERVICES       | 42,000             | 30,000                             |
| 10-26-530-129     | STATION MAINTENANCE-CONTRACT SERVICES  | 172,154            | 110,000                            |
| 10-26-540-129     | STATION MAINTENANCE-COMMODITIES        | 6,000              | 0                                  |
| 10-26-530-130     | SAFETY-CONTRACTUAL SERVICES            | 6,200              | 0                                  |
| 10-26-540-130     | SAFETY-COMMODITIES                     | 5,500              | 0                                  |
| 10-26-511-131     | COMMUNITY SERVICE-REGULAR SALARIES     | 0                  | 0                                  |
| 10-26-512-131     | COMMUNITY SERVICE-OVERTIME SALARIES    | 0                  | 0                                  |
| 10-26-540-131     | COMMUNITY SERVICE-COMMODITIES          | 0                  | 0                                  |
|                   | TOTAL FOR: PUBLIC SAFETY               | 6,525,452          | 3,855,000                          |

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| ACCOUNT NUMBER                                           | DESCRIPTION                                  | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|----------------------------------------------------------|----------------------------------------------|-----------------|---------------------------------|
| ----- GENERAL FUND: OPERATING EXPENSE: FIRE SAFETY ----- |                                              |                 |                                 |
| 10-28-511-106                                            | ADMINISTRATION-SALARIES REGULAR              | 334,572         | 305,000                         |
| 10-28-515-106                                            | ADMINISTRATION-SALARIES SICK CASHED IN       | 0               | 0                               |
| 10-28-522-106                                            | ADMINISTRATION-HEALTH INSURANCE              | 27,360          | 0                               |
| 10-28-523-106                                            | ADMINISTRATION-RETIREMENT EXPENSE            | 17,675          | 0                               |
| 10-28-530-106                                            | ADMINISTRATION-CONTRACTUAL SERVICES          | 64,232          | 50,000                          |
| 10-28-540-106                                            | ADMINISTRATION-COMMODITIES                   | 17,000          | 0                               |
| 10-28-550-106                                            | ADMINISTRATION-VEHICLE                       | 700             | 0                               |
| 10-28-512-107                                            | TRAINING-SALARIES OVERTIME                   | 34,000          | 0                               |
| 10-28-530-107                                            | TRAINING-CONTRACTUAL SERVICES                | 30,234          | 0                               |
| 10-28-540-107                                            | TRAINING-COMMODITIES                         | 5,500           | 0                               |
| 10-28-530-121                                            | COMMUNICATIONS-CONTRACTUAL SERVICES          | 147,300         | 130,000                         |
| 10-28-540-121                                            | COMMUNICATIONS-COMMODITIES                   | 5,100           | 0                               |
| 10-28-512-126                                            | EMERGENCY MANAGEMENT-SALARIES OVERTIME       | 0               | 0                               |
| 10-28-530-126                                            | EMERGENCY MANAGEMENT-CONTRACT SERVICES       | 0               | 0                               |
| 10-28-540-126                                            | EMERGENCY MANGEMENT-COMMODITIES              | 0               | 0                               |
| 10-28-530-129                                            | STATION MAINTENANCE-CONTRACTUAL SERVICE      | 83,300          | 70,000                          |
| 10-28-540-129                                            | STATION MAINTENANCE-COMMODITIES              | 8,100           | 0                               |
| 10-28-540-130                                            | FOREIGN FIRE TAX                             | 75,000          | 0                               |
| 10-28-511-131                                            | COMMUNITY SERVICE, REGULAR SALARIES          | 0               | 0                               |
| 10-28-512-131                                            | COMMUNITY SERVICE-OVERTIME SALARIES          | 3,000           | 0                               |
| 10-28-530-131                                            | COMMUNITY SERVICE-CONTRACTUAL SERVICE        | 500             | 0                               |
| 10-28-540-131                                            | COMMUNITY SERVICE-COMMODITIES                | 450             | 0                               |
| 10-28-511-132                                            | LIFE SAFETY/FIRE PREVENTION-REGULAR SALARIES | 44,500          | 0                               |
| 10-28-512-132                                            | LIFE SAFETY-SALARIES OVERTIME                | 3,900           | 0                               |
| 10-28-530-132                                            | LIFE SAFETY-CONTRACTUAL SERVICES             | 1,700           | 0                               |
| 10-28-540-132                                            | LIFE SAFETY-COMMODITIES                      | 6,950           | 0                               |
| 10-28-511-133                                            | FIREFIGHTING - SALARIES REGULAR              | 1,689,187       | 1,570,000                       |
| 10-28-512-133                                            | FIREFIGHTING - SALARIES OVERTIME             | 45,255          | 30,000                          |
| 10-28-514-133                                            | FIREFIGHTING-SALARIES SICK                   | 89,000          | 70,000                          |
| 10-28-515-133                                            | FIREFIGHTING-SALARIES SICK CASHED IN         | 0               | 0                               |
| 10-28-516-133                                            | FIREFIGHTING - SALARIES HOLIDAY              | 58,211          | 40,000                          |
| 10-28-521-133                                            | FIREFIGHTING-WORKER'S COMPENSATION           | 48,000          | 0                               |
| 10-28-522-133                                            | FIREFIGHTING-HEALTH INSURANCE                | 270,670         | 0                               |
| 10-28-523-133                                            | FIREFIGHTING-RETIREMENT EXPENSE              | 1,216,111       | 0                               |
| 10-28-524-133                                            | FIREFIGHTING-PERSONNEL LIABILITY             | 0               | 0                               |
| 10-28-530-133                                            | FIRE FIGHTING-CONTRACTUAL SERVICES           | 46,845          | 20,000                          |
| 10-28-540-133                                            | FIRE FIGHTING-COMMODITIES                    | 53,350          | 20,000                          |
| 10-28-550-133                                            | FIRE FIGHTING-VEHICLE                        | 60,959          | 30,000                          |
| 10-28-511-134                                            | AMBULANCE-SALARIES REGULAR                   | 259,950         | 120,000                         |
| 10-28-512-134                                            | AMBULANCE-SALARIES OVERTIME                  | 3,200           | 0                               |
| 10-28-514-134                                            | AMBULANCE-SALARIES SICK                      | 24,600          | 0                               |
| 10-28-515-134                                            | AMBULANCE-SALARIES SICK CASHED IN            | 2,382           | 0                               |
| 10-28-516-134                                            | AMBULANCE-SALARIES HOLIDAY                   | 9,081           | 0                               |
| 10-28-522-134                                            | AMBULANCE-HEALTH INSURANCE                   | 41,040          | 0                               |
| 10-28-524-134                                            | AMBULANCE-PERSONNEL LIABILITY                | 12,000          | 0                               |
| 10-28-530-134                                            | AMBULANCE SERVICE-CONTRACTUAL SERVICES       | 24,630          | 0                               |

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| ACCOUNT<br>NUMBER | DESCRIPTION                   | BUDGETED<br>AMOUNT | AMOUNT TO BE<br>RAISED BY TAX LEVY |
|-------------------|-------------------------------|--------------------|------------------------------------|
| 10-28-540-134     | AMBULANCE SERVICE-COMMODITIES | 10,950             | 0                                  |
| 10-28-550-134     | AMBULANCE SERVICE-VEHICLE     | 1,000              | 0                                  |
|                   | TOTAL FOR: FIRE SAFETY        | 4,877,494          | 2,455,000                          |

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| ACCOUNT<br>NUMBER                                                  | DESCRIPTION                                 | BUDGETED<br>AMOUNT | AMOUNT TO BE<br>RAISED BY TAX LEVY |
|--------------------------------------------------------------------|---------------------------------------------|--------------------|------------------------------------|
| ----- GENERAL FUND: OPERATING EXPENSE: COMMUNITY DEVELOPMENT ----- |                                             |                    |                                    |
| 10-29-511-135                                                      | COMMUNITY DEVELOPMENT, REG SALARIES         | 854,170            | 0                                  |
| 10-29-512-135                                                      | COMMUNITY DEVELOPMENT, OVERTIME             | 0                  | 0                                  |
| 10-29-521-135                                                      | COMMUNITY DEVELOPMENT-WORKER'S COMPENSATION | 12,000             | 0                                  |
| 10-29-522-135                                                      | COMMUNITY DEVELOPMENT - HEALTH INSURANCE    | 136,800            | 0                                  |
| 10-29-523-135                                                      | COMMUNITY DEVELOPMENT - RETIREMENT EXPENSE  | 191,820            | 0                                  |
| 10-29-524-135                                                      | LIABILITY INSURANCE                         | 0                  | 0                                  |
| 10-29-530-135                                                      | COMMUNITY DEVELOPMENT-CONTRACTUAL SERVICES  | 387,700            | 0                                  |
| 10-29-540-135                                                      | COMMUNITY DEVELOPMENT-COMMODITIES           | 11,100             | 0                                  |
| 10-29-550-135                                                      | COMMUNITY DEVELOPMENT-VEHICLE               | 2,150              | 0                                  |
|                                                                    | TOTAL FOR: COMMUNITY DEVELOPMENT            | 1,595,740          | 0                                  |

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| ACCOUNT NUMBER                                            | DESCRIPTION                                  | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|-----------------------------------------------------------|----------------------------------------------|-----------------|---------------------------------|
| ----- GENERAL FUND: OPERATING EXPENSE: PUBLIC WORKS ----- |                                              |                 |                                 |
| 10-30-511-106                                             | ADMINISTRATION-REGULAR SALARIES              | 362,047         | 320,000                         |
| 10-30-512-106                                             | ADMINISTRATION-OVERTIME SALARIES             | 2,000           | 0                               |
| 10-30-521-106                                             | ADMINISTRATION-WORKER'S COMPENSATION         | 100,000         | 0                               |
| 10-30-522-106                                             | ADMINISTRATION-HEALTH INSURANCE              | 239,400         | 200,000                         |
| 10-30-523-106                                             | ADMINISTRATION-RETIREMENT EXPENSE            | 360,801         | 0                               |
| 10-30-524-106                                             | LIABILITY INSURANCE                          | 0               | 0                               |
| 10-30-530-106                                             | ADMINISTRATION-CONTRACTUAL SERVICES          | 81,500          | 60,000                          |
| 10-30-540-106                                             | ADMINISTRATION-COMMODITIES                   | 14,000          | 0                               |
| 10-30-530-107                                             | TRAINING-CONTRACTUAL SERVICES                | 11,000          | 0                               |
| 10-30-540-107                                             | TRAINING-COMMODITIES                         | 12,000          | 0                               |
| 10-30-511-131                                             | COMMUNITY SERV, REGULAR SALARIES             | 0               | 0                               |
| 10-30-512-131                                             | COMMUNITY SERVICE, OVERTIME                  | 0               | 0                               |
| 10-30-530-131                                             | COMMUNITY SERVICE-CONTRACTUAL SERVICES       | 0               | 0                               |
| 10-30-540-131                                             | COMMUNITY SERVICE-COMMODITIES                | 0               | 0                               |
| 10-30-550-131                                             | COMMUNITY SERVICE-VEHICLE                    | 0               | 0                               |
| 10-30-511-135                                             | COMMUNITY DEVELOPMENT - SALARIES             | 0               | 0                               |
| 10-30-512-135                                             | COMMUNITY DEVELOPMENT - OVERTIME             | 0               | 0                               |
| 10-30-530-135                                             | COMMUNITY DEVELOPMENT-CONTRACTUAL SERVICES   | 0               | 0                               |
| 10-30-540-135                                             | COMMUNITY DEVELOPMENT-COMMODITIES            | 0               | 0                               |
| 10-30-550-135                                             | COMMUNITY DEVELOPMENT-VEHICLE                | 0               | 0                               |
| 10-30-511-136                                             | ENGINEERING, REGULAR SALARIES                | 191,335         | 175,000                         |
| 10-30-512-136                                             | ENGINEERING, OVERTIME                        | 3,000           | 0                               |
| 10-30-530-136                                             | ENGINEERING-CONTRACTUAL SERVICES             | 44,000          | 50,000                          |
| 10-30-540-136                                             | ENGINEERING-COMMODITIES                      | 2,000           | 0                               |
| 10-30-511-137                                             | SERVICE YARDS, REGULAR SALARIES              | 0               | 0                               |
| 10-30-512-137                                             | SERVICE YARDS, OVERTIME                      | 0               | 0                               |
| 10-30-530-137                                             | SERVICE YARDS-CONTRACTUAL SERVICES           | 86,000          | 40,000                          |
| 10-30-540-137                                             | SERVICE YARDS-COMMODITIES                    | 11,000          | 0                               |
| 10-30-511-138                                             | STREET MAINT, REGULAR SALARIES               | 699,786         | 457,861                         |
| 10-30-512-138                                             | STREET MAINTENANCE, OVERTIME                 | 30,000          | 0                               |
| 10-30-513-138                                             | STREET MAINTENANCE-VACATION SALARIES         | 0               | 0                               |
| 10-30-514-138                                             | STREET MAINTENANCE-SICK SALARIES             | 0               | 0                               |
| 10-30-515-138                                             | STREET MAINTENANCE-SALARIES SICK CASHED IN   | 400             | 0                               |
| 10-30-516-138                                             | STREET MAINTENANCE-HOLIDAY SALARIES          | 0               | 0                               |
| 10-30-530-138                                             | STREET MAINTENANCE-CONTRACTUAL SERVICES      | 114,000         | 30,000                          |
| 10-30-540-138                                             | STREET MAINTENANCE-COMMODITIES               | 80,500          | 0                               |
| 10-30-550-138                                             | STREET MAINTENANCE-VEHICLE                   | 225,204         | 200,000                         |
| 10-30-530-139                                             | PAVEMENT RECONSTRUCTION-CONTRACTUAL SERVICES | 0               | 0                               |
| 10-30-540-139                                             | PAVEMENT RECONSTRUCTION-COMMODITIES          | 0               | 0                               |
| 10-30-511-140                                             | DRAINAGE, REGULAR SALARIES                   | 112,602         | 80,000                          |
| 10-30-512-140                                             | DRAINAGE, OVERTIME                           | 16,000          | 0                               |
| 10-30-513-140                                             | DRAINAGE-VACATION SALARIES                   | 0               | 0                               |
| 10-30-514-140                                             | DRAINAGE-SICK SALARIES                       | 0               | 0                               |
| 10-30-516-140                                             | DRAINAGE-HOLIDAY SALARIES                    | 0               | 0                               |
| 10-30-530-140                                             | DRAINAGE-CONTRACTUAL SERVICES                | 90,500          | 30,000                          |
| 10-30-540-140                                             | DRAINAGE-COMMODITIES                         | 31,000          | 0                               |

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|-------------------|-----------------------------------------------|--------------------|------------------------------------|
| 10-30-511-141     | SNOW REMOVAL & ICE CONTROL, REG SALARIES      | 0                  | 0                                  |
| 10-30-512-141     | SNOW REMOVAL & ICE CONTROL, OVERTIME          | 80,000             | 50,000                             |
| 10-30-530-141     | SNOW REMOVAL & ICE CONTROL-CONTRACTUAL SERVIC | 6,000              | 0                                  |
| 10-30-540-141     | SNOW REMOVAL & ICE CONTROL-COMMODITIES        | 123,520            | 100,000                            |
| 10-30-511-142     | PUBLIC PROPERTY, REGULAR SALARIES             | 0                  | 0                                  |
| 10-30-512-142     | PUBLIC PROPERTY, OVERTIME                     | 0                  | 0                                  |
| 10-30-530-142     | PUBLIC PROPERTY-CONTRACTUAL SERVICES          | 285,500            | 180,000                            |
| 10-30-540-142     | PUBLIC PROPERTY-COMMODITIES                   | 20,000             | 0                                  |
| 10-30-511-143     | FORESTRY, REGULAR SALARIES                    | 163,697            | 75,000                             |
| 10-30-512-143     | FORESTRY, OVERTIME                            | 10,000             | 0                                  |
| 10-30-530-143     | FORESTRY-CONTRACTUAL SERVICES                 | 268,000            | 175,000                            |
| 10-30-540-143     | FORESTRY-COMMODITIES                          | 42,000             | 0                                  |
| 10-30-530-144     | STREET SWEEPING-CONTRACTUAL SERVICES          | 33,000             | 0                                  |
| 10-30-510-145     | DAMAGES-SALARIES                              | 0                  | 0                                  |
| 10-30-530-145     | DAMAGES-CONTRACTUAL SERVICES                  | 0                  | 0                                  |
| 10-30-540-145     | DAMAGES-COMMODITIES                           | 0                  | 0                                  |
| 10-30-550-145     | DAMAGES-VEHICLE                               | 0                  | 0                                  |
|                   | TOTAL FOR: PUBLIC WORKS                       | 3,951,792          | 2,222,861                          |
|                   | GENERAL FUND TOTAL OPERATING EXPENSE          | 19,765,617         | 9,711,861                          |

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| ACCOUNT NUMBER                                           | DESCRIPTION                                   | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|----------------------------------------------------------|-----------------------------------------------|-----------------|---------------------------------|
| ----- GENERAL FUND: CAPITAL: PUBLIC AFFAIRS -----        |                                               |                 |                                 |
| 10-20-640-100                                            | PUBLIC IMPROVEMENTS-CAPITAL                   | 250,000         | 0                               |
| 10-20-640-104                                            | G.O. BONDS PRINCIPAL & INTEREST-CONTRACT SERV | 345,385         | 0                               |
|                                                          | TOTAL FOR: PUBLIC AFFAIRS                     | 595,385         | 0                               |
| ----- GENERAL FUND: CAPITAL: FINANCE DEPARTMENT -----    |                                               |                 |                                 |
| 10-22-640-106                                            | ADMINISTRATION - CAPITAL                      | 209,200         | 0                               |
|                                                          | TOTAL FOR: FINANCE DEPARTMENT                 | 209,200         | 0                               |
| ----- GENERAL FUND: CAPITAL: PUBLIC SAFETY -----         |                                               |                 |                                 |
| 10-26-640-119                                            | GENERAL & CRIMINAL RECORDS-CAPITAL            | 0               | 0                               |
| 10-26-640-120                                            | IDENTIFICATION RECORDS-CAPITAL                | 0               | 0                               |
| 10-26-640-121                                            | COMMUNICATIONS - CAPITAL                      | 60,000          | 0                               |
| 10-26-640-123                                            | INVESTIGATIONS - CAPITAL                      | 0               | 0                               |
| 10-26-640-124                                            | UNIFORMED PATROL-CAPITAL                      | 0               | 0                               |
| 10-26-640-127                                            | POLICE VEHICLES - CAPITAL                     | 0               | 0                               |
| 10-26-640-129                                            | STATION MAINTENANCE-CAPITAL                   | 225,000         | 0                               |
| 10-26-640-130                                            | SAFETY - CAPITAL                              | 0               | 0                               |
|                                                          | TOTAL FOR: PUBLIC SAFETY                      | 285,000         | 0                               |
| ----- GENERAL FUND: CAPITAL: FIRE SAFETY -----           |                                               |                 |                                 |
| 10-28-640-106                                            | ADMINISTRATION - CAPITAL                      | 0               | 0                               |
| 10-28-640-107                                            | TRAINING-CAPITAL                              | 0               | 0                               |
| 10-28-640-121                                            | COMMUNICATIONS - CAPITAL                      | 0               | 0                               |
| 10-28-640-126                                            | EMERGENCY MANAGEMENT-CAPITAL                  | 0               | 0                               |
| 10-28-640-129                                            | STATION MAINTENANCE-CAPITAL                   | 0               | 0                               |
| 10-28-640-130                                            | FOREIGN FIRE TAX                              | 0               | 0                               |
| 10-28-640-133                                            | FIREFIGHTING-CAPITAL                          | 0               | 0                               |
| 10-28-640-134                                            | AMBULANCE SERVICE - CAPITAL                   | 0               | 0                               |
|                                                          | TOTAL FOR: FIRE SAFETY                        | 0               | 0                               |
| ----- GENERAL FUND: CAPITAL: COMMUNITY DEVELOPMENT ----- |                                               |                 |                                 |
| 10-29-640-135                                            | COMMUNITY DEVELOPMENT - CAPITAL               | 0               | 0                               |
|                                                          | TOTAL FOR: COMMUNITY DEVELOPMENT              | 0               | 0                               |
| ----- GENERAL FUND: CAPITAL: PUBLIC WORKS -----          |                                               |                 |                                 |
| 10-30-640-106                                            | ADMINISTRATION - CAPITAL                      | 0               | 0                               |
| 10-30-640-137                                            | SERVICE YARDS-CAPITAL                         | 0               | 0                               |
| 10-30-640-138                                            | STREET MAINTENANCE - CAPITAL                  | 175,000         | 0                               |
| 10-30-640-139                                            | PAVEMENT RECONSTRUCTION - CAPITAL             | 1,200,000       | 867,000                         |
| 10-30-640-140                                            | DRAINAGE - CAPITAL                            | 0               | 0                               |
| 10-30-640-141                                            | SNOW REMOVAL & ICE CONTROL-CAPITAL            | 0               | 0                               |

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|----------------------------------------------------|------------------------------|-----------------|---------------------------------|
| 10-30-640-142                                      | PUBLIC PROPERTY - CAPITAL    | 400,000         | 0                               |
| 10-30-640-143                                      | FORESTRY - CAPITAL           | 0               | 0                               |
| 10-30-640-144                                      | STREET SWEEPING - CAPITAL    | 0               | 0                               |
|                                                    | TOTAL FOR: PUBLIC WORKS      | 1,775,000       | 867,000                         |
|                                                    | GENERAL FUND TOTAL CAPITAL   | 2,864,585       | 867,000                         |
| ----- GENERAL FUND: TRANSFERS: TRANSFERS OUT ----- |                              |                 |                                 |
| 10-31-700-403                                      | OTHER OPERATING TRANSFERS    | 7,050,000       | 0                               |
|                                                    | TOTAL FOR: TRANSFERS OUT     | 7,050,000       | 0                               |
|                                                    | TOTAL FOR FUND: GENERAL FUND | 29,680,202      | 10,578,861                      |

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| ACCOUNT NUMBER                                                    | DESCRIPTION                                | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|-------------------------------------------------------------------|--------------------------------------------|-----------------|---------------------------------|
| ----- G. O. DEBT SERVICE: OPERATING EXPENSE: PUBLIC AFFAIRS ----- |                                            |                 |                                 |
| 30-20-500-180                                                     | BOND PAYMENT                               | 483,000         | 131,250                         |
| 30-20-500-181                                                     | INTEREST PAYMENT                           | 31,944          | 6,366                           |
| 30-20-500-182                                                     | BOND ISSUE EXPENSES                        | 0               | 0                               |
|                                                                   | TOTAL FOR: PUBLIC AFFAIRS                  | 514,944         | 137,616                         |
|                                                                   | G. O. DEBT SERVICE TOTAL OPERATING EXPENSE | 514,944         | 137,616                         |
|                                                                   | TOTAL FOR FUND: G. O. DEBT SERVICE         | 514,944         | 137,616                         |

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| ACCOUNT NUMBER                                                  | DESCRIPTION                              | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|-----------------------------------------------------------------|------------------------------------------|-----------------|---------------------------------|
| ----- SSA 3 TRAPP LANE: OPERATING EXPENSE: PUBLIC AFFAIRS ----- |                                          |                 |                                 |
| 31-20-500-180                                                   | BOND PAYMENT                             | 25,500          | 25,500                          |
| 31-20-500-181                                                   | INTEREST PAYMENT                         | 9,180           | 8,160                           |
| 31-20-500-900                                                   | CONSTRUCTION                             | 0               | 0                               |
|                                                                 | TOTAL FOR: PUBLIC AFFAIRS                | 34,680          | 33,660                          |
|                                                                 | SSA 3 TRAPP LANE TOTAL OPERATING EXPENSE | 34,680          | 33,660                          |
| ----- SSA 3 TRAPP LANE: TRANSFERS: PUBLIC AFFAIRS -----         |                                          |                 |                                 |
| 31-20-700-403                                                   | OTHER OPERATING TRANSFERS                | 0               | 0                               |
|                                                                 | TOTAL FOR: PUBLIC AFFAIRS                | 0               | 0                               |
|                                                                 | TOTAL FOR FUND: SSA 3 TRAPP LANE         | 34,680          | 33,660                          |

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| ACCOUNT NUMBER                                                           | DESCRIPTION                                   | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|--------------------------------------------------------------------------|-----------------------------------------------|-----------------|---------------------------------|
| ----- SSA #4 Locust to Rosewood: OPERATING EXPENSE: PUBLIC AFFAIRS ----- |                                               |                 |                                 |
| 32-20-500-180                                                            | BOND PAYMENT                                  | 4,159           | 4,159                           |
| 32-20-500-181                                                            | INTEREST PAYMENT                              | 665             | 499                             |
| 32-20-500-900                                                            | CONSTRUCTION                                  | 0               | 0                               |
|                                                                          | TOTAL FOR: PUBLIC AFFAIRS                     | 4,824           | 4,658                           |
|                                                                          | SSA #4 Locust to Rosewood TOTAL OPERATING EXP | 4,824           | 4,658                           |
| ----- SSA #4 Locust to Rosewood: TRANSFERS: PUBLIC AFFAIRS -----         |                                               |                 |                                 |
| 32-20-700-403                                                            | OTHER OPERATING TRANSFERS                     | 0               | 0                               |
|                                                                          | TOTAL FOR: PUBLIC AFFAIRS                     | 0               | 0                               |
|                                                                          | TOTAL FOR FUND: SSA #4 Locust to Rosewood     | 4,824           | 4,658                           |

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT NUMBER                                                             | DESCRIPTION                                   | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|----------------------------------------------------------------------------|-----------------------------------------------|-----------------|---------------------------------|
| ----- SSA #5 Rosewood to Glendale: OPERATING EXPENSE: PUBLIC AFFAIRS ----- |                                               |                 |                                 |
| 33-20-500-180                                                              | BOND PAYMENT                                  | 3,533           | 3,533                           |
| 33-20-500-181                                                              | INTEREST PAYMENT                              | 565             | 424                             |
| 33-20-500-900                                                              | CONSTRUCTION                                  | 0               | 0                               |
| 33-20-500-901                                                              | MISCELLANEOUS                                 | 0               | 0                               |
|                                                                            | TOTAL FOR: PUBLIC AFFAIRS                     | 4,098           | 3,957                           |
|                                                                            | SSA #5 Rosewood to Glendale TOTAL OPERATING E | 4,098           | 3,957                           |
| ----- SSA #5 Rosewood to Glendale: TRANSFERS: PUBLIC AFFAIRS -----         |                                               |                 |                                 |
| 33-20-700-403                                                              | OTHER OPERATING TRANSFERS                     | 0               | 0                               |
|                                                                            | TOTAL FOR: PUBLIC AFFAIRS                     | 0               | 0                               |
|                                                                            | TOTAL FOR FUND: SSA #5 Rosewood to Glendale   | 4,098           | 3,957                           |

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT NUMBER                                                     | DESCRIPTION                                 | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|--------------------------------------------------------------------|---------------------------------------------|-----------------|---------------------------------|
| ----- REFUSE FUND: OPERATING EXPENSE: COLLECTION -----             |                                             |                 |                                 |
| 56-81-520-106                                                      | ADMINISTRATION - PERSONNEL COSTS            | 0               | 0                               |
| 56-81-521-106                                                      | ADMINISTRATION-WORKER'S COMPENSATION        | 102,000         | 40,000                          |
| 56-81-522-106                                                      | ADMINISTRATION-HEALTH INSURANCE             | 95,760          | 0                               |
| 56-81-523-106                                                      | ADMINISTRATION - RETIREMENT EXPENSE         | 119,800         | 0                               |
| 56-81-524-106                                                      | LIABILITY INSURANCE                         | 0               | 0                               |
| 56-81-511-500                                                      | COMMERCIAL COLLECTION-SALARIES              | 0               | 0                               |
| 56-81-512-500                                                      | COMMERCIAL COLLECTION-OVERTIME              | 0               | 0                               |
| 56-81-513-500                                                      | COMMERCIAL COLLECTION-VACATION SALARIES     | 0               | 0                               |
| 56-81-514-500                                                      | COMMERCIAL COLLECTION - SICK SALARIES       | 0               | 0                               |
| 56-81-516-500                                                      | COMMERCIAL COLLECTION-HOLIDAY SALARIES      | 0               | 0                               |
| 56-81-511-501                                                      | RESIDENTIAL COLLECTION-REGULAR SALARIES     | 507,577         | 300,000                         |
| 56-81-512-501                                                      | RESIDENTIAL COLLECTION-OVERTIME SALARIES    | 35,000          | 0                               |
| 56-81-513-501                                                      | RESIDENTIAL COLLECTION-VACATION SALARIES    | 0               | 0                               |
| 56-81-514-501                                                      | RESIDENTIAL COLLECTION-SICK SALARIES        | 0               | 0                               |
| 56-81-516-501                                                      | RESIDENTIAL COLLECTION-HOLIDAY SALARIES     | 0               | 0                               |
| 56-81-530-501                                                      | RESIDENTIAL COLLECTION-CONTRACTUAL SERVICES | 75,000          | 55,000                          |
| 56-81-540-501                                                      | RESIDENTIAL COLLECTION-COMMODITIES          | 22,500          | 0                               |
| 56-81-550-501                                                      | RESIDENTIAL COLLECTION-VEHICLE              | 235,750         | 135,000                         |
| 56-81-511-502                                                      | SPECIAL COLLECTION-REGULAR SALARIES         | 0               | 0                               |
| 56-81-512-502                                                      | SPECIAL COLLECTION-OVERTIME SALARIES        | 0               | 0                               |
| 56-81-513-502                                                      | SPECIAL COLLECTION-VACATION SALARIES        | 0               | 0                               |
| 56-81-514-502                                                      | SPECIAL COLLECTION - SICK SALARIES          | 0               | 0                               |
| 56-81-516-502                                                      | SPECIAL COLLECTIONS-HOLIDAY SALARIES        | 0               | 0                               |
| 56-81-511-503                                                      | YARD WASTE COLLECTION-REGULAR SALARIES      | 0               | 0                               |
| 56-81-512-503                                                      | YARD WASTE COLLECTION-OVERTIME SALARIES     | 0               | 0                               |
| 56-81-513-503                                                      | YARD WASTE COLLECTION-VACATION SLARIES      | 0               | 0                               |
| 56-81-514-503                                                      | YARD WASTE COLLECTION-SICK SALARIES         | 0               | 0                               |
| 56-81-530-503                                                      | YARD WASTE COLLECTION-CONTRACT SERVICES     | 35,000          | 0                               |
| 56-81-540-503                                                      | YARD WASTE COLLECTION-COMMODITIES           | 35,000          | 0                               |
|                                                                    | TOTAL FOR: COLLECTION                       | 1,263,387       | 530,000                         |
| ----- REFUSE FUND: OPERATING EXPENSE: DISPOSAL -----               |                                             |                 |                                 |
| 56-82-512-504                                                      | DISPOSAL-OVERTIME SALARIES                  | 0               | 0                               |
| 56-82-530-504                                                      | DISPOSAL-CONTRACTUAL SERVICES               | 376,448         | 320,000                         |
|                                                                    | TOTAL FOR: DISPOSAL                         | 376,448         | 320,000                         |
| ----- REFUSE FUND: OPERATING EXPENSE: RECYCLING & COMPOSTING ----- |                                             |                 |                                 |
| 56-83-530-505                                                      | RECYCLING-CONTRACTUAL SERVICES              | 239,000         | 160,000                         |
| 56-83-540-505                                                      | RECYCLING-COMMODITIES                       | 5,000           | 0                               |
| 56-83-530-506                                                      | COMPOSTING-CONTRACTUAL SERVICES             | 105,000         | 60,000                          |
|                                                                    | TOTAL FOR: RECYCLING & COMPOSTING           | 349,000         | 220,000                         |

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT NUMBER                                                  | DESCRIPTION                         | BUDGETED AMOUNT | AMOUNT TO BE RAISED BY TAX LEVY |
|-----------------------------------------------------------------|-------------------------------------|-----------------|---------------------------------|
| ----- REFUSE FUND: OPERATING EXPENSE: LANDFILL MONITORING ----- |                                     |                 |                                 |
| 56-84-511-507                                                   | MONITORING-REGULAR SALARIES         | 0               | 0                               |
| 56-84-512-507                                                   | MONITORING-OVERTIME SALARIES        | 0               | 0                               |
| 56-84-530-507                                                   | MONITORING-CONTRACTUAL SERVICES     | 98,000          | 30,000                          |
| 56-84-540-507                                                   | MONITORING-COMMODITIES              | 2,000           | 0                               |
|                                                                 | TOTAL FOR: LANDFILL MONITORING      | 100,000         | 30,000                          |
| ----- REFUSE FUND: OPERATING EXPENSE: DEPRECIATION -----        |                                     |                 |                                 |
| 56-85-500-400                                                   | DEPRECIATION                        | 135,000         | 0                               |
|                                                                 | TOTAL FOR: DEPRECIATION             | 135,000         | 0                               |
|                                                                 | REFUSE FUND TOTAL OPERATING EXPENSE | 2,223,835       | 1,100,000                       |
| ----- REFUSE FUND: CAPITAL: COLLECTION -----                    |                                     |                 |                                 |
| 56-81-640-106                                                   | ADMINISTRATION - CAPITAL            | 0               | 0                               |
| 56-81-640-508                                                   | COLLECTION EQUIPMENT-CAPITAL        | 65,000          | 0                               |
|                                                                 | TOTAL FOR: COLLECTION               | 65,000          | 0                               |
| ----- REFUSE FUND: CAPITAL: LANDFILL MONITORING -----           |                                     |                 |                                 |
| 56-84-640-510                                                   | MONITORING WELLS - CAPITAL          | 0               | 0                               |
|                                                                 | TOTAL FOR: LANDFILL MONITORING      | 0               | 0                               |
|                                                                 | REFUSE FUND TOTAL CAPITAL           | 65,000          | 0                               |
| ----- REFUSE FUND: TRANSFERS: COLLECTION -----                  |                                     |                 |                                 |
| 56-81-700-403                                                   | OTHER OPERATING TRANSFERS           | 0               | 0                               |
|                                                                 | TOTAL FOR: COLLECTION               | 0               | 0                               |
| ----- REFUSE FUND: TRANSFERS: ADMINISTRATIVE CHARGES -----      |                                     |                 |                                 |
| 56-86-700-401                                                   | PAYMENTS IN LIEU OF TAXES           | 49,200          | 0                               |
| 56-86-700-402                                                   | ADMINISTRATIVE CHARGES              | 127,080         | 0                               |
|                                                                 | TOTAL FOR: ADMINISTRATIVE CHARGES   | 176,280         | 0                               |
|                                                                 | TOTAL FOR FUND: REFUSE FUND         | 2,465,115       | 1,100,000                       |

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT<br>NUMBER                                                  | DESCRIPTION                                 | BUDGETED<br>AMOUNT | AMOUNT TO BE<br>RAISED BY TAX LEVY |
|--------------------------------------------------------------------|---------------------------------------------|--------------------|------------------------------------|
| ----- POLICE PENSION FUND: OPERATING EXPENSE: POLICE PENSION ----- |                                             |                    |                                    |
| 80-95-500-801                                                      | GENERAL & ADMINISTRATIVE                    | 147,550            | 0                                  |
| 80-95-500-802                                                      | BENEFITS & REFUNDS                          | 1,650,000          | 1,060,000                          |
|                                                                    | TOTAL FOR: POLICE PENSION                   | 1,797,550          | 1,060,000                          |
|                                                                    | POLICE PENSION FUND TOTAL OPERATING EXPENSE | 1,797,550          | 1,060,000                          |
|                                                                    | TOTAL FOR FUND: POLICE PENSION FUND         | 1,797,550          | 1,060,000                          |

TAX LEVY REPORT  
FISCAL YEAR 2014, SORTED BY PROGRAM

| ACCOUNT<br>NUMBER                                              | DESCRIPTION                               | BUDGETED<br>AMOUNT | AMOUNT TO BE<br>RAISED BY TAX LEVY |
|----------------------------------------------------------------|-------------------------------------------|--------------------|------------------------------------|
| ----- FIRE PENSION FUND: OPERATING EXPENSE: FIRE PENSION ----- |                                           |                    |                                    |
| 81-96-500-801                                                  | GENERAL & ADMINISTRATIVE                  | 132,000            | 0                                  |
| 81-96-500-802                                                  | BENEFITS & REFUNDS                        | 1,830,000          | 1,250,000                          |
|                                                                | TOTAL FOR: FIRE PENSION                   | 1,962,000          | 1,250,000                          |
|                                                                | FIRE PENSION FUND TOTAL OPERATING EXPENSE | 1,962,000          | 1,250,000                          |
|                                                                | TOTAL FOR FUND: FIRE PENSION FUND         | 1,962,000          | 1,250,000                          |

## **Attachment 2**

### **Schedule of Special Service Area Property Tax Levies**

**Village of Winnetka**  
**Schedule of Special Service Area Financing**  
**Interest Rate**

4.00%

2013.10.22

|                                     | SSA #3<br>Trapp Lane | SSA # 4<br>Elm, Oak,<br>Locust,<br>Rosewood | SSA # 5<br>Elm, Oak<br>Rosewood,<br>Glendale |
|-------------------------------------|----------------------|---------------------------------------------|----------------------------------------------|
|                                     | est cost             | final cost                                  | final cost                                   |
| SSA Principal Amount for Homeowners | \$ 255,000.00        | \$ 20,795.00                                | \$ 17,664.00                                 |
| Limit in Ordinances Approving SSA   | \$ 315,947.50        | \$ 37,000.00                                | \$ 40,312.50                                 |
| Term of Repayments in Years         | 10                   | 5                                           | 5                                            |
| <b>Debt Retirement Schedule</b>     |                      |                                             |                                              |
| <b>2011 Tax Levy</b>                |                      |                                             |                                              |
| Beginning Principal                 | \$ 255,000.00        | \$ 20,795.00                                | \$ 17,664.00                                 |
| Interest @ 4%                       | \$ 10,200.00         | \$ 832.00                                   | \$ 707.00                                    |
| Principal repaid                    | \$ 25,500.00         | \$ 4,159.00                                 | \$ 3,533.00                                  |
| Interest and Principal for YR       | \$ 35,700.00         | \$ 4,991.00                                 | \$ 4,240.00                                  |
| Ending Principal                    | \$ 229,500.00        | \$ 16,636.00                                | \$ 14,131.00                                 |
| <b>2012 Tax Levy</b>                |                      |                                             |                                              |
| Beginning Principal                 | \$ 229,500.00        | \$ 16,636.00                                | \$ 14,131.00                                 |
| Interest @ 4%                       | \$ 9,180.00          | \$ 665.00                                   | \$ 565.00                                    |
| Principal repaid                    | \$ 25,500.00         | \$ 4,159.00                                 | \$ 3,533.00                                  |
| Interest and Principal for YR       | \$ 34,680.00         | \$ 4,824.00                                 | \$ 4,098.00                                  |
| Ending Principal                    | \$ 204,000.00        | \$ 12,477.00                                | \$ 10,598.00                                 |
| <b>2013 Tax Levy</b>                |                      |                                             |                                              |
| Beginning Principal                 | \$ 204,000.00        | \$ 12,477.00                                | \$ 10,598.00                                 |
| Interest @ 4%                       | \$ 8,160.00          | \$ 499.00                                   | \$ 424.00                                    |
| Principal repaid                    | \$ 25,500.00         | \$ 4,159.00                                 | \$ 3,533.00                                  |
| Interest and Principal for YR       | \$ 33,660.00         | \$ 4,658.00                                 | \$ 3,957.00                                  |
| Ending Principal                    | \$ 178,500.00        | \$ 8,318.00                                 | \$ 7,065.00                                  |

## **Attachment 3**

# **Tax Levy and Abatement Ordinances**

**AN ORDINANCE LEVYING TAXES FOR THE YEAR 2013**

**WHEREAS**, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

**WHEREAS**, on March 19, 2013, the Council of the Village of Winnetka (“Village Council”) adopted Resolution No. R-8-2013, which budgeted \$36,419,811 to meet the expenses and liabilities of the Village for general corporate purposes, refuse collection and disposal, debt service principal and interest, and retirement fund contributions for the fiscal year beginning April 1, 2013, and ending March 31, 2014; and

**WHEREAS**, pursuant to page 15 of the budget approved on March 19, 2013, the Village Council has made its preliminary estimate of the 2013 levy, estimating that it is necessary to raise Fourteen Million Three Hundred Thirty-Three Thousand Four Hundred Eighty-One Dollars (\$14,333,481) by taxation of taxable property within the Village for general corporate purposes, for refuse collection and disposal, for debt service principal and interest and for retirement fund contributions for the fiscal year beginning April 1, 2013, and ending March 31, 2014; and

**WHEREAS**, at its October 30, 2013 meeting, the Village Council directed the staff to present a 2013 property tax levy for consideration at the November 20, 2012, Village Council meeting, said property tax levy to be in the amount of Fourteen Million Two Hundred Twenty-Two Thousand Four Hundred Seventy-Seven Dollars (\$14,222,477), as was estimated to be necessary to be raised by taxation of taxable property within the Village for general corporate purposes, for refuse collection and disposal, for debt service principal and interest and for retirement fund contributions for the fiscal year beginning April 1, 2013, and ending March 31, 2014; and

**NOW, THEREFORE**, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

**SECTION 1:** That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

**SECTION 2:** That in order to meet the expenses and liabilities of the Village of Winnetka for the fiscal year beginning April 1, 2013, and ending March 31, 2014, for general corporate purposes, for refuse collection and disposal, for debt service principal and interest and for retirement fund contributions, there is hereby levied upon all of the taxable property within the corporate limits of the Village of Winnetka subject to taxation for the current year, as assessed and equalized for the year 2013, the sum of Fourteen Million Two Hundred Twenty-Two Thousand Four Hundred Seventy-Seven Dollars (\$14,222,477), which is to be collected from the levy of the Village of Winnetka for the year 2013 for all purposes heretofore budgeted, the total of which has been ascertained and is as indicated in the following Summary of 2013 Property Tax Levy under the column labeled “Amount to Be Raised by Tax Levy,” and as set

forth in detail in the 2013 Property Tax Levy Report, which is attached as Exhibit A and is incorporated herein by reference.

**2013 TAX LEVY SUMMARY**

| <b>General Fund</b>                                   | <b>Amount Budgeted</b> | <b>Amount To Be<br/>Raised By Tax Levy</b> |
|-------------------------------------------------------|------------------------|--------------------------------------------|
| <b>For General Corporate Purposes</b>                 |                        |                                            |
| Public Affairs                                        | \$ 264,490             | \$ 120,000                                 |
| Manager's Office                                      | \$ 603,496             | \$ 330,000                                 |
| Finance Department                                    | \$ 1,947,153           | \$ 729,000                                 |
| Public Safety                                         | \$ 6,525,452           | \$ 3,855,000                               |
| Fire Safety                                           | \$ 4,877,494           | \$ 2,455,000                               |
| Community Development                                 | \$ 1,595,740           | \$ -                                       |
| Public Works                                          | \$ 3,951,792           | \$ 2,222,861                               |
| Capital Expenses                                      | \$ 2,864,585           | \$ 867,000                                 |
| General Fund Transfers                                | \$ 7,050,000           | \$ -0-                                     |
| <b>Totals for General Fund</b>                        | <b>\$ 29,680,202</b>   | <b>\$ 10,578,861</b>                       |
| <b>For Debt Service Principal and Interest</b>        |                        |                                            |
| G.O. Refunding Bonds (2003, net of abatement)         | \$ 372,328             | \$ -                                       |
| G.O. Bonds (1999, includes loss and cost)             | \$ 142,616             | \$ 137,616                                 |
| <b>Totals for Debt Service Principal and Interest</b> | <b>\$ 514,944</b>      | <b>\$ 137,616</b>                          |
| <b>For Refuse Collection and Disposal</b>             | <b>\$ 2,465,115</b>    | <b>\$ 1,100,000</b>                        |
| <b>Totals for Refuse Fund</b>                         | <b>\$ 2,465,115</b>    | <b>\$ 1,100,000</b>                        |
| <b>For Retirement Fund Contributions</b>              |                        |                                            |
| For Police Pension Fund                               | \$ 1,797,550           | \$ 1,090,000                               |
| For Fire Pension Fund                                 | \$ 1,962,000           | \$ 1,316,000                               |
| <b>Totals for Retirement Fund Contributions</b>       | <b>\$ 3,759,550</b>    | <b>\$ 2,406,000</b>                        |
| <b>Total Amount Budgeted</b>                          | <b>\$ 36,419,811</b>   |                                            |
| <b>Total Amount of Levy</b>                           |                        | <b>\$ 14,222,477</b>                       |

**SECTION 3:** That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Fourteen Million Two Hundred Twenty-Two Thousand Four Hundred Seventy-Seven Dollars (\$14,222,477), which is the total amount the Village of Winnetka requires to be raised by taxation for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon, it shall be the duty of said County Clerk to levy taxes for the year 2013 on all properties subject to taxation within the Village of Winnetka, in accordance with the provisions of this Ordinance.

**SECTION 4:** This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

**SECTION 5:** This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

**PASSED** this \_\_\_ day of \_\_\_\_\_, 2013, pursuant to the following roll call vote:

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

**APPROVED** this \_\_\_ day of \_\_\_\_\_, 2013.

Signed:

\_\_\_\_\_  
Village President

Countersigned:

\_\_\_\_\_  
Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this \_\_\_ day of \_\_\_\_\_, 2013.

Introduced:  
Passed and Approved:

**AN ORDINANCE  
LEVYING TAXES FOR THE YEAR 2013  
FOR VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS  
SPECIAL SERVICE AREA NO. 3**

**WHEREAS**, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

**WHEREAS**, Village of Winnetka Special Service Area No. 3 has been established pursuant to Ordinance M-6-2011, adopted by the Council of the Village of Winnetka (“Village Council”) on April 20, 2010, and Ordinance M-13-2010, adopted by the Village Council on July 20, 2010, to provide for certain special services within the territory described in said ordinances, such special services consisting of the construction of a storm sewer, the reconstruction and repaving of Trapp Lane, the construction of a cul-de-sac at the terminus of Trapp Lane and the construction of related appurtenances (“Special Services”), all in conjunction with the dedication of Trapp Lane as a public right of way; and

**WHEREAS**, the Village Council have determined that, in the interest of the health, safety and welfare of the Village and its residents, it is appropriate to adopt an ordinance levying taxes within Special Service Area No. 3; and

**WHEREAS**, the construction of the improvements in Special Service Area No. 3 were in excess of Two Hundred Fifty-Five Thousand Dollars (\$255,000.00); and

**WHEREAS**, the total term of repayment of the project costs for Special Service Area No. 3 is 10 years and the 2013 Tax Levy is for Year Three of Ten; and

**WHEREAS**, the Village Council have determined that the total amount to be raised by the levy of taxes on taxable property within Special Service Area No. 3 for the cost of providing such Special Services for the fiscal year beginning April 1, 2013, and ending March 31, 2014 is Thirty-Three Thousand Six Hundred Sixty Dollars (\$33,660).

**NOW, THEREFORE**, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

**SECTION 1:** That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

**SECTION 2:** That in order to meet the cost of providing the above-described Special Services within Special Service Area No. 3 for the fiscal year beginning April 1, 2013, and ending March 31, 2014, there is hereby levied upon all of the taxable property within Village of Winnetka Special Service Area No. 3 subject to taxation for the current year, as assessed and

equalized for the year 2013, the sum of Thirty-Three Thousand Six Hundred Sixty Dollars (\$33,660), which is to be collected from the levy of the Village of Winnetka for the year 2013 for the purposes heretofore budgeted, the total of which has been ascertained and is as indicated in the following Summary of 2013 Property Tax Levy under the column labeled "Amount to Be Raised by Tax Levy," and as set forth in detail in the 2013 Property Tax Levy Report, which is attached as Exhibit A and is incorporated herein by reference.

**SECTION 3:** That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Thirty-Three Thousand Six Hundred Sixty Dollars (\$33,660), which is the total amount the Village of Winnetka requires to be raised by taxation to meet the costs of Special Service Area No. 3 for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon it shall be the duty of said County Clerk to levy taxes for the year 2013 on all properties subject to taxation within Village of Winnetka Special Service Area No. 3, in accordance with the provisions of this Ordinance.

**SECTION 4:** Each provision of this Ordinance is severable. If any provision of this Ordinance or the application of any provision of this Ordinance to any person or circumstance is held invalid, then the remainder of this Ordinance and the application of the provisions of this Ordinance to other persons or circumstances shall not be affected thereby and shall remain valid, enforceable and otherwise in full force and effect.

**SECTION 5:** This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

[Remainder of this page intentionally left blank.]

**SECTION 6:** This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

**PASSED** this \_\_\_ day of \_\_\_\_\_, 2013, pursuant to the following roll call vote:

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

**APPROVED** this \_\_\_ day of \_\_\_\_\_, 2013.

Signed:

\_\_\_\_\_  
Village President

Countersigned:

\_\_\_\_\_  
Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this \_\_\_ day of \_\_\_\_\_, 2013.

Introduced:  
Passed and Approved:

**AN ORDINANCE  
LEVYING TAXES FOR THE YEAR 2013  
FOR VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS  
SPECIAL SERVICE AREA NO. 4**

**WHEREAS**, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

**WHEREAS**, Village of Winnetka Special Service Area No. 4 has been established pursuant to Ordinance M-2-2011, adopted by the Council of the Village of Winnetka (“Village Council”) on April 26, 2011, and Ordinance M-13-2011, adopted by the Village Council on September 6, 2011, to provide for certain special services within the territory described in said ordinances, such special services consisting of the construction of a storm sewer and a new concrete alley, and the construction of related appurtenances (“Special Services”) in the single family residential area consisting of properties bounded by Elm Street, Oak Street, Locust Street, and Rosewood Avenue; and

**WHEREAS**, the Village Council have determined that, in the interest of the health, safety and welfare of the Village and its residents, it is appropriate to adopt an ordinance levying taxes within Special Service Area No. 4; and

**WHEREAS**, the construction of the improvements in Special Service Area No. 4 has been completed and the total project cost for the Special Services provided to Special Service Area No. 4 is Twenty Thousand Seven Hundred Ninety-Five Dollars (\$20,795.00); and

**WHEREAS**, the total term of repayment of the project costs for Special Service Area No. 4 is five years and the 2013 Tax Levy is for Year Three of Five; and

**WHEREAS**, the Village Council have determined that the total amount to be raised by the levy of taxes on taxable property within Special Service Area No. 4 for providing such Special Services for the fiscal year beginning April 1, 2013, and ending March 31, 2014 is Four Thousand Six Hundred Fifty-Eight Dollars (\$4,658.00).

**NOW, THEREFORE**, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

**SECTION 1:** That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

**SECTION 2:** That in order to meet the cost of providing the above-described Special Services within Special Service Area No. 4 for the fiscal year beginning April 1, 2013, and ending March 31, 2014, there is hereby levied upon all of the taxable property within Village of Winnetka Special Service Area No. 4 subject to taxation for the current year, as assessed and equalized for the year 2013, the sum of Four Thousand Six Hundred Fifty-Eight Dollars (\$4,658.00), which is to be collected from the levy of the Village of Winnetka for the year 2013 for the purposes heretofore budgeted, the total of which has been ascertained and is as indicated in the following Summary of 2013 Property Tax Levy under the column labeled “Amount to Be

Raised by Tax Levy,” and as set forth in detail in the 2013 Property Tax Levy Report, which is attached as Exhibit A and is incorporated herein by reference.

**SECTION 3:** That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Four Thousand Six Hundred Fifty-Eight Dollars (\$4,658.00), which is the total amount the Village of Winnetka requires to be raised by taxation to meet the costs for Special Service Area No. 4 for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon it shall be the duty of said County Clerk to levy taxes for the year 2013 on all properties subject to taxation within Village of Winnetka Special Service Area No. 4, in accordance with the provisions of this Ordinance.

**SECTION 4:** Each provision of this Ordinance is severable. If any provision of this Ordinance or the application of any provision of this Ordinance to any person or circumstance is held invalid, then the remainder of this Ordinance and the application of the provisions of this Ordinance to other persons or circumstances shall not be affected thereby and shall remain valid, enforceable and otherwise in full force and effect.

**SECTION 5:** This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

**SECTION 6:** This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

**PASSED** this \_\_\_ day of \_\_\_\_\_, 2013, pursuant to the following roll call vote:

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

**APPROVED** this \_\_\_ day of \_\_\_\_\_, 2013.

Signed:

\_\_\_\_\_  
Village President

Countersigned:

\_\_\_\_\_  
Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this \_\_\_ day of \_\_\_\_\_, 2013.

Introduced:  
Passed and Approved:

**AN ORDINANCE  
LEVYING TAXES FOR THE YEAR 2013  
FOR VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS  
SPECIAL SERVICE AREA NO. 5**

**WHEREAS**, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

**WHEREAS**, Village of Winnetka Special Service Area No. 5 has been established pursuant to Ordinance M-3-2011, adopted by the Council of the Village of Winnetka (“Village Council”) on April 26, 2011, and Ordinance M-14-2011, adopted by the Village Council on September 6, 2011, to provide for certain special services within the territory described in said ordinances, such special services consisting of the construction of a storm sewer and a new concrete alley, and the construction of related appurtenances (“Special Services”) in the single family residential area consisting of properties bounded by Elm Street, Oak Street, Glendale Avenue, and Rosewood Avenue; and

**WHEREAS**, the Village Council have determined that, in the interest of the health, safety and welfare of the Village and its residents, it is appropriate to adopt an ordinance levying taxes within Special Service Area No. 5; and

**WHEREAS**, the construction of the improvements in Special Service Area No. 5 has been completed and the total project cost for the Special Services provided to Special Service Area No. 5 is Seventeen Thousand Six Hundred Sixty-Four Dollars (\$17,664.00); and

**WHEREAS**, the total term of repayment of the project costs for Special Service Area No. 5 is five years and the 2013 Tax Levy is for Year Three of Five; and

**WHEREAS**, the Village Council have determined that the total amount to be raised by the levy of taxes on taxable property within Special Service Area No. 5 for the cost of providing such Special Services for the fiscal year beginning April 1, 2013, and ending March 31, 2014 is Three Thousand Nine Hundred Fifty-Seven Dollars (\$3,957.00).

**NOW, THEREFORE,** be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

**SECTION 1:** That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

**SECTION 2:** That in order to meet the cost of providing the above-described Special Services within Special Service Area No. 5 for the fiscal year beginning April 1, 2013, and ending March 31, 2014, there is hereby levied upon all of the taxable property within Village of Winnetka Special Service Area No. 5 subject to taxation for the current year, as assessed and equalized for the year 2013, Three Thousand Nine Hundred Fifty-Seven Dollars (\$3,957.00), which is to be collected from the levy of the Village of Winnetka for the year 2013 for the purposes heretofore budgeted, the total of which has been ascertained and is as indicated in the following Summary of 2013 Property Tax Levy under the column labeled “Amount to Be Raised by Tax Levy,” and as set forth in detail in the 2013 Property Tax Levy Report, which is attached as Exhibit A and is incorporated herein by reference.

**SECTION 3:** That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Three Thousand Nine Hundred Fifty-Seven Dollars (\$3,957.00), which is the total amount the Village of Winnetka requires to be raised by taxation to meet the costs of Special Service Area No. 5 for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon it shall be the duty of said County Clerk to levy taxes for the year 2013 on all properties subject to taxation within Village of Winnetka Special Service Area No. 5, in accordance with the provisions of this Ordinance.

**SECTION 4:** Each provision of this Ordinance is severable. If any provision of this Ordinance or the application of any provision of this Ordinance to any person or circumstance is held invalid, then the remainder of this Ordinance and the application of the provisions of this Ordinance to other persons or circumstances shall not be affected thereby and shall remain valid, enforceable and otherwise in full force and effect.

[Remainder of this page intentionally left blank.]

**SECTION 5:** This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

**SECTION 6:** This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

**PASSED** this \_\_\_ day of \_\_\_\_\_, 2013, pursuant to the following roll call vote:

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

**APPROVED** this \_\_\_ day of \_\_\_\_\_, 2013.

Signed:

\_\_\_\_\_  
Village President

Countersigned:

\_\_\_\_\_  
Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this \_\_\_ day of \_\_\_\_\_, 2013.

Introduced:  
Passed and Approved:

**ORDINANCE NO. M-22-2013**

**AN ORDINANCE ABATING THE TAX HERETOFORE LEVIED  
FOR THE YEAR 2013 TO PAY THE PRINCIPAL OF AND INTEREST ON  
\$3,190,000 GENERAL OBLIGATION REFUNDING BONDS  
(SALES TAX ALTERNATIVE REVENUE SOURCE), SERIES 2003,  
OF THE VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS**

**WHEREAS**, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

**WHEREAS**, the Council of the Village of Winnetka, Cook County, Illinois (the “Village Council”), by Ordinance Number M-1-2003, adopted on the 7<sup>th</sup> day of January, 2003 (the “Ordinance”), did provide for the issue of \$3,190,000 General Obligation Refunding Bonds (Sales Tax Alternate Revenue Source), Series 2003 (the “Bonds”), and the levy of a direct annual tax sufficient to pay the principal of and interest on the Bonds: and

**WHEREAS**, the Village will have the Pledged Sales Tax Income (as defined in the Ordinance) in the appropriate fund pursuant to the Ordinance for the purpose of paying the principal of and interest on the Bonds up to and including December 1, 2014; and

**WHEREAS**, it is necessary and in the best interests of the Village that the tax heretofore levied for the year 2013 to pay the principal of and interest on the Bonds be abated.

**NOW, THEREFORE**, the Council of the Village of Winnetka do ordain as follows:

**SECTION 1: Abatement of Tax.** The tax heretofore levied for the year 2013 of Three Hundred Forty Five Thousand Three Hundred Eighty Five Dollars (\$345,385) in Ordinance Number M-1-2003 to pay the principal of and interest on \$3,190,000 General Obligation Refunding Bonds (sales Tax Alternate Revenue Source), Series 2003, of the Village of Winnetka, Cook County, Illinois, is hereby abated in its entirety.

**SECTION 2: Filing of Ordinance.** Forthwith upon the adoption of this Ordinance, the Village Clerk shall file a certified copy hereof with the County Clerk of the County of Cook, Illinois, and it shall be the duty of said County Clerk to abate said tax levied for the year 2013 in accordance with the provisions hereof.

**SECTION 3: Home Rule.** This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

**SECTION 3: Effective Date.** That this Ordinance shall be in full force and effect from and its passage, approval, and posting as provided by law.

**PASSED** this \_\_\_ day of \_\_\_\_\_, 2013, pursuant to the following roll call vote:

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

**APPROVED** this \_\_\_ day of \_\_\_\_\_, 2013.

Signed:

Countersigned:

\_\_\_\_\_  
Village President

\_\_\_\_\_  
Village Clerk

Introduced:  
Posted:  
Passed and Approved:  
Posted:

**AN ORDINANCE  
ABATING THE TAX HERETOFORE LEVIED  
FOR THE YEAR 2013  
TO PAY THE PRINCIPAL OF AND INTEREST ON  
\$9,000,000 GENERAL OBLIGATION BONDS, SERIES 2013,  
OF THE VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS**

**WHEREAS**, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

**WHEREAS**, the Council of the Village of Winnetka, Cook County, Illinois (the “Village Council”), by Ordinance Number M-15-2013, adopted on the 5<sup>th</sup> day of November, 2013 (the “Ordinance”), did provide for the issue of \$9,000,000 General Obligation Bonds, Series 2013 (the “Bonds”), for the financing of certain improvements to the stormwater system of the Village and for the levy and collection of a direct annual tax sufficient to pay the principal of and interest on the Bonds; and

**WHEREAS**, the Village has deposited sufficient funds in the appropriate fund pursuant to the Ordinance, for the purpose of paying the principal of and interest on the Bonds up to and including December 15, 2014; and

**WHEREAS**, it is necessary and in the best interests of the Village that the tax heretofore levied for the year 2013 to pay the principal of and interest on the Bonds be abated.

**NOW, THEREFORE**, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

**SECTION 1: Abatement of Tax.** The tax heretofore levied for the year 2013 of Three Hundred Thirty-Three Thousand Seven Hundred Twenty Dollars and Fourteen Cents (\$333,720.14) in Ordinance Number M-15-2013 to pay the principal of and interest on \$9,000,000 General Obligation Bonds, Series 2013, of the Village of Winnetka, Cook County, Illinois, is hereby abated in its entirety.

**SECTION 2: Filing of Ordinance.** Forthwith upon the adoption of this Ordinance, the Village Clerk shall file a certified copy hereof with the County Clerk of the County of Cook, Illinois, and it shall be the duty of said County Clerk to abate said tax levied for the year 2013 in accordance with the provisions hereof.

**SECTION 3: Home Rule.** This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

**SECTION 4: Effective Date.** That this Ordinance shall be in full force and effect from and its passage, approval, and posting as provided by law.

**PASSED** this \_\_\_ day of \_\_\_\_\_, 2013, pursuant to the following roll call vote:

**AYES:** \_\_\_\_\_

**NAYS:** \_\_\_\_\_

**ABSENT:** \_\_\_\_\_

**APPROVED** this \_\_\_ day of \_\_\_\_\_, 2013.

Signed:

\_\_\_\_\_  
Village President

Countersigned:

\_\_\_\_\_  
Village Clerk

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this \_\_\_ day of \_\_\_\_\_, 2013.

Introduced:  
Passed and Approved: