

Winnetka Village Council
REGULAR MEETING
Village Hall
510 Green Bay Road
Tuesday, November 17, 2015
7:00 p.m.

Emails regarding any agenda item are welcomed. Please email contactcouncil@winnetka.org, and your email will be relayed to the Council members. Emails for the Tuesday Council meeting must be received by Monday at 4 p.m. Any email may be subject to disclosure under the Freedom of Information Act.

AGENDA

- 1) Call to Order
- 2) Pledge of Allegiance
- 3) Quorum
 - a) December 1, 2015 Regular Meeting
 - b) December 8, 2015 Study Session
 - c) December 15, 2015 Regular Meeting
- 4) Approval of Agenda
- 5) Consent Agenda
 - a) Approval of Village Council Minutes
 - i) October 13, 2015 Study Session.....3
 - ii) October 19, 2015 Budget Meeting6
 - iii) October 20, 2015 Regular Meeting9
 - iv) October 27, 2015 Budget Meeting13
 - v) October 29, 2015 Budget Meeting15
 - b) Approval of Warrant Lists dated October 16-29 and October 30-November 12, 2015.....18
 - c) Resolution No. R-33-2015: Stormwater Utility Fee Partnership Credit for Lloyd Park - 799 Sheridan Road – Adoption.....19
 - d) Public Safety Pension Report.....39
 - e) B-Max Inc., Change Order for Directional Boring Services112
- 6) Stormwater Monthly Summary Report115
- 7) Ordinances and Resolutions
 - a) Public Hearing: 2016 Village Budget 122
 - i) Resolution No. R-34-2015: Village Budget for 2016 Fiscal Year – Introduction..... 124
 - b) Updated Fee and Rate Resolutions
 - i) Resolution No. R-35-2015: Water Rates – Introduction 127
 - ii) Resolution No. R-36-2015: Electric Rates – Introduction..... 132
 - iii) Resolution No. R-37-2015: Sanitary Sewer Rates – Introduction..... 143

iv) Resolution No. R-38-2015: Refuse Rates – Introduction	146
v) Resolution No. R-39-2015: Stormwater Rates – Introduction.....	152
vi) Resolution No. R-40-2015: General Permit & License Fees (Information Only)	155
vii) Resolution No. R-41-2015: Building, Zoning & Construction Fees (Information Only)	165
viii) Resolution No. R-42-2015: Fire Service Fees (Information Only)	173
c) Property Tax Levy and Abatement Ordinances	176
i) Ordinance No. M-19-2015: 2015 Tax Levy Ordinance – Introduction	179
ii) Ordinance No. M-20-2015: SSA #3 Tax Levy Ordinance – Introduction	182
iii) Ordinance No. M-21-2015: SSA #4 Tax Levy Ordinance – Introduction	184
iv) Ordinance No. M-22-2015: SSA #5 Tax Levy Ordinance – Introduction	186
v) Ordinance No. M-23-2015: 2015 Tax Abatement Ordinance (2013 - \$9.0 Million Bond Issue) – Introduction	188
vi) Ordinance No. M-24-2015: 2015 Tax Abatement Ordinance (2014 - \$7.5 Million Bond Issue) – Introduction	190
8) Public Comment	
9) Old Business: None.	
10) New Business	
a) Proclamation Recognizing Small Business Saturday	192
11) Appointments	
12) Reports	
13) Executive Session	
14) Adjournment	

NOTICE

All agenda materials are available at villageofwinnetka.org (Government > Council Information > Agenda Packets & Minutes); the Reference Desk at the Winnetka Library; or in the Manager’s Office at Village Hall (2nd floor).

Broadcasts of the Village Council meetings are televised on Channel 10 and AT&T Uverse Channel 99 every night at 7 PM. Webcasts of the meeting may also be viewed on the Internet via a link on the Village’s web site: <http://winn-media.com/videos/>

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MINUTES
WINNETKA VILLAGE COUNCIL STUDY SESSION

October 13, 2015

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Tuesday, October 13, 2015, 2015 at 7:00 p.m.

- 1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Andrew Cripe, Carol Fessler, William Krucks, Stuart McCrary, Scott Myers and Marilyn Prodromos. Absent: None. Also in attendance: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Village Attorney Karl Camillucci, Police Chief Patrick Kreis, Public Works Director Steve Saunders and approximately 4 persons in the audience.
- 2) Winnetka Park District – Bikeway Master Plan Update. Robert Smith, Director of the Winnetka Park District, explained that the Bikeway Master Plan (Plan) aims to identify bike trail routes to link up other trail systems, the lakefront and Winnetka's downtowns. He said revisions to the plan were recommended by the Village Council after a first draft of the plan was presented at an April, 2013 Council meeting.

Steve Connor, Hitchcock Design Group and the Park District Bikeway Master Plan consultant, noted that the Park District is seeking approval to implement Phase I of the Plan, and envisions folding the project into the Downtown Master Plan process. His presentation included:

- An explanation of the goals of the bikeway project
- Maps of the project area
- Identification of existing bike facilities
- Project phasing and levels of service
- Review of public comment received over three public meetings, and Council comments from 2013
- Other potential links for consideration at a later date

Mr. Connor said there is wide community support in other comparable communities that have adopted bike plans, and there has been a general increase in bicycling use as a result.

The Council discussed the Plan, noting that major renovations to Hill Road and its sidewalks will be required before it can be designated as an east/west bike link. The safety of children traveling to and from school was expressed as a significant priority of the Council. A few Trustees had reservations about designating Elm Street as the link between Sheridan Road and the Forest Preserves, citing congestion and safety on such a busy Village thoroughfare. Others were not overly concerned about these issues, as children cycling to school tend to stay on the sidewalks. Furthermore, the parents of school children generally coach their children to take routes on quieter streets.

President Greable called for more hard data in the Plan, such as the current number of bike users on Winnetka's streets, and how many children cycle to school. The Council asked the Police Chief to weigh in on questions about safety.

Chief Kreis explained that the safety issue for children riding bikes is multi-pronged: (i) parents take time to show their children the route to take; (ii) usage of bike helmets; and (iii) the schools have a workshop for children that desire to bike to school. He noted that the sidewalks are a great avenue for children on bikes, but they are not a good choice for adults, who travel much faster.

President Greable called for audience comment.

Kristin Ziv, Arbor Vitae. Ms. Ziv said recreational cyclists and children cycling to/from school might need to be treated as separate issues. She noted that she tends to avoid busy streets like Elm Street that have a lot of traffic, preferring a quieter street like Pine Street as an alternative. She said children should not be on the road competing with heavy traffic and buses unless there is a designated bike lane.

Mr. Connor explained that residents will not be required to abandon their favored routes; rather, the Park District is essentially providing an identified route for people who are not familiar with the area.

The Council concluded that Hill Road is currently unsuitable to be a designated east/west bike route, and much basic infrastructure work needs to be done before it can be considered. Mr. Smith noted that when the Hubbard Woods train station is rehabbed, the railroad has been requested to provide an access point to/from the Green Bay Trail in Hubbard Woods.

During a discussion about signage for Phase I of the Plan, Manager Bahan explained that the Downtown Master Plan Steering Committee will look at samples of attractive and functional signs and make a recommendation.

After a thorough discussion, the Council generally agreed that Phase I makes a good start to the a coherent Bikeway Master Plan, and that the Downtown Master Plan could be used to help plan certain elements like bike repair stations. In addition, stormwater solutions should be kept in mind; integrating the Plan with a possible stormwater solution could enhance the Forest Preserve and make the whole area more attractive. Trustee Prodromos was concerned about a proliferation of cyclists on Elm Street and disagreed that it should be used as a route from Sheridan Road to the Forest Preserve.

Manager Bahan said traffic patterns can be studied to gain a sense of the impact of the new designation.

- 3) Stormwater Utility Fee: Application for Partnership Credit by Winnetka Park District for Lloyd Park – 799 Sheridan Road. Mr. Saunders explained that, prior to beginning construction on major stormwater improvements at Lloyd Park, the Park District granted the Village an easement that saved hundreds of thousands of dollars on the project cost. Village Staff recommended approval of the Park District's stormwater utility credit application in return for this intergovernmental cooperation. However, at a discussion last January, the Council was unable to agree about whether and how to grant a credit, and it was deferred for more discussion at a later date.

Trustee Krucks said he believed a majority of the Council in attendance tonight voted to grant the credit; but there was disagreement on a proposed sunset provision. Trustee Fessler voiced concern about setting a precedent by granting a credit without an ending date set for when the stormwater bonds are retired.

President Greable said by his calculations, the Park District saved the Village approximately \$600,000. Trustee Cripe pointed out that Lloyd Park directly discharged into the Lake before the Village constructed the stormwater improvements, and he did not believe they should even pay a stormwater utility fee for this particular location.

Mr. Saunders explained that the Stormwater Utility Code was written to give the Council full discretion on each credit application so there is no setting of precedent. He noted that the credit provision only pertains to large landholders who have the capacity to save taxpayers significant sums for stormwater relief.

After more discussion, the Council agreed to approve the Park District's partnership credit for 21 Equivalent Runoff Units, for an annual amount of \$5,501.16.

4) Public Comment.

Glenn Weaver, 574 Lincoln. Mr. Weaver asked the Council for a waiver on current fire sprinkler regulations, in order to rent his vacant property to a pop-up store for a 30-day period.

Manager Bahan said an appeal could be put on the agenda for the Council's October 20 Regular Meeting, as the Village Code does not contain a provision for the Fire Chief to waive the sprinkler requirement for a change of use in the commercial districts. He noted that Staff continues to evaluate alternatives to the Village's current fire sprinkler regulations, as the change of use standard has not been deemed the ideal trigger point.

He further explained that the current Code was written to phase in compliance with the Code over time; and that some commercial property owners have made substantial investments in their buildings by installing fire sprinkler systems. He said an in-depth discussion of the Sprinkler Code could take place at a later date.

Attorney Camillucci said there is no problem scheduling the appeal on the next agenda, since the Village Code provides for an appeal process, and the Council has authority to grant one.

5) Executive Session. None.

6) Adjournment. Trustee Fessler, seconded by Trustee Prodromos, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 9:07 p.m.

Deputy Clerk

MINUTES
WINNETKA VILLAGE COUNCIL BUDGET MEETING

October 19, 2015

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Tuesday, October 10, 2015 at 7:00 p.m.

- 1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Andy Cripe, Carol Fessler, Stuart McCrary, Scott Myers and Marilyn Prodromos. Absent: Trustee Bill Krucks. Also in attendance: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Interim Finance Director Greg Peters, Finance Director Tim Sloth, Assistant Finance Director Hanna Sullivan, Financial Services Coordinator Nick Mostardo, Public Works Director Steve Saunders, Assistant Public Works Director Jim Bernahl, and approximately 5 persons in the audience.
- 2) Budget Overview. Village Manager Robert Bahan introduced the Village's new Finance Director, Tim Sloth, who came to Winnetka after serving as Finance Director for the Village Bensenville. Interim Director Greg Peters thanked Village staff and Council for their support during the search for a new Finance Director. He noted that the Budget format has been changed slightly for ease of referencing expense details for each department.

Mr. Peters said Winnetka meets the five core financial principles of: (i) balanced operating budgets; (ii) appropriate reserves; (iii) staffing levels carefully controlled; (iv) debt only issued for major capital investments; and (v) annual pension funding meets or exceeds required actuarial amounts. He noted that the operating budgets comprise almost 72% of the Village's overall \$47.8 million budget, adding that these expenses are largely fixed, as they are driven by employee costs.

Mr. Peters explained the recommendation for 2016 is a 0% property tax increase for existing homes; however, an increase of .8% could be realized for new construction. He said the proposed 2016 Budget's impact on an average Winnetka taxpayer would result in an overall increase of 1.1%, or \$82, due to several utility fee increases. The portion of the overall property tax that is levied by the Village has stayed extremely consistent over the years, at about 13%.

Mr. Peters reviewed proposed initiatives for 2016 including: security improvements, downtown revitalization, rehabilitation of roads and sidewalks, and new storm windows for Village Hall. He explained that the Village is a service organization and personnel costs are necessarily 75-80% of the Budget; however, staffing has been reduced over the past 15 years and management examines each open position carefully to ensure it is needed. He reviewed annual pension costs, noting that Winnetka is in a very good position in relation to its funding levels. The Government Accounting Standards Board (GASB) has implemented changes to mortality tables and retention calculations that will result in an increase in public safety pension levies.

During a discussion about Winnetka's pension funding, staff was requested to bring back a projection of Winnetka's funding levels for the next ten years. Mr. Peters explained that Winnetka's assumptions are typically lower than most other towns, which artificially inflates the amount of unfunded liabilities. Manager Bahan noted the IMRF is nearly 90% funded,

and he recommended waiting until next year before discussing an increase in the Village's pension contributions, when the impact of the new GASB rules will be known.

Mr. Peters continued his overview with a review of administrative transfers and payment in lieu of taxes; General Fund revenue and operating expenses, as well as General Fund transfers. He noted that operating expenses are increasing roughly 0.6% over 2015, due largely to personnel costs. The 2016 Budget is fiscally sound and operating budgets are balanced.

Mr. Peters reviewed the functions of the Manager's Office, which include: public relations/outreach, human resources, oversight of Freedom of Information Act requests, election authority, collective bargaining, and economic development. There is no operating increase in the Manager's budget for 2016.

During a discussion about the efficacy of the Economic Development program, the Council expressed a desire to have a detailed discussion in the spring about the program's goals and accomplishments, to help the Council decide whether more investment in this area is needed. Manager Bahan recommended keeping the personnel allocation as it is for another budget cycle, so the return on investment can be more fully gauged.

The Council requested Village staff to ensure that maximum collaboration with other Village agencies is taking place, as well as efficiencies of shared buying power whenever possible. Manager Bahan explained his office keeps a list of programs the Village collaborates on, both within and outside of Winnetka.

Mr. Peters reviewed the functions of the Finance Department, including: revenue collection, utility billing, purchasing, accounts payable, investments, benefits administration, customer service, and insurance. The Finance budget has increased 4% in the 2016 Budget, mostly due to wage increases.

In summary, Mr. Peters noted the impact of the 2016 Budget on a typical Winnetka resident is an increase of \$224 over 2015, mostly due to fee increases.

- 3) Public Works Department. Mr. Saunders reviewed the department's activities, which include: refuse collection/disposal, street maintenance and snow removal, upkeep of stormwater and sanitary sewer systems, fleet services, engineering, forestry, maintenance of Village facilities, and implementation of each year's capital improvements program. He explained the 2016 Budget will contain increases in wages/benefits, service/supplies, and capital outlay.

Mr. Saunders reviewed the following funds:

- **General Fund:** Proposed 10.3% total increase to allow for increased costs of wages/benefits; services/supplies; and capital outlay. The Street Rehabilitation Program is coming to the end of a five-year cycle in 2016; future conditions will be updated based on test results at that time. Other capital projects: (i) equipment replacement; (ii) sidewalk replacement program; (iii) Village Yard fuel tank replacement; (iv) Lincoln Avenue parking lot rehabilitation; (v) Public Works parking lot BMP project; and (vi) Village Yard floor repairs.

- **Sanitary Sewer Fund:** Proposed 5% rate increase to bring the fund balance, which is being spent down due to short-term capital improvements, into compliance with Winnetka's fund balance policy. This increase could be reduced once the fund balance is back up to an acceptable level. Proposed 2016 improvements: (i) Sheridan Road lift station pump replacement; (ii) pipe repair and lining; (iii) purchase excavator jointly with Water & Electric; and (iv) sewer lining program.
- **Refuse Fund:** Proposed 1.9% increase. Cash flow is on a steady decline. In the face of continuing expense and revenue trends, some short-term remedies for cash flow include: (i) increase commercial refuse collection rates by 10%; (ii) increase yard waste bag/refuse sticker prices by 10%; and (iii) evaluate an increase in second refuse collection fee to reflect actual cost of service. The Village's recycling contract is expiring, and a new joint recycling contract with Glencoe could generate significant savings. Currently, no fee is charged for refuse/recycling collection, as the philosophy has been fees are not tax-deductible, and therefore less desirable. The Council requested a Study Session devoted to a discussion about the refuse collection program during the first quarter of 2016.
- **Stormwater Fund:** Capital Projects include: engineering for southwest Winnetka stormwater alternatives; Boal Parkway improvements; Sheridan Road ravine stabilization; and equipment purchase.
- **Fleet Services:** This operating fund is relatively flat, and a slight decrease in the 2016 budget is anticipated.
- **Motor Fuel Tax Fund:** Capital projects include: Phase I engineering for reconstruction of Cherry and Oak Street bridges; Elm Street / Green Bay Road traffic signal modernization.
- **Business District Fund:** Capital projects include: Downtown Master Plan completion and site evaluations; routine sidewalk/grate/paver repairs.
- **Facilities Fund:** Capital projects: finish Village Hall door rehabilitation; replace Village Hall storm windows.

President Greable called for questions or comments from the public.

Mimi Turney, Boal Parkway. Ms. Turney thanked the Village for putting the Boal Parkway stormwater project in the budget, and she asked if the engineering will involve all three outlets to the Forest Preserve Pond.

Mr. Saunders explained that only one outlet comes from Boal Parkway.

- 4) Public Comment. None.
- 5) Adjournment. Trustee Myers, seconded by Trustee Fessler, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 9:56 p.m.

Deputy Clerk

**MINUTES
WINNETKA VILLAGE COUNCIL
REGULAR MEETING
October 20, 2015**

(Approved: xx)

A record of a legally convened regular meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Tuesday, October 20, at 7:00 p.m.

- 1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Andrew Cripe, Carol Fessler, William Krucks, Stuart McCrary, Scott Myers and Marilyn Prodromos. Absent: None. Also present: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Village Attorney Peter M. Friedman, Fire Chief Alan Berkowsky, Public Works Director Steve Saunders, Assistant Public Works Director Jim Bernahl, Community Development Planner Ann Klaassen, and approximately 10 persons in the audience.
- 2) Pledge of Allegiance. President Greable led the group in the Pledge of Allegiance.
- 3) Quorum.
 - a) October 27, 2015. All of the Council members present indicated that they expected to attend.
 - b) October 29, 2015 Budget Meeting. All of the Council members present indicated that they expected to attend.
 - c) November 3, 2015 Regular Meeting. All of the Council members present indicated that they expected to attend.
 - d) November 10, 2015 Study Session. All of the Council members present except Trustee Meyers indicated they expect to attend.
- 4) Approval of the Agenda. Trustee Myers, seconded by Trustee Fessler, moved to approve the Agenda reordered with Item 10 (a) *2015 Preservation Awards Presentation* heard after Item 5, *Consent Agenda*, and Item 10 (b) *Phase 1 Engineering Services* heard after Item 6, *Stormwater Monthly Summary Report*. By voice vote, the motion carried.
- 5) Consent Agenda
 - a) Village Council Minutes.
 - i) October 6, 2015 Regular Meeting.
 - b) Warrant List. Approving the Warrant List dated October 2-15, 2015 in the amount of \$1,231,954.31.
 - c) Resolution No. R-31-2015: Re-Subdivision of 220 DeWindt Road & 1040 Sunset Road—Adoption. A Resolution approving the DeWindt-Sunset Subdivision as modified to incorporate conditions recommended by the Plan Commission.
 - d) Resolution No. R-32-2015: Appointing Village Treasurer—Adoption. A Resolution appointing Timothy J. Sloth as Village Treasurer.

- e) Purchase of Police Patrol Vehicle. Approval of the purchase of a 2016 Dodge Charger Police Sedan for \$26,258.
- f) Change Order, Xtivity Solutions, LLC. Authorizing the Village Manager to issue a change order to Xtivity Solutions, LLC in the amount of \$6,100 to replace three cameras at the Water & Electric Plants.

Trustee Fessler, seconded by Trustee Myers, moved to approve the foregoing items on the Consent Agenda by omnibus vote. By roll call vote, the motion carried. Ayes: Trustees Cripe, Fessler, Krucks, McCrary, Myers and Prodromos. Nays: None. Absent: None.

10) New Business.

- a) 2015 Preservation Awards Presentation. President Greable introduced Louise Holland, Chairperson of the Landmark Preservation Commission, noting every year the Commission accepts nominations for the Annual Preservation Awards program to honor construction projects in Winnetka that preserve the history and character of the Village.

Chairperson Holland thanked the Commission and Council Liaison Stuart McCrary. She read the category for Restoration and awarded Rob Scales and Mary Keefe of 1045 Sheridan and Jim and Jill Vent of 965 Spruce. For the category of Rehabilitation Chairperson Holland awarded Chris Barbin and Lori Csazar of 577 Ash Street, Ethan and Christine Holland of 510 Poplar Street, Eric and Emily Walker of 827 Prospect Avenue and Pete and Jenny Rosenstein of 1000 Vernon Avenue. For New Construction, Chairperson Holland awarded Clyde McGregor and LeAnn Pope of 627 Sheridan Road.

- 6) Stormwater Monthly Summary Report. Public Works Director Steve Saunders updated the Council on current stormwater improvements. Director Saunders noted the Northwest Winnetka project is close to completion with part of the project funding provided by the Metropolitan Water Reclamation District. He also gave an overview of Strand Associates' plans for the non-tunnel stormwater alternatives study that is underway.

10) New Business.

- b) Phase I Engineering Services—Oak and Cherry Street Bridge Rehabilitation Project. Assistant Public Works Director Jim Bernahl gave an overview of the project and how the engineering firm was selected. In response to a question from Trustee Myers, Assistant Director Bernahl noted the Oak Street Bridge will be repaired first, followed by the Cherry Street Bridge so both are not out of commission at the same time. Mr. Bernahl also addressed Trustee Fessler's concern noting the federal funds for this project are administered through the State of Illinois and the State's current fiscal economy has no bearing on receipt of these funds.

Trustee Myers, seconded by Trustee McCrary, moved to award a contract to Baxter & Woodman for Phase I Engineering for the Oak and Cherry Street Bridges, for an amount not to exceed \$170,000. By roll call vote, the motion carried. Ayes: Trustees Cripe, Fessler, Krucks, McCrary, Myers, and Prodromos. Nays: None. Absent: None.

7) Ordinances and Resolutions.

- a) Resolution No. R-27-2015: Granting an Appeal Pursuant to Section 15.16.090 of the Village Code—Adoption. Chief Berkowsky gave a history of the applicant’s request. He noted the request is a change of use in a commercial space and therefore triggers sprinkler requirement under the current Village Code. The applicant, Glenn Weaver, owner of 574 Lincoln Avenue, thanked the Council for considering his request. Trustee Fessler noted her disappointment that the tenant was not present to answer questions from the Council. Trustee Myers confirmed the temporary occupancy permit will expire after 30 days regardless of the length of the lease.

Richard Kates, 1326 Tower, noted his disapproval of the Council’s consideration of this request. Mr. Kates stated this issue has been brought up before, and the Council should have addressed it. Mr. Kates stated the Council was opposed to the rewrite of this ordinance. Village Manager Robert Bahan noted there was not consensus among the Council when this issue has arisen in the past, adding that it can be addressed at the Council’s pleasure in the future.

The Council discussed and noted their support of this request and their desire to address the sprinkler ordinance as soon as possible. Trustee McCrary requested the record reflect that while he supports this request, he does not universally support 30 day exemptions. President Greable requested this issue be addressed by the Council in January 2016. The Council and Manager agreed to ask Teska Associates to weigh in on this issue as part of the Downtown Master Plan process.

Trustee Fessler, seconded by Trustee Prodromos, moved to adopt Resolution R-27-2015. By roll call vote, the motion carried. Ayes: Trustees Cripe, Fessler, Krucks, McCrary, Myers and Prodromos. Nays: None. Absent: None.

8) Public Comment. None.

9) Old Business. None.

10) New Business. As noted above, these items were discussed previously.

- a) 2015 Preservation Awards Presentation.
- b) Phase I Engineering Services—Oak and Cherry Street Bridge Rehabilitation Project.

11) Appointments.

- a) Trustee Myers, seconded by Trustee Krucks, moved to appoint Mike Nielsen to a full term on the Environmental and Forestry Commission, effective immediately. By a voice vote, the motion carried.
- b) Trustee Prodromos, seconded by Trustee Krucks, moved to re-appoint Patrick O’Neil to another full term on the Business Community Development Commission. By voice vote, the motion carried.
- c) Trustee Prodromos, seconded by Trustee McCrary, moved to appoint Chris Foley to the Environmental and Forestry Commission. By voice vote, the motion carried.

12) Reports.

- a) Village President. None.

- b) Trustees. None.
- c) Attorney. None.
- d) Manager. None.

13) Executive Session. None.

14) Adjournment. Trustee Fessler, seconded by Trustee Prodromos, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 8:07 p.m.

Recording Secretary

DRAFT

MINUTES
WINNETKA VILLAGE COUNCIL BUDGET MEETING

October 27, 2015

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Tuesday, October 27, 2015 at 7:00 p.m.

- 1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Andrew Cripe, Carol Fessler, William Krucks, Stuart McCrary, and Scott Myers. Absent: Trustee Marilyn Prodromos (Trustee Prodromos arrived at 7:15 p.m.). Also in attendance: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Community Development Director Mike D’Onofrio, Interim Finance Director Greg Peters, Finance Director Tim Sloth, Assistant Finance Director Hanna Sullivan, Financial Services Coordinator Nick Mostardo, Director of Water & Electric Brian Keys, Assistant Director of Water & Electric Giovanni McLean, and approximately 11 persons in the audience.
- 2) Community Development. Mr. D’Onofrio explained the Community Development Department protects the health and safety of residents through enforcement and oversight of the sanitation and building codes. He reviewed the department’s activity for 2015, including issuance of building permits, building and sanitation inspections, and plan reviews. He noted to save costs, the Village contracts with the Village of Wilmette for a sanitarian inspector and with SAFEbuilt for plan review and building inspections.

Mr. D’Onofrio reviewed other functions of his department, which include processing of zoning relief applications and building demolitions; oversight of commercial construction and design; subdivision approval, and staff support for the Plan Commission, Zoning Board of Appeals, Business Community Development Commission, Design Review Board, and Landmark Preservation Commission. In 2016, Community Development will assist the Manager’s Office with oversight of the Downtown Master Plan process and Economic Development program.

Mr. D’Onofrio reviewed the 2016 Budget, which will decrease by 2.3% from 2015. He explained the savings stems largely from transitioning from a full-time in-house plan examiner to a part-time employee from SAFEbuilt. In addition, funding for the Village’s membership in the Chicago North Shore’s Convention & Visitors Bureau has been shifted to the Economic Development budget under the Manager’s Office.

[Trustee Prodromos arrived at 7:15 PM]

Mr. D’Onofrio concluded with a review of the expense categories, trends in building permit revenue and the performance of SAFEbuilt in providing inspection and plan review services. He noted the contract with SAFEbuilt provides for customer satisfaction, and added that permit customer surveys generally garner positive responses. Trustee Cripe asked if the Council could invest more in the department to help it aggressively solicit redevelopment opportunities in Winnetka; and he also asked how the Village’s permit fees compare to those of surrounding communities.

Manager Bahan explained the Downtown Master Plan process will deliver concepts and market testing for the Post Office site and these will be [resented to the Council and

community for a dialog on a potential project. Mr. D'Onofrio said he would update a previous permit fee study for the Council.

President Greable said he was impressed with how the department facilitated recent zoning amendments and urged it to keep investigating ways to make Winnetka a better community.

- 3) Water & Electric. Mr. Keys reviewed the organization chart, introduced the new Assistant Director, Giovanni McLean, and noted there are no planned changes in employee head count for 2016. In his review of the Water Fund, he noted the water utility covers its expenses; however, he warned the fund balance is declining due to expenditures for capital projects. To remedy this, a rate increase of 2% is recommended, which will add \$18 to the average customer's water utility bill. Sales of water are on the decline, partly due to a few recent wet, cool summers and partly because of conservation efforts on the part of customers and appliance manufacturers. He predicted flat to declining sales in the long-term.

A comparison of Winnetka's water rates to other comparable communities showed the Village slightly below the average for residential rates; Mr. Keys noted the Village clearly reaps a financial benefit by having its own water system. Next, he reviewed major operating expenses and capital expenditures, and he alerted the Council to the necessity of discussing a water main replacement program in 2016. An analysis of the Village's water mains will reveal which pipes are the most deteriorated and will help to calculate what kind of water rates will be needed to fund a replacement program.

Next, Mr. Keys evaluated of the Electric fund, which also had sales below the 2015 forecast due to the cooler summer weather. As with the Water Fund, this fund covers its operating expenses, but is drawing down fund balance due to capital projects. A 2% increase in the electric rates is recommended for 2016.

Mr. Keys reviewed the five-year plan, purchase power cost, and the residential rate history, including a comparison with comparable communities. Regarding Illinois Municipal Electric Agency capacity credits, Mr. Keys explained the Village's contract for \$1.5 million in credits ends in 2035. He noted the residents of Winnetka enjoy very reliable electric service due to the Village's operation of the electric utility; therefore, as long as the generators are operational the Village benefits from keeping the plant going.

In conclusion, Mr. Keys noted a cost of service study, anticipated in 2016, will provide critical information about the benefits of keeping the electric utility, especially with regard to demand charges for large institutions. Assuming load growth does not change, the substation transfer can be deferred to reprioritize fire protection. Finally, he explained that with no current debt and a positive cash flow, the Electric Fund is in a better than average position.

- 4) Public Comment. None.
- 5) Executive Session. None.
- 6) Adjournment. Trustee Fessler, seconded by Trustee Prodromos, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 9:42 p.m.

Deputy Clerk

MINUTES
WINNETKA VILLAGE COUNCIL BUDGET MEETING

October 29, 2015

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Tuesday, October 29, 2015 at 7:00 p.m.

- 1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Andy Cripe, Carol Fessler, William Krucks, Stuart McCrary, and Marilyn Prodromos. Absent: Trustee Scott Myers. Also in attendance: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Finance Director Tim Sloth, Interim Finance Director Greg Peters, Assistant Finance Director Hanna Sullivan, Financial Services Coordinator Nick Mostardo, Police Chief Pat Kreis, Deputy Police Chief Joe Pellus, Public Safety Analyst Keri Kaup, Fire Chief Alan Berkowsky, Deputy Fire Chief John Ripka, Public Safety Analyst Justin Kohls and 0 persons in the audience.
- 2) Police Department. Police Chief Kreis recognized his staff for their collaboration on the Department's budget. The Chief noted a \$272,000 operational budget increase due to salary and benefit costs. He highlighted police activities for 2015, including the installation of an in-house adjudication program, addition of a police officer to the Department and a position reclassification to allow for a new public safety analyst. Chief Kreis reported a national police satisfaction survey Winnetka ranked 95.2% in overall officer satisfaction as compared to 59.4% overall satisfaction with all other reporting police departments. Goals for the Department for 2016 include an evaluation of police communication and consolidation as well as establishing a social media presence for the Department.

In response to a question from Trustee Krucks, Chief Kreis stated he continues to meet with neighboring municipalities to explore consolidated dispatch. He continued that he has met with Lake County municipalities that conducted a consolidation feasibility study, and he will be prepared to meet the State program deadline.

Manager Bahan added the Village meets monthly with surrounding municipalities including Kenilworth and Glencoe to discuss the state guidelines regarding consolidation and to explore opportunities. Mr. Bahan continued that the State directive is for municipalities under 25,000 in population to seek consolidation.

Trustee McCrary asked for more information regarding the statistics presented on the administrative hearing program. Chief Kreis advised more analysis of the data will be possible in January after a full year of data has been collected. He noted thus far the program has been well received and successful in its goals.

In response to a question from Trustee Fessler, Chief Kreis stated the Department's goals with social media include establishing a proactive presence. He noted the Department already uses it for investigations and is now looking to provide alerts and even routine information. The Chief continued that the Department's technology efforts were advanced this year with the expansion of surveillance video in the Village.

- 3) Fire Department. Fire Chief Alan Berkowsky thanked Public Safety Analyst Justin Kohls for his assistance in developing the Department's budget. Chief Berkowsky reviewed the organizational chart for the Department and highlighted savings of approximately \$118,250 in overtime costs. He discussed capital expenses for next year and noted a revenue increase is projected for 2016 from the provision of fire protection service for Kenilworth and collection of fees for other services.

In response to a question from President Greable, Chief Berkowsky noted personal insurance for persons under age 65 pays for ambulance services and Medicare absorbs the cost for persons 65 years and older.

The Chief continued the Village saves approximately \$25,000 per new hire by requiring paramedic certification. He highlighted some accomplishments this year for the Department including officer development in pediatric advanced life support, cloud based Emergency Medical Service (EMS) reporting, and a very successful National Fire Prevention week with 16 school presentations and an open house event.

The Chief added 2016 is a testing year for the Department, which tests with Northbrook and charges a fee resulting in a cost neutral event. The Department has been working on false alarm reduction this year which in turn allows members of the public to respond more seriously to fire alarms.

Manager Bahan thanked the Chiefs for their presentations and stated the budget will be introduced at the regular Village Council meeting on November 17, 2015. Addressing outstanding budget issues, he explained the Village estimates 81% of capital funds budgeted will be expended by year's end. He noted the budget philosophy in Winnetka is not one where 100% of funds must be spent in order to secure the same amount the following year. Mr. Bahan continued there will be fewer capital projects next year due to the change in anticipated stormwater projects.

Mr. Bahan noted the Village is monitoring the State action with regard to the Local Government Distributive Fund. Current projections indicate a 25% reduction in funds to be distributed to Winnetka in 2016. He added that permit revenues for the Village are not decreasing but rather projected to increase for 2016. He noted budget goals for the Finance Department have been added to the budget document and remarked that greater efficiencies have been achieved through shared services with local municipalities.

In response to inquiries from the Council, Mr. Bahan stated the Environmental Forestry Commission will be exploring options for refuse collection in Winnetka. He noted citizens really appreciate current collection work, and the Village is not looking to increase program fees at this time. The Village is anticipating substantial savings in the next recycling contract, which will be reviewed by Council in the near future. Staff continues to recommend a 5% sewer fee increase to fund sanitary sewer improvements for 2016. Manager Bahan closed by explaining rates in the water fund and noting any changes can be made with a budget amendment. He thanked staff and all those who assisted with the transition in the Finance Department.

President Greable thanked staff, Manager Bahan and the Department Heads. He noted this is a fiscally responsible budget with no property tax increase that maintains high levels of service to the community.

- 4) Public Comment. None.
- 5) Executive Session. None.
- 6) Adjournment. Trustee Prodromos, seconded by Trustee Cripe, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 7:59 p.m.

Recording Secretary



Agenda Item Executive Summary

Title: Approval of Warrant Lists

Presenter: Robert M. Bahan, Village Manager

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

None.

Executive Summary:

The Warrant Lists dated October 16 - 29, 2015 and October 30 - November 12, 2015 were emailed to each Village Council member.

Recommendation:

Consider approving the Warrant Lists dated October 16 - 29, 2015 and October 30 - November 12, 2015.

Attachments:

None.



Agenda Item Executive Summary

Title: Resolution No. R-33-2015: Stormwater Utility Fee Partnership Credit for Lloyd Park - 799 Sheridan Road (Adoption)

Presenter: Steven M. Saunders, Director of Public Works/Village Engineer

Agenda Date: 11/17/2015

- Ordinance
- Resolution
- Bid Authorization/Award
- Policy Direction
- Informational Only

Consent: YES NO

Item History:

When adopting the stormwater utility fee in March, 2014, the Village Council recognized that, in certain unique circumstances, some institutions in the Village, such as schools, parks and churches, have sufficient resources that, in addition to complying with applicable stormwater detention requirements, they are also able to provide significant stormwater management assistance to the Village, through such actions as the donation of land for use in the stormwater system, significant capital contributions for the stormwater system or other such activities. The Council reserved the sole and exclusive right and discretion to enter into agreements with those property owners to provide for such contributions to the Village's stormwater system, and to grant a credit to such owners for all or part of the stormwater utility fee in exchange for such contribution. The Winnetka Park District has submitted a request for consideration of such a partnership credit for Lloyd Park, at 799 Sheridan Road.

Executive Summary:

In 2014, the Village completed the Northeast Winnetka Stormwater Improvement. The northeast Winnetka watershed previously drained to Lake Michigan via a single outlet at the east end of Spruce Street. This improvement consisted of two separate projects, aimed at separating the large northeast Winnetka watershed into two subwatersheds, by constructing a new connection to an existing outlet across property owned by the Winnetka Park District, at Lloyd Park. The Park District granted the Village an easement across the south end of the Lloyd Park parking lot, under which the new storm sewer could be constructed to access the Lake. Absent this easement, the Village would have needed to identify and acquire another route from Sheridan Road to the Lake, or to significantly increase stormwater conveyance capacity along Sheridan Road and Spruce Street, and a construct new outlet structure at Spruce Street, to provide stormwater flood protection for the southern portion of the northeast Winnetka watershed. Either of these two options would have been significantly more expensive to implement – on the order of several hundred thousand dollars – than the Lloyd Outlet improvement that was ultimately constructed.

On October 13, 2015, the Village Council discussed a credit application for the 21.0 ERU on this property and ultimately directed staff to prepare a resolution that would implement the requested credit. The Village Attorney has prepared resolution No. R-33-2015, granting a partnership credit to the Winnetka Park District for 21.0 ERU at Lloyd Park - 799 Sheridan, for an annual amount of \$5,501.16 based on the current ERU rate.

Recommendation:

Consider adopting Resolution No. R-33-2015 granting a partnership credit to the Winnetka Park District for 21.0 ERU at Lloyd Park - 799 Sheridan Road, for an annual amount of \$5,501.16 based on the current ERU rate.

Attachments:

1. Resolution No. R-33-2015
2. Winnetka Park District Credit Application
2. Winnetka Village Code Section 13.16
3. Draft Meeting Minutes - October 13, 2015 Village Council Regular Meeting

RESOLUTION NO. R-33-2015

**A RESOLUTION GRANTING A STORMWATER FEE PARTNERSHIP CREDIT
TO THE WINNETKA PARK DISTRICT
PURSUANT TO SECTION 13.16.140 OF THE VILLAGE CODE
(Lloyd Park; 799 Sheridan Road)**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970; and

WHEREAS, pursuant to Section 13.16.070 of the Winnetka Village Code, as amended (“*Village Code*”), the Village imposes a fee on the owners of real property located within the Village for each owner’s use of the Village’s stormwater utility system (“*Stormwater Fee*”); and

WHEREAS, the Stormwater Fee is based on the number of Equivalent Runoff Units (“*ERU*”), as defined in Section 13.16.020 of the Village Code, attributable to each parcel of real property; and

WHEREAS, pursuant to Section 13.16.140 of the Village Code, the Village Council may grant, in its discretion, a partnership credit in the amount of part or all of the Stormwater Fee applicable to a parcel of real property to institutional owners who provide significant stormwater management assistance to the Village through actions such as the donation of land for use in, and significant capital contributions for, the Village’s stormwater system (“*Partnership Credit*”); and

WHEREAS, the Winnetka Park District (“*District*”) owns the property commonly known as Lloyd Park and located at 799 Sheridan Road in the Village (“*Property*”); and

WHEREAS, in 2014, the District granted the Village an easement across the Property (“*Easement*”), at no cost to the Village, to permit the Village to construct a new storm sewer under the Property that connected the northeast Winnetka watershed to an additional stormwater outlet into Lake Michigan (“*Stormwater Improvement*”); and

WHEREAS, without the Easement from the District, the Village could not have constructed the Stormwater Improvement; and

WHEREAS, the estimated costs of the potential alternative improvements to the Stormwater Improvement that the Village could have constructed to manage stormwater in the northeast Winnetka watershed were significantly higher than the cost of the Stormwater Improvement; and

WHEREAS, before the construction of the Stormwater Improvement, the Property did not contribute any stormwater into the Village’s stormwater system and therefore was not subject to the Stormwater Fee; and

WHEREAS, as a result of the construction of the Stormwater Improvement, the Property now contributes stormwater into the Village’s stormwater system through the Stormwater Improvement; and

WHEREAS, the Village has determined that 21 ERU are attributable to the Property;
and

WHEREAS, the District has applied to the Village Council for a Partnership Credit for the Property in the amount of 21 ERU ("***District Partnership Credit***"); and

WHEREAS, the Village Council has determined, in its sole discretion, to grant the District Partnership Credit, subject to the terms and conditions of this Resolution, in recognition of the District's significant stormwater management assistance to the Village through the donation of the Easement permitting construction of the Stormwater Improvement;

NOW, THEREFORE, BE IT RESOLVED by the Council of the Village of Winnetka, Cook County, Illinois, as follows:

SECTION 1: RECITALS. The foregoing recitals are hereby incorporated as the findings of the Village Council, as if fully set forth herein.

SECTION 2: GRANT OF DISTRICT PARTNERSHIP CREDIT. Subject to Section 3 of this Resolution, the Village Council hereby grants, in the exercise of its discretion pursuant to Section 13.16.140 of the Village Code, the District Partnership Credit to the District for the Property in the amount of 21 ERU.

SECTION 3: LIMITATIONS.

A. **No Property Interest.** The District Partnership Credit granted by Section 2 of this Resolution is granted solely pursuant to the unconstrained discretion of the Village Council. The District does not have any property, legal, beneficial, equitable, or other protected interest in, or any due process right relating to, the District Partnership Credit.

B. **No Precedential Value.** The grant of the District Partnership Credit pursuant to Section 2 of this Resolution is limited to the specific facts and circumstances of the donation of the Easement by the District to permit the construction of the Stormwater Improvement. This Resolution has no precedential value or effect and may not be construed to constrain the Village Council's discretion on any matters relating to Partnership Credits. The Village Council hereby reserves the right to decide all matters relating to Partnership Credits in its sole discretion, pursuant to and in accordance with Section 13.16.140 of the Village Code.

SECTION 4: EFFECTIVE DATE. This Resolution will be in full force and effect from and after its passage and approval according to law.

[SIGNATURE PAGE FOLLOWS]

ADOPTED this 3rd day of November, 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk



Application for Partnership Credit

Lloyd Park
Winnetka, Illinois

Prepared For:

Winnetka Park District
540 Hibbard Road
Winnetka, Illinois 60093

Prepared By:

Michael Baker, P.E., CFM
625 Forest Edge Drive
Vernon Hills, Illinois 60061

GHA Project #4872.000

October 7, 2015

Table of Contents

1	Introduction.....	1
2	Analysis of Partnership Benefits	1
3	ERU Calculation	2
4	Conclusions.....	3

List of Tables

Table 1: Market Value of Lakefront Land	2
Table 2: ERU Estimate for Lloyd Park	3

List of Appendices

- Appendix A - Plat of Survey and Topography - Lloyd Park
- Appendix B - Lloyd Park Storm Sewer Improvements - Plan and Profile
- Appendix C – Tributary Area Exhibit

1 Introduction

The Winnetka Park District (WPD) understands that they will be subject to the new stormwater utility fee based on impervious areas of their parcels which discharge stormwater to the Village stormwater management system. The WPD understands the need for this utility fee program and is fully supportive of the Village's goals of improving stormwater management. While the WPD supports this program, they also own and maintain a substantial amount of property within the Village and the financial burden resulting from the utility fee will have a significant impact on the WPD's annual budget. In order to assess the possibility of obtaining relief from the utility fee, the WPD has retained Gewalt Hamilton Associates (GHA) to review the Village requirements and submit, if possible, documentation supporting the reduction of the utility fee for the WPD.

GHA has reviewed the options outlined in the "Stormwater Credit Manual" dated May 30, 2014 and published on the Village of Winnetka website. GHA believes that the WPD qualifies for a "Partnership Credit" for benefits provided to the Village stormwater management system by the Lloyd Park property per the requirements of Section 3.7 of the manual. The purpose of this report is to provide documentation of the stormwater management benefits provided by Lloyd Park and to request that the Village grant the WPD a Partnership Credit for the utility fee associated with the total amount of Equivalent Runoff Units (ERU's) calculated for this property.

2 Analysis of Partnership Benefits

An existing plat of survey and topography for Lloyd Park is provided in Appendix A. This survey shows that the majority of the park discharges as overland flow directly to Lake Michigan and places no burden on the Village's stormwater management system. Some areas of the park discharge to a Village storm sewer system that was constructed through the park property at the south parking lot in 2013. Prior to the construction of that sewer, the entire park was directly discharging to the lake.

A plan and profile drawing of this system is provided in Appendix B. Runoff from the areas of the park draining to this sewer creates a small burden to the Village system. However, the construction of Village stormwater improvements within the Lloyd Park property resulted in significant benefits to the Village by providing a convenient direct outfall to Lake Michigan.

In order to construct the new outfall, the Village required roughly 3,700 square feet of land through Lloyd Park. Construction of the stormwater improvements within this area was allowed by the WPD at no cost. Additionally, the WPD granted an 11,700 square foot watermain easement through Lloyd Park, also at no cost. An analysis of neighboring lakefront property included in Table 1 indicates a market value of \$40 per square foot of land.¹ Based on this analysis, the market value of the stormwater improvement construction area is approximately \$148,000 and the market value of the watermain easement provided to the Village is approximately \$468,000. If not for the WPD allowing construction area across the park property

¹ Source: Cook County Property Tax Portal

to convey stormwater from Sheridan Road the Lake, the Village would have had to find an alternative location for the outfall, and compensate the owner of such property for the use of their land.

It is important to reiterate that prior to the Park District allowing the Village to construct the sewer through the park, the entire property was directly discharging to the Lake and thus would have been exempt from the Stormwater Utility Fee on that basis. By cooperating with the Village and allowing the Village to install the sewer across a portion of the park property, some park areas were directed into that sewer and thus created the condition by which the direct discharge appeal was no longer valid.

The Stormwater Credit Manual states that Partnership Credits are offered to applicants who “provide land and/or facilities for use by the Village to facilitate the management of stormwater”. GHA believes that the use of Lloyd Park area allowed by the WPD at no cost to the Village and the WPD’s cooperation during construction of Village stormwater management improvements qualifies the WPD to receive the Partnership Credit.

Table 1: Market Value of Lakefront Land²

PIN	Land Area (ft ²)	Assessed Land Value	Assessed Value per ft ²	Market Value per ft ²
05-17-203-039	77,310	\$309,240	\$4.00	\$40.00
05-17-203-036	169,492	\$677,968	\$4.00	\$40.00
05-17-203-025	182,211	\$728,844	\$4.00	\$40.00
05-17-203-026	116,610	\$466,440	\$4.00	\$40.00
05-16-106-017	35,250	\$141,000	\$4.00	\$40.00
05-16-106-050	51,005	\$204,020	\$4.00	\$40.00
05-16-106-077	74,616	\$298,464	\$4.00	\$40.00
05-16-106-074	84,470	\$337,880	\$4.00	\$40.00

3 ERU Calculation

GIS data used by the Village to estimate the stormwater utility fee for the Lloyd Park parcel was provided to GHA from the Village. Table 2 provides a summary of the GIS data provided for this park. An ERU value was determined for the park in Table 2 based on 3,400 square feet per ERU, rounded to the nearest 0.1 ERU. The total estimated ERU for Lloyd Park was 21.0.

² Source: Cook County Property Tax Portal. Properties are of those lakefront properties near or adjacent to Lloyd Park.

Table 2: ERU Estimate for Lloyd Park

PIN	Paved Area (ft ²)	Path Area (ft ²)	Sidewalk Area (ft ²)	Parking Area (ft ²)	Driveway Area (ft ²)	Building Area (ft ²)	Total Area (ft ²)	ERU
05-16-106-066	6,172	0	2,469	59,706	198	2,864	71,409	21.0

4 Conclusions

This report has summarized the drainage characteristics and stormwater benefits provided to the Village stormwater management system by the Lloyd Park property. GHA believes that the benefits provided by this property makes the WPD well qualified to receive a partnership credit as defined in the Stormwater Credit Manual. Therefore, on behalf of the WPD, GHA respectfully requests that the 21.0 ERU calculated for Lloyd Park be removed from the WPD utility fee assessment in accordance with the requirements for the “partnership credit” described in the Stormwater Credit Manual.

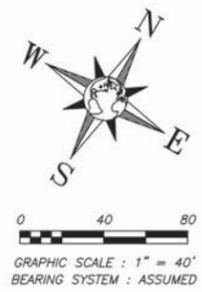
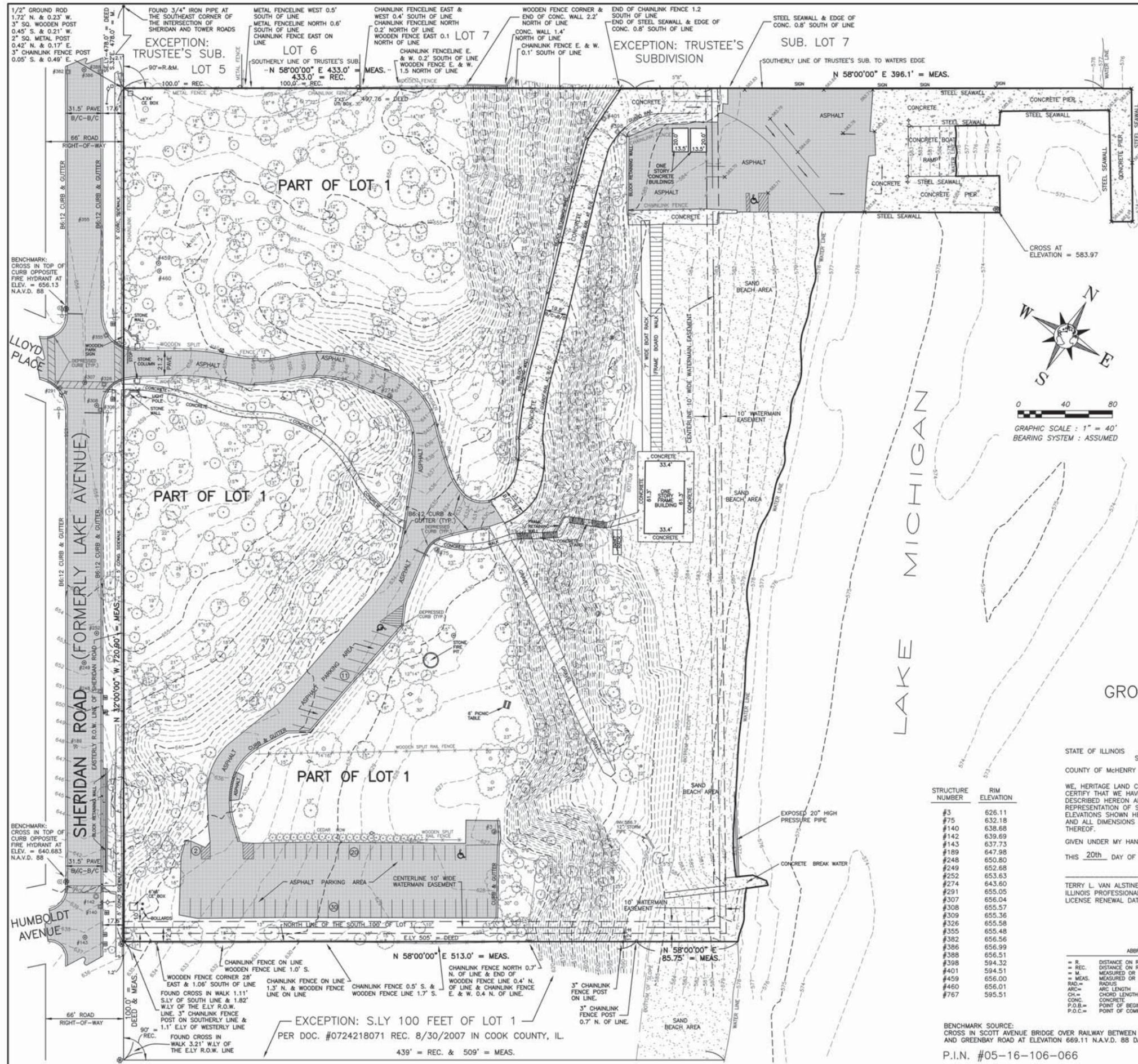
Appendix A

Plat of Survey and Topography Lloyd Park

PLAT OF SURVEY & TOPOGRAPHY

PARCEL DESCRIPTION:
 THAT PART OF LOT 1 IN LLOYD AND ROBINSON'S SUBDIVISION, BEING A SUBDIVISION OF PART OF FRACTIONAL SECTIONS 16 AND 17 IN TOWNSHIP 42 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED MARCH 24, 1887 AS DOCUMENT NUMBER 809744 IN COOK COUNTY, ILLINOIS, LYING SOUTH AND SOUTHEASTERLY OF A LINE DRAWN PERPENDICULAR TO THE CENTERLINE OF SHERIDAN ROAD (FORMERLY KNOWN AS LAKE AVENUE) AND PASSING THROUGH AN IRON STAKE WHICH IS IN THE EASTERLY LINE OF SHERIDAN ROAD, AT A POINT 478 FEET SOUTHEASTERLY ALONG SAID EASTERLY LINE FROM THE POINT OF INTERSECTION OF SAID EAST LINE AND THE SOUTH LINE OF TOWER ROAD (FORMERLY KNOWN AS NORTH AVENUE), EXCEPT THE SOUTHERLY 100 FEET OF SAID LOT 1 AS MEASURED ALONG THE EASTERLY LINE OF SAID SHERIDAN ROAD, PARCEL CONTAINING 9.5323 ACRES, MORE OR LESS, IN THE VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS.

WATERMAIN EASEMENT DESCRIPTION:
 A 10 FOOT WIDE WATERMAIN EASEMENT OVER THAT PART OF LOT 1 IN LLOYD AND ROBINSON'S SUBDIVISION, BEING A SUBDIVISION OF PART OF FRACTIONAL SECTIONS 16 AND 17 IN TOWNSHIP 42 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTHERLY OF THE SOUTHERLY LINE OF TRUSTEE'S SUBDIVISION OF THE NORTHERLY PORTION OF SAID LOT 1 (EXCEPTING FROM SAID LOT 1 THE SOUTHERLY 100 FEET THEREOF, AS MEASURED ALONG THE EASTERLY LINE OF SHERIDAN ROAD) AND THE CENTERLINE OF SAID 10 FOOT WATERMAIN EASEMENT IS A LINE WHICH COMMENCES AT A POINT ON THE NORTHERLY PROPERTY LINE OF THE ABOVE DESCRIBED PROPERTY AT A POINT 497.76 FEET EASTERLY FROM THE EASTERLY LINE OF SHERIDAN ROAD AS MEASURED ALONG SAID NORTHERLY PROPERTY LINE AND RUNS SOUTHERLY TO A POINT APPROXIMATELY 12 FEET NORTH OF THE SOUTHERLY PROPERTY LINE OF THE ABOVE DESCRIBED PROPERTY AND 505 FEET EASTERLY FROM THE EASTERLY LINE OF SHERIDAN ROAD AS MEASURED ALONG SAID SOUTHERLY PROPERTY LINE, THENCE WEST PARALLEL TO SAID SOUTHERLY PROPERTY LINE TO ITS INTERSECTION WITH THE EASTERLY LINE OF SHERIDAN ROAD AT A POINT 12 FEET, MORE OR LESS, NORTH OF SAID SOUTHERLY PROPERTY LINE, IN THE VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS.



LEGEND

- | | | | |
|-----|-------------------------|------------|---------------------|
| ⊕ | FIRE HYDRANT | □ | UTILITY BOX |
| —W— | WATER MAIN | - - - | CONTOUR |
| ⊙ | SANITARY/STORM MANHOLE | x 792.52 | SPOT ELEVATION |
| —S— | SANITARY SEWER | x 792.5-TW | TOP OF WALL ELEV |
| ⊙ | CATCH BASIN | x 792.5-BW | BOTTOM OF WALL ELEV |
| ⊕ | INLET | ~~~~~ | BUSH ROW |
| ⊕ | FLARED END SECTION | ⊙ | DECIDUOUS TREE |
| ⊕ | STORM SEWER | ⊕ | CONIFER (PINE) |
| ⊕ | POWER POLE STREET LIGHT | ⊕ | HAND HOLE |
| ⊕ | POWER POLE GYE ANCHOR | ⊕ | SIGN |
| ⊕ | VALVE VAULT | ⊕ | TRAFFIC SIGNAL |
| ⊕ | VALVE BOX | | |

GROSS PARK AREA = 9.5323 TO WATERS EDGE

STATE OF ILLINOIS
 COUNTY OF McHENRY

WE, HERITAGE LAND CONSULTANTS, LLC., DO HEREBY CERTIFY THAT WE HAVE SURVEYED THE PROPERTY DESCRIBED HEREON AND THAT THE PLAT DRAWN IS A REPRESENTATION OF SAID SURVEY AND TOPOGRAPHY. ELEVATIONS SHOWN HEREON ARE ON N.A.V.D. 88 DATUM AND ALL DIMENSIONS ARE GIVEN IN FEET AND DECIMALS THEREOF.

GIVEN UNDER MY HAND AND SEAL AT McHENRY, ILLINOIS
 THIS 20th DAY OF DECEMBER, 2010

TERRY L. VAN ALSTINE
 ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 35-3055
 LICENSE RENEWAL DATE : 11-30-2012

STRUCTURE NUMBER	RIM ELEVATION
#3	626.11
#75	632.18
#140	638.68
#142	639.69
#143	637.73
#189	647.98
#248	650.80
#249	652.68
#252	653.63
#274	643.60
#291	655.05
#307	656.04
#308	655.57
#309	655.36
#326	655.58
#355	655.48
#382	656.56
#386	656.99
#388	656.51
#398	594.32
#401	594.51
#459	656.00
#460	656.01
#767	595.51

- ABBREVIATIONS**
- = R = DISTANCE ON RECORDED PLAT OF SUBDIVISION
 - = REC = DISTANCE ON RECORDED PLAT OF SUBDIVISION
 - = M = MEASURED OR CALCULATED DISTANCE
 - = MEAS = MEASURED OR CALCULATED DISTANCE
 - = RAD = RADIUS
 - = ARC = ARC LENGTH
 - = CHL = CHORD LENGTH
 - = CONC = CONCRETE
 - = P.O.B = POINT OF BEGINNING
 - = P.O.C = POINT OF COMMENCEMENT

BENCHMARK SOURCE:
 CROSS IN SCOTT AVENUE BRIDGE OVER RAILWAY BETWEEN HUBBARD STREET AND GREENBAY ROAD AT ELEVATION 669.11 N.A.V.D. 88 DATUM.
 P.I.N. #05-16-106-066

BOUNDARY SURVEY & TOPOGRAPHY

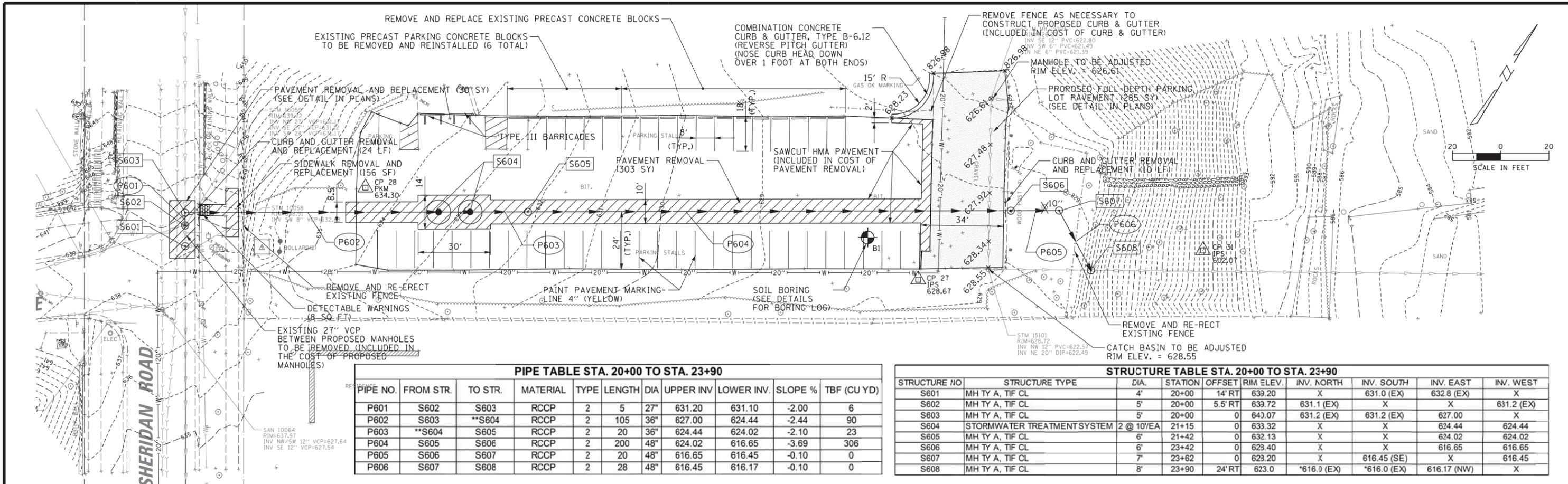
LLOYD PARK, WINNETKA PARK DISTRICT

SCALE : 1" = 40	REVISIONS
DATE : 12-20-2010	101228 NAME CHANGE
DATE OF FIELD WORK: 12-01-2010	
DRAWN BY: TVA	CHECKED BY: MTB
PREPARED FOR: WINNETKA PARK DISTRICT VILLAGE OF WINNETKA	PROPERTY ADDRESS: SHERIDAN ROAD & LLOYD PLACE WINNETKA, ILLINOIS
JOB NO. 2010-231 SUR	



Appendix B

Lloyd Park Storm Sewer Improvements Plan and Profile

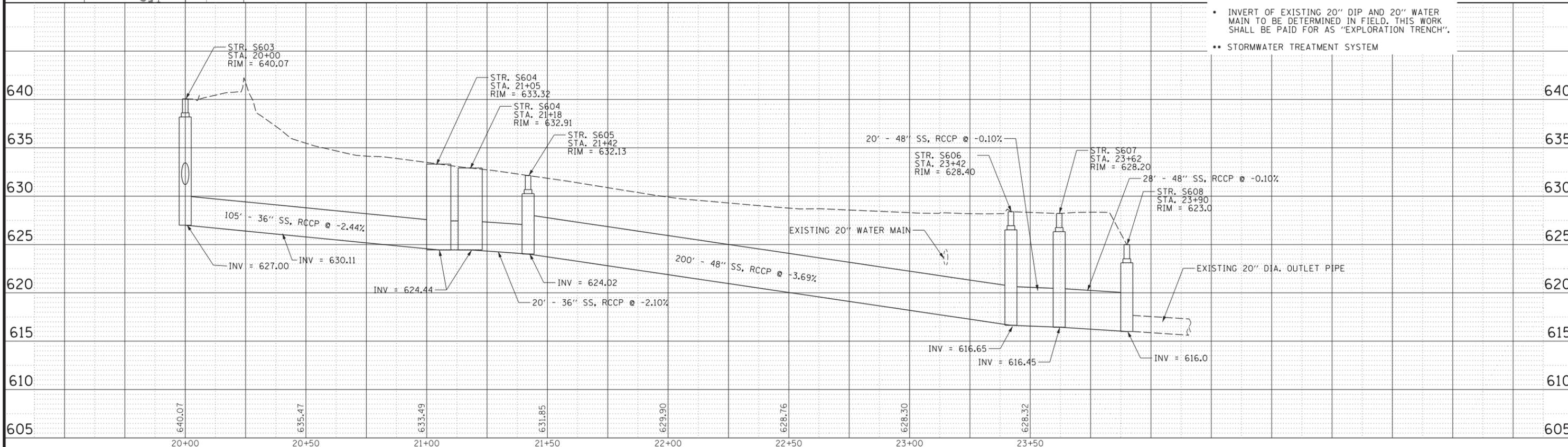


PIPE TABLE STA. 20+00 TO STA. 23+90

PIPE NO.	FROM STR.	TO STR.	MATERIAL	TYPE	LENGTH	DIA	UPPER INV.	LOWER INV.	SLOPE %	TBF (CU YD)
P601	S602	S603	RCCP	2	5	27"	631.20	631.10	-2.00	6
P602	S603	**S604	RCCP	2	105	36"	627.00	624.44	-2.44	90
P603	**S604	S605	RCCP	2	20	36"	624.44	624.02	-2.10	23
P604	S605	S606	RCCP	2	200	48"	624.02	616.65	-3.69	306
P605	S606	S607	RCCP	2	20	48"	616.65	616.45	-0.10	0
P606	S607	S608	RCCP	2	28	48"	616.45	616.17	-0.10	0

STRUCTURE TABLE STA. 20+00 TO STA. 23+90

STRUCTURE NO	STRUCTURE TYPE	DIA.	STATION	OFFSET	RIM ELEV.	INV. NORTH	INV. SOUTH	INV. EAST	INV. WEST
S601	MH TY A, TIF CL	4'	20+00	14' RT	639.20	X	631.0 (EX)	632.8 (EX)	X
S602	MH TY A, TIF CL	5'	20+00	5.5' RT	639.72	631.1 (EX)	X	X	631.2 (EX)
S603	MH TY A, TIF CL	5'	20+00	0	640.07	631.2 (EX)	631.2 (EX)	627.00	X
S604	STORMWATER TREATMENT SYSTEM 2 @ 10'/EA		21+15	0	633.32	X	X	624.44	624.44
S605	MH TY A, TIF CL	6'	21+42	0	632.13	X	X	624.02	624.02
S606	MH TY A, TIF CL	6'	23+42	0	628.40	X	X	616.65	616.65
S607	MH TY A, TIF CL	7'	23+62	0	628.20	X	616.45 (SE)	X	616.45
S608	MH TY A, TIF CL	8'	23+90	24' RT	623.0	*616.0 (EX)	*616.0 (EX)	616.17 (NW)	X



- INVERT OF EXISTING 20" DIP AND 20" WATER MAIN TO BE DETERMINED IN FIELD. THIS WORK SHALL BE PAID FOR AS "EXPLORATION TRENCH".
- STORMWATER TREATMENT SYSTEM

CHRISTOPHER B. BURKE ENGINEERING, LTD.
 9575 W. Higgins Road, Suite 600
 Rosemont, Illinois 60018
 (847) 823-0500

CLIENT:

VILLAGE OF WINNETKA
 Incorporated in 1869

NO.	DATE	NATURE OF REVISION	CHKD.
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TITLE:
**LLOYD PARK
 STORM SEWER IMPROVEMENTS
 STA. 20+00 TO STA. 23+37**
 Agenda Packet P. 31

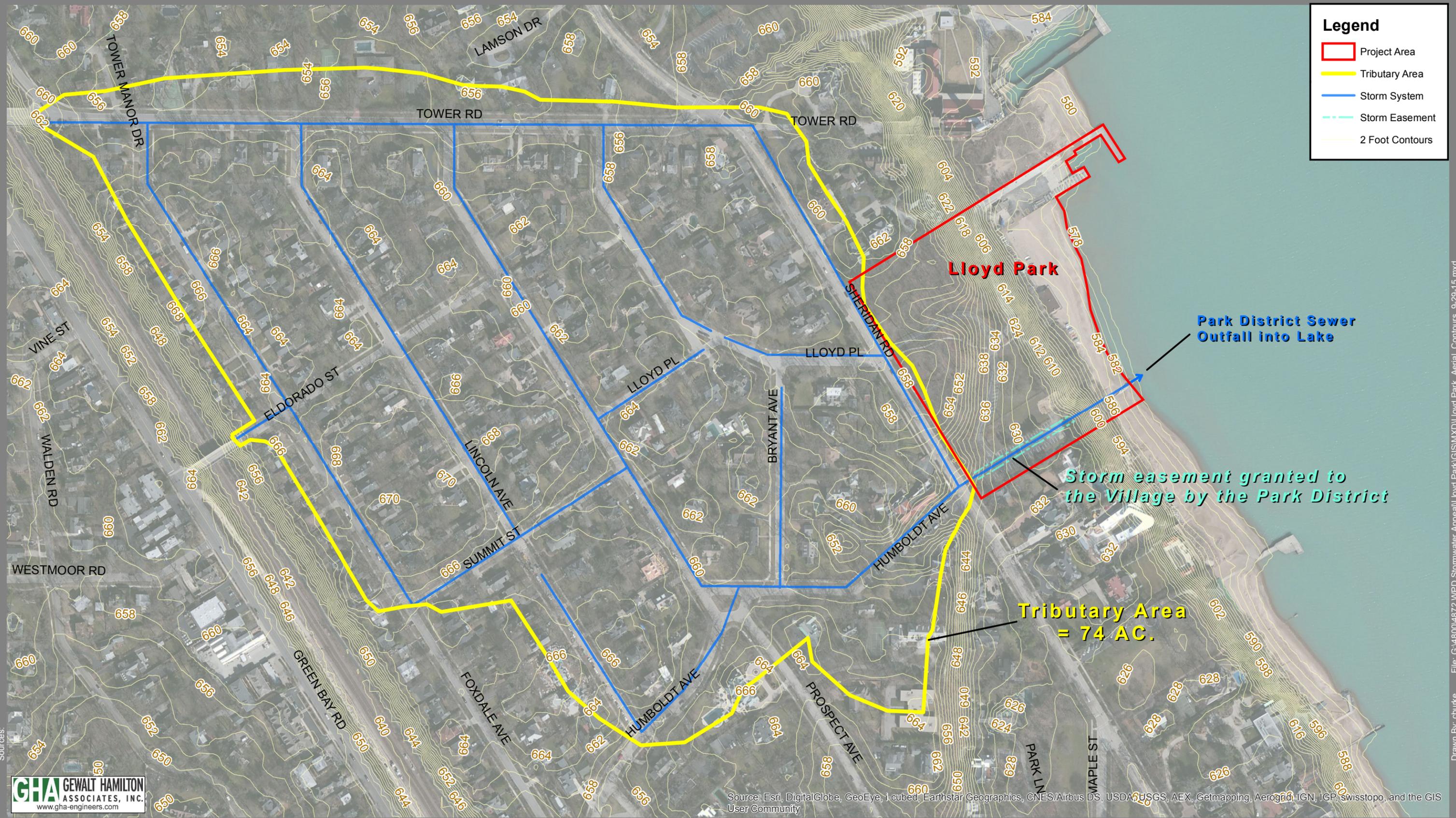
PROJ. NO. 120145
 DATE:
 SHEET 3 OF 8
 DRAWING NO.
PLN1

Appendix C

Tributary Area Exhibit

Legend

- Project Area
- Tributary Area
- Storm System
- Storm Easement
- 2 Foot Contours



Source: Esri, DigitalGlobe, GeoEye, i-cubed, Earthstar Geographics, CNES/Airbus DS, USDA, USGS, AEX, Getmapping, Aerogrid, IGN, IGP, swisstopo, and the GIS User Community



Existing Drainage Pattern

Lloyd Park
Winnetka Park District, Winnetka, Illinois

File: G:\4800\4872 WPD Stormwater Appeal\Lloyd Park\GIS\MXD\Lloyd Park_Aerial_Contours_9-29-15.mxd
Drawn By: cburke

Print

Winnetka, IL Village Code

Section 13.16.140 Credits.

A. Partnership Credits.

1. The Village Council recognizes that, in certain unique circumstances, some institutions in the Village, such as schools, parks and churches, have sufficient resources that, in addition to complying with applicable stormwater detention requirements, they are also able to provide significant stormwater management assistance to the Village, through such actions as the donation of land for use in the stormwater system, significant capital contributions for the stormwater system or other such activities. The Village Council reserves the sole and exclusive right and discretion to enter into agreements with such owners to provide for such contributions to the Village's stormwater system, and to grant a credit to such owners for all or part of the stormwater utility fee in exchange for such contribution.

2. To avoid delay in the design and construction of stormwater projects, and to assure that a proposed partnership credit will not shift the costs of stormwater compliance from the party proposing the partnership to the other users of the stormwater system, the Village reserves the sole and exclusive right and discretion to limit the time period during which requests or proposals for partnerships for any stormwater project may be submitted and considered.

B. Individual Credits.

1. Any property owner whose property does not impact on the Village stormwater utility system to the extent of the stormwater utility fee charged to that property pursuant to section 13.16.070 may apply for one of the following credits against the stormwater utility fee:

a. A credit of up to 50% if not less than one half of the stormwater emanating from the relevant property for the 100-year design storm is detained and cleaned, in accordance with effective engineering practices and techniques, prior to discharge into the Village stormwater utility system; or

b. A credit of 100% if none of the stormwater emanating from the parcel in question discharges into the Village stormwater utility system, either directly or indirectly.

2. Applications for credits against the stormwater utility fee filed pursuant to this subsection shall be filed in writing with the Village Manager or his or her designee, along with documentation that the Rules and Regulations of the Village of Winnetka Stormwater Utility require for the assessment of the application, which documentation shall include, but not be limited to:

a. Detailed specifications of any on-site cleaning of stormwater performed prior to discharge into the Village stormwater utility system, along with sufficient evidence that any on-site cleaning system is in good working order and is maintained on a regular basis;

b. Topographical depictions of the runoff patterns and directions of all stormwater emanating from the relevant property that does not impact the Village stormwater utility system; and

c. Relevant photographic evidence in support of the application.

3. The Village Engineer shall review an application for a credit against the stormwater utility fee, and shall either grant or deny the requested credit, within 60 days after the date on which the application is received. The Village Engineer shall only grant the requested credit upon determining, in the exercise of his professional judgment that the applicant meets the criteria for the credit, as defined in paragraph 1 of this subsection.

4. The owner of any parcel granted a credit under this subsection shall be responsible for keeping the qualifying private stormwater management facilities in good working order, and shall provide an annual report of maintenance and repair activities to the Village Engineer, and the Village shall have the right to enter the property to inspect the private stormwater maintenance facilities to confirm that they remain in good working order. Failure by the owner to maintain the private stormwater management facilities will result in the loss of stormwater utility fee credits.

5. Provided the owner has continued to maintain the private stormwater maintenance facilities as required by paragraph 4 of this subsection, a stormwater utility fee credit granted under this subsection shall remain in effect for 5 years from the date of approval. The owner of the property in question may apply for renewal of the credits for successive 5-year periods, subject to meeting all of the applicable conditions then in effect. The approval of a stormwater fee credit shall not create a property right to renew or be granted a credit upon the expiration of the credit's 5-year term.

C. Application of credits; refund of overpayments. The Finance Director shall apply approved credits to the applicant's account, and shall refund to the applicant any overpayments made from the date of the application. If the amount overpaid is less than the full amount of the customer's bill, the refund shall be in the form of a credit against the customer's next bill. If the amount overpaid equals or exceeds the full amount of the customer's prior bill, then the overpayment shall be refunded directly to the customer within 30 days after the date the credit is approved. (Ord. MC-2-2014 § 2, 2014)

MINUTES
WINNETKA VILLAGE COUNCIL STUDY SESSION

October 13, 2015

(Approved: xx)

A record of a legally convened meeting of the Council of the Village of Winnetka, which was held in the Village Hall Council Chambers on Tuesday, October 13, 2015, 2015 at 7:00 p.m.

- 1) Call to Order. President Greable called the meeting to order at 7:00 p.m. Present: Trustees Andrew Cripe, Carol Fessler, William Krucks, Stuart McCrary, Scott Myers and Marilyn Prodromos. Absent: None. Also in attendance: Village Manager Robert Bahan, Assistant to the Village Manager Megan Pierce, Village Attorney Karl Camillucci, Police Chief Patrick Kreis, Public Works Director Steve Saunders and approximately 4 persons in the audience.
- 2) Winnetka Park District – Bikeway Master Plan Update. Robert Smith, Director of the Winnetka Park District, explained that the Bikeway Master Plan (Plan) aims to identify bike trail routes to link up other trail systems, the lakefront and Winnetka's downtowns. He said revisions to the plan were recommended by the Village Council after a first draft of the plan was presented at an April, 2013 Council meeting.

Steve Connor, Hitchcock Design Group and the Park District Bikeway Master Plan consultant, noted that the Park District is seeking approval to implement Phase I of the Plan, and envisions folding the project into the Downtown Master Plan process. His presentation included:

- An explanation of the goals of the bikeway project
- Maps of the project area
- Identification of existing bike facilities
- Project phasing and levels of service
- Review of public comment received over three public meetings, and Council comments from 2013
- Other potential links for consideration at a later date

Mr. Connor said there is wide community support in other comparable communities that have adopted bike plans, and there has been a general increase in bicycling use as a result.

The Council discussed the Plan, noting that major renovations to Hill Road and its sidewalks will be required before it can be designated as an east/west bike link. The safety of children traveling to and from school was expressed as a significant priority of the Council. A few Trustees had reservations about designating Elm Street as the link between Sheridan Road and the Forest Preserves, citing congestion and safety on such a busy Village thoroughfare. Others were not overly concerned about these issues, as children cycling to school tend to stay on the sidewalks. Furthermore, the parents of school children generally coach their children to take routes on quieter streets.

President Greable called for more hard data in the Plan, such as the current number of bike users on Winnetka's streets, and how many children cycle to school. The Council asked the Police Chief to weigh in on questions about safety.

Chief Kreis explained that the safety issue for children riding bikes is multi-pronged: (i) parents take time to show their children the route to take; (ii) usage of bike helmets; and (iii) the schools have a workshop for children that desire to bike to school. He noted that the sidewalks are a great avenue for children on bikes, but they are not a good choice for adults, who travel much faster.

President Greable called for audience comment.

Kristin Ziv, Arbor Vitae. Ms. Ziv said recreational cyclists and children cycling to/from school might need to be treated as separate issues. She noted that she tends to avoid busy streets like Elm Street that have a lot of traffic, preferring a quieter street like Pine Street as an alternative. She said children should not be on the road competing with heavy traffic and buses unless there is a designated bike lane.

Mr. Connor explained that residents will not be required to abandon their favored routes; rather, the Park District is essentially providing an identified route for people who are not familiar with the area.

The Council concluded that Hill Road is currently unsuitable to be a designated east/west bike route, and much basic infrastructure work needs to be done before it can be considered. Mr. Smith noted that when the Hubbard Woods train station is rehabbed, the railroad has been requested to provide an access point to/from the Green Bay Trail in Hubbard Woods.

During a discussion about signage for Phase I of the Plan, Manager Bahan explained that the Downtown Master Plan Steering Committee will look at samples of attractive and functional signs and make a recommendation.

After a thorough discussion, the Council generally agreed that Phase I makes a good start to the a coherent Bikeway Master Plan, and that the Downtown Master Plan could be used to help plan certain elements like bike repair stations. In addition, stormwater solutions should be kept in mind; integrating the Plan with a possible stormwater solution could enhance the Forest Preserve and make the whole area more attractive. Trustee Prodromos was concerned about a proliferation of cyclists on Elm Street and disagreed that it should be used as a route from Sheridan Road to the Forest Preserve.

Manager Bahan said traffic patterns can be studied to gain a sense of the impact of the new designation.

- 3) Stormwater Utility Fee: Application for Partnership Credit by Winnetka Park District for Lloyd Park – 799 Sheridan Road. Mr. Saunders explained that, prior to beginning construction on major stormwater improvements at Lloyd Park, the Park District granted the Village an easement that saved hundreds of thousands of dollars on the project cost. Village Staff recommended approval of the Park District's stormwater utility credit application in return for this intergovernmental cooperation. However, at a discussion last January, the Council was unable to agree about whether and how to grant a credit, and it was deferred for more discussion at a later date.

Trustee Krucks said he believed a majority of the Council in attendance tonight voted to grant the credit; but there was disagreement on a proposed sunset provision. Trustee Fessler voiced concern about setting a precedent by granting a credit without an ending date set for when the stormwater bonds are retired.

President Greable said by his calculations, the Park District saved the Village approximately \$600,000. Trustee Cripe pointed out that Lloyd Park directly discharged into the Lake before the Village constructed the stormwater improvements, and he did not believe they should even pay a stormwater utility fee for this particular location.

Mr. Saunders explained that the Stormwater Utility Code was written to give the Council full discretion on each credit application so there is no setting of precedent. He noted that the credit provision only pertains to large landholders who have the capacity to save taxpayers significant sums for stormwater relief.

After more discussion, the Council agreed to approve the Park District's partnership credit for 21 Equivalent Runoff Units, for an annual amount of \$5,501.16.

4) Public Comment.

Glenn Weaver, 574 Lincoln. Mr. Weaver asked the Council for a waiver on current fire sprinkler regulations, in order to rent his vacant property to a pop-up store for a 30-day period.

Manager Bahan said an appeal could be put on the agenda for the Council's October 20 Regular Meeting, as the Village Code does not contain a provision for the Fire Chief to waive the sprinkler requirement for a change of use in the commercial districts. He noted that Staff continues to evaluate alternatives to the Village's current fire sprinkler regulations, as the change of use standard has not been deemed the ideal trigger point.

He further explained that the current Code was written to phase in compliance with the Code over time; and that some commercial property owners have made substantial investments in their buildings by installing fire sprinkler systems. He said an in-depth discussion of the Sprinkler Code could take place at a later date.

Attorney Camillucci said there is no problem scheduling the appeal on the next agenda, since the Village Code provides for an appeal process, and the Council has authority to grant one.

5) Executive Session. None.

6) Adjournment. Trustee Fessler, seconded by Trustee Prodromos, moved to adjourn the meeting. By voice vote, the motion carried. The meeting adjourned at 9:07 p.m.

Deputy Clerk



Agenda Item Executive Summary

Title: Public Safety Pension Report

Presenter: Timothy J. Sloth, Finance Director

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

The Illinois legislature implemented public safety pension reforms in the fall of 2008. This law requires that an annual report from the Police and Fire Pension Boards to the Village Council on the health and performance of the pension plans prior to adoption of the annual property tax levy.

Executive Summary:

The purpose of the law can be categorized into three broad areas, 1) improve dissemination of pension information, 2) encourage good business practices, and 3) strengthen ethics / increase enforcement. The materials presented here are designed to improve the dissemination of pension fund information as contemplated by the new law.

Both the Police and Fire Pension Boards have been made aware of this legislation and have directed the Village Treasurer to meet the annual reporting requirement on behalf of the Boards.

Attached are the Police and Fire Pension reports for 2015.

The attached Police and Fire Pension reports show that the Tax Levy Requirements for each Pension Fund are as follows:

Fire Pension - \$1,451,602 (Last Year - \$1,327,823)

Police Pension - \$1,308,146 (Last Year - \$1,110,899)

I will be attending the November 17, 2015 Council Meeting to answer any questions.

Recommendation:

Informational only for Council review.

Attachments:

- 1) Winnetka Police Pension Fund - Compliance Report for the calendar year ended 12/31/2014
- 2) Winnetka Police Pension Fund - Investment Policy dated 4/24/2014
- 3) Winnetka Police Pension Fund - 2015 Actuarial Valuation Report
- 4) Winnetka Fire Pension Fund - Compliance Report for the calendar year ended 12/31/2014
- 5) Winnetka Fire Pension Fund - Investment Policy dated 4/24/2014
- 6) Winnetka Fire Pension Fund - 2015 Actuarial Valuation Report

VILLAGE OF WINNETKA, ILLINOIS
POLICE PENSION FUND

HOUSE BILL 5088 – MUNICIPAL
COMPLIANCE REPORT

FOR THE FISCAL YEAR ENDED
DECEMBER 31, 2014



November 10, 2015

Members of the Pension Board of Trustees
Winnetka Police Pension Fund
Winnetka, Illinois

Enclosed please find a copy of your Municipal Compliance Report for the Winnetka Police Pension Fund for the year ended December 31, 2014. We have prepared the report with the most recent information available at our office. Should you have more current information, or notice any inaccuracies, we are prepared to make any necessary revisions and return them to you.

The President and Secretary of the Pension Fund are required to sign the report on page 3. If not already included with the enclosed report, please also include a copy of the Pension Fund's most recent investment policy.

The signed House Bill 5088 - Municipal Compliance Report must be provided to the Municipality before the tax levy is filed on the last Tuesday in December. We are sending the report via email to promote an environmentally-friendly work atmosphere.

If you have any questions regarding this report please contact Matt Beran at (515) 556-4052 or mberan@lauterbachamen.com.

Respectfully submitted,

A handwritten signature in blue ink that reads "Lauterbach + Amen LLP".

LAUTERBACH & AMEN, LLP

**VILLAGE OF WINNETKA , ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

The Pension Board certifies to the Board of Trustees of the Village of Winnetka , Illinois on the condition of the Pension Fund at the end of its most recently completed fiscal year the following information:

- 1) The total cash and investments, including accrued interest, of the fund and their current market value of those assets:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Total Cash and Investments (including accrued interest)	<u>\$25,721,396</u>	<u>\$24,658,835</u>
Total Net Position	<u>\$25,512,191</u>	<u>\$24,445,682</u>

- 2) The estimated receipts during the next succeeding fiscal year from deductions from the salaries of police officers and from other sources:

Estimated Receipts - Employee Contributions	<u>\$262,900</u>
Estimated Receipts - All Other Sources	
Investment Earnings	<u>\$1,607,600</u>
Municipal Contributions	<u>\$1,308,146</u>

- 3) The estimated amount required during the next succeeding fiscal year to (a) pay all pensions and other obligations provided in Article 3 of the Illinois Pension Code, and (b) to meet the annual requirements of the fund as provided in Sections 3-125 and 3-127:

(a) Pay all Pensions and Other Obligations	<u>\$2,177,600</u>
(b) Annual Requirement of the Fund as Determined by:	
Illinois Department of Insurance	<u>\$798,890</u>
Private Actuary - Timothy W. Sharpe, Actuary	
Recommended Municipal Contribution	<u>\$1,308,146</u>
Statutory Municipal Contribution	<u>\$1,013,894</u>

**VILLAGE OF WINNETKA , ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

- 4) The total net income received from investment of assets along with the assumed investment return and actual investment return received by the fund during its most recently completed fiscal year compared to the total net income, assumed investment return, and actual investment return received during the preceding fiscal year:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Net Income Received from Investment of Assets	<u>\$1,552,792</u>	<u>\$2,241,508</u>
Assumed Investment Return		
Illinois Department of Insurance	<u>6.75%</u>	<u>6.75%</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>6.25%</u>	<u>6.25%</u>
Actual Investment Return	<u>6.36%</u>	<u>9.93%</u>

- 5) The total number of active employees who are financially contributing to the fund:

Number of Active Members	<u>26</u>
--------------------------	-----------

- 6) The total amount that was disbursed in benefits during the fiscal year, including the number of and total amount disbursed to (i) annuitants in receipt of a regular retirement pension, (ii) recipients being paid a disability pension, and (iii) survivors and children in receipt of benefits:

	<u>Number of</u>	<u>Total Amount Disbursed</u>
(i) Regular Retirement Pension	<u>24</u>	<u>\$1,581,934</u>
(ii) Disability Pension	<u>1</u>	<u>\$42,829</u>
(iii) Survivors and Child Benefits	<u>3</u>	<u>\$184,561</u>
Totals	<u>28</u>	<u>\$1,809,324</u>

**VILLAGE OF WINNETKA , ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

7) The Funded Ratio of the Fund:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Illinois Department of Insurance	<u>72.17%</u>	<u>70.06%</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>67.46%</u>	<u>71.09%</u>

8) The unfunded liability carried by the fund, along with an actuarial explanation of the unfunded liability:

Unfunded Liability:

Illinois Department of Insurance	<u>\$9,576,008</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>\$12,307,046</u>

The accrued liability is the actuarial present value of the portion of the projected benefits that has been accrued as of the valuation date based upon the actuarial valuation method and the actuarial assumptions employed in the valuation. The unfunded accrued liability is the excess of the accrued liability over the actuarial value of assets.

9) The investment policy of the Pension Board under the statutory investment restrictions imposed on the fund.

Investment Policy - See Attached.

Please see Notes Page attached.

CERTIFICATION OF MUNICIPAL POLICE
PENSION FUND COMPLIANCE REPORT

The Board of Trustees of the Pension Fund, based upon information and belief, and to the best of our knowledge, hereby certify pursuant to §3-143 of the Illinois Pension Code 40 ILCS 5/3-143, that the preceding report is true and accurate.

Adopted this _____ day of _____, 2015

President _____ Date _____

Secretary _____ Date _____

**VILLAGE OF WINNETKA , ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

INDEX OF ASSUMPTIONS

- 1) Total Cash and Investments - as Reported at Market Value in the Audited Financial Statements for the Years Ended December 31, 2014 and 2013.

Total Net Position - as Reported in the Audited Financial Statements for the Years Ended December 31, 2014 and 2013.

- 2) Estimated Receipts - Employee Contributions as Reported in the Audited Financial Statements for the Year Ended December 31, 2014 plus 5.5% Increase (Actuarial Salary Increase Assumption) Rounded to the Nearest \$100.

Estimated Receipts - All Other Sources

Investment Earnings - Cash and Investments as Reported in the Audited Financial Statements for the Year Ended December 31, 2014, times 6.25% (Actuarial Investment Return Assumption) Rounded to the Nearest \$100.

Municipal Contributions - Recommended Tax Levy Requirement as Reported by Timothy W. Sharpe, Actuary, Actuarial Valuation for the Year Ended December 31, 2014.

- 3) (a) Pay all Pensions and Other Obligations - Total Non-Investment Deductions as Reported in the Audited Financial Statements for the Year Ended December 31, 2014, plus a 25% Increase, Rounded to the Nearest \$100.

(b) Annual Requirement of the Fund as Determined by:

Illinois Department of Insurance - Suggested Amount of Tax Levy as Reported in the December 31, 2014 Actuarial Valuation.

Private Actuary - Timothy W. Sharpe, Actuary

Recommended Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary in the December 31, 2014 Actuarial Valuation.

Statutorily Required Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary in the December 31, 2014 Actuarial Valuation.

**VILLAGE OF WINNETKA , ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

INDEX OF ASSUMPTIONS - Continued

- 4) Net Income Received from Investment of Assets - Investment Income (Loss) net of Investment Expense, as Reported in the Audited Financial Statements for the Years Ended December 31, 2014 and 2013.

Assumed Investment Return

Illinois Department of Insurance - Current and Preceding Fiscal Year Interest Rate Assumption as Reported in the December 31, 2014 and 2013 Actuarial Valuations.

Private Actuary - Current and Preceding Fiscal Year Interest Rate Assumption as Reported in the Timothy W. Sharpe, Actuary, December 31, 2014 and 2013 Actuarial Valuations.

Actual Investment Return - Net Income Received from Investments as Reported Above as a Percentage of the Average of the Beginning balance of the Cash and Investments and the Ending balance of the Cash and Investments, excluding the fiscal year net investment income, as Reported in the Audited Financial Statements for the Fiscal Years Ended December 31, 2014, 2013 and 2013.

- 5) Number of Active Members - Illinois Department of Insurance Annual Statement for December 31, 2014 - Schedule P.
- 6) (i) Regular Retirement Pension - Illinois Department of Insurance Annual Statement for December 31, 2014 - Schedule P for Number of Participants and Expense page 1 for Total Amount Disbursed.
- (ii) Disability Pension - Same as above.
- (iii) Survivors and Child Benefits - Same as above.

**VILLAGE OF WINNETKA , ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

INDEX OF ASSUMPTIONS - Continued

7) The Funded Ratio of the Fund:

Illinois Department of Insurance - Current and Preceding Fiscal Year Net Present Assets as a percentage of Total Assets as Reported in the December 31, 2014 and 2013 Actuarial Valuations.

Private Actuary - Current and Preceding Fiscal Year Net Present Assets as a percentage of Total Assets as Reported in the Timothy W. Sharpe, Actuary, December 31, 2014 and December 31, 2013 Actuarial Valuations.

8) Unfunded Liability:

Illinois Department of Insurance - Deferred Asset (Unfunded Accrued Liability) as Reported in the December 31, 2014 Actuarial Valuation.

Private Actuary - Deferred Asset (Unfunded Accrued Liability) as Reported by Timothy W. Sharpe, Actuary in the December 31, 2014 Actuarial Valuation.

**VILLAGE OF WINNETKA, ILLINOIS
POLICE PENSION FUND
INVESTMENT POLICY**

SCOPE

This Investment Policy applies to the investment activities of the Village of Winnetka Police Pension Fund.

INVESTMENT OBJECTIVES

The following investment objectives, in order of preference, will be applied in the management of the pension fund assets.

The primary objective of the Pension Fund investment activities is to safeguard assets needed to pay benefits.

The investment portfolio will remain sufficiently liquid to meet anticipated cash flow requirements such as pension payments, bills, and other uses of cash.

The portfolio will consist of fixed income and equity investments that shall be diversified. Because of the long-term nature of pension liabilities, the long-term rate of return for each class of investment will be considered when managing the Pension portfolio. Care will be taken to ensure that the overall portfolio is not subjected to unreasonable market risk.

The investment portfolio managed under this policy shall be designed with the objective of regularly meeting the appropriate benchmarks established under recognized professional standards for each type of investment, as established by the Board.

PRUDENCE

Investments shall be made with judgment and care which under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital and the probable income to be derived. Investment Managers, Investment consultants, and other entities and individuals retained by the fund to provide services to the Fund and shall meet the prudent expert standard of care.

INVESTMENT RESPONSIBILITY, LIQUIDITY, AND REPORTING

The oversight of the investment program is hereby assigned to the Pension Board, which will perform the following responsibilities:

At least annually:

- Review the asset allocation and target rate of return for the Pension Fund portfolio for appropriateness. The target return may be expressed as a desire to meet an index or benchmark.
- Review projected Pension Fund cash flows and projected Pension Fund liabilities as outlined in an actuarial report to ensure assets can be converted into cash with an acceptable amount of market risk to meet likely payments.

At least twice a year:

- Evaluate the performance of the portfolio against the target rate of return.
- Formally review the holdings of the portfolio.
- Discuss an investment strategy to be applied until the next investment performance review.

The daily management of the Pension portfolio is hereby assigned to the Treasurer of the Pension Fund, within the guidelines established by the Pension Fund. The Treasurer shall be authorized to buy and sell Pension Fund investments, transfer balances between different investment types, prepare reports, and perform such other duties as are necessary to implement the directives of the Pension Fund. The Treasurer may also delegate responsibilities to Village staff or other professionals retained by the fund to assist in the performance of various duties.

The Treasurer or designee shall quarterly review with the Pension board a comprehensive investment report which shall include information regarding securities in the portfolio by class or type, income earned, and market value as of the report date.

No person may engage in an investment transaction except as provided under terms of this policy and the procedures established by the Treasurer. Performance of the portfolio shall be reported in the Village's Comprehensive Annual Financial Report (CAFR or audit) and shall include summary portfolio composition and performance information. Performance of the responsibilities enumerated above will be reflected in the minutes of the Pension Board Meetings.

If material deviations from projected investment strategies occur, they shall be reported promptly to the Secretary and President of the Pension Board.

FINANCIAL INSTITUTIONS

The Pension Board shall select financial institutions, brokers and consultants in accordance with the requirements of 40 ILCS 5/1-113.5, and based on the following criteria:

The Pension Board shall use only financial institutions, brokers, and consultants listed in Appendix A. The Pension Board shall be responsible for adding to and deleting from this list as they deem appropriate.

Any financial institution, broker, or consultant may provide normal banking services, including, but not limited to: checking accounts, wire transfers, purchase and sale of investment securities and safekeeping services. Fees or compensating balances, if any, shall be mutually agreed to by an authorized representative of the depository institution and the Treasurer or designee.

The Treasurer or designee may transfer balances, open and close accounts, and transact Pension Fund business with any qualified financial institution, broker, or consultant. Such Pension Fund business will be consistent with the direction of the Pension Board and investment strategy. Investment advisors selected by the Pension Board shall acknowledge, in writing, that they are fiduciaries with respect to the Pension Fund. Any such written agreements shall be attached to this policy.

INVESTMENTS

The Pension Fund may invest in any type of security allowed by this policy which shall be in compliance with the Illinois Pension Code (40 ILCS 5) and Illinois Public Funds Investment Act (30 ILCS 235/0.01), as amended.

The allocation of Pension Fund assets shall be diversified to minimize risk. The asset allocation shall be maintained according to the table below. Asset allocations are to be reviewed at least quarterly by the Board. Following the review, asset classes breaching either the maximum or minimum of the ranges shall be evaluated for rebalancing to restore the allocation within the specified range.

	Minimum	Target	Maximum
US Equity	43%	48%	53%
Large Cap	30%	35%	40%
Mid Cap	2%	5%	8%
Small Cap	4%	8%	12%
International Equity	6%	11%	16%
Real Estate	0%	5%	8%
Fixed Income	35%	36%	45%

The allocation target and ranges are to be formally reviewed at least every other year. The above ranges may be adjusted by revising this policy.

INTERNAL CONTROLS

The Treasurer shall establish a system of internal controls, which shall be documented in writing. The internal controls shall be reviewed by an independent certified public accountant in conjunction with the annual examination of the financial statements. The controls shall be designed to prevent losses of public funds arising from fraud, employee error, misrepresentation by third parties, unanticipated changes in financial markets, or imprudent action by Village employees and officers.

One of the required elements of the system of internal controls shall be the timely reconciliation of all bank accounts. Bank reconciliations shall be performed on a monthly basis and shall be completed no later than sixty (60) days after the bank statement cutoff date.

The aforementioned policy is established as the standard for professional responsibility and shall be applied in the context of managing the Pension portfolio. Persons acting in accordance with this investment policy and established procedures while exercising due diligence in their duties shall be relieved of personal responsibility for an individual security's credit risk or market price changes, provided that deviations from expectations are reported in timely fashion, and appropriate action is taken to control adverse developments.

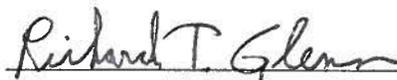
ETHICS AND CONFLICT OF INTEREST

Any fiduciary with respect to the Fund shall refrain from personal business activity that could conflict with the proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

FILING AND AVAILABILITY

A copy of this policy shall be filed with the Division of Insurance within 30 days of its adoption and made available to the public at the main administrative office of the Pension Fund.

Approved this 24th day of April, 2014.


Pension Board President


Pension Board Secretary

Village of Winnetka, Illinois
Internal Control Procedures
Promulgated by the Finance Director as of April, 2014

Authorized Persons:

Persons authorized to purchase investments and release collateral:

Finance Director
Assistant Finance Director
Village Manager

Investment Transactions and Security Measures:

All investment transactions shall be processed, as delivery versus payment or DVP, to ensure that the Village ownership of securities purchased or sold is always clear. All Village investments shall be:

- 1) Held by a third party financial institution and evidenced by a safekeeping agreement in a form acceptable to the Village and the Village's independent auditors.
- 2) Purchased, sold, and held such that the lowest possible level of risk, as defined by the Governmental Accounting Standards Board (GASB), can be maintained for the investment.

The Village shall utilize security codes mutually agreed to by the Village and Financial institutions to process investment transactions, collateral transactions, and wire transfers. At a minimum, these procedures shall include at least one of the following: passwords, taped phone conversations, call back on non repetitive wire transfers, limiting authorized account numbers, and designation of repetitive transaction types.

Internal Controls:

The internal controls as stated in the policy will be performed monthly.

The Village Accountant or Assistant Finance Director shall prepare and initial monthly bank reconciliations within 60 days of the cut off statement date. The Finance Director shall approve of the monthly reconciliations by initialing and dating them.

The Village's investment consultant who serves as a fiduciary of the Fund shall prepare quarterly reports of the investment portfolio. The December investment performance reports shall be retained by the Pension Fund for a period of at least 5 years.

List of Authorized Investment Advisors, Money Managers, and Financial Institutions

As of April 2014

- 1) The Illinois Funds
- 2) Harris Bank
- 3) Any Federal Reserve Bank
- 4) Wells Fargo Bank
- 5) T. Rowe Price Associates
- 6) Wintrust Financial Corporation
- 7) The Bogdahn Group
- 8) J.P. Morgan Chase
- 9) Seizert Capital Partners, LLC
- 10) Holland Capital Management
- 11) Harbor Funds
- 12) CS McKee Investment Managers
- 13) Great Lakes Advisors
- 14) Principal Real Estate Investors
- 15) Prudential Investment Management Services – Target Portfolio



Attachment #3

**VILLAGE OF WINNETKA
WINNETKA POLICE PENSION FUND**

Actuarial Valuation Report
For the Year
Beginning January 1, 2015
And Ending December 31, 2015

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600



TABLE OF CONTENTS

	<u>Page</u>
Introduction	3
Summary of Results	4
Actuarial Valuation of Assets	6
Asset Changes During Prior Year	7
Normal Cost	8
Accrued Liability	9
Tax Levy Requirement	10
Summary of Plan Participants	11
Duration	12
Projected Pension Payments	12
Summary of Plan Provisions	13
Actuarial Method	14
Actuarial Assumptions	15
GASB Statements No. 25 & 27 Disclosure	17
GASB Statements No. 67 & 68 Disclosure	20

INTRODUCTION

Police-sworn personnel of the Village of Winnetka are covered by the Police Pension Plan that is a defined-benefit, single-employer pension plan. The purpose of this report is to provide to the Intended Users of this report, specifically the Intended Users are the Village Officials, the Pension Board and the Village and Pension Board auditors, the reporting requirements of the Illinois Pension Code, the GASB Statements No. 25 & 27 and 67 & 68 financial information and related actuarial information for the year stated in this report. This report is not intended for distribution or usage to or by anyone who is not an Intended User and should not be used for any other purpose.

The valuation results reported herein are based on the employee data, plan provisions and the financial data provided by the Village. The actuary has relied on this information and does not assume responsibility for the accuracy or completeness of this information. I hereby certify that to the best of my knowledge this report is complete and accurate and fairly presents the actuarial position of the Fund in accordance with generally accepted actuarial principles and procedures. In my opinion, the assumptions used are reasonably related to the experience of the Plan and to reasonable expectations. A reasonable request for supplementary information not included in this report should be directed to the undersigned actuary.

The actuary cautions the Intended Users of the possibility of uncertainty or risks in any of the results in this report.

I, Timothy W. Sharpe, am an Enrolled Actuary and a member of the American Academy of Actuaries, and I meet the Qualifications Standards of the American Academy of Actuaries to render the actuarial opinion contained herein.

Respectfully submitted,

Timothy W. Sharpe, EA, MAAA
Enrolled Actuary No. 14-4384

Date

SUMMARY OF RESULTS

There was a change with respect to Actuarial Assumptions from the prior year to reflect revised expectations with respect to mortality rates, disability rates, turnover rates and retirement rates. The mortality rates, disability rates, turnover rates and retirement rates have been changed to the new rates most recently published by the Illinois Department of Insurance (September 2012).

There were no changes with respect to Plan Provisions or Actuarial Methods from the prior year.

Based on the plan sponsor's funding policy and future expected plan contributions and funded status, the plan is to be expected to produce adequate assets to make benefit payments when they are due.

The benefit payment default risk or the financial health of the plan sponsor was not deemed to be material.

Future actuarial measurements may differ significantly from the current measurements presented in this report due to such factors as the following: plan experience differing from that anticipated by the economic or demographic assumptions; changes in economic or demographic assumptions; increases or decreases expected as part of the natural operation of the methodology used for these measurements (such as the end of an amortization period or additional cost or contribution requirements based on the plan's funded status); and changes in plan provisions or applicable law.

Due to the limited scope of the actuary's assignment, the actuary did not perform an analysis of the potential range of such future measurements.

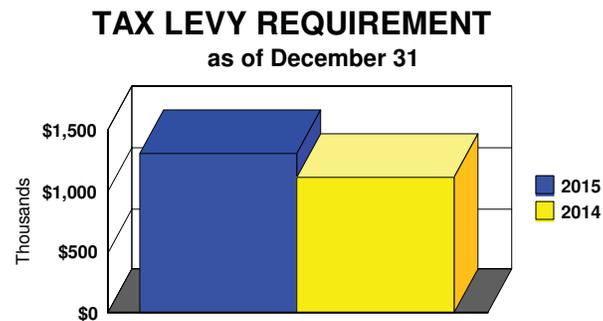
There were no unexpected changes with respect to the participants included in this actuarial valuation, however a previously reported deferred pensioner was converted to a disability pension (2 new members, 2 terminations, 0 retirements, 0 incidents of disability, annual payroll increase 2.8%, average annual salary increase 4.5%).

There were no unexpected changes with respect to the Fund's investments from the prior year (annual investment return 6.42%).

The Village's Tax Levy Requirement has increased from \$1,110,899 last year to \$1,308,146 this year (17.8%). The increase in the Tax Levy is due to the increase in salaries and the changes to the assumptions. The Percent Funded has decreased from 67.4% last year to 64.9% this year.

SUMMARY OF RESULTS (Continued)

	For Year Ending December 31	
	<u>2015</u>	<u>2014</u>
Tax Levy Requirement	\$ 1,308,146	\$ 1,110,899
	as of January 1	
	<u>2015</u>	<u>2014</u>
Village Normal Cost	448,632	416,962
Anticipated Employee Contributions	241,806	235,255
Accrued Liability	37,819,237	34,385,224
Actuarial Value of Assets	24,547,266	23,171,247
Unfunded Accrued Liability/(Surplus)	13,271,971	11,213,977
Amortization of Unfunded Accrued Liability/(Surplus)	782,564	628,590
Percent Funded	64.9%	67.4%
Annual Payroll	\$ 2,440,019	\$ 2,373,914

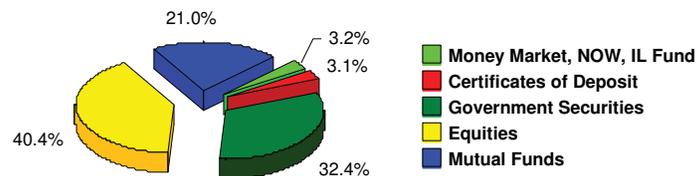


ACTUARIAL VALUATION OF ASSETS

	as of January 1	
	<u>2015</u>	<u>2014</u>
Money Market, NOW, IL Fund	\$ 814,141	\$ 943,438
Certificates of Deposit	788,451	749,351
Government Securities	8,309,136	8,946,461
Equities	10,373,077	9,375,467
Mutual Funds	5,377,618	4,592,766
Interest Receivable	58,973	51,353
Miscellaneous Receivable/(Payable)	<u>(209,205)</u>	<u>(213,153)</u>
Market Value of Assets	<u>25,512,191</u>	<u>24,445,682</u>
Actuarial Value of Assets	\$ 24,547,266	\$ 23,171,247

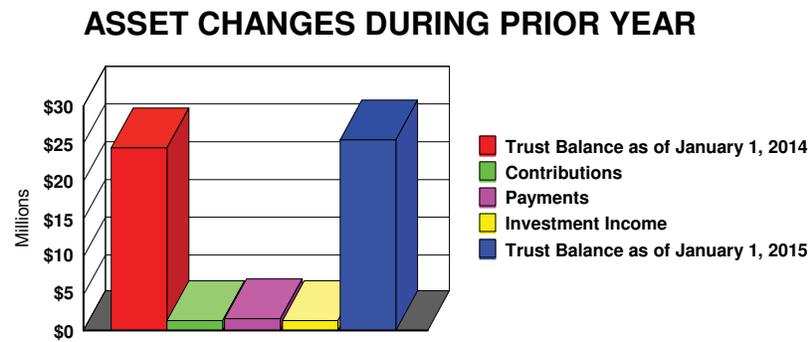
FYE 2012-2014 (Gain)/Loss: (\$13,945); (\$432,246); (\$1,261,886); (\$40,132)

SUMMARY OF ASSETS
As Of January 1, 2015



ASSET CHANGES DURING PRIOR YEAR

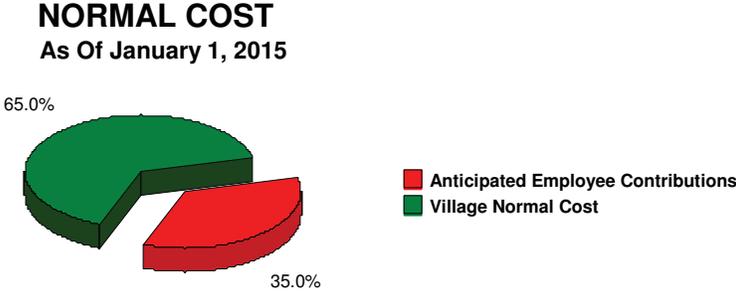
Trust Balance as of January 1, 2014		\$	24,445,682
Contributions			
Village	1,095,000		
Employee	<u>249,185</u>		
Total			1,344,185
Payments			
Benefit Payments	1,809,323		
Expenses	<u>21,144</u>		
Total			1,830,468
Investment Income (annualized)			<u>1,552,791</u>
Trust Balance as of January 1, 2015		\$	<u>25,512,191</u>
Approximate Annual Rate of Return			6.42%



NORMAL COST

The Normal Cost is the actuarial present value of the portion of the projected benefits that are expected to accrue during the year based upon the actuarial valuation method and actuarial assumptions employed in the valuation.

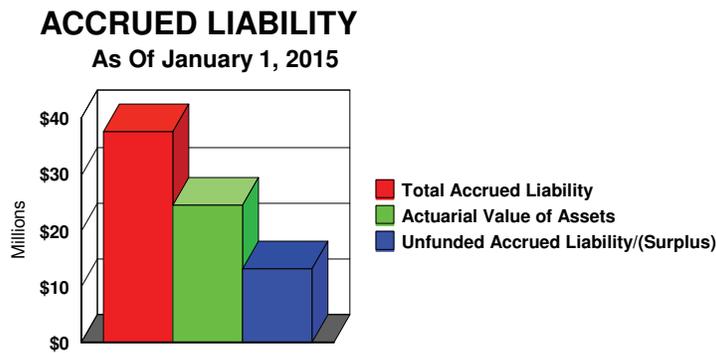
	as of January 1	
	<u>2015</u>	<u>2014</u>
Total Normal Cost	\$ 690,438	\$ 652,217
Anticipated Employee Contributions	<u>241,806</u>	<u>235,255</u>
Village Normal Cost	<u>448,632</u>	<u>416,962</u>
Normal Cost Payroll	\$ 2,440,019	\$ 2,373,914
Village Normal Cost Rate	18.39%	17.56%
Total Normal Cost Rate	28.30%	27.47%



ACCRUED LIABILITY

The Accrued Liability is the actuarial present value of the portion of the projected benefits that has been accrued as of the valuation date based upon the actuarial valuation method and actuarial assumptions employed in the valuation. The Unfunded Accrued Liability is the excess of the Accrued Liability over the Actuarial Value of Assets.

	as of January 1	
Accrued Liability	<u>2015</u>	<u>2014</u>
Active Employees	\$ 15,247,271	\$ 13,296,960
Children Annuities	0	0
Disability Annuities	844,158	817,544
Retirement Annuities	20,713,689	19,385,333
Surviving Spouse Annuities	737,563	708,303
Terminated Vested Annuities	<u>276,556</u>	<u>177,084</u>
Total Annuities	22,571,966	21,088,264
Total Accrued Liability	37,819,237	34,385,224
Actuarial Value of Assets	<u>24,547,266</u>	<u>23,171,247</u>
Unfunded Accrued Liability/(Surplus)	\$ <u>13,271,971</u>	\$ <u>11,213,977</u>
Percent Funded	64.9%	67.4%

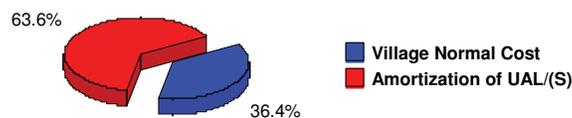


TAX LEVY REQUIREMENT

The Public Act 096-1495 Tax Levy Requirement is determined as the annual contribution necessary to fund the normal cost, plus the amount to amortize the excess (if any) of ninety percent (90%) of the accrued liability over the actuarial value of assets as a level percentage of payroll over a thirty (30) year period which commenced in 2011, plus an adjustment for interest. The 100% amortization amount is equal to the amount to amortize the unfunded accrued liability as a level percentage of payroll over a forty (40) year period which commenced in 1993.

	For Year Ending December 31	
	<u>2015</u>	<u>2014</u>
Village Normal Cost as of Beginning of Year	\$ 448,632	\$ 416,962
Amortization of Unfunded Accrued Liability/(Surplus)	782,564	628,590
Interest for One Year	<u>76,950</u>	<u>65,347</u>
Tax Levy Requirement as of End of Year	\$ <u>1,308,146</u>	\$ <u>1,110,899</u>
 Public Act 096-1495 Tax Levy Requirement		
1) Normal Cost (PUC)	567,450	513,357
2) Accrued Liability (PUC)	37,516,556	33,613,703
3) Amortization Payment	386,803	287,124
4) Interest for One Year	59,641	50,030
5) PA 096-1495 Tax Levy Requirement (1 + 3 + 4)	\$ 1,013,894	850,511

TAX LEVY REQUIREMENT
For Fiscal Year Ending December 31, 2015





SUMMARY OF PLAN PARTICIPANTS

The actuarial valuation of the Plan is based upon the employee data furnished by the Village. The information provided for Active participants included:

- Name
- Sex
- Date of Birth
- Date of Hire
- Compensation
- Employee Contributions

The information provided for Inactive participants included:

- Name
- Sex
- Date of Birth
- Date of Pension Commencement
- Monthly Pension Benefit
- Form of Payment

Membership	<u>2015</u>	<u>2015</u>	<u>2014</u>	<u>2014</u>
Current Employees				
Vested	16		15	
Nonvested	<u>10</u>		<u>11</u>	
Total	<u>26</u>		<u>26</u>	
Inactive Participants		<u>Annual Benefits</u>		<u>Annual Benefits</u>
Children	0 \$	0	0 \$	0
Disabled Employees	1	42,829	1	42,829
Retired Employees	24	1,551,807	24	1,508,780
Surviving Spouses	4	117,759	4	117,759
Terminated Vesteds	<u>3</u>	<u>47,075</u>	<u>2</u>	<u>26,721</u>
Total	<u>32</u>	<u>1,759,470</u>	<u>31</u>	<u>1,696,089</u>
Annual Payroll	\$	2,440,019	\$	2,373,914

SUMMARY OF PLAN PARTICIPANTS (Continued)

Age and Service Distribution

Service Age	0-4	5-9	10-14	15-19	20-24	25-29	30+	Total	Salary
20-24	1							1	67,399
25-29	2							2	69,367
30-34	5	2						7	78,699
35-39			2					2	108,054
40-44		1	1	3	1			6	101,502
45-49			1		2			3	109,415
50-54					1	2		3	117,592
55-59		1		1				2	88,427
60+									
Total	<u>8</u>	<u>4</u>	<u>4</u>	<u>4</u>	<u>4</u>	<u>2</u>	<u>0</u>	<u>26</u>	<u>93,847</u>
Salary	71,263	90,314	103,463	94,280	122,818	113,208			

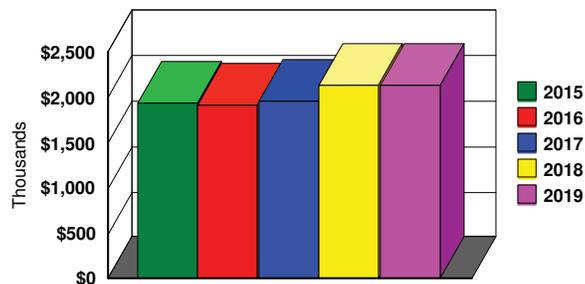
Average Age: 39.8 Average Service: 11.5

DURATION (years) Active Members: 18.5 Retired Members: 10.3 All Members: 13.6

PROJECTED PENSION PAYMENTS

<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>	<u>2019</u>
\$1,932,741	\$1,923,255	\$1,968,606	\$2,139,728	\$2,138,762

PROJECTED PENSION PAYMENTS
2015-2019





SUMMARY OF PLAN PROVISIONS

The Plan Provisions have not been changed from the prior year.

The Village of Winnetka Police Pension Fund was created and is administered as prescribed by "Article 3. Police Pension Fund - Municipalities 500,000 and Under" of the Illinois Pension Code (Illinois Compiled Statutes, 1992, Chapter 40). A brief summary of the plan provisions is provided below.

Employees attaining the age of (50) or more with (20) or more years of creditable service are entitled to receive an annual retirement benefit of (2.5%) of final salary for each year of service up to (30) years, to a maximum of (75%) of such salary.

Employees with at least (8) years but less than (20) years of credited service may retire at or after age (60) and receive a reduced benefit of (2.5%) of final salary for each year of service.

Surviving spouses receive (100%) of final salary for fatalities resulting from an act of duty, or otherwise the greater of (50%) of final salary or the employee's retirement benefit.

Employees disabled in the line of duty receive (65%) of final salary.

The monthly pension of a covered employee who retired with (20) or more years of service after January 1, 1977, shall be increased annually, following the first anniversary date of retirement and be paid upon reaching the age of at least (55) years, by (3%) of the originally granted pension. Beginning with increases granted on or after July 1, 1993, the second and subsequent automatic annual increases shall be calculated as (3%) of the amount of the pension payable at the time of the increase.

Employees are required to contribute (9.91%) of their base salary to the Police Pension Plan. If an employee leaves covered employment with less than (20) years of service, accumulated employee contributions may be refunded without accumulated interest.

For Employees hired after January 1, 2011, the Normal Retirement age is attainment of age 55 and completion of 10 years of service; Early Retirement age is attainment of age 50, completion of 10 years of service and the Early Retirement Factor is 6% per year; the Employee's Accrued Benefit is based on the Employee's final 8-year average salary not to exceed \$106,800 (as indexed); Cost-of-living adjustments are simple increases (not compounded) of the lesser of 3% or 50% of CPI beginning the later of the anniversary date and age 60; Surviving Spouse's Benefits are 66 2/3% of the Employee's benefit at the time of death.



ACTUARIAL METHODS

The Actuarial Methods employed for this valuation are as follows:

Projected Unit Credit Cost Method (for years beginning on or after 2011 for PA 096-1495)

Under the Projected Unit Credit Cost Method, the Normal Cost is the present value of the projected benefit (including projected salary increases) earned during the year.

The Accrued Liability is the present value of the projected benefit (including projected salary increases) earned as of the actuarial valuation date. The Unfunded Accrued Liability is the excess of the Accrued Liability over the plan's assets. Experience gains or losses adjust the Unfunded Accrued Liability.

Entry Age Normal Cost Method

Under the Entry Age Normal Cost Method the Normal Cost for each participant is computed as the level percentage of pay which, if paid from the earliest age the participant is eligible to enter the plan until retirement or termination, will accumulate with interest to sufficiently fund all benefits under the plan. The Normal Cost for the plan is determined as the sum of the Normal Costs for all active participants.

The Accrued Liability is the theoretical amount that would have accumulated had annual contributions equal to the Normal Cost been paid. The Unfunded Accrued Liability is the excess of the Accrued Liability over the plan's assets. Experience gains or losses adjust the Unfunded Accrued Liability.



ACTUARIAL ASSUMPTIONS

The Actuarial Assumptions used for determining the Tax Levy Requirement and GASB Statements No. 25 & 27 and 67 & 68 Disclosure Information are the same (except where noted) and have been changed from the prior year (discussion on page 4). The methods and assumptions disclosed in this report may reflect statutory requirements and may reflect the responsibility of the Principal and its advisors. Unless specifically noted otherwise, each economic and demographic assumption was selected in accordance with Actuarial Standards of Practice 27 and 35 and may reflect the views and advice of advisors to the Principal. In the event a method or assumption conflicts with the actuary's professional judgment, the method or assumption is identified in this report. The Actuarial Assumptions employed for this valuation are as follows:

Valuation Date	January 1, 2015
Asset Valuation Method	5-year Average Market Value (PA 096-1495)
Investment Return	6.25% net of investment expenses.
Salary Scale	5.50%
Mortality	RP 2000 Mortality Table (CHBCA). There is no margin for future mortality improvement beyond the valuation date.
Withdrawal	Based on studies of the Fund and the Department of Insurance, Sample Rates below
Disability	Based on studies of the Fund and the Department of Insurance, Sample Rates below
Retirement	Based on studies of the Fund and the Department of Insurance, Sample Rates below (100% by age 70)
Marital Status	80% Married, Female spouses 3 years younger



ACTUARIAL ASSUMPTIONS (Continued)

<u>Sample Annual Rates Per 100 Participants</u>				
<u>Age</u>	<u>Mortality</u>	<u>Withdrawal</u>	<u>Disability</u>	<u>Retirement</u>
20	0.03	10.00	0.05	
25	0.04	7.50	0.05	
30	0.07	5.00	0.22	
35	0.11	3.00	0.26	
40	0.14	2.00	0.40	
45	0.18	2.00	0.65	
50	0.24	3.50	0.95	20.00
55	0.42	3.50	1.30	25.00
60	0.83	3.50	1.65	33.00
65	1.55	3.50	2.00	50.00
70	2.68			100.00



GASB STATEMENTS NO. 25 & 27 DISCLOSURE INFORMATION

The Governmental Accounting Standards Board (GASB) issued Statements No. 25 & 27 that established generally accepted accounting principles for the annual financial statements for defined benefit pension plans. The required information is as follows:

Membership in the plan consisted of the following as of:

	<u>December 31, 2014</u>	<u>December 31, 2013</u>
Retirees and beneficiaries receiving benefits	29	29
Terminated plan members entitled to but not yet receiving benefits	3	2
Active vested plan members	16	15
Active nonvested plan members	<u>10</u>	<u>11</u>
Total	<u>58</u>	<u>57</u>
Number of participating employers	1	1

SCHEDULE OF FUNDING PROGRESS

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) -Entry Age (b)	Unfunded AAL (UAAL) (b-a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll ((b-a)/c)
03/31/13	22,592,558	32,771,168	10,178,610	68.9%	2,405,882	423.1%
12/31/13	24,445,682	34,385,224	9,939,542	71.1%	2,373,914	418.7%
12/31/14	25,512,191	37,819,237	12,307,046	67.5%	2,440,019	504.4%



GASB STATEMENTS NO. 25 & 27 DISCLOSURE INFORMATION (Continued)

ANNUAL PENSION COST AND NET PENSION OBLIGATION

	<u>December 31, 2014</u>	<u>December 31, 2013</u>
Annual required contribution ¹	1,089,023	756,864
Interest on net pension obligation	(25,779)	(25,779)
Adjustment to annual required contribution	<u>23,284</u>	<u>22,197</u>
Annual pension cost	1,086,528	753,282
Contributions made	<u>1,095,000</u>	<u>740,448</u>
Increase (decrease) in net pension obligation	(8,472)	12,834
Net pension obligation beginning of year	<u>(399,625)</u>	<u>(412,459)</u>
Net pension obligation end of year	<u>(408,019)</u>	<u>(399,625)</u>

¹ Prorated for 12/31/2013 for 9-month fiscal year.

THREE-YEAR TREND INFORMATION

Fiscal Year <u>Ending</u>	Annual Pension Cost (APC) <u>Cost (APC)</u>	Percentage of APC Contributed <u>Contributed</u>	Net Pension Obligation <u>Obligation</u>
03/31/13	988,074	101.0%	(412,459)
12/31/13	753,282	98.3%	(399,625)
12/31/14	1,086,606	100.8%	(408,019)



GASB STATEMENTS NO. 25 & 27 DISCLOSURE INFORMATION (Continued)

FUNDING POLICY AND ANNUAL PENSION COST

Contribution rates:

Village	44.88%	31.19%
Plan members	9.91%	Same

Annual pension cost	1,086,606	753,282
---------------------	-----------	---------

Contributions made	1,095,000	740,448
--------------------	-----------	---------

Actuarial valuation date	12/31/2014	12/31/2013
--------------------------	------------	------------

Actuarial cost method	Entry age	Same
-----------------------	-----------	------

Amortization period	Level percentage of pay, closed	Same
---------------------	---------------------------------	------

Remaining amortization period	18 years	19 years
-------------------------------	----------	----------

Asset valuation method	Market	Same
------------------------	--------	------

Actuarial assumptions:

Investment rate of return*	6.25%	Same
----------------------------	-------	------

Projected salary increases*	5.50%	Same
-----------------------------	-------	------

*Includes inflation at	3.00%	Same
------------------------	-------	------

Cost-of-living adjustments	Tier 1: 3.00% per year, compounded Tier 2: 2.00% per year, simple	Same
----------------------------	--	------



STATEMENTS NO. 67 & 68 DISCLOSURE INFORMATION

Plan Membership	December 31, 2014
Inactive plan members or beneficiaries currently receiving benefits	29
Inactive plan members entitled to but not yet receiving benefits	3
Active plan members	<u>26</u>
Total	<u>58</u>

Net Pension Liability of the Village	
Total pension liability	37,819,237
Plan fiduciary net position	25,512,191
Village's net pension liability	12,307,046
Plan fiduciary net position as a percentage of the total pension liability	67.46%

Actuarial Assumptions	
Inflation	3.00%
Salary increases	5.50%
Investment rate of return	6.25% net of expenses

The projection of cash flows used to determine the discount rate assumed that plan member contributions will be made at the current contribution rate and that Village contributions will be made at rates equal to the difference between actuarially determined contribution rates and the member rate. Based on those assumptions, the pension plan's fiduciary net position was projected to be available to make all projected future benefit payments of current members. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

Based on those assumptions, the discount rate was determined in accordance with paragraphs 40-45. Therefore, the discount rate was applied to all periods of projected benefit payments to determine the total pension liability.

Sensitivity of the Net Pension Liability to Changes in the Discount Rate			
	1% Decrease	Current Discount Rate	1% Increase
	5.25%	6.25%	7.25%
Net Pension Liability	17,138,726	12,307,046	8,292,992



STATEMENTS NO. 67 & 68 DISCLOSURE INFORMATION (continued)

Schedule of Changes in the Village's Net Pension Liability and Related Ratios

	December 31, 2014
Total Pension Liability	
Service cost	692,981
Interest	2,092,535
Changes of benefit terms	0
Differences between expected and actual experience	(42,166)
Changes of assumptions	2,499,986
Benefit payments, including refunds of member contributions	1,809,323
Net change in total pension liability	3,434,013
Total pension liability - beginning	34,385,224
Total pension liability - ending	37,819,237
Plan Fiduciary Net Position	
Contributions - employer	1,095,000
Contributions - member	249,185
Net investment income	1,552,791
Benefit payments, including refunds of member contributions	1,809,323
Administrative expense	21,144
Other	0
Net change in plan fiduciary net position	1,066,509
Plan fiduciary net position - beginning	24,445,682
Plan fiduciary net position - ending	25,512,191
Village's net pension liability	12,307,046
Plan fiduciary net position as a percentage of the total pension liability	67.46%
Covered-employee payroll	2,440,019
Village's net pension liability as a percentage of covered-employee payroll	504.38%



STATEMENTS NO. 67 & 68 DISCLOSURE INFORMATION (continued)

Schedule of Village Contributions

	<u>December 31, 2014</u>
Actuarially determined contribution	1,089,023
Contributions in relation to the actuarially determined contribution	1,095,000
Contribution deficiency (Excess)	(5,977)
Covered-employee payroll	2,440,019
Contributions as a percentage of covered-employee payroll	44.88%

Notes to schedule

Valuation date December 31, 2014

Methods and assumptions used to determine contribution rates:

Actuarial cost method	Entry Age Normal
Amortization method	Level Percentage of Pay
Remaining amortization period	18 years
Asset valuation method	Market Value
Inflation	3.00%
Salary increases	5.50%
Investment rate of return	6.25%
Retirement age	50-70
Mortality	RP 2000 CHBCA
Other	

Mortality rates were based on the RP-2000 CHBCA Mortality Table. The actuarial assumptions used in the December 31, 2014 valuation were based on the results of an actuarial experience study conducted by the Illinois Department of Insurance dated September 26, 2012.

VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND

HOUSE BILL 5088 – MUNICIPAL
COMPLIANCE REPORT

FOR THE FISCAL YEAR ENDED
DECEMBER 31, 2014



November 10, 2015

Members of the Pension Board of Trustees
Winnetka Firefighters' Pension Fund
Winnetka, Illinois

Enclosed please find a copy of your Municipal Compliance Report for the Winnetka Firefighters' Pension Fund for the year ended December 31, 2014. We have prepared the report with the most recent information available at our office. Should you have more current information, or notice any inaccuracies, we are prepared to make any necessary revisions and return them to you.

The President and Secretary of the Pension Fund are required to sign the report on page 3. If not already included with the enclosed report, please also include a copy of the Pension Fund's most recent investment policy.

The signed House Bill 5088 - Municipal Compliance Report must be provided to the Municipality before the tax levy is filed on the last Tuesday in December. We are sending the report via email to promote an environmentally-friendly work atmosphere.

If you have any questions regarding this report please contact Matt Beran at (515) 556-4052 or mberan@lauterbachamen.com.

Respectfully submitted,

A handwritten signature in blue ink that reads "Lauterbach & Amen LLP".

LAUTERBACH & AMEN, LLP

**VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

The Pension Board certifies to the Board of Trustees of the Village of Winnetka, Illinois on the condition of the Pension Fund at the end of its most recently completed fiscal year the following information:

- 1) The total cash and investments, including accrued interest, of the fund and their current market value of those assets:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Total Cash and Investments (including accrued interest)	<u>\$24,094,470</u>	<u>\$22,869,903</u>
Total Net Position	<u>\$23,873,492</u>	<u>\$22,656,625</u>

- 2) The estimated receipts during the next succeeding fiscal year from deductions from the salaries of firefighters' and from other sources:

Estimated Receipts - Employee Contributions	<u>\$238,000</u>
Estimated Receipts - All Other Sources	
Investment Earnings	<u>\$1,505,900</u>
Municipal Contributions	<u>\$1,451,602</u>

- 3) The estimated amount necessary during the fiscal year to meet the annual actuarial requirements of the pension fund as provided in Sections 4-118 and 4-120:

Annual Requirement of the Fund as Determined by:

Illinois Department of Insurance	<u>\$982,987</u>
Private Actuary - Timothy W. Sharpe, Actuary	
Recommended Municipal Contribution	<u>\$1,451,602</u>
Statutory Municipal Contribution	<u>\$1,030,646</u>

**VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

- 4) The total net income received from investment of assets along with the assumed investment return and actual investment return received by the fund during its most recently completed fiscal year compared to the total net income, assumed investment return, and actual investment return received during the preceding fiscal year:

	Current Fiscal Year	Preceding Fiscal Year
Net Income Received from Investment of Assets	\$1,494,221	\$2,178,284
Assumed Investment Return		
Illinois Department of Insurance	6.75%	6.75%
Private Actuary - Timothy W. Sharpe, Actuary	6.25%	6.25%
Actual Investment Return	6.57%	10.48%

- 5) The increase in employer pension contributions that results from the implementation of the provisions of P.A. 93-0689:

Illinois Department of Insurance	N/A
Private Actuary - Timothy W. Sharpe, Actuary	N/A

- 6) The total number of active employees who are financially contributing to the fund:

Number of Active Members	25
--------------------------	----

- 7) The total amount that was disbursed in benefits during the fiscal year, including the number of and total amount disbursed to (i) annuitants in receipt of a regular retirement pension, (ii) recipients being paid a disability pension, and (iii) survivors and children in receipt of benefits:

	Number of	Total Amount Disbursed
(i) Regular Retirement Pension	19	\$1,368,782
(ii) Disability Pension	2	\$99,384
(iii) Survivors and Child Benefits	9	\$342,697
Totals	30	\$1,810,863

**VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

8) The Funded Ratio of the Fund:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Illinois Department of Insurance	<u>65.33%</u>	<u>63.09%</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>64.16%</u>	<u>65.79%</u>

9) The unfunded liability carried by the fund, along with an actuarial explanation of the unfunded liability:

Unfunded Liability:

Illinois Department of Insurance	<u>\$12,227,068</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>\$13,335,539</u>

The accrued liability is the actuarial present value of the portion of the projected benefits that has been accrued as of the valuation date based upon the actuarial valuation method and the actuarial assumptions employed in the valuation. The unfunded accrued liability is the excess of the accrued liability over the actuarial value of assets.

10) The investment policy of the Pension Board under the statutory investment restrictions imposed on the fund.

Investment Policy - See Attached.

Please see Notes Page attached.

CERTIFICATION OF MUNICIPAL FIREFIGHTERS'
PENSION FUND COMPLIANCE REPORT

The Board of Trustees of the Pension Fund, based upon information and belief, and to the best of our knowledge, hereby certify pursuant to §4-134 of the Illinois Pension Code 40 ILCS 5/4-134, that the preceding report is true and accurate.

Adopted this _____ day of _____, 2015

President _____ Date _____

Secretary _____ Date _____

**VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

INDEX OF ASSUMPTIONS

- 1) Total Cash and Investments - as Reported at Market Value in the Audited Financial Statements for the Years Ended December 31, 2014 and 2013.

Total Net Position - as Reported in the Audited Financial Statements for the Years Ended December 31, 2014 and 2013.

- 2) Estimated Receipts - Employee Contributions as Reported in the Audited Financial Statements for the Year Ended December 31, 2014 plus 5.5% Increase (Actuarial Salary Increase Assumption) Rounded to the Nearest \$100.

Estimated Receipts - All Other Sources

Investment Earnings - Cash and Investments as Reported in the Audited Financial Statements for the Year Ended December 31, 2014, times 6.25% (Actuarial Investment Return Assumption) Rounded to the Nearest \$100.

Municipal Contributions - Recommended Tax Levy Requirement as Reported by Timothy W. Sharpe, Actuary, Actuarial Valuation for the Year Ended December 31, 2014.

- 3) Annual Requirement of the Fund as Determined by:

Illinois Department of Insurance - Suggested Amount of Tax Levy as Reported in the December 31, 2014 Actuarial Valuation.

Private Actuary - Timothy W. Sharpe, Actuary

Recommended Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary in the December 31, 2014 Actuarial Valuation.

Statutorily Required Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary in the December 31, 2014 Actuarial Valuation.

**VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

INDEX OF ASSUMPTIONS - Continued

- 4) Net Income Received from Investment of Assets - Investment Income (Loss) net of Investment Expense, as Reported in the Audited Financial Statements for the Years Ended December 31, 2014 and 2013.

Assumed Investment Return

Illinois Department of Insurance - Current and Preceding Fiscal Year Interest Rate Assumption as Reported in the December 31, 2014 and 2013 Actuarial Valuations.

Private Actuary - Current and Preceding Fiscal Year Interest Rate Assumption as Reported in the Timothy W. Sharpe, Actuary, December 31, 2014 and 2013 Actuarial Valuations.

Actual Investment Return - Net Income Received from Investments as Reported Above as a Percentage of the Average of the Beginning Balance of the Fiscal Year Cash and Investments and Ending Balance of the Fiscal Year Cash and Investments, excluding the fiscal year net investment income, as Reported in the Audited Financial Statements for the Fiscal Years Ended December 31, 2014, 2013 and 2013.

- 5) Illinois Department of Insurance - Amount of total suggested tax levy to be excluded from the property tax extension limitation law as contemplated by 35 ILCS 200/18-185.

Private Actuary - No Private Actuarial Valuation amount available at the time of this report.

- 6) Number of Active Members - Illinois Department of Insurance Annual Statement for December 31, 2014 - Schedule P.

(i) Regular Retirement Pension - Illinois Department of Insurance Annual Statement for December 31, 2014 - Schedule P for Number of Participants and Expense page 1 for Total Amount Disbursed.

(ii) Disability Pension - Same as above.

(iii) Survivors and Child Benefits - Same as above.

**VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending December 31, 2014**

INDEX OF ASSUMPTIONS - Continued

7) The Funded Ratio of the Fund:

Illinois Department of Insurance - Current and Preceding Fiscal Year Net Present Assets as a percentage of Total Assets as Reported in the December 31, 2014 and 2013 Actuarial Valuations.

Private Actuary - Current and Preceding Fiscal Year Net Present Assets as a percentage of Total Assets as Reported in the Timothy W. Sharpe, Actuary, December 31, 2014 and December 31, 2013 Actuarial Valuations.

8) Unfunded Liability:

Illinois Department of Insurance - Deferred Asset (Unfunded Accrued Liability) as Reported in the December 31, 2014 Actuarial Valuation.

Private Actuary - Deferred Asset (Unfunded Accrued Liability) as Reported by Timothy W. Sharpe, Actuary in the December 31, 2014 Actuarial Valuation.

**VILLAGE OF WINNETKA, ILLINOIS
FIREFIGHTERS' PENSION FUND
INVESTMENT POLICY**

SCOPE

This Investment Policy applies to the investment activities of the Village of Winnetka Firefighters' Pension Fund.

INVESTMENT OBJECTIVES

The following investment objectives, in order of preference, will be applied in the management of the pension fund assets.

The primary objective of the Pension Fund investment activities is to safeguard assets needed to pay benefits.

The investment portfolio will remain sufficiently liquid to meet anticipated cash flow requirements such as pension payments, bills, and other uses of cash.

The portfolio will consist of fixed income and equity investments that shall be diversified. Because of the long-term nature of pension liabilities, the long-term rate of return for each class of investment will be considered when managing the Pension portfolio. Care will be taken to ensure that the overall portfolio is not subjected to unreasonable market risk.

The investment portfolio managed under this policy shall be designed with the objective of regularly meeting the appropriate benchmarks established under recognized professional standards for each type of investment, as established by the Board.

PRUDENCE

Investments shall be made with judgment and care which under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital and the probable income to be derived. Investment Managers, Investment consultants, and other entities and individuals retained by the fund to provide services to the Fund and shall meet the prudent expert standard of care.

INVESTMENT RESPONSIBILITY, LIQUIDITY, AND REPORTING

The oversight of the investment program is hereby assigned to the Pension Board, which will perform the following responsibilities:

At least annually:

Review the asset allocation and target rate of return for the Pension Fund portfolio for appropriateness. The target return may be expressed as a desire to meet an index or benchmark.

Review projected Pension Fund cash flows and projected Pension Fund liabilities as outlined in an actuarial report to ensure assets can be converted into cash with an acceptable amount of market risk to meet likely payments.

At least twice a year:

Evaluate the performance of the portfolio against the target rate of return.

Formally review the holdings of the portfolio.

Discuss an investment strategy to be applied until the next investment performance review.

The daily management of the Pension portfolio is hereby assigned to the Treasurer of the Pension Fund, within the guidelines established by the Pension Fund. The Treasurer shall be authorized to buy and sell Pension Fund investments, transfer balances between different investment types, prepare reports, and perform such other duties as are necessary to implement the directives of the Pension Fund. The Treasurer may also delegate responsibilities to Village staff or other professionals retained by the fund to assist in the performance of various duties.

The Treasurer or designee shall quarterly review with the Pension board a comprehensive investment report which shall include information regarding securities in the portfolio by class or type, income earned, and market value as of the report date.

No person may engage in an investment transaction except as provided under terms of this policy and the procedures established by the Treasurer.

Performance of the portfolio shall be reported in the Village's Comprehensive Annual Financial Report (CAFR or audit) and shall include summary portfolio composition and performance information. Performance of the responsibilities enumerated above will be reflected in the minutes of the Pension Board Meetings.

If material deviations from projected investment strategies occur, they shall be reported immediately to the Secretary and President of the Pension Board.

FINANCIAL INSTITUTIONS

The Pension Board shall select financial institutions, brokers and consultants in accordance with the requirements of 40 ILCS 5/1-113.5, and based on the following criteria:

The Pension Board shall use only financial institutions, brokers, and consultants listed in Appendix A. The Pension Board shall be responsible for adding to and deleting from this list as deemed appropriate.

Any financial institution, broker, or consultant may provide normal banking services, including, but not limited to: checking accounts, wire transfers, purchase and sale of investment securities and safekeeping services. Fees or compensating balances, if any, shall be mutually agreed to by an authorized representative of the depository institution and the Treasurer or designee.

The Treasurer or designee may transfer balances, open and close accounts, and transact Pension Fund business with any qualified financial institution, broker, or consultant. Such Pension Fund business will be consistent with the direction of the Pension Board and investment strategy. Investment advisors selected by the Pension Board shall acknowledge, in writing, that they are fiduciaries with respect to the Pension Fund. Any such written agreements shall be attached to this policy.

INVESTMENTS

The Pension Fund may invest in any type of security allowed by this policy which shall be in compliance with the Illinois Pension Code (40 ILCS 5) and Illinois Public Funds Investment Act (30 ILCS 235/0.01), as amended.

It is desired that the allocation of Pension Fund assets be diversified to minimize risk. The asset allocation shall be maintained according to the table below. Asset allocations are to be reviewed at least quarterly by the Board. Following the review, asset classes breaching either the maximum or minimum of the ranges shall be evaluated for rebalancing to restore the allocation within the specified range.

	Minimum	Target	Maximum
US Equity	43%	52%	65%
Large Cap	30%	37%	55%
Mid Cap	2%	15%	20%
International Equity	6%	12%	16%
Real Estate	0%	0%	6%
Fixed Income	35%	36%	50%

The allocation target and ranges are to be formally reviewed at least every other year. The above ranges may be adjusted by revising this policy.

INTERNAL CONTROLS

The Treasurer shall establish a system of internal controls, which shall be documented in writing. The internal controls shall be reviewed by an independent certified public accountant in conjunction with the annual examination of the financial statements. The controls shall be designed to prevent losses of public funds arising from fraud, employee error, misrepresentation by third parties, unanticipated changes in financial markets, or imprudent action by Village employees and officers.

One of the required elements of the system of internal controls shall be the timely reconciliation of all bank accounts. Bank reconciliations shall be performed on a monthly basis and shall be completed no later than sixty (60) days after the bank statement cutoff date.

The aforementioned policy is established as the standard for professional responsibility and shall be applied in the context of managing the Pension portfolio. Persons acting in accordance with this investment policy and established procedures while exercising due diligence in their duties shall be relieved of personal responsibility for an individual security's credit risk or market price changes, provided that deviations from expectations are reported in timely fashion, and appropriate action is taken to control adverse developments.

ETHICS AND CONFLICT OF INTEREST

Any fiduciary with respect to the Fund shall refrain from personal business activity that could conflict with the proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

FILING AND AVAILABILITY

A copy of this policy shall be filed with the Division of Insurance within 30 days of its adoption and made available to the public at the main administrative office of the Pension Fund.

Approved this 13th day of February, 2014.



Pension Board President



Pension Board Secretary

Village of Winnetka, Illinois
Internal Control Procedures
Promulgated by the Finance Director as of February, 2014

Authorized Persons:

Persons authorized to purchase investments and release collateral:

Finance Director
Assistant Finance Director
Village Manager

Investment Transactions and Security Measures:

All investment transactions shall be processed, as delivery versus payment or DVP, to ensure that the Village ownership of securities purchased or sold is always clear. All Village investments shall be:

- 1) Held by a third party financial institution and evidenced by a safekeeping agreement in a form acceptable to the Village and the Village's independent auditors.
- 2) Purchased, sold, and held such that the lowest possible level of risk, as defined by the Governmental Accounting Standards Board (GASB), can be maintained for the investment.

The Village shall utilize security codes mutually agreed to by the Village and Financial institutions to process investment transactions, collateral transactions, and wire transfers. At a minimum, these procedures shall include at least one of the following: passwords, taped phone conversations, call back on non repetitive wire transfers, limiting authorized account numbers, and designation of repetitive transaction types.

Internal Controls:

The internal controls as stated in the policy will be performed monthly.

The Village Accountant or Assistant Finance Director shall prepare and initial monthly bank reconciliations within 60 days of the cut off statement date. The Finance Director shall approve of the monthly reconciliations by initialing and dating them.

The Village's investment consultant who serves as a fiduciary of the Fund shall prepare quarterly reports of the investment portfolio. The December investment performance reports shall be retained by the Pension Fund for a period of at least 5 years.

List of Authorized Investment Advisors, Money Managers, and Financial Institutions

As of February 2014

- 1) The Illinois Funds
- 2) Harris Bank
- 3) Any Federal Reserve Bank
- 4) Wells Fargo Bank
- 5) T. Rowe Price Associates
- 6) Wintrust Financial Corporation
- 7) The Bogdahn Group
- 8) J.P. Morgan Chase
- 9) Seizert Capital Partners, LLC
- 10) Holland Capital Management
- 11) Harbor Funds
- 12) CS McKee Investment Managers
- 13) Great Lakes Advisors
- 14) Principal Real Estate Investors
- 15) Prudential Investment Management Services – Target Portfolio



Attachment #6

VILLAGE OF WINNETKA
WINNETKA FIREFIGHTERS PENSION FUND

Actuarial Valuation Report
For the Year
Beginning January 1, 2015
And Ending December 31, 2015

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600



TABLE OF CONTENTS

	<u>Page</u>
Introduction	3
Summary of Results	4
Actuarial Valuation of Assets	6
Asset Changes During Prior Year	7
Normal Cost	8
Accrued Liability	9
Tax Levy Requirement	10
Summary of Plan Participants	11
Duration	12
Projected Pension Payments	12
Summary of Plan Provisions	13
Actuarial Method	14
Actuarial Assumptions	15
GASB Statements No. 25 & 27 Disclosure	17
GASB Statements No. 67 & 68 Disclosure	20



INTRODUCTION

Fire-sworn personnel of the Village of Winnetka are covered by the Firefighters Pension Plan that is a defined-benefit, single-employer pension plan. The purpose of this report is to provide to the Intended Users of this report, specifically the Intended Users are the Village Officials, the Pension Board and the Village and Pension Board auditors, the reporting requirements of the Illinois Pension Code, the GASB Statements No. 25 & 27 and 67 & 68 financial information and related actuarial information for the year stated in this report. This report is not intended for distribution or usage to or by anyone who is not an Intended User and should not be used for any other purpose.

The valuation results reported herein are based on the employee data, plan provisions and the financial data provided by the Village. The actuary has relied on this information and does not assume responsibility for the accuracy or completeness of this information. I hereby certify that to the best of my knowledge this report is complete and accurate and fairly presents the actuarial position of the Fund in accordance with generally accepted actuarial principles and procedures. In my opinion, the assumptions used are reasonably related to the experience of the Plan and to reasonable expectations. A reasonable request for supplementary information not included in this report should be directed to the undersigned actuary.

The actuary cautions the Intended Users of the possibility of uncertainty or risks in any of the results in this report.

I, Timothy W. Sharpe, am an Enrolled Actuary and a member of the American Academy of Actuaries, and I meet the Qualifications Standards of the American Academy of Actuaries to render the actuarial opinion contained herein.

Respectfully submitted,

Timothy W. Sharpe, EA, MAAA
Enrolled Actuary No. 14-4384

Date

SUMMARY OF RESULTS

There was a change with respect to Actuarial Assumptions from the prior year to reflect revised expectations with respect to mortality rates, disability rates, turnover rates and retirement rates. The mortality rates, disability rates, turnover rates and retirement rates have been changed to the new rates most recently published by the Illinois Department of Insurance (September 2012).

There were no changes with respect to Plan Provisions or Actuarial Methods from the prior year.

Based on the plan sponsor's funding policy and future expected plan contributions and funded status, the plan is to be expected to produce adequate assets to make benefit payments when they are due.

The benefit payment default risk or the financial health of the plan sponsor was not deemed to be material.

Future actuarial measurements may differ significantly from the current measurements presented in this report due to such factors as the following: plan experience differing from that anticipated by the economic or demographic assumptions; changes in economic or demographic assumptions; increases or decreases expected as part of the natural operation of the methodology used for these measurements (such as the end of an amortization period or additional cost or contribution requirements based on the plan's funded status); and changes in plan provisions or applicable law.

Due to the limited scope of the actuary's assignment, the actuary did not perform an analysis of the potential range of such future measurements.

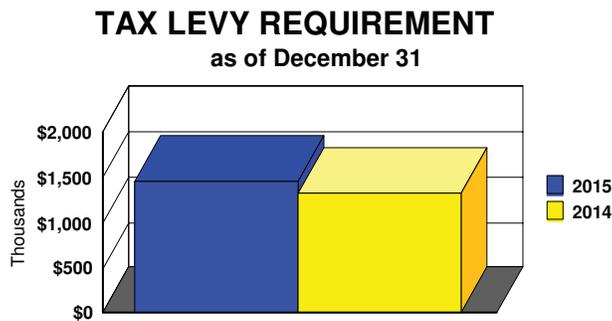
There were no unexpected changes with respect to the participants included in this actuarial valuation (1 new member, 0 terminations, 0 retirements, 0 incidents of disability, annual payroll increase 7.9%, average annual salary increase 4.9%).

There were no unexpected changes with respect to the Fund's investments from the prior year (annual investment return 6.64%).

The Village's Tax Levy Requirement has increased from \$1,327,823 last year to \$1,451,602 this year (9.3%). The increase in the Tax Levy is due to the increase in salaries and the changes to the assumptions. The Percent Funded has decreased slightly from 61.9% last year to 61.3% this year.

SUMMARY OF RESULTS (Continued)

	For Year Ending December 31	
	<u>2015</u>	<u>2014</u>
Tax Levy Requirement	\$ 1,451,602	\$ 1,327,823
	as of January 1	
	<u>2015</u>	<u>2014</u>
Village Normal Cost	517,722	513,509
Anticipated Employee Contributions	227,840	211,147
Accrued Liability	37,209,033	34,438,062
Actuarial Value of Assets	22,818,953	21,304,214
Unfunded Accrued Liability/(Surplus)	14,390,080	13,133,848
Amortization of Unfunded Accrued Liability/(Surplus)	848,492	736,207
Percent Funded	61.3%	61.9%
Annual Payroll	\$ 2,409,734	\$ 2,233,182

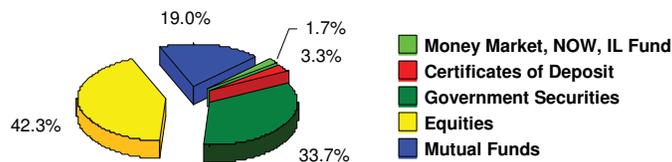


ACTUARIAL VALUATION OF ASSETS

	as of January 1	
	<u>2015</u>	<u>2014</u>
Money Market, NOW, IL Fund	\$ 413,612	\$ 986,104
Certificates of Deposit	781,370	681,079
Government Securities	8,102,394	7,777,246
Equities	10,167,282	9,640,086
Mutual Funds	4,571,455	3,741,136
Interest Receivable	58,359	44,251
Miscellaneous Receivable/(Payable)	<u>(220,978)</u>	<u>(213,278)</u>
Market Value of Assets	<u>23,873,494</u>	<u>22,656,625</u>
Actuarial Value of Assets	\$ 22,818,953	\$ 21,304,214

FYE 2012-2014 (Gain)/Loss: (\$40,296); (\$504,392); (\$1,292,071); (\$86,852)

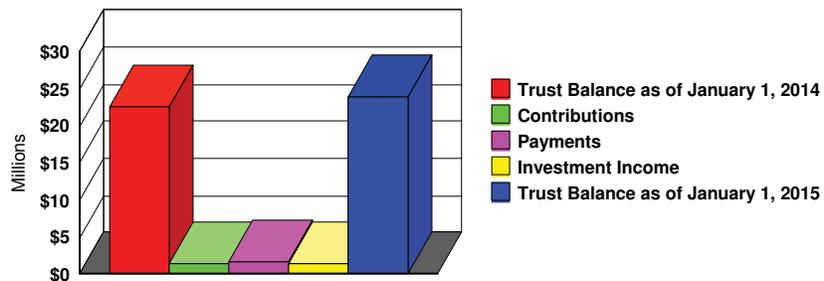
SUMMARY OF ASSETS
As Of January 1, 2015



ASSET CHANGES DURING PRIOR YEAR

Trust Balance as of January 1, 2014		\$	22,656,625
Contributions			
Village	1,321,000		
Employee	<u>225,546</u>		
Total			1,546,546
Payments			
Benefit Payments	1,810,863		
Expenses	<u>13,038</u>		
Total			1,823,901
Investment Income			<u>1,494,224</u>
Trust Balance as of January 1, 2015		\$	<u>23,873,494</u>
Approximate Annual Rate of Return			6.64%

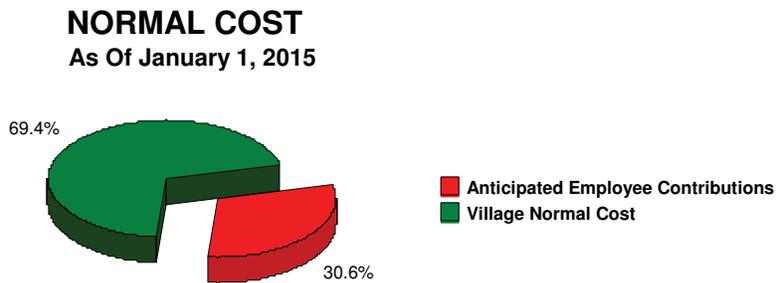
ASSET CHANGES DURING PRIOR YEAR



NORMAL COST

The Normal Cost is the actuarial present value of the portion of the projected benefits that are expected to accrue during the year based upon the actuarial valuation method and actuarial assumptions employed in the valuation.

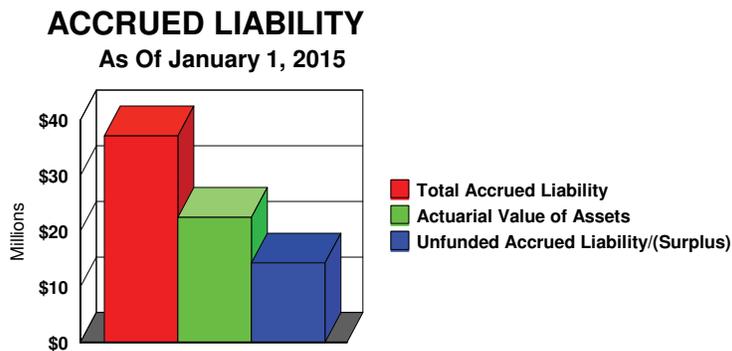
	as of January 1	
	<u>2015</u>	<u>2014</u>
Total Normal Cost	\$ 745,562	\$ 724,656
Anticipated Employee Contributions	<u>227,840</u>	<u>211,147</u>
Village Normal Cost	<u>517,722</u>	<u>513,509</u>
Normal Cost Payroll	\$ 2,409,734	\$ 2,233,182
Village Normal Cost Rate	21.48%	22.99%
Total Normal Cost Rate	30.94%	32.45%



ACCRUED LIABILITY

The Accrued Liability is the actuarial present value of the portion of the projected benefits that has been accrued as of the valuation date based upon the actuarial valuation method and actuarial assumptions employed in the valuation. The Unfunded Accrued Liability is the excess of the Accrued Liability over the Actuarial Value of Assets.

	as of January 1	
Accrued Liability	<u>2015</u>	<u>2014</u>
Active Employees	\$ 13,373,664	\$ 11,846,061
Children Annuities	0	0
Disability Annuities	1,255,983	1,191,007
Retirement Annuities	19,588,103	18,500,254
Surviving Spouse Annuities	2,991,283	2,900,740
Terminated Vested Annuities	<u>0</u>	<u>0</u>
Total Annuities	23,835,369	22,592,001
Total Accrued Liability	37,209,033	34,438,062
Actuarial Value of Assets	<u>22,818,953</u>	<u>21,304,214</u>
Unfunded Accrued Liability/(Surplus)	\$ <u>14,390,080</u>	\$ <u>13,133,848</u>
Percent Funded	61.3%	61.9%

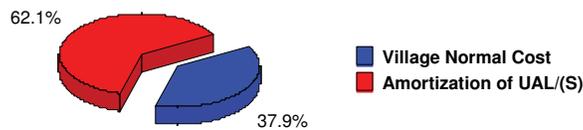


TAX LEVY REQUIREMENT

The Public Act 096-1495 Tax Levy Requirement is determined as the annual contribution necessary to fund the normal cost, plus the amount to amortize the excess (if any) of ninety percent (90%) of the accrued liability over the actuarial value of assets as a level percentage of payroll over a thirty (30) year period which commenced in 2011, plus an adjustment for interest. The 100% amortization amount is equal to the amount to amortize the unfunded accrued liability as a level percentage of payroll over a forty (40) year period which commenced in 1993.

	For Year Ending December 31	
	<u>2015</u>	<u>2014</u>
Village Normal Cost as of Beginning of Year	\$ 517,722	\$ 513,509
Amortization of Unfunded Accrued Liability/(Surplus)	848,492	736,207
Interest for One Year	<u>85,388</u>	<u>78,107</u>
Tax Levy Requirement as of End of Year	\$ <u>1,451,602</u>	\$ <u>1,327,823</u>
 Public Act 096-1495 Tax Levy Requirement		
1) Normal Cost (PUC)	540,907	552,603
2) Accrued Liability (PUC)	36,716,520	33,389,250
3) Amortization Payment	429,113	354,637
4) Interest for One Year	60,626	56,703
5) PA 096-1495 Tax Levy Requirement (1 + 3 + 4)	\$ 1,030,646	963,943

TAX LEVY REQUIREMENT
For Fiscal Year Ending December 31, 2015





SUMMARY OF PLAN PARTICIPANTS

The actuarial valuation of the Plan is based upon the employee data furnished by the Village. The information provided for Active participants included:

- Name
- Sex
- Date of Birth
- Date of Hire
- Compensation
- Employee Contributions

The information provided for Inactive participants included:

- Name
- Sex
- Date of Birth
- Date of Pension Commencement
- Monthly Pension Benefit
- Form of Payment

Membership	<u>2015</u>	<u>2015</u>	<u>2014</u>	<u>2014</u>
Current Employees				
Vested	15		13	
Nonvested	<u>10</u>		<u>11</u>	
Total	<u>25</u>		<u>24</u>	
Inactive Participants		<u>Annual Benefits</u>		<u>Annual Benefits</u>
Children	0 \$	0	0 \$	0
Disabled Employees	2	99,384	2	96,971
Retired Employees	19	1,347,461	19	1,295,778
Surviving Spouses	9	342,697	9	342,697
Terminated Vesteds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total	<u>30</u>	<u>1,789,542</u>	<u>30</u>	<u>1,735,446</u>
Annual Payroll	\$	2,409,734	\$	2,233,182

SUMMARY OF PLAN PARTICIPANTS (Continued)

Age and Service Distribution

Service Age	0-4	5-9	10-14	15-19	20-24	25-29	30+	Total	Salary
20-24									
25-29	3							3	77,749
30-34	4		1					5	88,823
35-39		2	1	1				4	94,580
40-44			3	2				5	104,749
45-49					1			1	89,711
50-54					2	2		4	105,501
55-59	1				1			2	114,441
60+							1	1	89,711
Total	<u>8</u>	<u>2</u>	<u>5</u>	<u>3</u>	<u>4</u>	<u>2</u>	<u>1</u>	<u>25</u>	<u>96,389</u>
Salary	89,092	87,180	109,656	94,406	93,233	114,248	89,711		

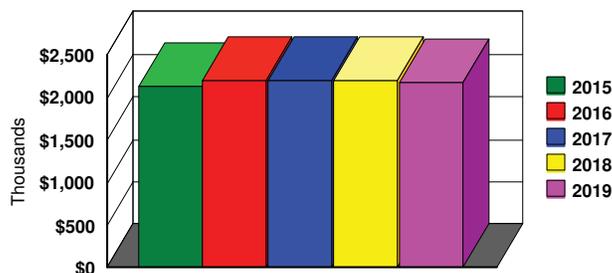
Average Age: 41.7 Average Service: 12.4

DURATION (years) Active Members: 17.8 Retired Members: 10.2 All Members: 12.9

PROJECTED PENSION PAYMENTS

<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>	<u>2019</u>
\$2,146,083	\$2,205,355	\$2,202,968	\$2,198,068	\$2,190,497

PROJECTED PENSION PAYMENTS
2015-2019





SUMMARY OF PLAN PROVISIONS

The Plan Provisions have not been changed from the prior year.

The Village of Winnetka Firefighters Pension Fund was created and is administered as prescribed by "Article 4. Firefighters' Pension Fund - Municipalities 500,000 and Under" of the Illinois Pension Code (Illinois Compiled Statutes, 1992, Chapter 40). A brief summary of the plan provisions is provided below.

Employees attaining the age of (50) or more with (20) or more years of creditable service are entitled to receive an annual retirement benefit of one-half of the salary attached to the rank held on the last day of service. The pension shall be increased by (1/12) of (2.5%) of such monthly salary for each additional month of service over (20) years up to (30) years, to a maximum of (75%) of such monthly salary.

Employees with at least (10) years but less than (20) years of credited service may retire at or after age (60) and receive a reduced benefit ranging from (15%) of final salary for (10) years of service to (45.6%) for 19 years of service.

Surviving spouses receive (100%) of final salary for fatalities resulting from an act of duty, or otherwise the greater of (54%) of final salary or the monthly retirement pension that the deceased firefighter was receiving at the time of death. Surviving children receive (12%) of final salary. The maximum family survivor benefit is (75%) of final salary.

Employees disabled in the line of duty receive (65%) of final salary.

The monthly pension of a covered employee who retired with (20) or more years of service after January 1, 1977, shall be increased annually, following the first anniversary date of retirement and be paid upon reaching the age of at least (55) years, by (3%) of the amount of the pension payable at the time of the increase.

Employees are required to contribute (9.455%) of their base salary to the Firefighters' Pension Plan. If an employee leaves covered employment with less than twenty (20) years of service, accumulated employee contributions may be refunded without accumulated interest.

For Employees hired after January 1, 2011, the annual retirement benefit is (2.5%) of final average salary for each year of service up to (30) years, to a maximum of (75%) of such salary, the Normal Retirement age is attainment of age 55 and completion of 10 years of service; Early Retirement age is attainment of age 50, completion of 10 years of service and the Early Retirement Factor is 6% per year; the Employee's Accrued Benefit is based on the Employee's final 8-year average salary not to exceed \$106,800 (as indexed); Cost-of-living adjustments are simple increases (not compounded) of the lesser of 3% or 50% of CPI beginning the later of the anniversary date and age 60; Surviving Spouse's Benefits are 66 2/3% of the Employee's benefit at the time of death.



ACTUARIAL METHODS

The Actuarial Methods employed for this valuation are as follows:

Projected Unit Credit Cost Method (for years beginning on or after 2011 for PA 096-1495)

Under the Projected Unit Credit Cost Method, the Normal Cost is the present value of the projected benefit (including projected salary increases) earned during the year.

The Accrued Liability is the present value of the projected benefit (including projected salary increases) earned as of the actuarial valuation date. The Unfunded Accrued Liability is the excess of the Accrued Liability over the plan's assets. Experience gains or losses adjust the Unfunded Accrued Liability.

Entry Age Normal Cost Method

Under the Entry Age Normal Cost Method the Normal Cost for each participant is computed as the level percentage of pay which, if paid from the earliest age the participant is eligible to enter the plan until retirement or termination, will accumulate with interest to sufficiently fund all benefits under the plan. The Normal Cost for the plan is determined as the greater of a) the sum of the Normal Costs for all active participants, and b) 17.5% of the total payroll of all active participants.

The Accrued Liability is the theoretical amount that would have accumulated had annual contributions equal to the Normal Cost been paid. The Unfunded Accrued Liability is the excess of the Accrued Liability over the plan's assets. Experience gains or losses adjust the Unfunded Accrued Liability.

ACTUARIAL ASSUMPTIONS

The Actuarial Assumptions used for determining the Tax Levy Requirement and GASB Statements No. 25 & 27 and 67 & 68 Disclosure Information are the same (except where noted) and have been changed from the prior year (discussion on page 4). The methods and assumptions disclosed in this report may reflect statutory requirements and may reflect the responsibility of the Principal and its advisors. Unless specifically noted otherwise, each economic and demographic assumption was selected in accordance with Actuarial Standards of Practice 27 and 35 and may reflect the views and advice of advisors to the Principal. In the event a method or assumption conflicts with the actuary's professional judgment, the method or assumption is identified in this report. The Actuarial Assumptions employed for this valuation are as follows:

Valuation Date	January 1, 2015
Asset Valuation Method	5-year Average Market Value (PA 096-1495)
Investment Return	6.25% net of investment expenses.
Salary Scale	5.50%
Mortality	RP 2000 Mortality Table (CHBCA). There is no margin for future mortality improvement beyond the valuation date.
Withdrawal	Based on studies of the Fund and the Department of Insurance, Sample Rates below
Disability	Based on studies of the Fund and the Department of Insurance, Sample Rates below
Retirement	Based on studies of the Fund and the Department of Insurance, Sample Rates below (100% by age 70)
Marital Status	80% Married, Female spouses 3 years younger



ACTUARIAL ASSUMPTIONS (Continued)

<u>Sample Annual Rates Per 100 Participants</u>				
<u>Age</u>	<u>Mortality</u>	<u>Withdrawal</u>	<u>Disability</u>	<u>Retirement</u>
20	0.03	9.00	0.10	
25	0.04	5.00	0.10	
30	0.07	2.50	0.20	
35	0.11	2.00	0.35	
40	0.14	1.00	0.50	
45	0.18	1.00	0.65	
50	0.24	1.00	1.00	14.00
55	0.42	1.00	1.50	20.00
60	0.83	1.00	3.00	25.00
65	1.55	1.00	4.25	50.00
70	2.68			100.00



GASB STATEMENTS NO. 25 & 27 DISCLOSURE INFORMATION

The Governmental Accounting Standards Board (GASB) issued Statements No. 25 & 27 that established generally accepted accounting principles for the annual financial statements for defined benefit pension plans. The required information is as follows:

Membership in the plan consisted of the following as of:

	<u>December 31, 2014</u>	<u>December 31, 2013</u>
Retirees and beneficiaries receiving benefits	30	30
Terminated plan members entitled to but not yet receiving benefits	0	0
Active vested plan members	15	13
Active nonvested plan members	<u>10</u>	<u>11</u>
Total	<u>55</u>	<u>54</u>
Number of participating employers	1	1

SCHEDULE OF FUNDING PROGRESS

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) -Entry Age (b)	Unfunded AAL (UAAL) (b-a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll ((b-a)/c)
03/31/13	20,742,984	33,634,153	12,891,169	61.7%	2,185,912	589.7%
12/31/13	22,656,625	34,438,062	11,781,437	65.8%	2,233,182	527.6%
12/31/14	23,873,494	37,209,033	13,335,539	64.2%	2,409,734	553.4%



GASB STATEMENTS NO. 25 & 27 DISCLOSURE INFORMATION (Continued)

ANNUAL PENSION COST AND NET PENSION OBLIGATION

	<u>December 31, 2014</u>	<u>December 31, 2013</u>
Annual required contribution ¹	1,315,341	893,273
Interest on net pension obligation	(26,431)	(25,876)
Adjustment to annual required contribution	<u>23,873</u>	<u>22,281</u>
Annual pension cost	1,312,783	889,678
Contributions made	<u>1,321,000</u>	<u>898,545</u>
Increase (decrease) in net pension obligation	(8,217)	(8,867)
Net pension obligation beginning of year	<u>(422,890)</u>	<u>(414,023)</u>
Net pension obligation end of year	<u>(431,107)</u>	<u>(422,890)</u>

¹ Prorated for 12/31/2013 for 9-month fiscal year.

THREE-YEAR TREND INFORMATION

<u>Fiscal Year Ending</u>	<u>Annual Pension Cost (APC)</u>	<u>Percentage of APC Contributed</u>	<u>Net Pension Obligation</u>
03/31/13	1,104,316	100.9%	(414,023)
12/31/13	889,678	101.0%	(422,890)
12/31/14	1,312,783	100.6%	(431,107)



GASB STATEMENTS NO. 25 & 27 DISCLOSURE INFORMATION (Continued)

FUNDING POLICY AND ANNUAL PENSION COST

Contribution rates:

Village	54.819%	40.236%
Plan members	9.455%	Same

Annual pension cost	1,312,783	889,678
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Contributions made	1,321,000	898,545
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Actuarial valuation date	12/31/2014	12/31/2013
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Actuarial cost method	Entry age	Same
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Amortization period	Level percentage of pay, closed	Same
---------------------	---------------------------------	------

Remaining amortization period	18 years	19 years
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Asset valuation method	Market	Same
------------------------	--------	------

Actuarial assumptions:

Investment rate of return*	6.25%	Same
----------------------------	-------	------

Projected salary increases*	5.50%	Same
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*Includes inflation at	3.00%	Same
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Cost-of-living adjustments	Tier 1: 3.00% per year, compounded Tier 2: 2.00% per year, simple	Same
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STATEMENTS NO. 67 & 68 DISCLOSURE INFORMATION

Plan Membership	December 31, 2014
Inactive plan members or beneficiaries currently receiving benefits	30
Inactive plan members entitled to but not yet receiving benefits	0
Active plan members	<u>25</u>
Total	<u>55</u>

Net Pension Liability of the Village	
Total pension liability	37,209,033
Plan fiduciary net position	23,873,494
Village's net pension liability	13,335,539
Plan fiduciary net position as a percentage of the total pension liability	64.16%

Actuarial Assumptions	
Inflation	3.00%
Salary increases	5.50%
Investment rate of return	6.25% net of expenses

The projection of cash flows used to determine the discount rate assumed that plan member contributions will be made at the current contribution rate and that Village contributions will be made at rates equal to the difference between actuarially determined contribution rates and the member rate. Based on those assumptions, the pension plan's fiduciary net position was projected to be available to make all projected future benefit payments of current members. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

Based on those assumptions, the discount rate was determined in accordance with paragraphs 40-45. Therefore, the discount rate was applied to all periods of projected benefit payments to determine the total pension liability.

Sensitivity of the Net Pension Liability to Changes in the Discount Rate			
	1% Decrease	Current Discount Rate	1% Increase
	5.25%	6.25%	7.25%
Net Pension Liability	17,852,149	13,335,539	9,548,947



STATEMENTS NO. 67 & 68 DISCLOSURE INFORMATION (continued)

Schedule of Changes in the Village's Net Pension Liability and Related Ratios

	December 31, 2014
Total Pension Liability	
Service cost	769,947
Interest	2,095,789
Changes of benefit terms	0
Differences between expected and actual experience	(99,297)
Changes of assumptions	1,815,395
Benefit payments, including refunds of member contributions	1,810,863
Net change in total pension liability	2,770,971
Total pension liability - beginning	34,438,062
Total pension liability - ending	37,209,033
Plan Fiduciary Net Position	
Contributions - employer	1,321,000
Contributions - member	225,546
Net investment income	1,494,224
Benefit payments, including refunds of member contributions	1,810,863
Administrative expense	13,038
Other	0
Net change in plan fiduciary net position	1,216,869
Plan fiduciary net position - beginning	22,656,625
Plan fiduciary net position - ending	23,873,494
Village's net pension liability	13,335,539
Plan fiduciary net position as a percentage of the total pension liability	64.16%
Covered-employee payroll	2,409,734
Village's net pension liability as a percentage of covered-employee payroll	553.40%



STATEMENTS NO. 67 & 68 DISCLOSURE INFORMATION (continued)

Schedule of Village Contributions

	<u>December 31, 2014</u>
Actuarially determined contribution	1,315,341
Contributions in relation to the actuarially determined contribution	1,321,000
Contribution deficiency (Excess)	(5,659)
Covered-employee payroll	2,409,734
Contributions as a percentage of covered-employee payroll	54.82%

Notes to schedule

Valuation date December 31, 2014

Methods and assumptions used to determine contribution rates:

Actuarial cost method	Entry Age Normal
Amortization method	Level Percentage of Pay
Remaining amortization period	18 years
Asset valuation method	Market Value
Inflation	3.00%
Salary increases	5.50%
Investment rate of return	6.25%
Retirement age	50-70
Mortality	RP 2000 CHBCA
Other	

Mortality rates were based on the RP-2000 CHBCA Mortality Table. The actuarial assumptions used in the December 31, 2014 valuation were based on the results of an actuarial experience study conducted by the Illinois Department of Insurance dated September 26, 2012.



Agenda Item Executive Summary

Title: B-Max Inc., Change Order for Directional Boring Services

Presenter: Brian Keys, Director of Water & Electric

Agenda Date: 11/17/2015

Consent: YES NO

- | | |
|-------------------------------------|-------------------------|
| <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | Resolution |
| <input checked="" type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input type="checkbox"/> | Informational Only |

Item History:

The existing purchase order for directional boring services expires on May 31, 2016. The scope of services performed under the purchase order is the installation of conduit, splice boxes and equipment pads for underground electric facilities on an as-needed basis. B-Max Incorporated is the contractor presently performing this work for the Village. At the February 17, 2015 Village Council meeting, the Village Manager was authorized to execute a purchase order for directional boring work for the period June 1, 2015 through May 31, 2016 with B-Max Inc. in an initial amount not to exceed \$310,000. Staff is requesting a change order to increase the purchase order amount with B-Max Inc.

Executive Summary:

For the fiscal year 2015, staff has expended \$386,415 toward the installation of conduit. For the period of June 1 – November 15, 2015, staff has expended \$281,888 of the authorized amount of \$310,000. At the time of the purchase order authorization, the requested amount was an estimated expenditure for the remainder of the 2015 fiscal year. The estimated expenditure did not include directional boring services required for the New Trier renovation project or the first five months of 2016 covered by the awarded contract term. Although some of the conduit work has been completed (est. 22%) for the New Trier Project, the majority of the installation work is pending.

The contract with B-Max is valid through May 31, 2016. Staff is requesting permission to increase the purchase order amount with B-Max Inc. by \$499,000.

Recommendation:

Consider authorizing the Village Manager to issue a Change Order to B-Max Inc. in the amount of \$499,000 for directional boring services required through May 31, 2016 in accordance with the terms and conditions of Bid #011-011.

Attachments:

Agenda Report dated November 11, 2015

AGENDA REPORT

Subject: B-Max Inc., Change Order for Directional Boring Services

Prepared by: Brian Keys, Director Water & Electric

Ref: February 17, 2015 Council Meeting, pp. 17-31

Date: November 11, 2015

The existing purchase order for directional boring services expires on May 31, 2016. The scope of services performed under the purchase order is the installation of conduit, splice boxes and equipment pads for underground electric facilities on an as-needed basis. B-Max Incorporated is the contractor presently performing this work for the Village. At the February 17, 2015 Village Council meeting, the Village Manager was authorized to execute a purchase order for directional boring work for the period June 1, 2015 through May 31, 2016 with B-Max Inc. in an initial amount not to exceed \$310,000. Staff is requesting a change order to increase the purchase order amount with B-Max Inc.

For the fiscal year 2015, staff has expended \$386,415 toward the installation of conduit. For the period of June 1 – November 15, 2015, staff has expended \$281,888 of the authorized amount of \$310,000. At the time of the purchase order authorization, the requested amount was an estimated expenditure for the remainder of the 2015 fiscal year. The estimated expenditure did not include directional boring services required for the New Trier renovation project or the first five months of 2016 covered by the awarded contract term. Although some of the conduit work has been completed (est. 22%) for the New Trier Project, the majority of the installation work is pending.

There is \$540,000 in the FY 2015 Budget for directional boring and conduit work. The Underground System Account (500.42.31-660) has \$120,000 and the New Business Cable Pulling & Conduit Account (500.42.37-660) has \$420,000.

At the time the 2015 budget was created, the high school's referendum had not been passed and design information on the electric service requirements was still under development. As such, additional funds for directional boring for the high school's renovation project were not budgeted. In accordance with the Village's existing policy for three phase electric service projects, the cost for any required conduit work is paid by the customer. In addition, an Intergovernmental Agreement has been created with NTHS to document the allocation of costs associated with the utility improvements required to serve the new high school facilities.

The proposed FY 2016 Budget contains \$561,000. The Underground System Account (500.42.31-660) has \$120,000 and the New Business Cable Pulling & Conduit Account (500.42.37-660) has \$441,000.

The contract with B-Max is valid through May 31, 2016. Staff is requesting permission to increase the purchase order amount with B-Max Inc. by \$499,000. The requested change order amount is summarized as follows:

Estimated directional boring work through December 31, 2015:	\$82,000
Remaining New Trier renovation work:	\$212,000
Estimated directional boring work for first five months of 2016:	<u>\$205,000</u>
	\$499,000

If additional funding is required before the purchase order expires on May 31, 2016, staff will request a Change Order. The directional boring services contract will be issued for bid during the first quarter of 2016. A new contract will be presented for the Village Council's consideration thereafter for the directional boring services required for the period of June 1, 2016 through May 31, 2017.

Recommendation:

Consider authorizing the Village Manager to issue a Change Order to B-Max Inc. in the amount of \$499,000 for directional boring services required through May 31, 2016 in accordance with the terms and conditions of Bid #011-011.



Agenda Item Executive Summary

Title: Stormwater Monthly Summary Report

Presenter: Steven M. Saunders, Director of Public Works/Village Engineer

Agenda Date: 11/17/2015

Consent: YES NO

- | | |
|-------------------------------------|-------------------------|
| <input type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input checked="" type="checkbox"/> | Informational Only |

Item History:

Monthly Report

Executive Summary:

The Village Council has placed a standing item in its regular meeting agenda for updates on the Village's progress towards providing relief from stormwater and sewer flooding. This monthly report brings together status, cost, and schedule information, for each separate stormwater project, in one place.

The report includes a summary Agenda Report, which provides a brief outline and summary of each major stormwater project currently being undertaken by the Village. The report also contains a program budget, providing financial information for the stormwater and sanitary sewer improvement programs.

Recommendation:

Informational report - no action required.

Attachments:

1. Project Summary Report
2. Program Budget

Agenda Report

Subject: Stormwater Update – November 2015

Prepared By: Steven M. Saunders, Director of Public Works/Village Engineer

Date: November 10, 2015

Active Projects

NW Winnetka (Greenwood/Forest Glen)

Activity Summary The construction contract was awarded to A Lamp, in the amount of \$6,117,230, on November 6, 2014. Storm sewer construction started in March, and A Lamp has completed construction on Tower Road, Grove Street, Edgewood Lane, and Greenwood Avenue, and these roads are completely open to traffic. Storm sewer and utility work is underway in the Forest Glen neighborhood. Project completion is estimated for late November.

Construction has been completed on the \$342,800 contract for restoration and erosion control on the east side of the Forest Preserve pond south of Tower Road. This work was required by the Forest Preserve as a condition of receiving approval to improve the stormwater discharge to their pond.

Budget Summary The Metropolitan Water Reclamation District (MWRD) is funding \$2,000,000 of this project. The total net cost estimate for the project, including engineering, pond restoration, and MWRD reimbursement, is now \$4,822,640. The Village has expended \$5,896,919 to date and submitted MWRD reimbursement requests for \$1,500,000. \$500,000 in reimbursements have been received to date.

6-Month Look Ahead The project team will:

1. Complete the project

Non-STADI Alternative Evaluation

Activity Summary

On October 6, 2015, the Village contracted with Strand Associates for engineering services to re-visit the feasibility and cost estimates of the previously reviewed and dismissed separate, non-STADI options, which have not been updated since 2011. The scope also includes a re-evaluation the Village's western drainage basins for creative, cost-effective non-STADI improvements for storms ranging from the 10-year to the 100-year event, taking into account the Village's flood-control goals and objectives. The scope contains a holistic approach to this project, to include consideration of grey and green infrastructure approaches, conveyance, detention, retention, infiltration, property buyout or individual protection retrofit programs, and a host of other traditional and

emerging stormwater management technologies. The contract contains an April 2016 completion date.

Strand Associates has largely completed initial data gathering and is in the process of evaluating and updating the previously developed hydraulic/hydrologic modeling for the study areas. Strand Associates and the Village have also scheduled initial stakeholder meetings for the week of November 16 with the Cook County Forest Preserve, the Winnetka Park District, the Winnetka School District, and New Trier High School District. The purpose of these meetings is to begin discussions with public agencies owning significant open-space parcels in and adjacent to the project study area, to begin to explore areas where the Village's stormwater management goals and needs and the goals and needs of other public agencies may intersect and overlap.

Budget Summary Strand Associates' contract fee for this work is \$256,050.

6-Month Look Ahead The project team will:

1. Undertake the evaluation
2. Provide regular progress updates to the Council and community

Sanitary Sewer Evaluation

Activity Summary The Village has awarded contracts for sewer lining and manhole lining to address sanitary sewer deficiencies identified during the evaluation. Construction is complete.

Budget Summary The Village has expended \$553,883.

6-Month Look Ahead The project team will:

1. Close the contracts

Public Outreach

Activity Summary Staff continues to provide E-Winnetka and website updates on the multiple projects in the stormwater management program.

Budget Summary There is no separate budget associated with this activity.

6-Month Look Ahead The project team will continue to update the website. Additional outreach and engagement activities are associated with the Non-STADI alternative study that will incorporate public and other stakeholder input. Strand Associates has budgeted for six public engagement meetings, to occur throughout the project, to communicate progress, receive public comments and discuss proposed alternatives as they are developed. Staff will use e-Winnetka, the Winnetka Report, and the website to communicate as the alternative study progresses.

Ravine/Sheridan Road Improvements

Activity Summary IDOT is planning pavement and drainage improvements for the area. The contract has been awarded and construction is expected to start in Spring, 2016.

Budget Summary This project is funded in its entirety by IDOT.

6-Month Look Ahead The project team will:

1. Monitor IDOT activities
2. Update the Council as needed

Completed Projects

Ash Street Pump Station

Construction has been completed except for final contract closeout and the station is operational. The Village has expended \$262,826.

Willow Road Stormwater Tunnel and Area Drainage Improvements (STADI)

After reviewing the most recent project cost estimate of \$81.3 million, and discussing possible options for going forward, the Council concurred that no further work should be undertaken on the STADI project at this time. Rather, the Village should focus on identifying and evaluating other non-STADI alternatives to provide significant stormwater flood relief to STADI project areas. The Village has expended \$926,376 to date including the 2012 feasibility study.

Stormwater Master Plan (SMP)

The Council adopted the plan at its April 17, 2014 meeting. The Village expended \$100,932 on this project.

Spruce Outlet (Lloyd)

The project is complete and operational and the Village expended \$296,299.

Spruce Outlet (Tower)

The project is complete and operational. The Village expended \$1,269,716.

Winnetka Avenue Pump Station

Construction of the Pump Station is complete and the station is operational and the Village expended \$1,071,706.

Stormwater Utility Implementation

The utility was implemented effective July 1 and the project team is responding to resident inquiries as needed. MFSG's contract for staffing the customer support line ended, and Public Works staff has taken the lead in phone and email communications. The Village has expended \$179,516.

A summary budget document showing planned and actual expenditures for all of the planned, ongoing, and completed projects is attached.

Recommendation:

1. Informational report.

Attachments:

1. Program Budget

**ATTACHMENT #1
PROGRAM BUDGET**

Village of Winnetka
Stormwater Management Program Budget

Project	Initial Estimated Project Costs (2011)	Estimated Program Costs August 2013	Current Estimated Project Costs	Council Authorized	Spent	Comments
Stormwater Fund						
58.75.640.601						
Completed Projects	\$ 35,218,451	\$ 37,196,073	\$ 3,878,253	\$ 3,878,253	\$ 3,878,253	
Winnetka Avenue Pump Station	\$ 750,000	\$ 1,002,300	\$ 1,071,706	\$ 1,071,706	\$ 1,071,706	Complete. Initial cost estimate \$750k from 2009 study.
Tower Road/Old Green Bay	\$ 1,394,244	\$ 1,162,853	\$ 1,269,716	\$ 1,269,716	\$ 1,269,716	Complete
Lloyd Park/Spruce Street Outlet	\$ 475,510	\$ 398,786	\$ 296,299	\$ 296,299	\$ 296,299	Complete
Stormwater Utility Study/Implementation	\$ 50,000	\$ 161,866	\$ 179,516	\$ 179,516	\$ 179,516	Complete - includes customer support services
Stormwater Master Plan	\$ 50,000	\$ 101,220	\$ 100,932	\$ 100,932	\$ 100,932	Complete
Willow Road STADI Project	\$ 32,498,697	\$ 34,369,048	\$ 926,376	\$ 926,376	\$ 926,376	Project suspended effective 9/1/2015.
STADI Cost Evaluation/Value Engineering	\$ -	\$ -	\$ 33,708	\$ 33,708	\$ 33,708	Cost estimate complete. Value engineering not authorized.
NW Winnetka Greenwood/Forest Glen	\$ 2,880,887	\$ 4,266,924	\$ 4,822,640	\$ 4,822,640	\$ 5,396,919	Added Forest Glen area, FPD pond restoration, and complete roadway reconstruction to project.
Design Engineering			\$ 226,874	\$ 226,874	\$ 226,874	Complete
Sewer Construction			\$ 6,117,230	\$ 6,117,230	\$ 5,393,888	Payments to date
Pond Engineering			\$ 19,686	\$ 19,686	\$ 19,686	Additional design required for FPD pond work. Complete
Pond Construction			\$ 342,800	\$ 342,800	\$ 155,894	Payments to date
Construction Observation/Engineering			\$ 116,050	\$ 116,050	\$ 100,577	Payments to date
MWRD Phase II Stormwater Funding			\$ (2,000,000)	\$ (2,000,000)	\$ (500,000)	Reimbursement from MWRD. Three reimbursements requests (\$1,500,000) have been submitted.
Ash Street Pump Station	\$ -	\$ -	\$ 267,226	\$ 267,226	\$ 262,826	
Design Engineering			\$ 7,676	\$ 7,676	\$ 7,676	Complete
Construction			\$ 259,550	\$ 259,550	\$ 255,150	Payments to date
Construction Observation/Engineering			\$ -	\$ -	\$ -	Performed In-house
Non-STADI Alternatives	\$ -	\$ -	\$ 256,050	\$ 256,050	\$ -	
Identification and Evaluation of Alternatives			\$ 256,050	\$ 256,050	\$ -	Contract awarded October 6, 2015
Permitting and Design			\$ -	\$ -	\$ -	
Construction			\$ -	\$ -	\$ -	
Construction Observation/Engineering			\$ -	\$ -	\$ -	
Project Management			\$ -	\$ -	\$ -	
Total Stormwater Program Costs	\$ 38,099,338	\$ 41,462,997	\$ 9,224,169	\$ 9,224,169	\$ 9,537,998	
Sanitary Sewer Fund						
54.70.640.201						
Sanitary Sewer Studies/Engineering	\$ 150,000	\$ 150,000	\$ 187,247	\$ 187,247	\$ 184,008	Complete. Includes initial system evaluation, smoke and dyed-water testing, and engineering
System I & I repairs	\$ 1,000,000	\$ 1,000,000	\$ 960,000	\$ 443,135	\$ 369,875	Council awarded manhole and sewer lining contracts in 2014, construction complete except for punch list
Total Sanitary Sewer Costs	\$ 1,150,000	\$ 1,150,000	\$ 1,147,247	\$ 630,382	\$ 553,883	





Agenda Item Executive Summary

Title: Resolution No. R-34-2015: Village Budget - Public Hearing and Resolution (Introduction)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date: 11/17/2015

Consent: YES NO

Ordinance
 Resolution
 Bid Authorization/Award
 Policy Direction
 Informational Only

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The Resolution adopting the budget is distributed one meeting prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

A Public Hearing on the budget was advertised in the November 5, 2015 and November 12, 2015 edition of the Winnetka Talk for November 17, 2015 at 7:00 p.m. The budget will result in an approximately 1.1% increase in costs for municipal services for a typical homeowner consisting of a:

- No increase in property taxes (13.06% Village portion of tax bills received in 2015)
- 2.0% increase in water rates (\$18 annual cost increase on a \$877 annual bill)
- 5.0% increase in sanitary sewer rates (\$16 annual cost increase on a \$317 annual bill)
- 2.0% increase in electric costs (\$48 annual cost increase on a \$2,412 annual bill)

Vehicle license fees, and most other fees and taxes remain unchanged. The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

Conduct a public hearing on the 2016 Village of Winnetka Budget.

Provide the public with notice of the proposed budget Resolution to be considered by the Village Council on December 1, 2015.

Attachments:

- 1) Resolution No. R-34-2015, Adopting the Annual Budget for the Fiscal Year beginning January 1, 2016 and ending December 31, 2016
- 2) Village of Winnetka Budget Summary - 2016

RESOLUTION NO. R-34-2015

**A RESOLUTION
ADOPTING THE ANNUAL BUDGET FOR THE FISCAL YEAR
BEGINNING JANUARY 1, 2016
AND ENDING DECEMBER 31, 2016**

WHEREAS, the corporate authorities of the Village of Winnetka (“Village Council”) have previously adopted Sections 8-2-9.1 through 8-2-9.10 of the Illinois Municipal Code, establishing the office of budget officer and authorizing the adoption of the annual budget in lieu of an annual appropriation ordinance; and

WHEREAS, on October 12, 2015, the Village Council placed the proposed, tentative annual budget for the fiscal year beginning January 1, 2016, and ending December 31, 2016, on file at the office of the Village Manager, at the Winnetka Public Library, and on the Village of Winnetka web site (www.villageofwinnetka.org), and has made the proposed, tentative annual budget available for public inspection since that date; and

WHEREAS, on November 17, 2015, pursuant to notice published on Thursday, November 5, 2015 and Thursday, November 12, 2015, in the Winnetka Talk, a newspaper published and in general circulation in the Village of Winnetka, the Village Council held a public hearing on the proposed tentative annual budget; and

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village Council finds that establishing an annual budget for the Village, including estimating revenues and recommending expenditures, is a matter pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: The Annual Budget for the Village of Winnetka, which is attached hereto as Exhibit A and is incorporated by reference as if fully set forth herein, is hereby adopted as the Annual Budget for the Village of Winnetka for the Fiscal Year beginning January 1, 2016 and ending December 31, 2016.

SECTION 2: The adoption of the foregoing annual budget shall be in lieu of the appropriation ordinance required in Section 8-2-9 of the Illinois Municipal Code.

SECTION 3: **Home Rule.** This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 4: **Effective Date.** This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ____ day of _____, 2015, pursuant to the following roll call vote:

AYES:

NAYS:

ABSENT: .

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:

NOTE:

EXHIBIT A IS THE COMPLETE BUDGET DOCUMENT, WHICH, DUE TO ITS SIZE, CANNOT BE REPRODUCED IN FULL IN THESE AGENDA MATERIALS.

THE FOLLOWING PAGE PROVIDES A SUMMARY OF THE BUDGETED REVENUES AND EXPENDITURES BY FUND CATEGORY, AND IS PROVIDED HERE FOR EASE OF REFERENCE.

THE COMPLETE BUDGET REMAINS AVAILABLE FOR INSPECTION IN THE VILLAGE MANAGER'S OFFICE, THE WINNETKA PUBLIC LIBRARY, AND ON THE VILLAGE OF WINNETKA WEBSITE (WWW.VILLAGEOFWINNETKA.ORG). THE COMPLETE, FINAL BUDGET WILL BE APPROPRIATELY LABELED AND WILL BE ATTACHED TO AND MAINTAINED WITH THE ORIGINAL BUDGET RESOLUTION FOLLOWING ITS ADOPTION.

Village of Winnetka
2016 Budget
Expense Amounts Include Capital and Depreciation
(Amounts in millions)

Fund	Revenues	Expenses	Difference**	12/31/14 Fund Balance	F.B. Policy Minimum
<u>General Government</u>					
General	\$ 24.51	\$ 24.69	\$ (0.18)	\$ 18.17	\$ 10.29
Motor Fuel Tax	\$ 0.31	\$ 0.61	\$ (0.30)	\$ 1.95	\$ 0.18
Foreign Fire	\$ 0.08	\$ 0.08	\$ -	\$ -	\$ -
Special Service Areas	\$ 0.04	\$ -	\$ 0.04	\$ (0.13)	\$ (0.13)
Village Facilities	\$ 0.15	\$ 0.23	\$ (0.08)	\$ 0.42	\$ 0.42
Downtown Revitalization	\$ 0.15	\$ 0.31	\$ (0.16)	\$ 0.32	\$ 0.32
Total General Government	\$ 25.24	\$ 25.92	\$ (0.68)	\$ 20.73	\$ 11.08
<u>Internal Service</u>					
Worker's Compensation	\$ 0.53	\$ 0.70	\$ (0.17)	\$ 0.86	\$ 0.83
Liability	\$ 0.01	\$ 0.33	\$ (0.32)	\$ 2.03	\$ 1.60
Health Insurance	\$ 3.38	\$ 3.67	\$ (0.29)	\$ 1.41	\$ 1.18
Data Processing	\$ 0.33	\$ 0.44	\$ (0.11)	\$ 0.82	\$ 0.15
Fleet Services	\$ 0.92	\$ 0.90	\$ 0.02	\$ 0.07	\$ 0.30
Total Internal Service	\$ 5.17	\$ 6.04	\$ (0.87)	\$ 5.19	\$ 4.06
Total Government	\$ 30.41	\$ 31.96	\$ (1.55)	\$ 25.92	\$ 15.14
<u>Business Operations</u>					
Electric	\$ 15.45	\$ 18.71	\$ (3.26)	\$ 7.16	\$ 5.42
Water	\$ 4.00	\$ 4.67	\$ (0.67)	\$ 1.37	\$ 1.23
Sanitary Sewer	\$ 1.22	\$ 1.41	\$ (0.19)	\$ 1.11	\$ 0.32
Refuse	\$ 2.19	\$ 2.53	\$ (0.34)	\$ 1.59	\$ 0.83
Storm Sewer***	\$ 1.96	\$ 2.55	\$ (0.59)	\$ 20.14	\$ 20.14
Total Business Operations*	\$ 24.82	\$ 29.87	\$ (5.05)	\$ 31.37	\$ 27.94
Grand Total	\$ 55.23	\$ 61.83	\$ (6.60)	\$ 57.29	\$ 43.08
<u>Fiduciary Funds</u>					
II. Municipal Retirement			\$ -	\$ 24.32	\$ 34.85
Police Pension	\$ 3.20	\$ 2.16	\$ 1.04	\$ 24.55	\$ 37.82
Fire Pension	\$ 3.15	\$ 2.28	\$ 0.87	\$ 22.82	\$ 37.21
Total Fiduciary Funds	\$ 6.35	\$ 4.44	\$ 1.91	\$ 71.69	\$ 109.88

* Fund balance is current assets - current liabilities **Total above excludes \$250,000 Village contingency.

***The \$20.14M F.B. Policy Minimum in the Storm Water Fund includes 4 months operating Expenses + 2 Years Debt Service + the capital amounts restricted for the Storm Water Management Program.



Agenda Item Executive Summary

Title: Resolution No. R-35-2015: Water Rates (Introduction)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The proposed budget includes a 2.0% water rate increase for incorporated customers and a 2.0% water rate increase for unincorporated customers. The wholesale water rate for sales to the Village of Northfield is set by contract.

Executive Summary:

Because of the projected capital needs of the water system, a water rate increase is proposed for incorporated and unincorporated customers.

The 2.0% water rate increase for residents is estimated to cost a typical water customer \$18 more per year, or a total of \$895 per year for municipal water use.

The Village Code requires that this Resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

Consider introduction of Resolution No. R-35-2015.

Attachments:

1) Resolution No. R-35-2015, a Resolution Establishing Water Rates.

RESOLUTION NO. R-35-2015

**A RESOLUTION ESTABLISHING RATES AND FEES
RELATED TO WATER SERVICE, SERVICE TAPS AND METERS**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka owns and operates a water utility that provides all water service within the Village of Winnetka; and

WHEREAS, the Village of Winnetka’s Water and Electric Department is responsible for the day-to-day operations of the Village’s water utility; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village’s water utility, including but not limited to establishing rates for water service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Water Rates. Each customer using water furnished by the Village of Winnetka Water and Electric Department shall be charged for such service in accordance with the following Schedule of Water Rates, as provided in Section 13.04.040 of the Winnetka Village Code.

SCHEDULE OF WATER RATES

<u>Type of Customer</u>	<u>Rate</u>
Service within corporate limits	\$ 33.49 34.16 per 1,000 cubic feet, as metered
Service outside of corporate limits	\$ 59.88 61.08 per 1,000 cubic feet, as metered
Service to Village of Northfield:	Rate shall be as established by agreement approved by resolution of the Village Council
Special Service	\$25. 61 41 per 1,000 cubic feet, as metered

SECTION 2: Disconnection/Reconnection Fees. The following fees shall be charged and collected for service calls to either disconnect or reconnect service as the result of nonpayment, as provided in Section 13.04.060 of the Winnetka Village Code:

SERVICE DISCONNECTION OR RECONNECTION FEE

<u>Time of Service Call</u>	<u>Fee</u>
During regular business hours (Monday through Friday, except for holidays, from 7:30 a.m. to 3:00 p.m.)	\$95.00 per service dispatch
All other times (evenings, nights, weekends and holidays)	\$265.00 per service dispatch

SECTION 3: Water Service Tap Fees. The following fees shall be charged for the installation of water connections, as provided in Section 13.04.100 of the Winnetka Village Code:

WATER SERVICE TAP FEES

<u>Water Tap Size</u>	<u>Water Main Size</u>	<u>Fee</u>	<u>Service Included in Fee</u>
1 1/2" or less	All	\$852	Making tap, tapping sleeve, corp. stop, curb stop and box, and inspection
2"	All	\$960	Making tap, tapping sleeve, corp. stop, curb stop and box, and inspection
4"	4"	\$1,535	Making tap, tapping sleeve and valve, and inspection
4"	6"	\$1,775	Making tap, tapping sleeve and valve, and inspection
6"	6"	\$1,880	Making tap, tapping sleeve and valve, and inspection
4"	8"	\$1,880	Making tap, tapping sleeve and valve, and inspection
6"	8"	\$1,985	Making tap, tapping sleeve and valve, and inspection
8"	8"	\$2,300	Making tap, tapping sleeve and valve, and inspection
4"	10"	\$2,400	Making tap, tapping sleeve and valve, and inspection
6"	10"	\$2,500	Making tap, tapping sleeve and valve, and inspection
8"	10"	\$2,900	Making tap, tapping sleeve and valve, and inspection
4"	12"	\$2,400	Making tap, tapping sleeve and valve, and inspection
6"	12"	\$2,500	Making tap, tapping sleeve and valve, and inspection
8"	12"	\$2,925	Making tap, tapping sleeve and valve, and inspection

For all taps 4" and larger, valve vaults meeting Water and Electric Department specifications must be furnished by the customer's plumber, at the customer's cost. Other size taps may be made only with the consent of the Water and Electric Department, at the customer's cost.

SECTION 4: Water Meter Fees. The following fees shall be charged for the water meters provided by the Village, as provided in Sections 13.04.030 and 13.04.100 of the Winnetka Village Code:

WATER METER FEES

<u>Meter Size</u>	<u>Cost</u>
5/8"	\$475
3/4"	\$525
1"	\$625
1 1/2"	\$890
2"	\$990

Spreader and valves on both sides of meter must be installed by the customer's plumber, at the customer's cost. Other sizes of meters may be required or permitted, as determined by the Water and Electric Department, based on the characteristics of the proposed service. Such other installations shall require the written approval of the Water and Electric Department and the entire cost of the purchase and installation shall be borne by the customer.

SECTION 5: Replacement of Touchpad. The following fees shall be charged for replacement of removed touchpads and replacement of the touchpad wiring.

Replacement of Touchpad	\$95.00
Replacement of Touchpad Wiring	\$265.00

SECTION 6: Temporary Water Service. Pursuant to Section 13.04.150 of the Winnetka Village Code, temporary water service provided during building construction shall be billed at the rate applicable to the use specified in the building permit.

SECTION 7: Construction Temporary Water Service. Bulk water provided from the fire hydrant located at the Village's Public Works Facility for construction projects will be billed at the unincorporated water rate. The minimum daily charge will be \$50. At the discretion of the Water and Electric Director, the water rate can be waived for contractors performing Village work.

SECTION 8: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 9: Payment Period; Late Fees. All bills issued for water service shall be paid in full by the time specified in the bill. The time of payment shall be established by the Director of Finance, provided that the payment period for charges made pursuant to Section 1 of this Resolution shall be no less than 21 nor more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.04.040 of the Winnetka Village Code, if any bill for any change made pursuant to this Resolution is not paid within the specified payment period, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 10: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 11: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 201~~6~~⁵, and this resolution shall supersede Resolution R-3~~5~~²-201~~4~~³.

SECTION 12: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ____ day of _____, 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Resolution No. R-36-2015: Electric Rates (Introduction)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The proposed budget anticipates a 2.0% increase in electric rates. There is no purchased power recovery factor being proposed.

Executive Summary:

Because of higher wholesale power costs and capital investments, the budget reflects a 2.0% increase in retail electric rates effective 1/1/2016.

There is no purchased power recovery factor being proposed in the 2016 budget.

The 2.0% electric rate increase is estimated to cost a typical single-phase residential electric customer \$48 more per year, or a total of \$2,460 per year for municipal electric use.

The Village Code requires that this Resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

Consider introduction of Resolution No. R-36-2015.

Attachments:

1) Resolution No. R-36-2015, a Resolution Establishing Electric Rates.

RESOLUTION NO. R-36-2015

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR ELECTRIC SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka owns and operates an electric utility that provides all electric service within the Village of Winnetka; and

WHEREAS, the Village of Winnetka's Water and Electric Department is responsible for the day-to-day operations of the Village's electric utility; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village's electric utility, including but not limited to establishing rates for electric service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: As used in this Resolution, the following terms, phrases and words and their derivations shall have the meanings given in this section, unless the context or use clearly indicates another or different meaning is intended:

Customer Charge: A fixed charge based on the type of service rather than the amount of electricity used.

Demand Charge: A charge based on the rate at which electric energy is delivered, expressed in kilowatts (kW), averaged over a 30-minute period.

Energy Charge: A volume based charge for energy used.

Load Factor: The ratio of energy used to the maximum energy consumption for a given monthly peak demand.

On-peak Demand: A peak demand that occurs between the hours of 3:00 p.m. and 9:00 p.m.

Off-peak Demand: A peak demand that occurs between after 9:00 p.m. and before 3:00 p.m.

Primary Lines: High voltage power lines

Secondary Lines: Low voltage power lines that extend from the high voltage Primary Lines and distribute electricity to individual property lines.

Service Lines: The power lines that extend from the Secondary Lines to the individual meter connections located on each parcel of property that receives electric service.

SECTION 2: Seasonal Rates. Separate summer and winter rates shall be established for demand charges and energy charges. Pursuant to Section 13.08.150 of the Winnetka Village Code, summer rates shall be in effect for each of the four consecutive months with ending metered dates on or after June 1 of each year.

SECTION 3: Electric Rates. Each customer using electricity furnished by the Village of Winnetka Water and Electric Department shall be charged for such service in accordance with the following schedule of electric rates, as provided in Section 13.08.040 of the Winnetka Village Code.

A. **Customer Charge:** Each customer shall be charged a monthly customer charge of \$9.46 for Single Phase Service or \$15.76 for Three Phase Service, except that this Customer Charge does not apply to customers subject to Energy and Demand Charges under Rate 18.

B. **Energy and Demand Charges:** In addition to the Customer Charge, each customer shall pay energy and demand charges at the rates set forth in the following Schedule of Energy and Demand Charges.

SCHEDULE OF ENERGY AND DEMAND CHARGES

Rate 1 - Residential: (Section 13.08.080 of the Winnetka Village Code)

Energy Charge

Summer Rate	\$0.1251 \$0.1276 per kWh
Winter Rate	\$0.1099 \$0.1121 per kWh

Rate 2 - Space Heating Customers: (Section 13.08.090 of the Winnetka Village Code)

Energy Charge

Summer Rate	\$0.1248 \$0.1273 per kWh
Winter Rate	
First 750 kWh	\$0.1126 \$0.1149 per kWh
All over 750 kWh	\$0.0765 \$0.0780 per kWh

Rate 3 - Commercial: (Section 13.08.100 of the Winnetka Village Code)

Demand Charge

Summer Rate	
First 50 kW	\$0.00 per kW
All over 50 kW	\$11.27 per kW
Winter Rate	
First 50 kW	\$0.00 per kW
All over 50 kW	\$9.91 per kW

Energy Charge

Summer Rate	
First 15,000 kWh	\$0.1223 \$0.1247 per kWh
All over 15,000 kWh	\$0.0932 \$0.0951 per kWh
Winter Rate	
First 15,000 kWh	\$0.1084 \$0.1106 per kWh
All over 15,000 kWh	\$0.0829 \$0.0846 per kWh

Rate 4 - School and Government: (Section 13.08.110 of the Winnetka Village Code)

(a) With an annual peak demand of up to 1,000 kW:

Demand Charge

Summer Rate	\$10.82 per kW
Winter Rate	\$ 9.01 per kW

Energy Charge

Summer Rate	
First 100,000 kWh	\$0.0754 <u>\$0.0769</u> per kWh
Over 100,000 kWh	\$0.0688 <u>\$0.0702</u> per kWh
Winter Rate	
First 100,000 kWh	\$0.0698 <u>\$0.0712</u> per kWh
Over 100,000 kWh	\$0.0644 <u>\$0.0657</u> per kWh

(b) With an annual peak demand exceeding 1,000 kW:

Demand Charge

Summer Rate

On Peak	\$10.82 per kW
Off Peak	\$ 6.62 per kW in excess of On Peak Demand

Winter Rate

On Peak	\$ 9.01 per kW
Off Peak	\$ 6.76 per kW in excess of On Peak Demand

Energy Charge

Summer Rate

First 100,000 kWh	\$0.0754 <u>\$0.0769</u> per kWh
Over 100,000 kWh	\$0.0688 <u>\$0.0702</u> per kWh

Winter Rate

First 100,000 kWh	\$0.0698 <u>\$0.0712</u> per kWh
Over 100,000 kWh	\$0.0644 <u>\$0.0657</u> per kWh

Load Factor Credit (\$0.005) per kWh for kWh in excess of 50% based upon the on peak demand

Rate 6 - Water Heating: (Section 13.08.120 of the Winnetka Village Code)

Energy Charge

Summer Rate	\$0.1104 <u>\$0.1126</u> per kWh
Winter Rate	\$0.1104 <u>\$0.1126</u> per kWh

[Remainder of this page intentionally left blank.]

Rate 7 - Large Residential: (Section 13.08.130 of the Winnetka Village Code)

Demand Charge	
Summer Rate	\$ 9.91 per kW
Winter Rate	\$ 8.33 per kW
Energy Charge	
Summer Rate	\$0.0940 <u>\$0.0959</u> per kWh
Winter Rate	\$0.0829 <u>\$0.0846</u> per kWh

Rate 18 - Street Lights: (Section 13.08.140 of the Winnetka Village Code)

Energy Charge	
Summer Rate	\$0.1044 <u>\$0.1065</u> per kWh
Winter Rate	\$0.1044 <u>\$0.1065</u> per kWh

SECTION 4: Wholesale Power Purchase Cost Adjustment.

A. **Wholesale Power Purchase Cost Adjustment formula.** The electric system's cost of purchasing power shall be estimated at least once per year. The estimated annual purchase cost adjustment shall be prorated among all rate classes by establishing the cost of purchase per kWh, which cost shall be added to or subtracted from the base rates set by Village Council resolution. The actual annual cost of purchasing power shall be determined after the close of each fiscal year. Pursuant to Section 13.08.160 of the Winnetka Village Code, the Wholesale Power Purchase Cost Adjustment shall be calculated as the difference between the estimated annual cost per kWh of purchasing power and the actual annual purchase power cost per kWh incurred during the prior fiscal year. If the actual annual cost per kWh of purchasing power exceeds the estimated cost, the shortage shall be annualized and shall be recovered by billing all customers at the same amount per kWh, beginning with all bills issued on or after February 1 of the new fiscal year. If the estimated annual cost per kWh of purchasing power exceeds the actual cost, the excess shall be annualized and shall be credited to all customers at the same amount per kWh, beginning with all bills issued on or after February 1 of the new fiscal year.

SECTION 5: Renewable Energy Production Credit

A. Terms.

1. Eligible Customer. A customer of the Village's Electric Utility who satisfies all of the requirements of Section 13.08.260 of the Winnetka Village Code.
2. Renewable Energy Production Credit, or REPC, means the actual credit as calculated pursuant to the formula in subsection B, below.
3. Renewable Energy, or RE, means the amount of energy, measured in kWh, delivered to the Village by an Eligible Customer.
4. Wholesale Purchase Power Cost, or WPPC, means the allocation on a per kilowatt hour basis of the total annual cost of purchasing power shown in the annual budget line item for "Purchased Power – Contractual Services."

B. Calculation of REPC

$$\text{REPC} = (\text{RE} \times \text{WPPC})$$

C. REPC Carry-forward. If the REPC exceeds the cost of the power and energy billed to the Eligible Customer by the Village in a billing period, the excess REPC will be carried forward from one billing period to the next, except that no amount shall be carried forward past the end of the calendar year and that any amount of energy in kWh reflected in carry-forward credits remaining at the end of the calendar year shall be deemed to have been provided to the Village at no charge.

D. No refunds or transfers. No Eligible Customer whose electric service is terminated shall be entitled to a refund of any REPC balance, regardless of the reason for the termination of service. Nor shall any Eligible Customer be entitled to transfer any REPC balance to a succeeding customer upon the termination of the Eligible Customer's electrical service, regardless of the reason for the termination of service. Upon the termination of an Eligible Customer's electric service, the Eligible Customer's account shall be closed and any amount of kWh reflected in any REPC balance in existence at the time the account is closed will be deemed to have been provided to the Village, at no charge.

SECTION 6: Undergrounding Surcharge. Pursuant to Section 13.08.240 of the Winnetka Village Code, the following surcharges are hereby established for the undergrounding of transmission and distribution lines:

RATE U - UNDERGROUNDING SURCHARGE

(a) **Surcharge.** Except as provided in subsection (c), each customer located in a Project Area within which the Primary Lines and Secondary Lines are placed underground pursuant to Section 13.08.230 of the Winnetka Village Code shall be subject to an undergrounding surcharge. The surcharge shall be charged monthly until the Applicable Project Cost, plus interest on the unpaid balance at a rate of 7% per annum, is fully paid. The surcharge shall not be charged for more than 60 consecutive months. The surcharge amount shall be as follows:

Surcharge UA	Monthly surcharge of \$100 if Applicable Project Cost equals \$5,000 or less.
Surcharge UB	Monthly surcharge of \$150 if Applicable Project Cost is greater than \$5,000 but does not exceed \$7,500.
Surcharge UC	Monthly surcharge of \$200 if Applicable Project Cost is greater than \$7,500 but does not exceed \$10,000.
Surcharge UD	Monthly surcharge of \$250 if Applicable Project Cost is greater than \$10,000 but does not exceed \$12,500.

(b) **Definitions.** The following definitions shall be used in determining the undergrounding surcharge:

Project Area: The service area covered by a petition for undergrounding, as determined by the Director of Water and Electric, and shall include the

Primary Lines, Secondary Lines and Service Lines within that service area.

Project Costs: All direct costs of undergrounding the Primary Lines and Secondary Lines in the Project Area (“Cost 1”). For customers with overhead Service Lines, the direct costs of undergrounding overhead Service Lines in the Project Area (“Cost 2”) shall be included in the Project Costs in addition to Cost 1. Direct costs shall include, but not be limited to, labor, materials, recording of easements and the cost of relocating all related electric utility facilities and equipment, such as pad mount transformers and switch gear.

Project Cost_{UG}: The Project Cost per customer with underground Service Lines, which shall be determined by dividing Cost 1 by the number of customers in the Project Area.

Project Cost_{OH}: The Project Cost per customer with overhead electric service, which shall be determined by dividing Cost 2 by the number of customers in the Project Area with overhead electric service and adding the resulting amount to Project Cost_{UG}.

Applicable Project Cost: The Project Costs as allocated to the individual customers in the Project Area. The Applicable Project Cost for each customer with underground Service Lines shall be Project Cost_{UG}. The Applicable Project Cost for each customer with overhead Service Lines shall be Project Cost_{OH}.

- (c) **Exceptions to Surcharge.** The undergrounding surcharge shall not be charged to any customer in the Project Area who pays the Applicable Project Costs in full before the project begins.

SECTION 7: Disconnection or Reconnection Fee. The following fees shall be charged and collected for service calls to disconnect or reconnect service as the result of nonpayment, as provided in Section 13.08.060 of the Winnetka Village Code:

SERVICE DISCONNECTION OR RECONNECTION FEE

<u>Time of Service Call</u>	<u>Fee</u>
During regular business hours (Monday through Friday, except for holidays, from 7:30 a.m. to 3:00 p.m.)	\$95.00 per service dispatch
All other times (Evenings, nights, weekends and holidays)	\$265.00 per service dispatch

SECTION 8: Replacement of Touchpad. The following fees shall be charged for replacement of removed touchpads and replacement of the touchpad wiring.

Replacement of Touchpad	\$95.00
Replacement of Touchpad Wiring	\$265.00

SECTION 9: Removal and Reinstallation of Overhead Service Connection. The following fee shall be charged and collected for costs to disconnect, remove and reconnect an overhead service conductor for construction activities.

<u>Time of Service Call</u>	<u>Fee</u>
During regular business hours (Monday through Friday, except for holidays, from 7:30 a.m. to 3:00 p.m.)	\$300.00
All other times (Evenings, nights, weekends and holidays)	\$771.00

SECTION 10: Costs of Adding, Upgrading and Undergrounding Electric Services. The costs of installing new electric service, upgrading electric service to increase capacity and converting overhead service to underground service shall be allocated as follows:

Installation and Ownership of Facilities: All existing facilities and equipment, and all facilities and equipment related to new service, upgraded service and underground conversions, up to the meter, shall be owned, operated and maintained by the Village of Winnetka Water and Electric Department. The meter pedestal or meter enclosure shall be provided by the customer, at the customer's expense, and shall be owned and maintained by the customer. The Water and Electric Department shall install all new electrical service lines, all meters, all service upgrades and all conversions of overhead service to underground service, regardless of the party initiating the conversion, except that the Water and Electric Department shall not perform any work on the customer's side of the meter.

New Service or Increased Load: The following fees shall be charged for installing new or larger electric services:

Installation of a 200 Amp service (Includes conduits for communication conductors)	\$ 9,800
Installation of a 400 Amp service (Includes conduits for communication conductors)	\$18,000

Installation of three phase service

The costs of providing three phase electric service, including the cost of any necessary relocation, replacement or extension of the primary, secondary lines and transformers to which the service line is connected, shall be paid for by the customer requesting the new or increased three phase service.

If a primary or secondary line must be relocated, replaced or extended in order to install a new service or to increase the load capacity of an existing service, any customer who connects to such primary or secondary line within five years after the its installation may be required to pay that customer's pro rata share of such costs. The Village Manager, in the exercise of his discretion, may enter into a written agreement with the initial requesting customer and establish terms for the payment of such costs, which may include a recapture provision that provides for the Village to refund such pro rata costs, less administrative costs in the amount of 10% of the recaptured amount, to the initial requesting customer.

Service Lines – Scheduled Conversion to Underground Service: A customer may choose either to maintain overhead service or to convert his service line from overhead service to underground service in conjunction with the Water and Electric Department's planned conversion undergrounding of the primary and secondary lines to which the customer's service line is connected. If the customer elects to maintain overhead service, the Water and Electric Department will install, at no additional cost to the customer, a new pole as close to the service connection as the Department deems possible, placing the service line underground to the pole, installing a service riser to the top of the pole, and connecting an overhead line to the existing service connection. If the customer elects to place the service line underground, the Water and Electric Department will do so, at no additional cost to the customer, provided the customer purchases the meter enclosure or meter pedestal and makes, at the customer's expense, all alterations necessary to relocate the meter and building service so as to connect to the underground service line in the location specified by the Water and Electric Department.

Underground Service – Customer Requested Conversion: All costs of converting overhead electrical service to underground electrical service, including the cost of any necessary relocation of the primary and secondary lines to which the service line is connected, shall be paid by the customer if it is requested by the customer and the conversion is not done as part of the Water and Electric Department’s undergrounding program. If the customer is increasing the size of the service entrance equipment, the customer shall be charged in accordance with rates for New Service or Increased Load for the service connection work. Existing rear lot residential services will be relocated to the front of the building and the service connection shall be at a location specified by the Water & Electric Department. As part of the conversion, an electric meter located within the structure shall be relocated to the outside.

SECTION 11: Temporary Electric Service. Pursuant to Section 13.08.210 of the Winnetka Village Code, temporary electric service provided during building construction shall be billed at the rate applicable to the use specified in the building permit.

SECTION 12: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 13: Payment Period; Late Fees. All bills issued for electric service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 no more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.08.040.B of the Winnetka Village Code, if any bill for electric service is not paid within the payment period prescribed by resolution, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 14: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 15: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 201~~6~~⁵, and this resolution shall supersede Resolution R-3~~63~~-201~~43~~.

SECTION 16: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ____ day of _____ 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Resolution No. R-37-2015: Sanitary Sewer Rates (Introduction)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date: 11/17/2015

Consent: YES NO

- | | |
|-------------------------------------|-------------------------|
| <input type="checkbox"/> | Ordinance |
| <input checked="" type="checkbox"/> | Resolution |
| <input type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input type="checkbox"/> | Informational Only |

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The 2016 budget proposes a 5% sanitary sewer rate increase to pay for capital repairs to the sanitary sewer system.

Executive Summary:

Because of the projected capital needs of the sanitary sewer system, a rate increase is proposed. The projected capital plan contains \$918,000 of sanitary sewer repairs that are anticipated to be completed over the next three years.

The 5% sanitary sewer rate increase is projected to cost a typical customer about \$16 more per year.

The Village Code requires that this Resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

Consider introduction of Resolution No. R-37-2015.

Attachments:

1) Resolution No. R-37-2015, a Resolution Establishing the Sanitary Sewer Rate.

RESOLUTION NO. R-37-2015

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR SEWER SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka maintains a public sewer system that serves all premises within the Village; and

WHEREAS, the Council finds that all matters pertaining to the operation and maintenance of the Village's public sewers, including but not limited to establishing rates for sewer service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Sewer Service Rates. Any person owning or occupying premises which are connected to the public sewers within the Village of Winnetka shall pay for such services, as provided in Section 13.12.010 of the Winnetka Village Code, at the rate of \$~~13.82~~14.51 per 1,000 cubic feet of water supplied to those premises.

SECTION 2: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 3: Payment Period; Late Fees. All bills issued for sewer service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 nor more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.12.010.B of the Winnetka Village Code, if any bill for sewer service is not paid within the specified payment period, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 201~~6~~5, and this resolution shall supersede Resolution R-~~374~~-201~~4~~3.

[Remainder of this page intentionally left blank.]

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ____ day of _____ 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Resolution No. R-38-2015: Refuse Rates (Introduction)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The proposed budget has no changes in commercial collection charges and no changes to the residential collection fees.

Executive Summary:

There is a no proposed change in commercial collection charges.

The residential collection charge for twice a week service remains \$25 per month. The cost of a yardwaste bag or a yardwaste collection sticker remains \$2 each.

The Village Code requires that this Resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

Consider introduction of Resolution No. R-38-2015.

Attachments:

1) Resolution No. R-38-2015, a Resolution Establishing Refuse Rates.

RESOLUTION NO. R-38-2015

**A RESOLUTION ESTABLISHING RATES AND FEES
FOR REFUSE SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka, through its Public Works Department, operates a municipal waste system that provides for the collection, transportation and disposal of refuse and yard waste within the Village; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village's municipal waste system, including but not limited to establishing rates and fees for refuse and yard waste services, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Definitions. All terms defined in Section 8.16.010 of Chapter 8.16 of the Winnetka Village Code, "Garbage and Refuse," shall have the same meaning when used in this resolution.

SECTION 2: Commercial Refuse Service Fees. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following monthly fees are hereby established for commercial refuse service, including apartments in commercial buildings:

SCHEDULE OF MONTHLY COMMERCIAL REFUSE SERVICE FEES

Container Volume per Pick-up	Number of Pickups Per Week						
	1	2	3	4	5	6	7
1 Cu.Yd.	\$33	\$59	\$82	\$107	\$128	\$153	\$179
1.5 Cu.Yd.	\$41	\$74	\$109	\$141	\$176	\$208	\$241
2 Cu.Yd.	\$49	\$91	\$135	\$178	\$220	\$263	\$304
3 Cu.Yd.	\$66	\$123	\$181	\$238	\$296	\$353	\$412
4 Cu.Yd.	\$85	\$148	\$226	\$296	\$368	\$437	\$507
5 Cu.Yd.	\$104	\$187	\$271	\$353	\$437	\$521	\$603
6 Cu.Yd.	\$123	\$220	\$316	\$412	\$507	\$603	\$700
1-99 Gal.	\$15	\$21	\$26	\$33	\$38	\$44	\$50
100-180 Gal.	\$21	\$33	\$44	\$59	\$70	\$83	\$95

[**Note:** Individual accounts will be charged a share of the monthly fees charged based upon the account's proportionate use of the container(s), as determined by the Winnetka Public Works Department.]

SECTION 3: Residential Refuse Service Fees. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following fees and charges are hereby established for residential refuse service:

SCHEDULE OF RESIDENTIAL REFUSE SERVICE FEES

<u>Service</u>	<u>Charge</u>
One pick-up per week of no more than two garbage cans of household rubbish	No charge
Collection of household rubbish or garbage in excess of two garbage cans per pick-up	\$2.00 per sticker (one sticker required for each container)
Subscription service for one additional pick-up each week	\$25.00 per month

SECTION 4: Charges for Special Refuse Collections. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for special refuse collections:

SCHEDULE OF FEES FOR SPECIAL REFUSE COLLECTIONS

<u>Service</u>	<u>Charge</u>
Base Fee for special collections	\$30.00
Bulk pick-ups [<i>Note:</i> Bulk pick-ups are for light refuse other than liquids and yard waste.]	
Up to 1.0 cubic yards	Base Fee
Over 1.0 cubic yards	Base Fee plus \$11.00 for each additional cubic yard or fraction thereof
White goods and other large items [<i>Note:</i> Includes appliances, sofas, etc.]	Base Fee plus \$10.00 for each item
Hard-to-handle refuse [<i>Note:</i> Hard-to-handle refuse includes such miscellaneous rubbish as wood, fencing, carpeting, multiple pieces of furniture and cabinets, and construction materials such as wallboard, plaster and flooring, but shall not include liquids, soil, concrete and asphalt.]	Base Fee plus \$15.00 per cubic yard
Tires and/or tire rims	Base Rate plus Charge per Tire
Charge per tire	

<u>Service</u>	<u>Charge</u>
Tire without rim	Base Rate plus \$10.00
Tire with rim	Base Rate plus \$15.00
Truck tire without rim	Base Rate plus \$20.00
Truck tire with rim	Base Rate plus \$25.00

SCHEDULE OF FEES FOR SPECIAL REFUSE COLLECTIONS (cont'd)

<u>Service</u>	<u>Charge</u>
Carts and roll-off boxes	
[<i>Note:</i> Charge is based on container size. Contents shall not include concrete, soil, asphalt or liquids]	
1.0 cubic yard	\$40.00
1.5 cubic yard	\$50.00
2.0 cubic yard	\$60.00
6.0 cubic yard	\$165.00

SECTION 5: Yard Waste. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for the removal of certain yard waste:

[Remainder of this page intentionally left blank.]

SCHEDULE OF YARD WASTE REMOVAL FEES

<u>Service</u>	<u>Charge</u>
Removal of yard waste	
Village yard waste bag	\$2.00 per bag
Other bags (one sticker required per bag)	\$2.00 per sticker
Removal of brush, trees, logs and limbs	
Diameter of 4 inches or less	
First 10 minutes	\$30.00
Each additional minute	\$1.00
Diameter over 4 inches	Charged as hard-to-handle item (See Section 4)

SECTION 6: Charges for Miscellaneous Refuse Services. Pursuant to Section 8.16.050 of the Winnetka Village Code, the following rates are hereby established for miscellaneous refuse services and for the purchase of miscellaneous items for use in disposing of refuse:

SCHEDULE OF MISCELLANEOUS FEES

<u>Service or Item</u>	<u>Charge</u>
Small Recycling Carts	\$50.00 each
Large Recycling Carts	\$67.00 each

SECTION 7: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 8: Payment Period. All bills issued for refuse service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 no more than 30 days from the date of the issuance of the bill.

SECTION 9: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 10: Effect of Resolution. The rates established herein shall apply to all bills issued on or after January 1, 201~~6~~⁵, and this resolution shall supersede Resolution R-3~~8~~⁵-201~~4~~³.

SECTION 11: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

[Remainder of this page intentionally left blank.]

ADOPTED this ____ day of _____ 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Resolution No. R-39-2015: Stormwater Utility Service Rate (Introduction)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The 2016 budget proposes no change to the stormwater utility fee that became effective 7/1/2014. The Village began billing in arrears effective January 1, 2015, to match the billing cycle for the other utilities billed by the Village.

Executive Summary:

The Village established a stormwater utility fee of \$21.83 per month (\$261.96 per year) per Equivalent Runoff Unit (ERU), effective July 1, 2014. The stormwater utility fee, plus existing reserves, are adequate to pay for the debt service and operating costs of this fund. Therefore, no change to the stormwater utility rate is proposed.

The Village Code requires that this Resolution be introduced at a meeting and the adopted at a subsequent meeting.

The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

Consider introduction of Resolution No. R-39-2015.

Attachments:

1) Resolution No. R-39-2015, a Resolution Establishing the Stormwater Utility Service Rate.

**A RESOLUTION
ESTABLISHING RATES AND FEES
FOR STORMWATER UTILITY SERVICE**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka (“Village”) operates and maintains a public stormwater utility to provide stormwater management services to all properties within the Village; and

WHEREAS, the Council finds that all matters pertaining to the operation and maintenance of the Village’s stormwater system, including but not limited to establishing rates for sewer service, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Stormwater Service Fee. The fee for stormwater utility service provided in the Village of Winnetka pursuant to Chapter 13.16 of the Winnetka Village Code is hereby established at \$21.83 per month per Equivalent Runoff Unit (ERU), as defined in Section 13.16.04 of the Winnetka Village Code.

SECTION 2: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 3: Payment Period; Late Fees. All bills issued for sewer service shall be paid in full within the payment period specified in the bill. The payment period shall be established by the Director of Finance, and shall be no less than 21 nor more than 30 days from the date of the issuance of the bill. Pursuant to Section 13.12.010.B of the Winnetka Village Code, if any bill for sewer service is not paid within the specified payment period, a late payment penalty of 5% of the amount due shall be added to the bill and collected from the user.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. The rates established herein shall apply to all bills issued for stormwater utility service on or after January 1, 201~~6~~⁵.

[Remainder of this page intentionally left blank.]

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ____ day of _____, 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Resolution No. R-40-2015: General Permit & License Fees (Information Only)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

There are no changes proposed to the general permit and license fees for 2016. This resolution is distributed prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

The Village will consider adoption of this resolution at the December 1, 2015 Council Meeting.

Recommendation:

No action required; informational only.

Attachments:

1) Resolution No. R-40-2015, a Resolution Establishing General Permit and License Fees.

RESOLUTION NO. R-40-2015

**A RESOLUTION
AMENDING GENERAL PERMIT, LICENSE AND REGISTRATION FEES,
PARKING AND TOWING FEES AND
MISCELLANEOUS SERVICE FEES**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, with the authority and, except as limited by said Section 6 of Article VII, is authorized to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Council of the Village of Winnetka finds that setting of rates and fees for various permits, licenses and services is a matter pertains to the government and affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Permit, License and Registration Fees. Fees are hereby established for certain permits, licenses and registrations, in the amounts and for the purposes set forth in the following Schedule of General Permit, License and Registration Fees, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

SCHEDULE OF GENERAL PERMIT, LICENSE AND REGISTRATION FEES

Note: Unless otherwise specifically provided in the following Schedule of General Permit, License and Registration Fees (“Schedule”), all annual permits, licenses and registrations provided for in this Schedule are due and payable on or before the beginning of the fiscal year (January 1) of each year, and remain in effect until the end of the fiscal year (December 31).

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Amusement Devices</i>		5.12.010
Daily	\$15.00	
Annual	\$25.00	
<i>Animals</i>		6.08.010
Dog License (Annual)		
Unspayed Female	\$15.00	
All Other Dogs	\$10.00	
<i>Animals (cont'd)</i>		6.08.010
Replacement License	\$2.00	
Taking up or Impounding Dog	\$55.00	
<i>Bicycle Registration</i>	No Fee	10.32.060

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Billiard Rooms & Pool Rooms</i>		5.52.020
Annual License (per table)	\$10.00	
<i>Bowling Alleys</i>		5.52.030
Annual License (per alley)	\$10.00	
<i>Coin Operated Musical Devices</i>		5.16.010
Annual License (per device)	\$25.00	
<i>Charitable and Political Solicitation</i>	None	5.48.010
<i>Circuses and Carnivals (Daily)</i>	\$100.00	5.52.040
<i>Drug Paraphernalia Sales</i>		9.04.070
Annual Registration Fee	\$25.00	
<i>Film Production Application Fees</i>		
Basic Application Processing Fee	\$1,000.00	5.20.070
Additional Application Processing Fee (Per Hour)	\$250.00	5.20.070
<i>Food Dealers</i>		
Restaurant Permit: (Annual, based on seating capacity)		5.24.010
1-20	\$35.00	
21-50	\$45.00	
51-100	\$50.00	
More than 100	\$75.00	
Fast Food/Drive-In	\$75.00	
Food Store Permit (Annual, per cash register)	\$25.00	5.24.010
Itinerant Food Vendor Permit (Annual)	\$15.00	5.24.010
Vending Machine Operator Permit (Annual, per machine)	\$15.00	5.24.010
<i>Foresters, Tree Surgeons</i>		5.72.010
Annual License	\$15.00	
<i>Garbage and Refuse Scavenger</i>		8.16.040
Annual License	\$500.00	
<i>Junk Dealers (Annual)</i>		5.32.010
License, Base Fee	\$50.00	

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
Vehicle Fee (per vehicle)	\$25.00	
<i>Laundries</i>		5.36.010
Annual Fee	\$15.00	
<i>Liquor Licenses</i>		5.09.100
Class A-1 Restaurant (Annual)	\$1,000.00	
Class A Restaurant (Annual)	\$750.00	
Packaged Meal Rider (Take-out; Annual)	\$150.00	
Class B - Grocery Store (Annual)	\$750.00	
Class C - Special Event (Daily)	\$25.00	
Maximum per event more than 2 days	\$75.00	
Class D – Package delivery service/mail	\$150.00	
Class E - Limited Food Products Store (Wine)	\$500.00	
Class E-1 - Limited Food Products Store (Wine or Beer)	\$500.00	
Class P - Park District (Annual)	\$500.00	
Sidewalk Restaurant Rider	\$150.00	
<i>Money Changers</i>		5.40.010
Annual Fee, per location	\$25.00	
<i>Parades and Processions</i>	None	10.08.060
<i>Pawnbrokers</i>		5.44.010
Annual Fee, per location	\$100.00	
<i>Peddlers</i>		5.48.010
License, if NO vehicle used		
Per year	\$25.00	
Per month	\$10.00	
Per day	\$3.00	
License, if vehicle used		
Per year, per vehicle	\$50.00	
Per month, per vehicle	\$15.00	
Per day, per vehicle	\$5.00	
<i>Public Dance Halls</i>		5.56.010
Per year	\$100.00	
Per Day	\$20.00	
<i>Public Garage and Service Station</i>		5.60.010
Base fee, annual	\$50.00	
For each fuel pump	\$5.00	
<i>Raffle, per event</i>	\$25.00	9.04.040

<u>Type of Permit, License or Registration</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Second Hand Dealers</i>		5.64.010
Annual Fee, per location	\$25.00	
<i>Taxicab Operator's License</i>	\$2.00	5.68.050
<i>Vehicle (Motor) Licenses</i>		10.12.030
Annual Fee	\$40.00	
Semi-Annual Fee (if purchased after 6/30)	\$20.00	
Transfer Fee	\$1.00	

SECTION 2: Parking Permit Fees. Pursuant to Chapter 10.24 of the Winnetka Village Code, titled, "Parking," the following fees are hereby established for parking permits:

SCHEDULE OF PARKING PERMIT FEES

Semi-Annual Parking Permits (Commuter Parking Permits)

Note: Semi-annual parking permits are issued for the periods of January through June and July through December. Purchase and refund amounts are prorated based on the month in which the purchase or refund request is made. Only persons who reside in the Village of Winnetka, and who have a current Village vehicle sticker for a vehicle registered with the State to a Winnetka address, are eligible for the resident fee.

Month of Purchase or Refund	Purchase Cost		Refund Amount	
	Resident	Non-Resident	Resident	Non-Resident
January or July	\$100.00	\$220.00	\$83.33	183.33
February or August	83.33	183.33	66.67	146.67
March or September	66.67	146.67	50.00	110.00
April or October	50.00	110.00	33.33	73.33
May or November	33.33	73.33	16.67	36.67
June or December	16.67	36.67	–	–

Annual Parking Permits (Not refundable)

Business District Employee Parking Permit \$10.00

Daily Parking Permits (Not refundable)

Commuter Parking Lots \$3.00

Business District Employee Parking \$3.00

Remote Lot Parking Permits (Public Works Yards)

Semi-annual charge per vehicle \$120.00

Note: The Village Manager may issue permits to allow parking on a limited basis at the Village's landfill site, 1390 Willow Road, by businesses located in the Village of Winnetka, including but not limited to the United

States Postal Service, for parking of their fleet vehicles, and by businesses located in the Village of Winnetka that are engaged in the retail sale of automobiles, for parking of their sales inventory. The Village Manager shall determine the number and location of such spaces that may be made available on the site may vary from time to time. Such space shall be limited to areas of the site that the Village Manager determines will not interfere with the Village’s use of the site. Requests for such parking shall be made directly to the Village Manager. Remote parking spaces shall not be available for the general public.

SECTION 3: Fees for Vehicle Impoundment and Towing. Fees and charges are hereby established for the impoundment, towing and storage of vehicles upon the issuance of a final notice for unpaid parking tickets, as set forth in the following Impoundment and Towing Fee Schedule, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

IMPOUNDMENT AND TOWING FEES

<u>Type of Fee</u>	<u>Fee Amount</u>	<u>Conditions for Payment or Refund</u>
<i>Impoundment</i>	\$200.00	Payment is required prior to release of vehicle. Payment will be refunded if the hearing officer determines that the impoundment was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Towing and/or Storage - Private Contractor</i>	Actual cost as billed by the towing or impounding facility	Payment is required prior to release of towed, removed, relocated and/or stored vehicle. Payment will be refunded if the hearing officer determines that the towing, removal, relocation and/or storage was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Storage on Village Property</i>	\$10.00 per day, per vehicle	Payment is required prior to release of stored vehicle. Payment will be refunded if the hearing officer determines that the storage was not conducted in accordance with the procedural requirements of Village Code Section 10.24.130.
<i>Collateral</i>	100% of the amount of all outstanding fines due, as stated in the final notice.	Payment is required prior to release of impounded, towed, removed, relocated and/or stored vehicle. Payment is also required before a request for a judicial proceeding made pursuant to a “final notice” is processed. Payment will be refunded if, as the result of the dismissal of outstanding or unsettled traffic violation notices, judgments and/or warrants by a court of competent jurisdiction, the impounded or removed vehicle is subject to fewer than five unsatisfied fines for violation of any parking ordinance of the Village.

SECTION 4: Miscellaneous Service Fees. Fees are hereby established for certain miscellaneous services and purchase items in the amounts and for the purposes set forth in the following Schedule of General Permit, License and Registration Fees, pursuant to the sections of the Winnetka Village Code (“Code”) referred to therein.

SCHEDULE OF GENERAL PERMIT, LICENSE AND REGISTRATION FEES

<u>Miscellaneous Service Fees</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Ambulance Services - Residents</i>		2.52.040
Advanced Life Support	\$675.00	
Basic Life Support	\$525.00	
Mileage Charge (per loaded patient mile)	\$12.00	
<i>Ambulance Services – Non-Residents</i>		
Advanced Life Support	\$850.00	
Basic Life Support	\$650.00	
Mileage Charge (per loaded patient mile)	\$12.00	
<i>Audit (Print copy)</i>	\$35.00	
<i>Annual Budget (Print copy)</i>	\$35.00	
<i>Certified copies (per certification)</i>	\$1.00	
<i>Comprehensive Plan</i>		
With Maps	\$35.00	
Without Maps	\$8.50	
<i>Copying, Scanning and Printing Charges</i>		
In-house copying		
Black & White, 8½” x 11” (per side)	\$0.15	
Black & White, 8½” x 14” (per side)	\$0.15	
Black & White, 11” x 17” (per side)	\$0.50	
Color, 8½” x 11” (per side)	\$0.50	
Color, 8½” x 14” (per side)	\$1.00	
Color, 11” x 17” (per side)	\$1.00	
Out-sourced copying	Actual Cost	
Oversize documents (plats, etc.)	Actual Cost	
CD-ROM (per disk)	\$5.00	
DVD recordings of meetings (per DVD)	\$20.00	
<i>Fire Alarm Monitoring Services</i>	\$55.00	
(direct connections to Village’s fire alarm monitoring system only)	per month	

<u>Miscellaneous Service Fees</u>	<u>Amount of Fee</u>	<u>Code Section</u>
<i>Other, Unspecified Services</i>	Actual Cost	
<i>Street Cleaning</i>	\$550.00	
<i>Unincorporated Fire Service</i>	(See Resolution R-15-2013)	13.040.120
<i>Winnetka Village Code</i>	\$200.00	
<i>Winnetka Zoning Ordinance</i>	\$10.00	

SECTION 5: Fees for Special Services, Film Production and Special Events.
 Services provided or performed in conjunction with film production permits issued pursuant to Chapter 5.20 of the Winnetka Village Code and in conjunction with special event permits issued pursuant to Chapter 5.66 of the Winnetka Village Code shall be subject to the following fee schedule.

SCHEDULE OF SPECIAL SERVICE FEES

Note: The following hourly rates shall be assessed for: (i) all services provided in conjunction with film production and film production permits issued pursuant to Chapter 5.20 of the Village Code; (ii) all services provided in conjunction with film special events and events subject to special events permits issued pursuant to Chapter 5.66 of the Village Code; and (iii) all other non-standard services provided by Village personnel and all other uses of Village equipment not subject to specific fees set out in either this resolution R-36-2013 or resolution R-37-2013.

<u>Department</u>	<u>Hourly Rate</u>
<i>Village Administration & Finance Departments</i>	
Village Manager	\$350
Assistant to the Village Manager	\$290
Village Attorney	\$350
Department Head	\$290
Supervisory Personnel	\$190
Clerical/Support Staff	\$190
<i>Police Department</i>	
Command Staff (Deputy Chief, Commanders)	\$240
Sergeants	\$190
Patrol Officers	\$160
Support Staff	\$140
Vehicles	\$50
<i>Fire Department</i>	
Command Staff (Deputy Chief, Captains)	\$240
Lieutenants	\$190
Fire Medics	\$160
Support Staff	\$140
Light Vehicles	\$60

<u>Department</u>	<u>Hourly Rate</u>
<i>Fire Department</i>	
Ambulance	\$110
Fire Truck / Engine	\$460
<i>Public Works</i>	
Supervisory	\$190
Engineers	\$190
Maintenance Workers	\$140
Light Trucks	\$60
Medium Trucks	\$90
Heavy Trucks, Refuse Trucks, Street Sweepers	\$130
<i>Community Development</i>	
Assistant Director	\$240
Planners, Architect	\$190
Inspectors	\$160
Clerical / Support Staff	\$140
Vehicles	\$50
<i>Water & Electric</i>	
Deputy Director, Chief Engineer	\$240
Supervisory	\$190
Plant Operators	\$160
Linesmen	\$160
Clerical / Support Staff	\$130
Light Trucks	\$60
Medium Trucks	\$90
Heavy Trucks, Boom Trucks	\$130

SECTION 6: Fee for Returned Payments. A fee of \$30.00 shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

[Remainder of this page intentionally left blank.]

SECTION 7: This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 8: Effect of Resolution. This resolution supersedes Resolution R-~~4036~~-2014~~3~~.

SECTION 9: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Resolution No. R-41-2015: Building, Zoning & Construction Fees (Information Only)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date: 11/17/2015

Consent: YES NO

- | | |
|-------------------------------------|-------------------------|
| <input type="checkbox"/> | Ordinance |
| <input checked="" type="checkbox"/> | Resolution |
| <input type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input type="checkbox"/> | Informational Only |

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The proposed budget has no changes to the existing fees. This Resolution is distributed prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

This Resolution contains no substantive changes to the Village's permit and fee structure. A few clarifications were made in the proposed resolution which involved merging various permit categories to fall in line with current operations. Additionally, two fees were updated to reflect current actual charges, which were previously loaded into both the FY 2015 and FY 2016 budgets.

The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

No action required; informational only.

Attachments:

1) Resolution No. R-41-2015, a Resolution Establishing Building, Zoning, and Construction Fees.

RESOLUTION NO. R-41-2015

**A RESOLUTION
AMENDING THE SCHEDULE OF FEES FOR
BUILDING, ZONING AND CONSTRUCTION ACTIVITY**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax and (iv) to incur debt; and

WHEREAS, the Council of the Village of Winnetka finds that all matters pertaining to the regulation of building, zoning and construction activities within the Village of Winnetka, including but not limited to establishing fees for permits for such activities, are matters pertaining to the affairs of the Village.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: Permit, License and Registration Fees. Pursuant to the sections of the Winnetka Village Code (“Code”) referred to in the following Schedule Building, Zoning and Construction Activity Fees (“Fee Schedule”), there are hereby established certain permit, license and registration fees, in the amounts and for the purposes set forth in said Fee Schedule:

SCHEDULE OF BUILDING, ZONING AND CONSTRUCTION ACTIVITY FEES

WORK PERFORMED WITHOUT PERMITS

All permit fees for work performed without a required permit shall be double the amount of the fees for the required permits.

PERMIT DEPOSITS (Section 15.32.020)

[**Note:** Deposits must be submitted with permit applications. The Village’s costs, including plan review and reinspections, will be deducted from deposits. Deposit balances will be retained by the Village until a final certification of occupancy is approved.]

For Building Demolition Permits with site restoration plans	\$3,000
For Installation of New Security, Fire Detection or other Fire and Life Safety Systems (Chapters 8.04 and 15.16)	\$1,500
For Temporary Certificate of Occupancy	\$3,000
For Tree Replacement (for each inch of DBH)	\$250

[Remainder of this page intentionally left blank.]

PLAN REVIEW FEES (Sec. 15.32.020)

For all Building Permits, other than restrictive building permits:

- 15% of building permit fee, \$70 minimum.

For review of Construction Document Revisions

- \$15 for each review where original building permit was subject to minimum fee, building permit is not required, or revision is for a restrictive building permit.
- \$100 for all reviews of any revision to building permit construction documents that do **not** require zoning, engineering, and/or forestry reviews.
- \$130 for all reviews of any revision to building permit construction documents that require zoning, engineering, and/or forestry reviews for minor revisions (as determined by the Director of Community Development).
 - 25% of original plan review fee for all reviews of any major revision to building permit construction documents (as determined by the Director of Community Development).

For engineering review required for building in the flood plain:

- Flood plain development review \$500.00
- LOMR review \$500.00

For plan reviews required for fire and life safety systems (Sec. 15.16.070) (deducted from any deposits)

- Fire Department review \$1300.00
 - Technical review services Actual cost
- [Note: Variable fee, based on actual costs charged to Village.]

CONTRACTOR PERMIT BOND (Sec. 15.32.060) \$20,000

[Note: Bond to be payable to the Village of Winnetka; required of all contractors. Pursuant to the Contractor Unified License and Permit Bond Act of 1998, contractor may provide a certified copy of his/its current unified contractor bond in amount of no less than \$50,000, as on file with Cook County Clerk.]

BUILDING PERMIT FEES (Sec. 15.32.020)

Renovations, including remodeling and additions, to Existing Structures and for other construction activity not specified by Resolution of the Council

- \$30.00 per \$1,000.00 or fraction thereof of the estimated total project cost, \$70.00 minimum.

[Remainder of this page intentionally left blank.]

BUILDING PERMIT FEES (cont'd)

Construction [as defined in Title 17, for all primary structures and additions thereto, including basement and attic areas, whether finished or unfinished, crawl space, attached garages and detached accessory structures, all without deducting for zoning allowances, bonuses or other exceptions]

- \$1.30 per horizontal square foot, but not less than \$70.00

Below Grade Parking Facilities

- \$0.80 per Gross Floor Area square foot.

RESTRICTIVE PERMITS

[Note: Includes initial plan review fee.]

Canopy/Awning (Sec. 15.44.030) \$70 each
[Note: Certificate of Appropriateness of Design may be required.]

Construction Trailers (Sec. 15.32.020) \$220 each

Demolition (Sec. 15.52.010)

- For each accessory structure \$45
- For demolition with building permit application and complete construction documents. \$16,070
- For demolition with site restoration plan and schedule. \$16,070
- Reimbursement of payment made by Village of Winnetka to Winnetka Historical Society for research related to demolition of a primary structure \$600

Fences (Sec. 15.44.060) \$65 each

Roofing (Sec. 15.32.020 and 15.44.100) \$65 each

Signs (Sec. 15.60.140)

[Note: Signs may require Certificate of Appropriateness of Design.]

- For each non-illuminated signs \$60
- For each illuminated sign (includes electrical permit fee) \$195

Swimming Pools (Sec. 15.56.020) \$515

[Note: Includes electrical, plumbing and fence permits]

Tree Enhancement/Tree Protection Plan Review (Sec. 15.28.070)

- For each review per lot in development site \$90

Landscape/Tree Replacement Plan Review (Sec. 15.28.050 and 15.28.060)

- For each review per lot in development site \$90

Tree Removal Permit Fee (Sec. 15.28.040)

- For each tree \$60

ELECTRICAL PERMITS (Sec. 15.32.020 and 15.44.050)

[Note: Includes initial plan review fee.]

Base Fee for All Permits	\$70
Electrical Fixtures, per fixture <u>outlets, receptacles and switches</u>	\$1.10
Heating/Air Conditioning/Ventilation (HVAC), per unit [Note: Requires HVAC permit.]	\$25
Motors over 0.5 hp, per motor	\$25
New Service or Modifications to Existing Service Entrance Equipment [Note: Permit fees are waived if modifications result from Water & Electric Department's scheduled undergrounding program.]	
• Less than 200 amps, per new service or modification	\$50
• 200 amps or more, per new service or modification	\$60
Outlets, per outlet	\$1.10
Temporary Service, per service	\$340

PLUMBING AND MECHANICAL (HVAC) PERMITS (Sec. 15.32.020 and 15.44.050)

[Note: Includes initial plan review fee.]

Plumbing

- Base Fee for All Plumbing Permits (includes 5 fixtures) \$70
- Plumbing Fixtures (beginning with the sixth fixture), per fixture \$10
- Process Piping for Heating System, per unit \$100

Lawn Sprinklers

- Base Fee \$80
- Per Sprinkler Head \$0.90

HVAC

[Note: Exterior installations require zoning approval.]

- Base Fee for all HVAC Permits \$70
- For replacement of duct work only, per unit \$45
- For totally new system, per unit \$90
- For each roof-top unit, new or replacement \$100
- For each new or replacement AC unit, if total capacity on the property is 8 tons or more. \$100

[Remainder of this page intentionally left blank.]

MISCELLANEOUS FEES

Development Agreement – Base Fee (Sec. 15.32.080(K)) \$1,500
[Note: Base Fee includes standard staff review time and 3 hours of Village Attorney time.]

Development Agreement – Supplemental Fee (Sec.15.32.080(K)) Variable,
All Village costs in excess of those included in the Base Fee shall be based upon the actual time spent by the Village, plus costs incurred, and shall be incorporated into the Development Agreement. based on Staff time spent and rates set by R-36-2013

Fire Prevention Permit (Sec. 15.16.040) \$1300

Partial Permits (Sec. 15.32.110) \$150

Permit Renewal (Sec. 15.32.200) 50% of total original building permit fees

Stop Work Order (Sec. 15.04.080)
• 1st Stop Work Order \$250
• 2nd Stop Work Order \$500
• 3rd Stop work Order \$750

Temporary Certificate of Occupancy (Sec. 15.36.010) \$275

Village Attorney Services (Sec. 5.66.040) \$350 per hour,
For non-standard services related to post-approval implementation or amendment of development agreements, subdivisions and planned developments ½ hour increments

PETITION FILING FEES

Administrative Appeals
• Building Code Appeals (Sec. 15.72.010) \$350
• Zoning Appeals (Sec. 17.72.010) \$450

Certificate of Appropriateness of Design (Sec. 15.40.010)
• For each new primary structure or addition thereto \$450
• For each application for signs, canopies, or awnings \$55
• All other requests \$110

Consolidation of Land into single parcel (Sec. 16.08.010) \$550

Driveway Variation (Sec. 12.12.010 and 15.44.040) \$265

Flood Plain Variation (Sec. 15.68.100) \$715

Sign Variation (Sec. 15.60.250) \$220

Special Use Permit (Sec. 17.56.010)	\$935
PETITION FILING FEES (cont'd)	
Subdivision of Land – Base Fee (Sec. 16.04.040)	\$935
[Note: Base Fee includes standard staff review time and 3 hours of Village Attorney time.]	
Subdivision of Land – Supplemental Fee (Sec. 15.32.080(K))	Variable,
All Village costs in excess of those included in the Base Fee shall be based upon the actual time spent by the Village, plus costs incurred. For projects requiring a Development Agreement, the supplemental fee shall be incorporated into the Development Agreement.	
	based on Staff time spent and rates set by R-36-2013
Zoning Map Amendment (Sec. 17.72.040)	\$800
Zoning Planned Development (Chapter 17.58)	\$935
Zoning Special Use (Chapter 17.56)	\$935
Zoning Text Amendment (Sec. 17.72.040)	\$800
Zoning Variation by Zoning Administrator (Minor Variation) (Sec. 17.60.015)	\$250
Zoning Variation by Ordinance (Major Variation)(Sec. 17.60.030)	\$800
Zoning Variation by Zoning Board of Appeals (Standard Variation) (Sec. 17.60.020)	\$400
STREETS, SIDEWALKS, DRIVEWAYS AND OTHER IMPERMEABLE SURFACES (Sec. 12.04.110)	
Driveway curb cut, new or enlarged (Sec. 12.12.010)	\$75
[Note: Requires street excavation/occupancy permit and right-of-way deposit]	
Right-of-way Excavation and/or Occupation (Sec. 12.16.010)	\$125
Sewer (Sec. 15.24.090)	\$150
Impermeable Surfaces (including driveways, sidewalks, patios, etc.) (Sec. 12.08.010)	\$75
Street Replacement (Sec. 12.04.20)	
• Base fee - Streets resurfaced 5 or fewer years prior to the date of permit application	\$2,000
• Base Fee - Streets resurfaced more than 5 years prior to the date of permit application	\$1,000
• Per square yard of base (any type of base)	\$125

SECTION 2: Determination of Construction Costs. In setting any fee based on the cost of construction, the Director of Community Development may use any of the following methods:

- A. an estimate furnished by the permit applicant;
- B. a certification of the cost of construction from a licensed architect or a registered structural or professional engineer;
- C. an affidavit from the owner or the owner's agent setting forth the estimated cost of the proposed work; or
- D. a calculation to be made by the Director of Community Development, based on the most current edition of the RS Means Square Foot Costs Book.

SECTION 3: Fee for Returned Payments. A fee in the amount of \$150.00 or 5% of the permit fee, whichever is greater, shall be charged for any payment that is returned to the Village for any reason, including, but not limited to, insufficient funds, account closed, or referred to maker.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. This resolution supersedes Resolution R-~~4137~~-2014~~3~~.

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ____ day of _____, 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Resolution No. R-42-2015: Unincorporated Fire Service Fees (Informational Only)

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

The Village Council held meetings on October 19, 27, and 29, 2015 to review the proposed budget.

The Village annually calculates an unincorporated fire service fee based on a formula. This Resolution is distributed prior to adoption so the public has an opportunity to review the materials.

Executive Summary:

The unincorporated fire service fee is assessed based on a formula that takes into account the equalized assessed value of the area served, calls for service, and fire department expense data. This formula is designed to ensure an equitable charge for unincorporated customers.

The data needed to calculate the fire services fees will not be available until February, 2016. A Resolution will be prepared for the Council's consideration at that time.

The Village will consider adoption of this Resolution at the December 1, 2015 Council Meeting.

Recommendation:

No action required; informational only.

Attachments:

1) Resolution No. R-42-2015, a Resolution Establishing Unincorporated Fire Service Fees.

**A RESOLUTION
ESTABLISHING THE ANNUAL FEE
FOR THE MAINTENANCE AND AVAILABILITY OF
FIRE SUPPRESSION AND RESCUE SERVICES
TO CERTAIN PREMISES IN UNINCORPORATED NEW TRIER TOWNSHIP**

WHEREAS, the Village of Winnetka is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970, pursuant to which it has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including, but not limited to, the powers (i) to regulate for the protection of the public health, safety, morals and welfare, (ii) to license, (iii) to tax, and (iv) to incur debt; and

WHEREAS, the Village of Winnetka (“Village”) owns and operates a water utility that provides all water service within the Village of Winnetka; and

WHEREAS, the Village’s water utility also provides water service to certain customers located in unincorporated areas outside the corporate limits; and

WHEREAS, the Council finds that all matters pertaining to the operation of the Village’s water utility, including but not limited to providing water service to residents in nearby unincorporated areas and establishing the rates, terms and conditions for such service, are matters pertaining to the affairs of the Village; and

WHEREAS, Section 13.04.120 of the Winnetka Village Code, “Charges for the maintenance and availability of fire suppression and emergency paramedical and rescue services,” provides for the establishment of an annual fee for providing such services to any customer of the Village of Winnetka water utility located outside of the corporate limits of the Village and in the vicinity of one or more fire hydrants maintained by the Village for fire protection purposes; and

WHEREAS, Section 13.04.120 of the Winnetka Village Code establishes the formula for determining that annual fee; and

WHEREAS, calculations performed by Village staff pursuant to Section 13.04.120 of the Winnetka Village Code previously established that, beginning on April 1, 2014, the monthly amount to be charged to each of the premises in unincorporated New Trier Township that receives fire suppression and rescue services from the Village of Winnetka should be Ninety Dollars and Thirteen Cents (\$90.13); and

WHEREAS, on June 16, 2015, the Village Council adopted Resolution R-10-2015, setting the monthly amount to be charged to each of the premises in unincorporated New Trier Township that receives fire suppression and rescue services from the Village of Winnetka at Ninety-Four Dollars and Seventy-Seven Cents (\$94.77); and

WHEREAS, the Council finds that it is fair and reasonable to maintain the monthly charges for non-resident fire suppression and rescue services at Ninety-Four Dollars and ~~Thirteen~~ Seventy-Seven Cents (\$~~94.77~~ 94.77), beginning January 1, 201~~6~~ 5.

NOW, THEREFORE, be it resolved by the Council of the Village of Winnetka as follows:

SECTION 1: The foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as if fully set forth herein.

SECTION 2: Effective January 1, 201~~6~~⁵, the monthly amount to be charged to each premises that is located in unincorporated New Trier Township and that is receiving fire suppression and rescue services from the Village of Winnetka is hereby established in the amount of Ninety-~~Four~~ Dollars and ~~Seventy-Seven~~^{Thirteen} Cents (\$9~~40.77~~¹³).

SECTION 3: All non-resident water customers who are subject to the foregoing fire suppression fee shall pay for emergency medical services on a per call basis, at the rates established in the Village's general fee and rate resolutions.

SECTION 4: Home Rule. This Resolution is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: Effect of Resolution. This resolution supersedes Resolution R-~~103~~-201~~5~~⁴.

SECTION 6: Effective Date. This resolution shall be in full force and effect immediately upon its adoption.

ADOPTED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES: _____

NAYS: _____

ABSENT: _____

Signed:

Village President

Countersigned:

Village Clerk

Introduced: November 17, 2015

Adopted:



Agenda Item Executive Summary

Title: Property Tax Levy and Abatement Ordinances

Presenter: Timothy J. Sloth, Director of Finance

Agenda Date: 11/17/2015

- | | |
|-------------------------------------|-------------------------|
| <input checked="" type="checkbox"/> | Ordinance |
| <input type="checkbox"/> | Resolution |
| <input type="checkbox"/> | Bid Authorization/Award |
| <input type="checkbox"/> | Policy Direction |
| <input type="checkbox"/> | Informational Only |

Consent: YES NO

Item History:

The Council has reviewed the proposed 2016 calendar year budget and the funding provided by property taxes to balance the budget. The proposed 2015 property tax levy will generate \$14.42 million for Village operations, an increase of \$114,420 or 0.8%. Because new development is estimated at 0.8%, most residents will see no increase in Village property taxes.

Executive Summary:

The 2015 property tax levy sets the amount of revenue to be raised from property taxes to fund Village operations. In Illinois, property taxes are paid a year in arrears. This means that the amount of money requested for the 2015 property tax levy will be received by the Village in calendar 2016.

In addition to the tax levy ordinance for general operations, the Village has three special service areas with a separate tax levy paid only by persons in the special service area. These special service areas have been used to make roadway improvements.

The Village issued General Obligation bonds in the amount of \$9.0 million in 2013 and \$7.5 million in 2014 to fund stormwater improvements. It is the current intention of the Village to abate the property tax levy each year for these bonds and to pay the principal and interest from stormwater utility fees collected by the Village. There are adequate funds on hand to allow for the abatement of the property tax levy for 2015 related to these General Obligation bond issues.

Recommendation:

Introduction of the attached general property tax levy
Introduction of the three special service area tax levies
Introduction of the two tax abatement ordinances

Attachments:

Property Tax Levy Calculations Spreadsheet
Schedule of Special Service Area Financing
Ordinance M-19-2015: 2015 Tax Levy Ordinance
Ordinance M-20-2015: SSA No. 3 Tax Levy Ordinance
Ordinance M-21-2015: SSA No. 4 Tax Levy Ordinance
Ordinance M-22-2015: SSA No. 5 Tax Levy Ordinance
Ordinance M-23-2015: 2015 Tax Abatement Ordinance (2013 - \$9.0 million bond issue)
Ordinance M-24-2015: 2015 Tax Abatement Ordinance (2014 - \$7.5 million bond issue)

Village of Winnetka Schedule of Property Tax Levy Calculations

<u>Tax Levy Category</u>	Column A 2014 Actual Tax Levy	Column B 2015 Proposed Tax Levy	Column C (Column B - A) Dollar Change	C/A*100 Percent Change
<u>General Fund:</u>				
Corporate	\$10,675,483	\$10,557,155	(\$118,328)	-1.1%
<u>Other Funds:</u>				
Police Pension	\$1,145,000	\$1,308,146	\$163,146	14.2%
Fire Pension	\$1,382,000	\$1,451,602	\$69,602	5.0%
Refuse Utility	\$1,100,000	\$1,100,000	\$0	0.0%
Debt Service	\$0	\$0	---	---
Total Village-wide Tax Levy	\$14,302,483	\$14,416,903	\$114,420	0.8%
Less: Projected New Development				
@ .8%		(\$114,420)	(\$114,420)	-0.8%
Existing Tax Payer Increase	\$14,302,483	\$14,302,483	\$0	0.0%

Increase (Decrease) Based on Total Property Tax Bill

Total Property Taxes Paid 100.00%	Other Taxing Distr. 86.94%	Village 13.06%	0.0% Change / Village
\$10,000	\$8,694	\$1,306	\$0
\$15,000	\$13,041	\$1,959	\$0
\$20,000	\$17,388	\$2,612	\$0
\$25,000	\$21,735	\$3,265	\$0
\$30,000	\$26,082	\$3,918	\$0
\$35,000	\$30,429	\$4,571	\$0
\$40,000	\$34,776	\$5,224	\$0
\$50,000	\$43,470	\$6,530	\$0
\$60,000	\$52,164	\$7,836	\$0

**Village of Winnetka
Schedule of Special Service Area Financing
Interest Rate**

4.00%

2015.10.26

	SSA #3 Trapp Lane	SSA # 4 Elm, Oak, Locust, Rosewood	SSA # 5 Elm, Oak Rosewood, Glendale
	est cost	final cost	final cost
SSA Principal Amount for Homeowners	\$ 255,000.00	\$ 20,795.00	\$ 17,664.00
Limit in Ordinances Approving SSA	\$ 315,947.50	\$ 37,000.00	\$ 40,312.50
Term of Repayments in Years	10	5	5
Debt Retirement Schedule			
2011 Tax Levy			
Beginning Principal	\$ 255,000.00	\$ 20,795.00	\$ 17,664.00
Interest @ 4%	\$ 10,200.00	\$ 832.00	\$ 707.00
Principal repaid	\$ 25,500.00	\$ 4,159.00	\$ 3,533.00
Interest and Principal for YR	\$ 35,700.00	\$ 4,991.00	\$ 4,240.00
Ending Principal	\$ 229,500.00	\$ 16,636.00	\$ 14,131.00
2012 Tax Levy			
Beginning Principal	\$ 229,500.00	\$ 16,636.00	\$ 14,131.00
Interest @ 4%	\$ 9,180.00	\$ 665.00	\$ 565.00
Principal repaid	\$ 25,500.00	\$ 4,159.00	\$ 3,533.00
Interest and Principal for YR	\$ 34,680.00	\$ 4,824.00	\$ 4,098.00
Ending Principal	\$ 204,000.00	\$ 12,477.00	\$ 10,598.00
2013 Tax Levy			
Beginning Principal	\$ 204,000.00	\$ 12,477.00	\$ 10,598.00
Interest @ 4%	\$ 8,160.00	\$ 499.00	\$ 424.00
Principal repaid	\$ 25,500.00	\$ 4,159.00	\$ 3,533.00
Interest and Principal for YR	\$ 33,660.00	\$ 4,658.00	\$ 3,957.00
Ending Principal	\$ 178,500.00	\$ 8,318.00	\$ 7,065.00
2014 Tax Levy			
Beginning Principal	\$ 178,500.00	\$ 8,318.00	\$ 7,065.00
Interest @ 4%	\$ 7,140.00	\$ 333.00	\$ 283.00
Principal repaid	\$ 25,500.00	\$ 4,159.00	\$ 3,533.00
Interest and Principal for YR	\$ 32,640.00	\$ 4,492.00	\$ 3,816.00
Ending Principal	\$ 153,000.00	\$ 4,159.00	\$ 3,532.00
2015 Tax Levy			
Beginning Principal	\$ 153,000.00	\$ 4,159.00	\$ 3,532.00
Interest @ 4%	\$ 6,120.00	\$ 166.00	\$ 141.00
Principal repaid	\$ 25,500.00	\$ 4,159.00	\$ 3,532.00
Interest and Principal for YR	\$ 31,620.00	\$ 4,325.00	\$ 3,673.00
Ending Principal	\$ 127,500.00	\$ -	\$ -

AN ORDINANCE LEVYING TAXES FOR THE YEAR 2015

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

WHEREAS, on December 2, 2014, the Council of the Village of Winnetka (“Village Council”) adopted Resolution No. R-34-2014, which budgeted \$32,680,584 to meet the expenses and liabilities of the Village for general corporate purposes, refuse collection and disposal, and retirement fund contributions for the fiscal year beginning January 1, 2015, and ending December 31, 2015; and

WHEREAS, pursuant to page 85 of the budget approved on December 2, 2014, the Village Council has made its preliminary estimate of the 2015 levy, estimating that it is necessary to raise Fourteen Million Four Hundred Twenty-Five Thousand Three Hundred Sixty-Nine Dollars (\$14,425,369) by taxation of taxable property within the Village for general corporate purposes, for refuse collection and disposal, and for retirement fund contributions for the fiscal year beginning January 1, 2015, and ending December 31, 2015; and

WHEREAS, at its October 19, 2015 meeting, the Village Council, upon evaluation of the proposed fiscal year 2016 annual budget, directed the staff to present a 2015 property tax levy for consideration at the December 1, 2015, Village Council meeting, said property tax levy to be in the amount of Fourteen Million Four Hundred Sixteen Thousand Nine Hundred Three Dollars (\$14,416,903), Eight Thousand Four Hundred Sixty Six Dollars (\$8,466) less than was estimated to be necessary to be raised by taxation of taxable property within the Village for general corporate purposes, for refuse collection and disposal, and for retirement fund contributions for the fiscal year beginning January 1, 2015, and ending December 31, 2015; and

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

SECTION 1: That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

SECTION 2: That in order to meet the expenses and liabilities of the Village of Winnetka for the fiscal year beginning January 1, 2015, and ending December 31, 2015, for general corporate purposes, for refuse collection and disposal, and for retirement fund contributions, there is hereby levied upon all of the taxable property within the corporate limits of the Village of Winnetka subject to taxation for the current year, as assessed and equalized for the year 2015, the sum of Fourteen Million Four Hundred Sixteen Thousand Nine Hundred Three Dollars (\$14,416,903), which is to be collected from the levy of the Village of Winnetka for the year 2015 for all purposes heretofore budgeted.

2015 TAX LEVY SUMMARY

	Amount Budgeted	Amount To Be Raised By Tax Levy
General Fund		
For General Corporate Purposes		
Public Affairs	\$ 299,950	\$ 123,096
Manager's Office	\$ 870,298	\$ 323,096
Finance Department	\$ 1,798,046	\$ 722,096
Police	\$ 6,804,746	\$ 3,858,096
Fire	\$ 5,338,270	\$ 2,458,096
Community Development	\$ 1,583,287	\$ -
Public Works	\$ 3,879,898	\$ 2,212,579
Capital Expenses	\$ 4,058,307	\$ 860,096
General Fund Transfers	\$ 1,249,996	\$ -
Totals for General Fund	\$ 25,882,798	\$ 10,557,155
For Refuse Collection and Disposal	\$ 2,476,786	\$ 1,100,000
Totals for Refuse Fund	\$ 2,476,786	\$ 1,100,000
For Retirement Fund Contributions		
For Police Pension Fund	\$ 2,100,500	\$ 1,308,146
For Fire Pension Fund	\$ 2,220,500	\$ 1,451,602
Totals for Retirement Fund Contributions	\$ 4,321,000	\$ 2,759,748
Total Amount Budgeted	\$ 32,680,584	
Total Amount of Levy		\$ 14,416,903

SECTION 3: That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Fourteen Million Four Hundred Sixteen Thousand Nine Hundred Three Dollars (\$14,416,903), which is the total amount the Village of Winnetka requires to be raised by taxation for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon it shall be the duty of said County Clerk to levy taxes for the year 2015 on all properties subject to taxation within the Village of Winnetka, in accordance with the provisions of this Ordinance.

SECTION 4: This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 5: This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

PASSED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES:

NAYS:

ABSENT:

APPROVED this ___ day of _____, 2015.

Signed:

Village President

Countersigned:

Village Clerk

[Seal]

Published by authority of the
President and Board of Trustees
of the Village of Winnetka,
Illinois, this ___ day of _____,
2015.

Introduced: November 17, 2015

Passed and Approved:

**AN ORDINANCE
LEVYING TAXES FOR THE YEAR 2015
FOR VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS
SPECIAL SERVICE AREA NO. 3**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

WHEREAS, Village of Winnetka Special Service Area No. 3 has been established pursuant to Ordinance M-6-2011, adopted by the Council of the Village of Winnetka (“Village Council”) on April 20, 2010, and Ordinance M-13-2010, adopted by the Village Council on July 20, 2010, to provide for certain special services within the territory described in said ordinances, such special services consisting of the construction of a storm sewer, the reconstruction and repaving of Trapp Lane, the construction of a cul-de-sac at the terminus of Trapp Lane and the construction of related appurtenances (“Special Services”), all in conjunction with the dedication of Trapp Lane as a public right of way; and

WHEREAS, the Village Council have determined that, in the interest of the health, safety and welfare of the Village and its residents, it is appropriate to adopt an ordinance levying taxes within Special Service Area No. 3; and

WHEREAS, the construction of the improvements in Special Service Area No. 3 were in excess of Two Hundred Fifty-Five Thousand Dollars (\$255,000.00); and

WHEREAS, the total term of repayment of the project costs for Special Service Area No. 3 is 10 years and the 2015 Tax Levy is for Year Five of Ten; and

WHEREAS, the Village Council have determined that the total amount to be raised by the levy of taxes on taxable property within Special Service Area No. 3 for the cost of providing such Special Services for the fiscal year beginning January 1, 2015, and ending December 31, 2015 is Thirty-One Thousand Six Hundred Twenty Dollars (\$31,620).

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

SECTION 1: That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

SECTION 2: That in order to meet the cost of providing the above-described Special Services within Special Service Area No. 3 for the fiscal year beginning January 1, 2015, and ending December 31, 2015, there is hereby levied upon all of the taxable property within Village of Winnetka Special Service Area No. 3 subject to taxation for the current year, as assessed and equalized for the year 2015, the sum of Thirty-One Thousand Six Hundred Twenty Dollars (\$31,620), which is to be collected from the levy of the Village of Winnetka for the year 2015 for the purposes heretofore budgeted.

SECTION 3: That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Thirty-One Thousand Six Hundred Twenty Dollars (\$31,620), which is the total amount the Village of Winnetka requires to be raised by taxation to meet the costs of Special Service Area No. 3 for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon it shall be the duty of said County Clerk to levy taxes for the year 2015 on all properties subject to taxation within Village of Winnetka Special Service Area No. 3, in accordance with the provisions of this Ordinance.

SECTION 4: Each provision of this Ordinance is severable. If any provision of this Ordinance or the application of any provision of this Ordinance to any person or circumstance is held invalid, then the remainder of this Ordinance and the application of the provisions of this Ordinance to other persons or circumstances shall not be affected thereby and shall remain valid, enforceable and otherwise in full force and effect.

SECTION 5: This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 6: This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

PASSED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES:

NAYS:

ABSENT:

APPROVED this ___ day of _____, 2015.

Signed:

Village President

Countersigned:

Village Clerk

[Seal]

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this ___ day of _____, 2015.

Introduced: November 17, 2015

Passed and Approved:

**AN ORDINANCE
LEVYING TAXES FOR THE YEAR 2015
FOR VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS
SPECIAL SERVICE AREA NO. 4**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

WHEREAS, Village of Winnetka Special Service Area No. 4 has been established pursuant to Ordinance M-2-2011, adopted by the Council of the Village of Winnetka (“Village Council”) on April 26, 2011, and Ordinance M-13-2011, adopted by the Village Council on September 6, 2011, to provide for certain special services within the territory described in said ordinances, such special services consisting of the construction of a storm sewer and a new concrete alley, and the construction of related appurtenances (“Special Services”) in the single family residential area consisting of properties bounded by Elm Street, Oak Street, Locust Street, and Rosewood Avenue; and

WHEREAS, the Village Council have determined that, in the interest of the health, safety and welfare of the Village and its residents, it is appropriate to adopt an ordinance levying taxes within Special Service Area No. 4; and

WHEREAS, the construction of the improvements in Special Service Area No. 4 has been completed and the total project cost for the Special Services provided to Special Service Area No. 4 is Twenty Thousand Seven Hundred Ninety-Five Dollars (\$20,795.00); and

WHEREAS, the total term of repayment of the project costs for Special Service Area No. 4 is five years and the 2015 Tax Levy is for Year Five of Five; and

WHEREAS, the Village Council have determined that the total amount to be raised by the levy of taxes on taxable property within Special Service Area No. 4 for providing such Special Services for the fiscal year beginning January 1, 2015, and ending December 31, 2015 is Four Thousand Three Hundred Twenty-Five Dollars (\$4,325.00).

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

SECTION 1: That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

SECTION 2: That in order to meet the cost of providing the above-described Special Services within Special Service Area No. 4 for the fiscal year beginning January 1, 2015, and ending December 31, 2015, there is hereby levied upon all of the taxable property within Village of Winnetka Special Service Area No. 4 subject to taxation for the current year, as assessed and equalized for the year 2015, the sum of Four Thousand Three Hundred Twenty-Five Dollars (\$4,325.00), which is to be collected from the levy of the Village of Winnetka for the year 2015 for the purposes heretofore budgeted.

SECTION 3: That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Four Thousand Three Hundred Twenty-Five Dollars (\$4,325.00), which is the total amount the Village of Winnetka requires to be raised by taxation to meet the costs for Special Service Area No. 4 for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon it shall be the duty of said County Clerk to levy taxes for the year 2015 on all properties subject to taxation within Village of Winnetka Special Service Area No. 4, in accordance with the provisions of this Ordinance.

SECTION 4: Each provision of this Ordinance is severable. If any provision of this Ordinance or the application of any provision of this Ordinance to any person or circumstance is held invalid, then the remainder of this Ordinance and the application of the provisions of this Ordinance to other persons or circumstances shall not be affected thereby and shall remain valid, enforceable and otherwise in full force and effect.

SECTION 5: This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 6: This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

PASSED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES:

NAYS:

ABSENT:

APPROVED this ___ day of _____, 2015.

Signed:

Village President

Countersigned:

Village Clerk

[Seal]

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this ___ day of _____, 2015.

Introduced: November 17, 2015

Passed and Approved:

**AN ORDINANCE
LEVYING TAXES FOR THE YEAR 2015
FOR VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS
SPECIAL SERVICE AREA NO. 5**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

WHEREAS, Village of Winnetka Special Service Area No. 5 has been established pursuant to Ordinance M-3-2011, adopted by the Council of the Village of Winnetka (“Village Council”) on April 26, 2011, and Ordinance M-14-2011, adopted by the Village Council on September 6, 2011, to provide for certain special services within the territory described in said ordinances, such special services consisting of the construction of a storm sewer and a new concrete alley, and the construction of related appurtenances (“Special Services”) in the single family residential area consisting of properties bounded by Elm Street, Oak Street, Glendale Avenue, and Rosewood Avenue; and

WHEREAS, the Village Council have determined that, in the interest of the health, safety and welfare of the Village and its residents, it is appropriate to adopt an ordinance levying taxes within Special Service Area No. 5; and

WHEREAS, the construction of the improvements in Special Service Area No. 5 has been completed and the total project cost for the Special Services provided to Special Service Area No. 5 is Seventeen Thousand Six Hundred Sixty-Four Dollars (\$17,664.00); and

WHEREAS, the total term of repayment of the project costs for Special Service Area No. 5 is five years and the 2015 Tax Levy is for Year Five of Five; and

WHEREAS, the Village Council have determined that the total amount to be raised by the levy of taxes on taxable property within Special Service Area No. 5 for the cost of providing such Special Services for the fiscal year beginning January 1, 2015, and ending December 31, 2015 is Three Thousand Six Hundred Seventy-Three Dollars (\$3,673.00).

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

SECTION 1: That the foregoing recitals are hereby adopted as the findings of the Council of the Village of Winnetka, as fully set forth herein.

SECTION 2: That in order to meet the cost of providing the above-described Special Services within Special Service Area No. 5 for the fiscal year beginning January 1, 2015, and ending December 31, 2015, there is hereby levied upon all of the taxable property within Village of Winnetka Special Service Area No. 5 subject to taxation for the current year, as assessed and equalized for the year 2015, Three Thousand Six Hundred Seventy-Three Dollars (\$3,673.00), which is to be collected from the levy of the Village of Winnetka for the year 2015 for the purposes heretofore budgeted.

SECTION 3: That there is hereby certified to the County Clerk of Cook County, Illinois, the several sums above, constituting said total amount, and the total amount of Three Thousand Six Hundred Seventy-Three Dollars (\$3,673.00), which is the total amount the Village of Winnetka requires to be raised by taxation to meet the costs of Special Service Area No. 5 for the current fiscal year of the Village, and that, on or before the time required by law, the Village Clerk shall file a certified copy of this Ordinance with the County Clerk of Cook County, Illinois, whereupon it shall be the duty of said County Clerk to levy taxes for the year 2015 on all properties subject to taxation within Village of Winnetka Special Service Area No. 5, in accordance with the provisions of this Ordinance.

SECTION 4: Each provision of this Ordinance is severable. If any provision of this Ordinance or the application of any provision of this Ordinance to any person or circumstance is held invalid, then the remainder of this Ordinance and the application of the provisions of this Ordinance to other persons or circumstances shall not be affected thereby and shall remain valid, enforceable and otherwise in full force and effect.

SECTION 5: This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

SECTION 6: This Ordinance shall take effect immediately upon its passage, approval and posting as provided by law.

PASSED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES:

NAYS:

ABSENT:

APPROVED this ___ day of _____, 2015.

Signed:

Village President

Countersigned:

Village Clerk

[Seal]

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this ___ day of _____, 2015.

Introduced: November 17, 2015

Passed and Approved:

**AN ORDINANCE
ABATING THE TAX HERETOFORE LEVIED
FOR THE YEAR 2015
TO PAY THE PRINCIPAL OF AND INTEREST ON
\$9,000,000 GENERAL OBLIGATION BONDS, SERIES 2013,
OF THE VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

WHEREAS, the Council of the Village of Winnetka, Cook County, Illinois (the “Village Council”), by Ordinance Number M-15-2013, adopted on the 5th day of November, 2013 (the “Ordinance”), did provide for the issue of \$9,000,000 General Obligation Bonds, Series 2013 (the “Bonds”), for the financing of certain improvements to the stormwater system of the Village and for the levy and collection of a direct annual tax sufficient to pay the principal of and interest on the Bonds; and

WHEREAS, the Village has deposited sufficient funds in the appropriate fund pursuant to the Ordinance, for the purpose of paying the principal of and interest on the Bonds up to and including December 15, 2015; and

WHEREAS, it is necessary and in the best interests of the Village that the tax heretofore levied for the year 2015 to pay the principal of and interest on the Bonds be abated.

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

SECTION 1: Abatement of Tax. The tax heretofore levied for the year 2015 of Nine Hundred Seventy-Eight Thousand Five-Hundred Fifty Dollars (\$978,550.00) in Ordinance Number M-15-2013 to pay the principal of and interest on \$9,000,000 General Obligation Bonds, Series 2013, of the Village of Winnetka, Cook County, Illinois, is hereby abated in its entirety.

SECTION 2: Filing of Ordinance. Forthwith upon the adoption of this Ordinance, the Village Clerk shall file a certified copy hereof with the County Clerk of the County of Cook, Illinois, and it shall be the duty of said County Clerk to abate said tax levied for the year 2015 in accordance with the provisions hereof.

SECTION 3: Home Rule. This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

[Remainder of this page intentionally left blank.]

SECTION 4: Effective Date. That this Ordinance shall be in full force and effect from and its passage, approval, and posting as provided by law.

PASSED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES:

NAYS:

ABSENT:

APPROVED this ___ day of _____, 2015.

Signed:

Village President

Countersigned:

Village Clerk

[Seal]

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this ___ day of _____, 2015.

Introduced: November 17, 2015

Passed and Approved:

**AN ORDINANCE
ABATING THE TAX HERETOFORE LEVIED
FOR THE YEAR 2015
TO PAY THE PRINCIPAL OF AND INTEREST ON
\$7,500,000 GENERAL OBLIGATION BONDS, SERIES 2014,
OF THE VILLAGE OF WINNETKA, COOK COUNTY, ILLINOIS**

WHEREAS, the Village of Winnetka (“Village”) is a home rule municipality in accordance with Article VII, Section 6 of the Constitution of the State of Illinois of 1970 and, pursuant thereto, has the authority, except as limited by said Section 6 of Article VII, to exercise any power and perform any function pertaining to the government and affairs of the Village, including the power to tax; and

WHEREAS, the Council of the Village of Winnetka, Cook County, Illinois (the “Village Council”), by Ordinance Number M-16-2013, adopted on the 3rd day of December, 2013 (the “Ordinance”), did provide for the issue of \$7,500,000 General Obligation Bonds, Series 2014 (the “Bonds”), for the financing of certain improvements to the stormwater system of the Village and for the levy and collection of a direct annual tax sufficient to pay the principal of and interest on the Bonds; and

WHEREAS, the Village has deposited sufficient funds in the appropriate fund pursuant to the Ordinance, for the purpose of paying the principal of and interest on the Bonds up to and including December 15, 2015; and

WHEREAS, it is necessary and in the best interests of the Village that the tax heretofore levied for the year 2015 to pay the principal of and interest on the Bonds be abated.

NOW, THEREFORE, be it ordained by the President and Board of Trustees of the Village of Winnetka, as follows:

SECTION 1: Abatement of Tax. The tax heretofore levied for the year 2015 of Three Hundred Forty-Five Thousand One-Hundred Dollars (\$345,100.00) in Ordinance Number M-16-2013 to pay the interest on \$7,500,000 General Obligation Bonds, Series 2014, of the Village of Winnetka, Cook County, Illinois, is hereby abated in its entirety.

SECTION 2: Filing of Ordinance. Forthwith upon the adoption of this Ordinance, the Village Clerk shall file a certified copy hereof with the County Clerk of the County of Cook, Illinois, and it shall be the duty of said County Clerk to abate said tax levied for the year 2015 in accordance with the provisions hereof.

SECTION 3: Home Rule. This Ordinance is adopted by the Council of the Village of Winnetka in the exercise of its home rule powers pursuant to Section 6 of Article VII of the Illinois Constitution of 1970.

[Remainder of this page intentionally left blank.]

SECTION 4: Effective Date. This Ordinance shall be in full force and effect from and its passage, approval, and posting as provided by law.

PASSED this ___ day of _____, 2015, pursuant to the following roll call vote:

AYES:

NAYS:

ABSENT:

APPROVED this ___ day of _____, 2015.

Signed:

Village President

Countersigned:

Village Clerk

[Seal]

Published by authority of the President and Board of Trustees of the Village of Winnetka, Illinois, this ___ day of _____, 2015.

Introduced: November 17, 2015

Passed and Approved:



Agenda Item Executive Summary

Title: Proclamation Recognizing Small Business Saturday

Presenter: Robert M. Bahan, Village Manager

Agenda Date:

11/17/2015

Consent:

YES

NO

Ordinance

Resolution

Bid Authorization/Award

Policy Direction

Informational Only

Item History:

None.

Executive Summary:

With a growing retail supportive track record, Small Business Saturday continues to be an optimal tool in kicking off the holiday season's shopping and dining. Created in 2010, Small Business Saturday massive joint marketing effort has consistently contributed to substantial growth in receipts of small businesses on Small Business Saturday. In 2013, consumers spent \$5.5 billion at small businesses, and in 2014 dollars spent further increased to \$14.3 billion.

"With the support of American Express – a founding partner of Small Business Saturday – and fortitude of thousands of small business owners across the country, Small Business Saturday has quickly become widely recognized as the day to kick start the holiday shopping season. Anchored between Black Friday and Cyber Monday, small businesses stand out thanks in part to the national media attention Small Business Saturday generates, but also in part to the individual efforts small business owners nationwide contribute to make this day one of a kind. As a result, consumers are showing an increase in spending as each Small Business Saturday passes." Source: Forbes, "Small Business Saturday Becomes Holiday Tradition in Communities Across the Country in Only Five Years," 10/16/14 .

Recommendation:

Proclaim support of Small Business Saturday and shopping local in Winnetka on Small Business Saturday, November 28, 2015.

Attachments:

- 1) Small Business Saturday Proclamation
- 2) Small Business Saturday Logo
- 3) Marketing Material for Small Business Saturday



VILLAGE · OF · WINNETKA

Incorporated in 1869

PROCLAMATION

WHEREAS, the Village of Winnetka shows support for Small Business Saturday, Saturday, November 28, 2015; and

WHEREAS, the majority of the businesses in the U.S. and in Winnetka are small businesses; and

WHEREAS, Village of Winnetka acknowledges the positive impact of our local businesses, knowing they create jobs and boost our local economy; and

WHEREAS, according to research, for every \$100 spent at a local store, at least \$68 stays within the community; and

WHEREAS, by shopping locally, consumers contribute to generate sales tax that supports local services such as police and fire departments, support sustaining and increasing local jobs and encourage local business owners to continue to invest our community;

NOW THEREFORE, the Trustees and President of the Village of Winnetka, hereby recognize and support Small Business Saturday, and shopping, as well as dining locally on Saturday, November 28, 2015.

E. Gene Greable, President
Village of Winnetka

Dated: _____

SHOP SMALL® WITH

Winnetka Businesses

— KNOWN FOR —

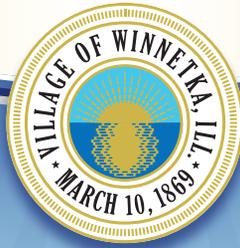
"AN UNRIVALED COLLECTION
OF UNIQUE SHOPS & DINING"



WINNETKA

Deck the halls
Skip the malls

Uniquely
Winnetka



SATURDAY, NOVEMBER 28



SHOP DINE DISCOVER